# Morita's duality for split reductive groups

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ABSTRACT. In this paper, we extend the work in *Morita's Theory for the Symplectic Groups* [7] to split reductive groups. We construct and study the holomorphic discrete series representation and the principal series representation of a split reductive group G over a p-adic field F as well as a duality between certain sub-representations of these two representations.

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# Notations

Let *p* be a prime, *F* a finite extension of  $\mathbb{Q}_p$ ,  $\mathfrak{o}$  the ring of integers of *F*,  $\varpi$  a uniformizer of  $\mathfrak{o}$ , || the normalized absolute value, and  $F^{alg}$  an algebraic closure of *F*. Let *K* be an extension of *F* with an absolute value extending ||, and  $\mathfrak{o}_K$  the valuation ring of *K*. We assume that *K* is complete with respect to ||, and moreover, *K* is spherically complete whenever topological properties of the *K*-vector spaces are under consideration.

# 1. Introduction

In a series of papers, [4], [5] and [6], Morita and Murase innitiated the work on the representation theory for SL(2, F) with coefficient field *K*, especially holomorphic discrete

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series representations and their duality relations with principal series representations. Principal series representations, or more generally, induced representations, appeared in many literatures, notably in Féaux de Lacroix's work [2] on locally analytic representations. On the other hand, holomorphic discrete series representations were not extensively studied. The holomorphic discrete series representation of SL(n + 1, F) associated to a rational representation of GL(n, F) were introduced by Schneider in [8], in order to understand the de Rham complex over Drinfel'd's space as representation of SL(n + 1, F). In another direction, the holomorphic discrete series representation of Sp(2n, F) associated to a *K*-rational representation of GL(n, F) were constructed in our recent work [7]. Furthermore, the algebraization and generalization of Morita's duality were established in [7].

The purpose of this paper is to generalize Morita's theory from Sp(2n, F) to a split reductive group G. We are able to do such a generalization owning to the entirely algebraic construction of Morita's theory for Sp(2n, F). Therefore, we shall closely follow the main ideas presented in [7].

In the first paragraph, we recollect some notions on split reductive groups and construct an *F*-regular function f on G that characterizes the parabolic big cell associated to a parabolic subgroup. In particular, f corresponds to the determinant function on M(n, F) used in the definition of p-adic Siegel upper half-space in [7] (see Example 2.5 and 4.3). f will appear extensively in the study of the rigid symmetric space associated to G and holomorphic series representations.

The principal series representation  $(C_{\sigma}^{an}(\mathfrak{H}, V), T_{\sigma})$  is another interpretation of the parabolic induction from a locally analytic *K*-representation  $(\sigma, V)$  of the Levi subgroup (cf. [5] and [7]). In the second paragraph, applying the general results of Féaux de Lacroix on induced representations of *F*-Lie groups ([2]), one sees that  $(C_{\sigma}^{an}(\mathfrak{H}, V), T_{\sigma})$  is a locally analytic representation of G over a *K*-vector space of compact type.

The third paragraph is dedicated to the construction and study of the rigid analytic symmetric space  $\Omega$ , which is the foundation of holomorphic discrete series representations. Some examples are the *p*-adic upper half-plane for SL(2, *F*) (cf. [4]), Drinfel'd's space for SL(*n* + 1, *F*) (cf. [8]) and the *p*-adic Siegel upper half-space for Sp(2*n*, *F*) (cf. [7]). Such symmetric spaces have been studied by van der Put and Voskuil in [14]. We shall however use another approach following [10] and [7] to construct the admissible affinoid covering, which enables us to obtain precise descriptions of rigid analytic functions on  $\Omega$ . From this, we prove that the space  $\mathcal{O}_K(\Omega)$  of *K*-rigid analytic functions on  $\Omega_K$  is a nuclear *K*-Fréchet space.

In the fourth paragraph, for a *K*-rational representation ( $\sigma$ , *V*) of the Levi subgroup, we construct the holomorphic discrete series representation ( $\mathcal{O}_{\sigma}(\Omega), \pi_{\sigma}$ ) defined over the nuclear *K*-Fréchet space of *V*-valued rigid analytic functions on  $\Omega$ . Moreover, we prove that its dual representation is locally analytic.

Since the strong duality gives rise to a contra-variant equivalence between the category of *K*-vector spaces of compact type and the category of nuclear *K*-Fréchet spaces (cf. [11]), it is natural to expect certain duality relations between sub-quotient spaces of principal series representations and those of holomorphic discrete series representations. For SL(2, *F*), a duality of this kind via residues is analytically constructed by Morita (cf. [5]). However, there does not seem to be any direct way to generalize Morita's duality. Nevertheless, Morita's duality may be algebraically interpreted in a weaker form and generalized to any split reductive group G. These are done in the last paragraph. For a *K*-rational representation ( $\sigma$ , *V*) of the Levi subgroup, a closed sub-representation  $B_{\sigma^*}(\mathfrak{H}, V^*)$  of  $C_{\sigma^*}^{an}(\mathfrak{H}, V^*)$  and a closed sub-representation  $\mathcal{N}_{\sigma}(\Omega)$  of  $\mathcal{O}_{\sigma}(\Omega)$  are algebraically constructed, along with a duality between them. As discussed in [7], our duality for SL(2, *F*) is exactly Morita's duality composed with Casselman's intertwining operator (cf. [5]).

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# 2. A lemma on the split reductive groups

# 2.1. Split reductive groups. We adopt the notations in [3] Part II, Chapter 1.

Let G be a connected split reductive algebraic group over F, T a split maximal torus of G. We have the decomposition of Lie algebra g of G (over F) in the form

(2.1) 
$$\mathfrak{g} = \mathfrak{g}_0 \oplus \bigoplus_{\alpha \in R} \mathfrak{g}_\alpha$$

where  $g_0$  is the Lie algebra of T and R is the root system of G with respect to T.

Each  $\mathfrak{g}_{\alpha}$  is of rank 1 over *F*, and we denote  $U_{\alpha} \simeq \mathbb{G}_{a}(F)$  the root subgroup of G corresponding to  $\alpha$ .

Let  $W \cong N_G(T)/T$  be the Weyl group of *R*. For  $w \in W$ , we also denote *w* a representing element in  $N_G(T)$ .

Choose a positive system  $R^+$  and denote *S* the corresponding set of simple roots. Let  $B^+$  denote the corresponding Borel subgroup and  $B^-$  its opposite Borel subgroup,  $U_G^{\pm} = U(\pm R^+)$  the unipotent radical of  $B^{\pm}$ .

Throughout this article we fix a subset *I* of *S*, and denote  $R_I = R \cap \mathbb{Z}I$ ,  $W_I$  the Weyl group of  $R_I$ ,  $R_I^+ = R^+ - R_I$ , P<sup>+</sup> the standard parabolic subgroup of G corresponding to  $R_I^+$ , P<sup>-</sup> its opposite parabolic subgroup, U<sup>±</sup> = U(± $R_I^+$ ) the unipotent radical of P<sup>±</sup>, L the common Levi subgroup of P<sup>+</sup> and P<sup>-</sup>.

L is a split reductive group with split maximal torus T, root system  $R_I$ , positive system  $R_L^+ = R_I \cap R^+$  and Weyl group  $W_I$ . Let  $U_L^{\pm} = U(\pm R_L^+)$ .

We recall ([3] Part II.1.7) that for any closed and unipotent subset R' of R (that is,  $(\mathbb{N}\alpha + \mathbb{N}\beta) \cap R \subset R'$  for any  $\alpha, \beta \in R'$  and  $R' \cap (-R') = \emptyset$ ), for instance  $\pm R^+, \pm R_I^+$  and  $\pm R_L^+$ , the multiplication induces, for any ordering of R', an isomorphism of schemes over F

(2.2) 
$$\prod_{\alpha \in R'} U_{\alpha} \xrightarrow{\simeq} U(R').$$

2.2. The parabolic big cell. We have the Bruhat decomposition of G ([3] Part II.1.9)

$$\mathbf{G} = \bigcup_{w \in W} \mathbf{B}^- w \mathbf{B}^+ = \bigcup_{w \in W} \mathbf{U}_{\mathbf{G}}^- w \mathbf{T} \mathbf{U}_{\mathbf{G}}^+.$$

Let C denote the parabolic big cell

$$\mathbf{C} = \bigcup_{w \in W_I} \mathbf{U}_{\mathbf{G}}^- w \mathbf{T} \mathbf{U}_{\mathbf{G}}^+ = \mathbf{U}^- \Big( \bigcup_{w \in W_I} \mathbf{U}_{\mathbf{L}}^- w \mathbf{T} \mathbf{U}_{\mathbf{L}}^+ \Big) \mathbf{U}^+ = \mathbf{U}^- \mathbf{L} \mathbf{U}^+ = \mathbf{U}^- \mathbf{P}^+ = \mathbf{P}^- \mathbf{U}^+.$$

Then G is the disjoint union of C and  $U_G^- w T U_G^+$  for all  $w \notin W_I$ .

Let  $r = |R_I^+| = \dim U^+$ . We consider the adjoint representation of G on  $\bigwedge^r \mathfrak{g}$  over *F*. From the decomposition (2.1) of  $\mathfrak{g}$  we obtain a direct sum decomposition of  $\bigwedge^r \mathfrak{g}$ . Choosing  $X_{\alpha}$  a nonzero element in  $\mathfrak{g}_{\alpha}$  for each  $\alpha \in R$  and a basis of  $\mathfrak{g}_0$  we obtain a basis of  $\bigwedge^r \mathfrak{g}$  with respect to this decomposition and containing  $Y = \bigwedge_{\alpha \in R_I^+} X_{\alpha}$ . For  $g \in G$  we define f(g) to be the coefficient of *Y* in the expansion of  $\operatorname{Ad}(g)Y$  in the chosen basis of  $\bigwedge^r \mathfrak{g}$ . Then *f* is a regular function on G over *F*.

There is a partial order on  $\mathbb{Z}S: \gamma < \delta$  iff  $\delta - \gamma$  is a sum of positive roots. If one considers the group action of the symmetric group  $S_r$  on  $(\mathbb{Z}S)^r$  via coordinate permutation, the set of the unordered *r*-tuples  $[\gamma_1, ..., \gamma_r]$  of elements in  $\mathbb{Z}S$  may be viewed as the set of  $S_r$ -orbits in  $(\mathbb{Z}S)^r$ . We define  $[\gamma_1, ..., \gamma_r] < [\delta_1, ..., \delta_r]$  iff there exists  $s \in S_r$  such that  $\gamma_j \leq \delta_{s(j)}$  for all  $1 \leq j \leq r$  and  $\gamma_j < \delta_{s(j)}$  for at least one *j*.

We adopt the convention that  $g_{\gamma} = 0$  if  $\gamma \in \mathbb{Z}S$  is nonzero and not a root. Then  $[g_{\beta}, g_{\alpha}] \subset g_{\alpha+\beta}$ , and therefore

$$\operatorname{Ad}(u_{\beta})X_{\alpha} \in X_{\alpha} + \sum_{i \ge 1} \mathfrak{g}_{\alpha+i\beta}, \quad u_{\beta} \in \mathcal{U}_{\beta}.$$

If  $\alpha, \beta \in R_I^+$ , then it is clear that either  $\alpha + i\beta \in R_I^+$  or  $\mathfrak{g}_{\alpha+i\beta} = 0$ . The same statement holds for  $\alpha \in R_I^+$  and  $\beta \in R_I$ , since the  $\beta$ -string through  $\alpha$  lies in  $R_I^+$ . Therefore  $U_\beta$  fixes Yfor any  $\beta \in R_I^+ \cup R_I = R^+ \cup (-R_I^+)$ . (2.2) implies that

(2.3) *Y* is invariant under  $U_{G}^{+}$  and  $U_{L}^{-}$ .

If we let  $\beta$  be negative roots, then (2.2) also implies that for  $v^- \in U_G^-$ ,

(2.4) 
$$\operatorname{Ad}(v^{-})X_{\alpha} \in X_{\alpha} + \sum_{\gamma < \alpha} \mathfrak{g}_{\gamma}.$$

For  $t \in T$ ,

(2.5) 
$$\operatorname{Ad}(t)Y = \prod_{\alpha \in R_I^+} \alpha(t)Y.$$

For  $w \in W$  there exists a constant  $c_{w,\alpha} \in F^{\times}$  satisfying

(2.6) 
$$\operatorname{Ad}(w)X_{\alpha} = c_{w,\alpha}X_{w\alpha}$$

In view of (2.2), we see that w preserves  $R_I^+$  iff w normalizes U<sup>+</sup>. Since  $N_G(U^+) = P^+$  and  $w \in P^+$  iff  $w \in W_I$ ,

(2.7) w preserves  $R_I^+$  iff  $w \in W_I$ .

Since  $P^+ = \bigcup_{w \in W_I} U_L^- w T U_G^+$ , if we write  $p^+ = u^- w t v^+$  ( $v^+ \in U_G^+$ ,  $t \in T$ ,  $w \in W_I$ ,  $u^- \in U_I^-$ ), then it follows from (2.3), (2.5), (2.6) and (2.7) that

$$\operatorname{Ad}(p^+)Y = \operatorname{sign}(w) \prod_{\alpha \in R_I^+} c_{w,\alpha}\alpha(t) \cdot Y,$$

where sign(w) denotes the sign of the permutation w on  $R_I^+$ . Moreover, it follows from (2.4) that

$$\operatorname{Ad}(v^{-}wtv^{+})Y \in \operatorname{sign}(w) \prod_{\alpha \in R_{I}^{+}} c_{w,\alpha}\alpha(t) \cdot Y + \sum_{[\gamma_{j}] < [\alpha]_{\alpha \in R_{I}^{+}}} \bigwedge_{j=1}^{\prime} \mathfrak{g}_{\gamma_{j}}.$$

So

$$f(v^-wtv^+) = \operatorname{sign}(w) \prod_{\alpha \in R_I^+} c_{w,\alpha}\alpha(t).$$

Similarly, for  $w \notin W_I$ ,

$$\operatorname{Ad}(v^{-}wtv^{+})Y \in \sum_{[\gamma_{j}] \leq [w\alpha]_{\alpha \in R_{j}^{+}}} \bigwedge_{j=1}^{\prime} \mathfrak{g}_{\gamma_{j}}.$$

It follows from the proof of (2.3) that  $\alpha + \beta \in R_I^+$  or  $g_{\alpha+\beta} = 0$  if  $\alpha \in R_I^+$  and  $\beta \in R^+$ , so  $\alpha \in R_I^+$  and  $\delta \ge \alpha$  imply  $\delta \in R_I^+$  or  $g_\delta = 0$ . Therefore if  $\delta \in \{0\} \cup R - R_I^+$  and  $\gamma \le \delta$  then  $\gamma \notin R_I^+$ , and hence (2.7) implies that *Y* does not appear in the expression of  $\operatorname{Ad}(v^- wtv^+)Y$ , so  $f(v^- wtv^+) = 0$ .

We conclude with the following lemma.

LEMMA 2.1. Let the notations be as above, then (1) For  $p^+ \in \mathbf{P}^+$ ,

$$\operatorname{Ad}(p^+)Y = f(p^+)Y$$

and hence f is an F-rational character on  $P^+$ .

(2) For  $w \in W_I$ ,

$$f(v^{-}wtv^{+}) = \operatorname{sign}(w) \prod_{\alpha \in R_{I}^{+}} c_{w,\alpha}\alpha(t), \quad v^{\pm} \in \mathrm{U}_{\mathrm{G}}^{\pm}, \ t \in \mathrm{T}.$$

(3) f vanishes on  $U_{G}^{-}wTU_{G}^{+}$  for  $w \notin W_{I}$ .

In particular,

(4) C is an open F-subscheme of G, and  $F[C] = F[G]_f$ .

(5) f is right invariant under  $U_{G}^{+}$  and left invariant under  $U_{G}^{-}$ .

EXAMPLE 2.2. [cf. [3] Part II 1.9 and [13] §5 Theorem 7] If  $I = \emptyset$ , then L = T and  $U^{\pm} = U_{G}^{\pm}$ . Lemma 2.1 implies that  $f(u^{-}tu^{+}) = \prod_{\alpha \in R^{+}} \alpha(t)$  and  $f(u^{-}wtu^{+}) = 0$  for all nontrivial  $w, u^{\pm} \in U_{G}^{\pm}$  and  $t \in T$ .

EXAMPLE 2.3. Let G = SL(n + 1, F). Write  $g = \begin{pmatrix} A & B \\ C & d \end{pmatrix}$  with  $A \in M(n, F), B \in M(n, 1; F), C \in M(1, n; F)$  and  $d \in F$ . Let

$$\mathbf{U}^{+} = \left\{ \begin{pmatrix} I_{n} & 0 \\ C & 1 \end{pmatrix} \in \mathbf{G} \right\},$$
$$\mathbf{L} = \left\{ \begin{pmatrix} A & 0 \\ 0 & d \end{pmatrix} \in \mathbf{G} \right\}.$$

Some calculations show that

$$f(g) = d^{n+1}.$$

EXAMPLE 2.4. More generally, we consider G = GL(n, F). Let  $(n_1, ..., n_s)$  be a partition of n. Write  $g = (g_{ij})_{1 \le i, j \le s}$  with  $g_{ij} \in M(n_i, n_j; F)$ . Let  $U^+$  be the subgroup consisting of the matrices  $u = (u_{ij})$  such that  $u_{ij} = 0$  for j < i and  $u_{ii} = I_{n_i}$ , and L the subgroup consisting of the matrices  $l = (l_{ij})$  such that  $l_{ij} = 0$  for  $i \ne j$ .  $L \cong \prod_{1 \le i \le s} GL(n_i, F)$ .

For  $l \in L$ ,

$$f(l) = \prod_{1 \le j < i \le s} \det(l_{ii})^{n_j} \det(l_{jj})^{-n_i}$$
$$= \prod_{1 \le i \le s} \det(l_{ii})^{\sum_{j < i} n_j - \sum_{i < k} n_k}.$$

The computation of the explicit formula for f involves the process of block lower (or upper) triangularization, and it turns out to be complicated if  $s \ge 3$ . For s = 2, we have

$$f(g) = \det(g_{22})^{n_1 + n_2} \det(g)^{-n_2}.$$

The situation is the same if G = SL(n, F). For instance, if s = 2, then

$$f(g) = \det(g_{22})^{n_1 + n_2}$$

EXAMPLE 2.5. We consider

$$\mathbf{G} = \mathbf{Sp}(2n, F) = \left\{ g \in \mathbf{GL}(2n, F) : {}^{t}g \begin{pmatrix} 0 & I_{n} \\ -I_{n} & 0 \end{pmatrix} g = \begin{pmatrix} 0 & I_{n} \\ -I_{n} & 0 \end{pmatrix} \right\}.$$

Write  $g = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  with  $A, B, C, D \in M(n, F)$ . Let

$$\mathbf{U}^{+} = \left\{ \begin{pmatrix} I_n & 0\\ C & I_n \end{pmatrix} : C \in \operatorname{Sym}(n, F) \right\},$$
$$\mathbf{L} = \left\{ \begin{pmatrix} {}^t D^{-1} & 0\\ 0 & D \end{pmatrix} : D \in \operatorname{GL}(n, F) \right\},$$

where Sym(n, F) is the group of symmetric matrices of order n over F. Some calculations show that

$$f(g) = \det(D)^{n+1}.$$

**3.** Ind<sup>G</sup><sub>P</sub>- $\sigma$  and the principal series  $(C^{an}_{\sigma}(\mathfrak{H}, V), T_{\sigma})$ 

Induced representations, especially the parabolic inductions, are of extreme importance in Lie theory. For *p*-adic Lie groups, they were studied by Féaux de Lacroix in his work ([2]) on the locally analytic representations.

We first recall the notion of locally analytic representations over K of an F-Lie group.

DEFINITION 3.1 (cf. [2] and [11] §3). A locally analytic representation ( $\sigma$ , V) of an *F*-Lie group G on a barreled locally convex Hausdorff K-vector space V is a continuous representation such that the orbit maps are V-valued locally analytic functions on G. More precisely, for any  $v \in V$  there exists a BH-space W of V (that is, a Banach space W together with a continuous injection  $W \hookrightarrow V$ ) such that  $g \mapsto \sigma(g)v$  expands (in a neighborhood of the unit element) to a power series with W-coefficients.

Let  $(\sigma, V)$  be a locally analytic representation of the Levi subgroup L.  $\sigma$  extends to a representation of P<sup>-</sup> defined by  $\sigma(ul) = \sigma(l)$   $(l \in L, u \in U^-)$ .

DEFINITION 3.2. Let  $\operatorname{Ind}_{P^-}^G \sigma$  be the space of V-valued locally analytic functions  $\phi$  on G satisfying

$$\phi(p^-g) = \sigma(p^-)\phi(g), \quad for \ all \ g \in \mathbf{G}, \ p^- \in \mathbf{P}^-.$$

*On*  $\operatorname{Ind}_{P}^{G} \sigma$  *we have a* G*-action defined by right translation.* 

Since the quotient space  $P^{-}$  G is compact, we obtain from [2] 4.1.5 the following proposition.

**PROPOSITION 3.3.** Ind<sup>G</sup><sub>P-</sub> $\sigma$  is a locally analytic representation of G.

Next, we introduce the principal series representation, serving as another description of  $Ind_{P}^{G}\sigma$ .

Let  $\mathfrak{H}$  and  $\overline{\mathfrak{H}}$  denote the G-homogeneous spaces U<sup>-</sup>\G and P<sup>-</sup>\G respectively, and denote  $\hat{g} := \operatorname{pr}_{\mathfrak{H}}^{G}(g)$ . Because P<sup>-</sup>  $\cong$  U<sup>-</sup>  $\rtimes$  L, there is a left L-action on  $\mathfrak{H}$ , and  $\overline{\mathfrak{H}} = L \setminus \mathfrak{H}$ .

DEFINITION 3.4. Let  $C^{an}_{\sigma}(\mathfrak{H}, V)$  be the space of V-valued locally analytic functions  $\varphi$  on  $\mathfrak{H}$  satisfying

$$\varphi(l\hat{g}) = \sigma(l)\varphi(\hat{g}), \quad for all \ \hat{g} \in \mathfrak{H} and \ l \in \mathcal{L}.$$

The principal series representation  $(C^{an}_{\sigma}(\mathfrak{H}, V), T_{\sigma})$  of G is defined via

$$(T_{\sigma}(g)\varphi)(\widehat{g'}) := \varphi(\widehat{g'} \cdot g).$$

Lемма 3.5.

- (1) The representation  $\operatorname{Ind}_{P^{-}}^{G} \sigma$  is (naturally) isomorphic to  $(C_{\sigma}^{\operatorname{an}}(\mathfrak{H}, V), T_{\sigma})$ .
- (2) Ind<sup>G</sup><sub>P-</sub> $\sigma$  is isomorphic to  $C^{an}(\overline{\mathfrak{H}}, V)$ .
- (3) Let  $\iota$  be a locally analytic section of  $\operatorname{pr}_{\overline{S}}^{\mathfrak{H}}$  then  $\iota$  induces an isomorphism

$$\begin{split} \iota^{\circ} : C^{\mathrm{an}}_{\sigma}(\mathfrak{H}, V) &\to \quad C^{\mathrm{an}}(\overline{\mathfrak{H}}, V) \\ \varphi &\mapsto \quad \varphi \circ \iota. \end{split}$$

PROOF. (1) From a locally analytic section  $\bar{\iota}$  of  $\operatorname{pr}_{\mathfrak{H}}^{G}$  we obtain an isomorphism ([2] 4.3.1)

$$\bar{\iota}^{\circ} : \operatorname{Ind}_{\operatorname{II-}}^{\operatorname{G}} \mathbf{1} \simeq C^{\operatorname{an}}(\mathfrak{H}, V), \quad \phi \mapsto \phi \circ \bar{\iota}.$$

By restriction to the subspaces,  $\bar{\iota}^{\circ}$  induces an isomorphism, independent of  $\bar{\iota}$ , between  $\operatorname{Ind}_{P}^{G}\sigma$  and  $C_{\sigma}^{\operatorname{an}}(\mathfrak{H}, V)$ . G-equivariance is evident.

(2) A locally analytic section  $\tilde{\iota}$  of  $\operatorname{pr}_{\overline{\mathfrak{H}}}^{G}$  induces an isomorphism  $\tilde{\iota}^{\circ}$  from  $\operatorname{Ind}_{P^{-}}^{G}\sigma$  onto  $C^{\operatorname{an}}(\overline{\mathfrak{H}}, V)$  (ibid.).

(3) Choose  $\bar{\iota}$  and  $\tilde{\iota}$  compatible with  $\iota$ , that is,  $\tilde{\iota} = \bar{\iota} \circ \iota$ , then the assertion follows from (1) and (2). Q.E.D.

Compactness of  $\overline{\mathfrak{H}}$  implies that  $C^{\mathrm{an}}(\overline{\mathfrak{H}}, V)$  is of compact type ([11] Lemma 2.1). By [11] Proposition 1.2, Theorem 1.3 and [9] Proposition 16.10, we have the following corollary.

COROLLARY 3.6. Suppose that K is spherically complete. Let B be a closed subspace of  $C^{an}_{\sigma}(\mathfrak{H}, V)$ , then both B and  $C^{an}_{\sigma}(\mathfrak{H}, V)/B$  are of compact type. In particular, they are reflexive, bornological, and complete. Moreover, their strong duals  $B^*_b$  and  $(C^{an}_{\sigma}(\mathfrak{H}, V)/B)^*_b$  are nuclear Fréchet spaces.

# 4. Rigid analytic symmetric space $\Omega$

The rigid analytic symmetric space  $\Omega$  associated to G (with respect to a parabolic P<sup>+</sup>) was constructed by van der Put and Voskuil in [14]. Some examples are the *p*-adic upper half-plane, Drinfel'd's space and the *p*-adic Siegel upper half-space, which are associated to SL(2, *F*), SL(*n* + 1, *F*) and Sp(2*n*, *F*) respectively (cf. [4], [10] and [7]).

**4.1. Definition of the symmetric space**  $\Omega$ **.** Let **G**, **P**<sup>±</sup>, **U**<sup>±</sup>, **L** and **C** denote the *F*-rigid analytifications of G, P<sup>±</sup>, U<sup>±</sup>, L and C respectively. *f* defines a rigid analytic function on **G**.

Since f is left invariant under U<sup>-</sup> (Lemma 2.1 (5)), we may define

$$f(\hat{g}, \mathbf{u}) := f(g \cdot \mathbf{u})$$

for  $\hat{g} \in \mathfrak{H}$  and  $\mathbf{u} \in \mathbf{U}^-$ .

DEFINITION 4.1. Let

$$\mathbf{\Omega} := \{ \mathbf{u} \in \mathbf{U}^- : g \cdot \mathbf{u} \in \mathbf{C}, \text{ for all } g \in \mathbf{G} \}$$

$$= \{ \mathbf{u} \in \mathbf{U}^- : f(\hat{g}, \mathbf{u}) \neq 0, \text{ for all } \hat{g} \in \mathfrak{H} \}.$$

We call  $\Omega$  the symmetric space associated to G with respect to P<sup>+</sup>.

EXAMPLE 4.2. In the situation of Example 2.3,  $\mathbf{U}^- \cong \mathbf{A}^n_{/F}$  and  $(z_1, ..., z_n) \in \mathbf{\Omega}$  is given by the inequalities

$$c_1 z_1 + ... + c_n z_n + d \neq 0$$
 for all nonzero  $(c_1, ..., c_n, d) \in F^{n+1}$ .

Therefore  $\Omega$  is Drinfel'd's space.

EXAMPLE 4.3. In the situation of Example 2.5,  $\mathbf{U}^- \cong \mathbf{Sym}(n)$  and  $Z \in \mathbf{\Omega}$  is given by the inequalities

$$det(CZ + D) \neq 0 \quad for all C^{t}D = D^{t}C, rank(C D) = n.$$

Therefore  $\Omega$  is the p-adic Siegel upper half-space.

We may also interpret  $\Omega$  to be the complement of all the G-translations of  $(\mathbf{G}-\mathbf{C})/\mathbf{P}^+ = \mathbf{G}/\mathbf{P}^+ - \mathbf{U}^-$  in  $\mathbf{G}/\mathbf{P}^+$ . Therefore we have a left G-action on  $\Omega$  (induced from the left G-action on  $\mathbf{G}/\mathbf{P}^+$ ). We denote  $g * \mathbf{u}$  the action of  $g \in \mathbf{G}$  on  $\mathbf{u} \in \Omega$ . We have  $g * \mathbf{u} = \operatorname{pr}_{\mathbf{U}^-}^{\mathbf{C}}(g \cdot \mathbf{u})$ .

4.2. Automorphy factor. We define the automorphy factor

$$\begin{split} j &: \mathbf{G} \times \mathbf{\Omega} \quad \to \quad \mathbf{P}^+ \\ (g, \mathbf{u}) \quad \mapsto \quad (g \ast \mathbf{u})^{-1} \cdot g \cdot \mathbf{u}. \end{split}$$

Then  $j(g, \mathbf{u}) = \operatorname{pr}_{\mathbf{P}^+}^{\mathbf{C}}(g \cdot \mathbf{u})$ , and straightforward computations show

(4.1) 
$$j(g_1g_2, \mathbf{u}) = j(g_1, g_2 * \mathbf{u})j(g_2, \mathbf{u})$$

For any  $u \in U^-$ ,  $j(u, \mathbf{u}) = 1_G$ , and hence (4.1) implies  $j(u \cdot g, \mathbf{u}) = j(g, \mathbf{u})$ , so we may define  $j(\hat{g}, \mathbf{u}) := j(g, \mathbf{u})$ .

For  $l \in L$ , since  $l * \mathbf{u} = l \cdot \mathbf{u} \cdot l^{-1}$ , we have  $j(l, \mathbf{u}) = l$ , and by (4.1)

(4.2) 
$$j(l \cdot \hat{g}, \mathbf{u}) = l \cdot j(\hat{g}, \mathbf{u})$$

Since f is left invariant under  $U^-$  (Lemma 2.1(5)), it follows that

(4.3) 
$$f(j(\hat{g}, \mathbf{u})) = f(\hat{g}, \mathbf{u}).$$

From Lemma 2.1 (1), (4.1) and (4.3), we see that

(4.4) 
$$f(\widehat{g_1g_2}, \mathbf{u}) = f(\widehat{g_1}, g_2 * \mathbf{u})f(\widehat{g_2}, \mathbf{u}).$$

Moreover, Lemma 2.1 (1), (4.2) and (4.3) imply

(4.5) 
$$f(l \cdot \hat{g}, \mathbf{u}) = f(l)f(\hat{g}, \mathbf{u})$$

**4.3.** The *F*-rigid analytic structure on  $\Omega$ . van der Put and Voskuil defined an affinoid covering of  $\Omega$  using Bruhat-Tits Buildings ([14]). In this paper we choose another approach following the construction of affinoid covering of Drinfel'd's space in [10] and that of *p*-adic Siegel upper half-space in [7]. We endow  $\Omega$  with a structure of *F*-rigid analytic variety and show that it is an admissible open subset of U<sup>-</sup> and, in particular, an open rigid analytic subspace of U<sup>-</sup> (and therefore G/P<sup>+</sup>).

We realize G as a Zariski closed subgroup of GL(n, F) such that T consists of diagonal matrices and B<sup>+</sup> consists of lower triangular matrices. Then f(g) extends to an *F*-regular function on GL(n, F) with respect to the coordinates  $g_{i,j}$   $(1 \le i, j \le n)$ , and  $det(g)^r f(g)$  is a homogeneous *F*-polynomial in  $g_{i,j}$ . We denote *N* the degree of  $det(g)^r f(g)$  and let *M* be an integer such that all the coefficients have absolute values bounded by  $|\varpi|^{NM}$ .

LEMMA 4.4.  $\Omega$  is nonempty.

PROOF. It suffices to prove that G-translations of  $\mathbf{G} - \mathbf{C}$  do not cover  $\mathbf{G}$ . For  $g \in \mathbf{G}$ ,  $g \cdot (\mathbf{G} - \mathbf{C})$  is the locus of  $f(g^{-1} \cdot \mathbf{g}) = 0$ . With the embedding of  $\mathbf{G}$  into  $\mathbf{GL}(n, F)$  we view  $f(g^{-1} \cdot \mathbf{g})$  as a rational function in  $\mathbf{g}_{ij}$  with  $F[\mathbf{G}]$ -coefficients, and, for a given  $g \in \mathbf{G}$ ,  $f(g^{-1} \cdot \mathbf{g})$  is a nonzero *F*-rational function in  $\mathbf{g}_{ij}$ . It is not hard to see that there are choices of  $\mathbf{g}_{ij} \in F^{\text{alg}}$  with appropriate absolute values so that the non-vanishing monomials in  $f(g^{-1} \cdot \mathbf{g})$  are of distinct absolute values in  $|(F^{\text{alg}})^{\times}| = |\varpi|^{\mathbb{Q}}$  modulo  $|F^{\times}| = |\varpi|^{\mathbb{Z}}$ . Therefore there exists  $\mathbf{g} \in \mathbf{G}$  such that  $f(g^{-1} \cdot \mathbf{g})$  is nonzero for all  $g \in \mathbf{G}$ , and consequently  $\mathbf{g}$  lies in the complement of all the  $g \cdot (\mathbf{G} - \mathbf{C})$ . Q.E.D.

Let  $G_{\mathfrak{o}}$  and  $L_{\mathfrak{o}}$  denote the intersections of G and L with  $GL(n, \mathfrak{o})$  respectively, and denote  $\mathfrak{H}_{\mathfrak{o}} = \operatorname{pr}_{\mathfrak{h}}^{G}(G_{\mathfrak{o}})$ .

We recall Iwasawa's decomposition

$$G = B^{-}G_{\mathfrak{o}}.$$

Then  $G = P^- \cdot G_0$  and  $\mathfrak{H} = L \cdot \mathfrak{H}_0$ , so (4.5) and Lemma 2.1 imply

$$\mathbf{\Omega} = \{ \mathbf{u} \in \mathbf{U}^- : f(\hat{g}, \mathbf{u}) \neq 0, \text{ for any } \hat{g} \in \mathfrak{H}_{\mathfrak{o}} \}.$$

For  $\mathbf{u} \in \mathbf{U}^-$  an upper triangular matrix with diagonal entries 1, let

$$|\mathbf{u}| := \max_{1 \leq i \leq j \leq n} |\mathbf{u}_{ij}| = \max_{1 \leq i < j \leq n} \left\{ 1, |\mathbf{u}_{ij}| \right\}.$$

For any nonnegative integer *m* and  $\hat{g} \in \mathfrak{H}_{\mathfrak{o}}$ , we define

$$\mathbf{B}(m;\hat{g}) := \left\{ \mathbf{u} \in \mathbf{U}^{-} : |f(\hat{g},\mathbf{u})| < |\mathbf{u}|^{N} |\varpi|^{N(M+m)} \right\}.$$

LEMMA 4.5. If *m* is a nonnegative integer and  $g_1, g_2 \in G_0$  such that  $g_1 \equiv l \cdot g_2 \mod \varpi^{Nm+1}$  for some  $l \in L_0$ , then

$$\mathbf{B}(m;\widehat{g_1}) = \mathbf{B}(m;\widehat{g_2}).$$

PROOF. Since  $f|_{L_0}$  is a continuous *F*-character (Lemma 2.1 (1)) and  $L_0$  is compact, the image of  $L_0$  under *f* is contained in  $\mathfrak{o}^{\times}$ . Therefore (4.5) implies  $|\widehat{f(lg_2, \mathbf{u})}| = |\widehat{f(g_2, \mathbf{u})}|$ , and hence  $\mathbf{B}(m; \widehat{g_2}) = \mathbf{B}(m; \widehat{lg_2})$ . So we may assume  $g_1 \equiv g_2 \mod \varpi^{Nm+1}$ .

We choose  $\lambda \in (F^{\text{alg}})^{\times}$  such that  $|\lambda| = |\mathbf{u}|$ . Since  $|\lambda^{-1}\mathbf{u}_{ij}| \leq 1$ ,

$$g_1 \cdot \lambda^{-1} \mathbf{u} \equiv g_2 \cdot \lambda^{-1} \mathbf{u} \mod \varpi^{Nm+1}$$

and the matrices on both sides have entries with absolute values  $\leq 1$ . Applying det<sup>*r*</sup>  $\cdot f$ , we obtain

$$\lambda^{-N} \det(g_1)^r f(g_1 \cdot \mathbf{u}) \equiv \lambda^{-N} \det(g_2)^r f(g_2 \cdot \mathbf{u}) \mod \varpi^{NM + Nm + 1},$$

and consequently

$$|\mathbf{u}|^{-N} \left| f(\widehat{g_1}, \mathbf{u}) \right| < |\varpi|^{N(M+m)} \Leftrightarrow |\mathbf{u}|^{-N} \left| f(\widehat{g_2}, \mathbf{u}) \right| < |\varpi|^{N(M+m)}.$$

Q.E.D.

Therefore  $\mathbf{B}(m; \widehat{g_1}) = \mathbf{B}(m; \widehat{g_2})$ .

Let

$$\begin{split} \mathbf{\Omega}(m;\hat{g}) &:= \mathbf{U}^{-} - \mathbf{B}(m;\hat{g}) \\ &= \left\{ \mathbf{u} \in \mathbf{U}^{-} : |f(\hat{g},\mathbf{u})| \ge |\mathbf{u}_{ij}|^{N} |\varpi|^{N(M+m)}, 1 \le i \le j \le n \right\}, \\ \mathbf{\Omega}(m) &:= \bigcap_{\hat{g} \in \mathfrak{H}_{o}} \mathbf{\Omega}(m;\hat{g}). \end{split}$$

For a given  $\mathbf{u} \in \Omega$ ,  $|f(\hat{g}, \mathbf{u})|$  has a positive lower bound on  $\mathfrak{H}_{\mathfrak{o}}$ . Therefore

$$\mathbf{\Omega} = \bigcup_{m=0}^{\infty} \mathbf{\Omega}(m).$$

Let  $\mathfrak{H}^{(m)}$  be any finite subset of  $\mathfrak{H}_{\mathfrak{d}}$  including a set of representatives in  $\mathfrak{H}_{\mathfrak{d}}$  for  $\operatorname{pr}_{\mathfrak{H}}^{G}(L_{\mathfrak{d}}\backslash G_{\mathfrak{d}}/G_{\mathfrak{d}}(Nm+1))$ , where  $G_{\mathfrak{d}}(Nm+1)$  denotes the congruence subgroup  $(I_n + \varpi^{Nm+1}M(n, \mathfrak{d})) \cap G$ . Then Lemma 4.5 implies that

$$\mathbf{\Omega}(m) = \bigcap_{\hat{g} \in \mathfrak{H}^{(m)}} \mathbf{\Omega}(m; \hat{g}).$$

Moreover, we may assume that  $\mathfrak{H}^{(m)}$  contains  $\hat{I}_n$ .

$$\mathbf{\Omega}(m; \hat{I}_n) = \left\{ \mathbf{u} \in \mathbf{U}^- : \left| \boldsymbol{\varpi}^{M+m} \mathbf{u}_{ij} \right| \leq 1 \right\}$$

is an admissible open affinoid subset of U<sup>-</sup>.  $\Omega(m)$  is the intersection of finitely many rational sub-domains of  $\Omega(m; \hat{I}_n)$ :

$$\left\{ \mathbf{u} \in \mathbf{\Omega}(m; \hat{I}_n) : \left| \frac{\boldsymbol{\varpi}^{N(M+m)} \mathbf{u}_{ij}^N}{f(\hat{g}, \mathbf{u})} \right| \leq 1, 1 \leq i \leq j \leq n \right\},\$$

with  $\hat{g}$  ranging on  $\mathfrak{H}^{(m)} - \{\hat{I}_n\}$ . Therefore  $\Omega(m)$  is an affinoid variety.

We conclude that  $\{\Omega(m)\}_{m=0}^{\infty}$  constitutes an admissible affinoid covering of  $\Omega$  so that  $\Omega$  admits a rigid analytic variety structure (see [1] 9.3). According to [1] 9.1.2 Lemma 3 (compare [1] 9.1.4 Proposition 2), the following proposition implies that  $\Omega$  is an admissible open subset of U<sup>-</sup>.

PROPOSITION 4.6. Any morphism from an affinoid variety to  $U^-$  with image in  $\Omega$  factors through some  $\Omega(m)$ .

PROOF. The argument is similar to the third proof of [10] §1 Proposition 1.

Let **X** be an affinoid variety,  $\Phi : \mathbf{X} \to \mathbf{U}^-$  a morphism from **X** to  $\mathbf{U}^-$  with image in  $\Omega$ . For any  $\hat{g} \in \mathfrak{H}_0$ ,

$$\mathbf{x} \mapsto \frac{\Phi(\mathbf{x})_{ij}^N}{f(\hat{g}, \Phi(\mathbf{x}))}, \quad 1 \le i \le j \le n,$$

are *F*-rigid analytic functions on **X**. By the maximum modulus principle ([1] §6.2 Proposition 4 (i)), there exists a positive integer  $m_{\hat{g}}$  such that

$$\max_{1 \leq i \leq j \leq n} \max_{\mathbf{x} \in \mathbf{X}} \left| \frac{\Phi(\mathbf{x})_{ij}^N}{f(\hat{g}, \Phi(\mathbf{x}))} \right| \leq |\varpi|^{-N(M+m_{\hat{g}})}.$$

In other words,  $\Phi(\mathbf{X}) \subset \Omega(m_{\hat{g}}; \hat{g})$ . In view of Lemma 4.5,  $m_{\hat{g}}$  can be chosen locally constant. Therefore the compactness of  $\mathfrak{H}_0$  implies that there exists a positive integer *m* such that  $\Phi(\mathbf{X}) \subset \Omega(m)$ . Q.E.D.

Finally, we prove that the morphisms of *g*-translations from  $\Omega(m)$  into  $\Omega$  indeed factor through the same  $\Omega(m')$  for all  $g \in G_0$ .

LEMMA 4.7. For any nonnegative integer m, there exists a nonnegative integer m' such that for all  $g \in G_0$ ,

$$g * \mathbf{\Omega}(m) \subset \mathbf{\Omega}(m').$$

PROOF. Let  $\mathbf{u} \in \mathbf{\Omega}(m)$ . Then

$$(4.6) 1 \le |\mathbf{u}| \le |\boldsymbol{\varpi}|^{-M-m}$$

and

(4.7) 
$$\frac{|\mathbf{u}|^N}{|f(\hat{g},\mathbf{u})|} \leq |\varpi|^{-N(M+m)} \quad \text{for any } g \in \mathcal{G}_0.$$

 $g * \mathbf{u} = \operatorname{pr}_{\mathbf{U}^{-}}^{\mathbf{C}}(g \cdot \mathbf{u})$ , and since  $\operatorname{pr}_{\mathbf{U}^{-}}^{\mathbf{C}}$  is *F*-regular on **C**, Lemma 2.1 (4) implies that there exist positive integers *s* and *t* such that all the entries of

$$\det(g \cdot \mathbf{u})^t f(g \cdot \mathbf{u})^s \cdot g * \mathbf{u} = \det(g)^t f(g \cdot \mathbf{u})^s \cdot g * \mathbf{u}$$

are *F*-polynomials with variables the entries of  $g \cdot \mathbf{u}$ . Let *D* be the highest degree and *L* an integer such that the absolute values of all the coefficients are bounded by  $|\varpi|^L$ . Since  $g \in G_0$ , the entries of  $g \cdot \mathbf{u}$  have absolute values  $\leq |\mathbf{u}|$  and  $|\det(g)| = 1$ , then

(4.8) 
$$|g * \mathbf{u}| \leq \frac{|\varpi|^{L} |\mathbf{u}|^{D}}{|f(\hat{g}, \mathbf{u})|^{s}}$$

It follows from (4.4), (4.8), (4.6) and (4.7) that for any  $g_1 \in G_{\mathfrak{o}}$ ,

$$\begin{aligned} \frac{|g * \mathbf{u}|^{N}}{|f(\widehat{g_{1}}, g * \mathbf{u})|} &\leq \frac{|\varpi|^{NL} |\mathbf{u}|^{ND}}{|f(\widehat{g_{1}g}, \mathbf{u})||f(\widehat{g}, \mathbf{u})|^{Ns-1}} \\ &= |\varpi|^{NL} |\mathbf{u}|^{ND-N^{2}s} \frac{|\mathbf{u}|^{N}}{|f(\widehat{g_{1}g}, \mathbf{u})|} \frac{|\mathbf{u}|^{N^{2}s-N}}{|f(\widehat{g}, \mathbf{u})|^{Ns-1}}, \\ &\leq |\varpi|^{N(L-\max\{D,Ns\}(M+m))}. \end{aligned}$$

Therefore  $g * \Omega(m) \subset \Omega(m')$  for any  $m' \ge -M - L + \max\{D, Ns\}(M + m)$ . Q.E.D.

**4.4. Rigid analytic functions on**  $\Omega$ **.** Let  $\mathcal{O}(\Omega(m))$  denote the space of *F*-rigid analytic functions on  $\Omega(m)$ . Then  $\mathcal{O}(\Omega(m))$  is an *F*-affinoid algebra with the supremum norm.

Let  $\mathscr{O}(\Omega)$  be the *F*-algebra of *F*-rigid analytic functions on  $\Omega$ , that is, the projective limit of  $\mathscr{O}(\Omega(m))$ ,

$$\mathscr{O}(\mathbf{\Omega}) := \underset{m}{\underset{m}{\longleftarrow}} \mathscr{O}(\mathbf{\Omega}(m)).$$

 $\mathscr{O}(\Omega)$  is endowed with the projective limit topology.

From the construction of  $\Omega(m)$  we see that the *F*-affinoid algebra  $\mathscr{O}(\Omega(m))$  is equal to

(4.9) 
$$F\left\langle \boldsymbol{\varpi}^{M+m}\mathbf{u}_{ij}, \frac{\boldsymbol{\varpi}^{N(M+m)}\mathbf{u}_{ij}^{N}}{f(\hat{g},\mathbf{u})} : 1 \leq i \leq j \leq n, \ \hat{g} \in \mathfrak{H}^{(m)} - \{\hat{I}_n\}\right\rangle.$$

Therefore  $\psi \in \mathcal{O}(\Omega(m))$  has an expansion in the following form that converges with respect to the supremum norm  $\| \|_{\mathcal{O}(\Omega(m))}$ :

(4.10) 
$$\psi(\mathbf{u}) = \sum_{(\ell_{\hat{g}}) \in (\mathbb{N}_0)^{\mathfrak{H}^{(m)}}} P_{(\ell_{\hat{g}})}(\mathbf{u}) \prod_{\hat{g} \in \mathfrak{H}^{(m)}} f(\hat{g}, \mathbf{u})^{-\ell_{\hat{g}}},$$

where  $P_{(\ell_{\hat{v}})}(\mathbf{u})$  are polynomials in the coordinates of  $\mathbf{u}$  with coefficients in F.

In view of (4.5), the assumption that  $\mathfrak{H}^{(m)}$  is contained in  $\mathfrak{H}_{\mathfrak{d}}$  is quite artificial, and it is more convenient and natural to choose  $\mathfrak{H}^{(m)}$  to be an arbitrary finite subset of  $\mathfrak{H}$  whenever we consider the expansion of  $\psi \in \mathscr{O}(\mathbf{\Omega}(m))$ .

 $\psi \in \mathscr{O}(\Omega)$  may be considered as an  $F^{\text{alg}}$ -valued function on  $\Omega$  such that, restricting on each  $\Omega(m)$ ,  $\psi$  has an expansion (4.10) that converges with respect to  $\| \|_{\mathscr{O}(\Omega(m))}$ . In particular,  $f(\hat{g}, \mathbf{u})^{-1} \in \mathscr{O}(\Omega)$  for any  $\hat{g} \in \mathfrak{H}$ .

Since all the generators of  $\mathcal{O}(\Omega(m))$  in (4.9) are *F*-rigid analytic functions on  $\Omega(m')$  for any  $m' \ge m$  and therefore on  $\Omega$ , we obtain the following proposition.

**PROPOSITION 4.8.** 

(1)  $\Omega$  is a Stein space, that is, the image of  $\mathcal{O}(\Omega(m+1))$  under the transition homomorphism in  $\mathcal{O}(\Omega(m))$  is dense for any nonnegative integer *m*.

(2) The image of  $\mathcal{O}(\Omega)$  under the transition homomorphism in  $\mathcal{O}(\Omega(m))$  is dense.

Let  $\mathcal{O}_K(\Omega(m))$  and  $\mathcal{O}_K(\Omega)$  denote  $\mathcal{O}(\Omega(m))\hat{\otimes}_F K$  and  $\mathcal{O}(\Omega)\hat{\otimes}_F K$  respectively. If we let  $\Omega_K(m)$  and  $\Omega_K$  denote the extensions of the ground field K/F of  $\Omega(m)$  and  $\Omega$  respectively (see [1] §9.3.6), then  $\mathcal{O}_K(\Omega(m))$  and  $\mathcal{O}_K(\Omega)$  are the spaces of *K*-rigid analytic functions on  $\Omega_K(m)$  and  $\Omega_K$  respectively.

**PROPOSITION 4.9.** Let K be spherically complete.  $\mathcal{O}_K(\Omega)$  is a nuclear K-Fréchet space.

**PROOF.** By [9] Proposition 19.9, it suffices to prove that all the  $\mathcal{O}_K(\Omega(m))$  constitute a compact projective system.

Consider the  $\mathcal{O}_K(\Omega(m-1))$ -norms of the generators of  $\mathcal{O}_K(\Omega(m))$  (see 4.9), then

$$\sup_{\mathbf{u}\in\Omega(m-1)}\max_{\hat{g}\in\mathfrak{H}^{(m)}-\{\hat{I}_n\}}\max_{1\leqslant i\leqslant j\leqslant n}\left\{\left|\varpi^{M+m}\mathbf{u}_{ij}\right|, \left|\frac{\varpi^{N(M+m)}\mathbf{u}_{ij}}{f(\hat{g},\mathbf{u})}\right|\right\}\leqslant |\varpi|.$$

[12] Lemma 1.5 implies that the transition homomorphism from  $\mathcal{O}_K(\Omega(m))$  to  $\mathcal{O}_K(\Omega(m-1))$  is compact. Q.E.D.

[11] Theorem 1.3 and Proposition 1.2 imply the following corollary.

COROLLARY 4.10. Suppose K is spherically complete. Let  $\mathscr{N}$  be a closed subspace of  $\mathscr{O}_K(\Omega)$ , then  $\mathscr{N}$  and  $\mathscr{O}_K(\Omega)/\mathscr{N}$  are nuclear Fréchet spaces, and their strong duals  $\mathscr{N}_b^*$  and  $(\mathscr{O}_K(\Omega)/\mathscr{N})_b^*$  are of compact type.

# **5.** Holomorphic discrete series $(\mathscr{O}_{\sigma}(\Omega), \pi_{\sigma})$

Let  $\Omega$  (resp.  $\Omega(m)$ ) denote  $\Omega_K(K)$  (resp.  $\Omega_K(m)(K)$ ). Restricting to  $\Omega$  (resp.  $\Omega(m)$ ), we view *K*-rigid analytic functions in  $\mathcal{O}_K(\Omega)$  (resp.  $\mathcal{O}_K(\Omega(m))$ ) as *K*-valued functions on  $\Omega$  (resp.  $\Omega(m)$ ), and abbreviate  $\mathcal{O}_K(\Omega(m))$  (resp.  $\mathcal{O}_K(\Omega)$ ) to  $\mathcal{O}(\Omega(m))$  (resp.  $\mathcal{O}(\Omega)$ ).

Let  $(V, \sigma)$  be a *d*-dimensional *K*-rational representation of L.  $\sigma$  extends to a representation of P<sup>+</sup>.

Let  $\mathscr{O}_{\sigma}(\Omega) := \mathscr{O}(\Omega) \bigotimes_{V} V$  and  $\mathscr{O}_{\sigma}(\Omega(m)) := \mathscr{O}(\Omega(m)) \bigotimes_{V} V$ .

For any  $g \in G$  and  $\psi \in \mathcal{O}_{\sigma}(\Omega)$ , let  $\pi_{\sigma}(g)\psi$  be the *V*-valued function on  $\Omega$  as follows

$$(\pi_{\sigma}(g)\psi)(\mathbf{u}) := \sigma(j(g^{-1},\mathbf{u}))^{-1}\psi(g^{-1}*\mathbf{u}).$$

Lemma 5.1.  $\pi_{\sigma}(g)\psi \in \mathscr{O}_{\sigma}(\Omega)$ .

PROOF. Since  $\sigma$  is *K*-rational, each coordinate of  $\sigma(j(g^{-1}, \mathbf{u}))^{-1}$  is a product of a *K*-polynomial in the coordinates of  $j(g^{-1}, \mathbf{u})$  and a power of  $\det(j(g^{-1}, \mathbf{u}))^{-1} = \det(g)$ . Note that  $j(g^{-1}, \mathbf{u}) = \operatorname{pr}_{\mathbf{P}^+}^{\mathbf{C}}(g^{-1} \cdot \mathbf{u})$ , and since  $\operatorname{pr}_{\mathbf{P}^+}^{\mathbf{C}}$  is *F*-regular on **C**, each coordinate of  $j(g^{-1}, \mathbf{u})$  is a product of an *F*-polynomial in the coordinates of  $g^{-1} \cdot \mathbf{u}$  and powers of  $\det(g^{-1} \cdot \mathbf{u})^{-1} = \det(g)$  and  $f(g^{-1} \cdot \mathbf{u})^{-1}$ . Therefore each coordinate of  $\sigma(j(g^{-1}, \mathbf{u}))^{-1}$  has a finite expansion of the form (4.10), and hence belongs to  $\mathcal{O}(\Omega)$ .

Similarly, the coordinates of  $\psi(g^{-1} * \mathbf{u})$  also have expansions of the form (4.10). By Proposition 4.6, for any *m*,  $g^{-1}$ -translation maps  $\Omega(m)$  into some  $\Omega(m')$ , and hence the norm of each coordinate of  $\psi(g^{-1} * \mathbf{u})$  on  $\Omega(m)$  is bounded by the norm of the corresponding coordinate of  $\psi$  on  $\Omega(m')$ . Therefore  $\psi(g^{-1} * \mathbf{u}) \in \mathcal{O}_{\sigma}(\Omega)$ .

We conclude that  $\pi_{\sigma}(g)\psi \in \mathscr{O}_{\sigma}(\Omega)$ .

It follows from the automorphy relation (4.1) that  $\pi_{\sigma}$  is an action of G on  $\mathcal{O}_{\sigma}(\Omega)$ .

Q.E.D.

DEFINITION 5.2. We call  $(\mathcal{O}_{\sigma}(\Omega), \pi_{\sigma})$  the holomorphic (rigid analytic) discrete series representation of G.

LEMMA 5.3. Let m and m' be as in Lemma 4.7. Then there exists a constant c depending on  $\sigma$  and m such that

$$\|\pi_{\sigma}(g)\psi\|_{\mathscr{O}_{\sigma}(\Omega(m))} \leq c\|\psi\|_{\mathscr{O}_{\sigma}(\Omega(m'))},$$

for all  $g \in G_{\mathfrak{o}}$ .

**PROOF.** The proof is similar to the arguments in Lemma 5.1, but instead of Proposition 4.6 we apply Lemma 4.7.

Using the expressions for the coordinates of  $\sigma(j(g^{-1}, \mathbf{u}))^{-1}$  in the first paragraph of the proof of Lemma 5.1, we see that their  $\mathcal{O}(\Omega(m))$ -norms are uniformly bounded on  $G_{\mathfrak{o}}$ , so there is a constant c > 0 such that

$$\max_{g \in \mathbf{G}_{\mathfrak{g}}} \max_{\mathbf{u} \in \mathbf{\Omega}(m)} \|\sigma(j(g^{-1}, \mathbf{u}))^{-1}\|_{\mathrm{End}(V)} \leq c$$

Consequently,

$$\max_{g \in G_{\mathfrak{o}}} \|\pi_{\sigma}(g)\psi\|_{\mathscr{O}_{\sigma}(\Omega(m))}$$

$$= \max_{g \in G_{\mathfrak{o}}} \max_{\mathbf{u} \in \Omega(m)} \|(\pi_{\sigma}(g)\psi)(\mathbf{u})\|_{V}$$

$$\leq \max_{g \in G_{\mathfrak{o}}} \max_{\mathbf{u} \in \Omega(m)} \|\sigma(j(g^{-1}, \mathbf{u}))^{-1}\|_{\operatorname{End}(V)} \cdot \max_{g \in G_{\mathfrak{o}}} \max_{\mathbf{u} \in \Omega(m)} \|\psi(g^{-1} * \mathbf{u})\|_{V}$$

$$\leq c \max_{\mathbf{u} \in \Omega(m')} \|\psi(\mathbf{u})\|_{V}$$

$$= c \|\psi\|_{\mathscr{O}_{\sigma}(\Omega(m'))}.$$

It follows from Lemma 5.3 that, for each *m*, the map

$$\begin{array}{rcl} {\rm G}_{\mathfrak{o}}\times \mathscr{O}_{\sigma}(\Omega) & \to & \mathscr{O}_{\sigma}(\Omega(m)) \\ & (g,\psi) & \mapsto & (\pi_{\sigma}(g)\psi)|_{\Omega(m)} \end{array}$$

is continuous. Since  $\mathscr{O}_{\sigma}(\Omega)$  is the projective limit of  $\mathscr{O}_{\sigma}(\Omega(m))$ , we obtain the following corollary.

COROLLARY 5.4.  $(\mathcal{O}_{\sigma}(\Omega), \pi_{\sigma})$  is a continuous G-representation.

Moreover, we shall prove that the dual representation of  $\pi_{\sigma}$  is locally analytic. For this, we recall that a coordinate chart at  $1_{G}$  is obtained from the decomposition of the Bruhat big cell (see (2.2))

(5.1) 
$$\mathbf{U}^{-}\mathbf{U}_{\mathrm{L}}^{-}\mathbf{T}\mathbf{U}_{\mathrm{L}}^{+}\mathbf{U}^{+}\simeq \mathbb{A}_{F}^{|R|}\times \mathbb{G}_{m}(F)^{\dim\mathfrak{g}_{0}}.$$

LEMMA 5.5. Let *m* and *m'* be as in Lemma 4.7. Let B be any parameterized (as in (5.1)) open neighborhood of  $1_G$  contained in  $G_0$ . For any  $\psi \in \mathcal{O}_{\sigma}(\Omega(m'))$ , the orbit map

$$\begin{array}{rcl} \mathbf{B} & \to & \mathscr{O}_{\sigma}(\Omega(m)) \\ g & \mapsto & (\pi_{\sigma}(g)\psi)|_{\Omega(m)} \end{array}$$

is an  $\mathscr{O}_{\sigma}(\Omega(m))$ -valued analytic function, namely, it can be expanded as a convergent power series with variables the coordinate parameters of B and coefficients in the Banach space  $\mathscr{O}_{\sigma}(\Omega(m))$ .

PROOF. Once we have obtained a formal expansion of  $\pi_{\sigma}(g)\psi$  into a power series with variables the coordinate parameters of B and coefficients in  $\mathcal{O}_{\sigma}(\Omega(m))$ , Lemma 5.3 would imply that the expansion is indeed convergent. In view of (5.1), it suffices to consider  $\pi_{\sigma}(g)\psi(\mathbf{u})$  for g in U<sup>-</sup>, U<sup>-</sup><sub>L</sub> and T (note that U<sup>+</sup> and U<sup>+</sup><sub>L</sub> are the conjugations of U<sup>-</sup> and U<sup>-</sup><sub>L</sub> by the long Weyl element).

Let  $u \in U^-$ , then

$$\pi_{\sigma}(u)\psi(\mathbf{u}) = \psi(u^{-1} \cdot \mathbf{u}).$$

Q.E.D.

Let  $\mathscr{O}(\Omega(m))[[u]]$  denote the ring of formal power series  $\varphi(u)$  in the coordinates  $u_{\alpha}$  $(\alpha \in R_{I}^{-})$  with coefficients in  $\mathscr{O}(\Omega(m))$ , where  $\varphi(u)$  is expressed as

$$\varphi(u) = \sum_{\underline{r} \in \mathbb{N}_0^{R_I}} a_{\underline{r}} \cdot \underline{u}^{\underline{r}}, \quad a_{\underline{r}} \in \mathcal{O}(\Omega(m)), \ \underline{u}^{\underline{r}} := \prod_{\alpha \in R_I} u_{\alpha}^{r_{\alpha}}.$$

If the constant term  $a_{\underline{0}}$  is a unit in  $\mathscr{O}(\Omega(m))$ , then  $\varphi(u)$  is invertible in  $\mathscr{O}(\Omega(m))[[u]]$ . Note that, for  $\hat{g} \in \mathfrak{H}$ , the constant term in the expansion of  $f(\hat{g}, u^{-1} \cdot \mathbf{u})$  is  $f(\hat{g}, \mathbf{u})$ , and it is invertible in  $\mathscr{O}(\Omega(m))$ , so  $f(\hat{g}, u^{-1} \cdot \mathbf{u})^{-1}$  belongs to  $\mathscr{O}(\Omega(m))[[u]]$ . Therefore, in view of the expansion form (4.10), the coordinates of  $\psi(u^{-1} \cdot \mathbf{u})$  expand into a formal power series in  $u_{\alpha}$  whose coefficients are series in  $\mathscr{O}(\Omega(m))$ , but it follows from Lemma 5.3 that the coefficients are indeed convergent series in  $\mathscr{O}(\Omega(m))$  for  $u \in B$ . So each coordinate of  $\psi(u^{-1} \cdot \mathbf{u})$  belongs to  $\mathscr{O}(\Omega(m))[[u]]$ .

For  $l \in U_L^-$  or T,

$$\pi_{\sigma}(l)\psi(\mathbf{u}) = \sigma(l)^{-1}\psi(l^{-1}\cdot\mathbf{u}\cdot l).$$

The arguments are similar.

Q.E.D.

COROLLARY 5.6. Let  $U_{\mathfrak{o}}^+ = U^+ \cap G_{\mathfrak{o}}$ , then the power series expansion of

$$f(j(u^+, \mathbf{u}))^{-1} = f(u^+ \cdot \mathbf{u})^{-1}, \quad u^+ \in \mathbf{U}_{\mathfrak{o}}^+,$$

on  $\mathrm{U}^+_{\mathfrak{o}}$  converges in  $\mathscr{O}(\Omega(m))$ .

PROOF. Since *f* is an *F*-rational character on P<sup>+</sup> (Lemma 2.1 (1)), if we put  $\sigma = f$  and  $\psi \equiv 1$ , then  $(\pi_f(g^{-1})1)(\mathbf{u}) = f(j(g, \mathbf{u}))^{-1}$ . Therefore our assertion follows from Lemma 5.5. Q.E.D.

Now consider the dual representation  $\pi^*_{\sigma}$  of G on  $\mathscr{O}_{\sigma}(\Omega)^*_b \cong \varinjlim_{m} \mathscr{O}(\Omega(m))^*_b$ . The transition homomorphisms  $\mathscr{O}_{\sigma}(\Omega(m))^*_b \to \mathscr{O}_{\sigma}(\Omega)^*_b$  are injective (see Proposition 4.8 (2)). Lemma 4.7 implies that, for any  $g \in G_v, \pi^*_{\sigma}(g)$  maps  $\mathscr{O}(\Omega(m))^*_b$  into  $\mathscr{O}(\Omega(m'))^*_b$  via

$$\left\langle \psi, \ \pi^*_{\sigma}(g) \mu \right\rangle = \left\langle (\pi_{\sigma}(g^{-1})\psi)|_{\Omega(m)}, \ \mu \right\rangle, \quad \mu \in \mathscr{O}_{\sigma}(\Omega(m))^*, \psi \in \mathscr{O}_{\sigma}(\Omega(m')).$$

We deduce from Lemma 5.5 that, for any  $\mu \in \mathscr{O}_{\sigma}(\Omega(m))^*$ , the orbit map

$$B^{-1} \rightarrow \mathscr{O}_{\sigma}(\Omega(m'))^*_b$$
$$g \mapsto \pi^*_{\sigma}(g)\mu$$

is an  $\mathscr{O}_{\sigma}(\Omega(m'))_{b}^{*}$ -valued analytic function. Therefore we obtain the following corollary.

COROLLARY 5.7.  $(\mathscr{O}_{\sigma}(\Omega)^*_{h}, \pi^*_{\sigma})$  is locally analytic.

# 6. Duality

In the following, we assume that *K* is spherically complete. Let  $(V, \sigma)$  be a *d*-dimensional *K*-rational representation of L. We choose a basis  $v_1, \dots, v_d$  of *V* and denote by  $v_1^*, \dots, v_d^*$  the corresponding dual basis of the dual space  $V^*$ .  $(V^*, \sigma^*)$  denotes the dual representation of  $(V, \sigma)$ .

**6.1. The duality operator**  $I_{\sigma}$ . For  $\mathbf{u} \in \Omega$  and  $v^* \in V^*$ , let  $\varphi_{\mathbf{u},v^*}$  be the  $V^*$ -valued locally analytic function on  $\mathfrak{H}$ :

$$\varphi_{\mathbf{u},v^*}(\hat{g}) := \sigma^*(j(\hat{g},\mathbf{u}))v^*.$$

In view of (4.2),  $\varphi_{\mathbf{u},v^*}$  belongs to  $C^{\mathrm{an}}_{\sigma^*}(\mathfrak{H}, V^*)$ . Let  $B^0_{\sigma^*}(\mathfrak{H}, V^*)$  be the subspace of  $C^{\mathrm{an}}_{\sigma^*}(\mathfrak{H}, V^*)$  spanned by  $\varphi_{\mathbf{u},v^*}$ ,  $B_{\sigma^*}(\mathfrak{H}, V^*)$  the closure of  $B^0_{\sigma^*}(\mathfrak{H}, V^*)$ . From (4.1), we see that  $B^0_{\sigma^*}(\mathfrak{H}, V^*)$  and therefore  $B_{\sigma^*}(\mathfrak{H}, V^*)$  are G-invariant.

For any continuous linear functional  $\xi \in B_{\sigma^*}(\mathfrak{H}, V^*)^*$ , we define a *V*-valued function on  $\Omega$ :

$$I_{\sigma}(\xi)(\mathbf{u}) := \sum_{k=1}^{d} \langle \varphi_{\mathbf{u}, v_k^*}, \xi \rangle v_k, \quad \mathbf{u} \in \Omega.$$

 $I_{\sigma}(\xi)$  is independent of the choice of the basis  $\{v_k\}_{k=1}^d$ . Evidently,  $I_{\sigma}$  is injective.

LEMMA 6.1.  $I_{\sigma}$  is G-equivariant, that is,

$$I_{\sigma}(T^*_{\sigma^*}(g)\xi) = \pi_{\sigma}(g)I_{\sigma}(\xi),$$

for any  $g \in G$ .

Proof.

$$\begin{split} I_{\sigma}(T_{\sigma^*}^*(g)\xi)(\mathbf{u}) &= \sum_{k=1}^d \langle \varphi_{\mathbf{u},v_k^*}, T_{\sigma^*}^*(g)\xi \rangle v_k = \sum_{k=1}^d \langle T_{\sigma^*}(g^{-1})\varphi_{\mathbf{u},v_k^*}, \xi \rangle v_k \\ &= \sum_{k=1}^d \langle \sigma^*(j(?\cdot g^{-1},\mathbf{u}))v_k^*, \xi \rangle v_k \\ &= \sigma(j(g^{-1},\mathbf{u}))^{-1} \left( \sum_{k=1}^d \langle \sigma^*(j(?,g^{-1}*\mathbf{u}))v_{k;g}^*, \xi \rangle v_{k;g} \right) \quad (\text{see } (4.1)) \\ &= (\pi_{\sigma}(g)I_{\sigma}(\xi))(\mathbf{u}), \end{split}$$

where  $v_{k;g} = \sigma(j(g^{-1}, \mathbf{u}))v_k$ , and similarly  $v_{k;g}^* = \sigma^*(j(g^{-1}, \mathbf{u}))v_k^*$ .  $\{v_{k;g}\}_{k=1}^d$  and  $\{v_{k;g}^*\}_{k=1}^d$  are dual to each other. Q.E.D.

**PROPOSITION 6.2.** 

(1) For any continuous linear functional  $\xi \in B_{\sigma^*}(\mathfrak{H}, V^*)^*$ ,  $I_{\sigma}(\xi)$  is a V-valued rigid analytic function on  $\Omega$ .

(2)  $I_{\sigma}$  is a continuous homomorphism of G-representations from  $(B_{\sigma^*}(\mathfrak{H}, V^*)^*_b, T^*_{\sigma^*})$  to  $(\mathscr{O}_{\sigma}(\Omega), \pi_{\sigma}).$ 

PROOF. Step 1. We denote by *i* the inclusion:  $B_{\sigma^*}(\mathfrak{H}, V^*) \hookrightarrow C^{\mathrm{an}}_{\sigma^*}(\mathfrak{H}, V^*)$ , *i*<sup>\*</sup> its adjoint operator. Because of our assumption that *K* is spherically complete, the Hahn-Banach Theorem ([9] Corollary 9.4) implies that *i*<sup>\*</sup> is surjective. Since  $C^{\mathrm{an}}_{\sigma^*}(\mathfrak{H}, V^*)^*_b$  and  $B_{\sigma^*}(\mathfrak{H}, V^*)^*_b$  are both Fréchet spaces (Corollary 3.6), the open mapping theorem ([9] Proposition 8.6) implies that *i*<sup>\*</sup> is open. Therefore the continuity of  $I_{\sigma} \circ i^*$  implies that of  $I_{\sigma}$ . Consequently, (1) and (2) are equivalent to:

(1')  $I_{\sigma} \circ i^{*}(\xi) \in \mathcal{O}_{\sigma}(\Omega)$  for any  $\xi \in C_{\sigma^{*}}^{\mathrm{an}}(\mathfrak{H}, V^{*})^{*}$ ;

(2')  $I_{\sigma} \circ i^* : (C^{an}_{\sigma^*}(\mathfrak{H}, V^*)^*_b, T^*_{\sigma^*}) \to (\mathscr{O}_{\sigma}(\Omega), \pi_{\sigma})$  is a continuous homomorphism of G-representations.

Since G-equivariance is proved in Lemma 6.1, for (2') it remains to show the continuity of  $I_{\sigma} \circ i^*$ .

For convenience, we still denote  $I_{\sigma} \circ i^*$  by  $I_{\sigma}$ .

*Step 2.* Let  $\{\overline{\mathfrak{U}}_{\kappa}\}_{\kappa}$  be a finite disjoint open covering of  $\overline{\mathfrak{H}}$  satisfying:

1.  $U_{\mathfrak{o}}^{+} \in \{\overline{\mathfrak{U}}_{\kappa}\}_{\kappa}$  (note that the open subscheme  $P^{-}\setminus C$  of  $\overline{\mathfrak{H}}$  is identified with  $U^{+}$ );

2. each  $\overline{\mathfrak{U}}_{\kappa}$  is (right) translated into  $U_{\mathfrak{o}}^{+}$  by some  $g_{\kappa} \in G$ .

Let  $\mathfrak{U}_{\kappa}$  be the preimage of  $\overline{\mathfrak{U}}_{\kappa}$  under  $\mathrm{pr}_{\overline{\mathfrak{S}}}^{\mathfrak{H}}$ .

For  $\xi \in C^{an}_{\sigma^*}(\mathfrak{H}, V^*)^*$ , we write  $I_{\sigma}(\tilde{\xi})$  in integral:

$$I_{\sigma}(\xi)(\mathbf{u}) = \sum_{k=1}^{d} \int_{\mathfrak{H}} \varphi_{\mathbf{u};v_{k}^{*}} d\xi \cdot v_{k} = \sum_{k=1}^{d} \sum_{\kappa} \int_{\mathfrak{U}_{\kappa}} \varphi_{\mathbf{u};v_{k}^{*}} d\xi \cdot v_{k}$$
$$= \sum_{\kappa} \pi_{\sigma}(g_{\kappa}) \Big( \sum_{k=1}^{d} \int_{\mathfrak{U}_{\kappa} \cdot g_{\kappa}} \varphi_{\mathbf{u};v_{k,g_{\kappa}}^{*}} d(T_{\sigma^{*}}^{*}(g_{\kappa}^{-1})\xi) \cdot v_{k;g_{\kappa}} \Big),$$

where  $v_{k;g_{\kappa}} = \sigma(j(g_{\kappa}^{-1}, \mathbf{u}))v_k$  is defined in the proof of Lemma 6.1. Therefore it suffices to consider

(6.1) 
$$\sum_{k=1}^{d} \int_{\mathfrak{U}} \varphi_{\mathbf{u}; \nu_{k}^{*}} d\xi' \cdot \nu_{k}$$

where  $\mathfrak{U}$  ranges on  $\{\mathfrak{U}_{\kappa} \cdot g_{\kappa}\}_{\kappa}$  and  $\xi'$  is the image of  $\xi$  under  $C_{\sigma^*}^{\mathrm{an}}(\mathfrak{H}, V^*)_b^* \to C_{\sigma^*}^{\mathrm{an}}(\mathfrak{U}, V^*)_b^*$ .

For the open subset  $\overline{\mathfrak{U}} = \operatorname{pr}_{\overline{\mathfrak{H}}}^{\mathfrak{H}}(\mathfrak{U})$  of  $U_{\mathfrak{o}}^{+}$ , we have the isomorphism induced from a locally analytic section  $\iota$  of  $\operatorname{pr}_{\overline{\mathfrak{H}}}^{\mathfrak{U}}$  (see Lemma 3.5 (3)):

(6.2) 
$$C^{\mathrm{an}}_{\sigma^*}(\mathfrak{U}, V^*)^*_b \simeq C^{\mathrm{an}}(\overline{\mathfrak{U}}, V^*)^*_b.$$

Then (6.1) is equal to

$$\bar{I}_{\sigma,\overline{\mathfrak{U}}}(\overline{\xi})(\mathbf{u}) := \sum_{k=1}^{d} \int_{\overline{\mathfrak{U}}} (\sigma^{*}(j(u^{+},\mathbf{u}))v_{k}^{*}) d\overline{\xi}(u^{+}) \cdot v_{k},$$

where  $\overline{\xi}$  is the image of  $\xi'$  in  $C^{\mathrm{an}}(\overline{\mathfrak{U}}, V^*)_b^*$  via the isomorphism (6.2).

Therefore it suffices to prove that  $\bar{I}_{\sigma,\overline{\mathfrak{U}}}(\bar{\xi})$  is rigid analytic on  $\Omega(m)$ , and that the map

$$\begin{array}{rcl} C^{\mathrm{an}}(\overline{\mathfrak{U}},V^*)^*_b & \to & \mathcal{O}_{\sigma}(\Omega(m)) \\ & \overline{\xi} & \mapsto & \overline{I}_{\sigma,\overline{\mathfrak{U}}}(\overline{\xi})|_{\Omega(m)} \end{array}$$

is continuous for all *m*.

Step 3. Since  $\sigma^*$  is K-rational, using the same arguments in the proof of Lemma 5.1 and applying Corollary 5.6, we obtain an expansion

$$\sigma^*(j(u^+,\mathbf{u}))v_k^* = \sum_{\ell=1}^d \Big(\sum_{\underline{r}\in\mathbb{N}_0^{R_1^+}} a_{\underline{r},k\ell}(\mathbf{u})\cdot(\underline{u}^+)^{\underline{r}}\Big)v_\ell^*,$$

with  $a_{r,k\ell} \in \mathscr{O}(\Omega(m))$  such that

(6.3) 
$$\lim_{|\underline{r}|\to\infty} \|a_{\underline{r},k\ell}\|_{\mathscr{O}(\Omega(m))} \cdot \|(\underline{u}^+)^{\underline{r}}\|_{C^{\mathrm{an}}(\mathrm{U}^+_{\mathfrak{o}})} = 0.$$

and moreover, there is a constant c' > 0, depending only on m,  $\sigma$  and  $\{v_k\}_{k=1}^d$ , such that

$$\|a_{r,k\ell}\|_{\mathscr{O}(\Omega(m))} \cdot \|(\underline{u}^+)^{\underline{r}}\|_{C^{\mathrm{an}}(\mathrm{U}^+_n)} \leq c'.$$

Then

(6.5) 
$$\bar{I}_{\sigma,\overline{\mathfrak{U}}}(\bar{\xi})(\mathbf{u}) = \sum_{k=1}^{d} \Big( \sum_{\ell=1}^{d} \sum_{\underline{r}} \int_{\overline{\mathfrak{U}}} (\underline{u}^{+})^{\underline{r}} \cdot v_{\ell}^{*} d\bar{\xi}(u^{+}) \cdot a_{\underline{r},k\ell}(\mathbf{u}) \Big) v_{k}.$$

We have

(6.6) 
$$\left|\int_{\overline{\mathfrak{U}}} (\underline{u}^{+})^{\underline{r}} \cdot v_{\ell}^{*} d\overline{\xi}(u^{+})\right| \leq ||(\underline{u}^{+})^{\underline{r}}||_{C^{\mathrm{an}}(\mathrm{U}_{\delta}^{+})} \cdot ||v_{\ell}^{*}||_{V^{*}} \cdot ||\overline{\xi}||_{C^{\mathrm{an}}(\overline{\mathfrak{U}}, V^{*})_{b}^{*}}.$$

(6.3) and (6.6) imply that the expansion (6.5) of  $\bar{I}_{\sigma,\overline{\mathfrak{U}}}(\overline{\xi})$  converges in  $\mathscr{O}_{\sigma}(\Omega(m))$ .

(6.4) and (6.6) imply

$$\left\| \bar{I}_{\sigma,\overline{\mathfrak{U}}}(\overline{\xi}) \right\|_{\mathscr{O}_{\sigma}(\Omega(m))} \leqslant \max_{1 \leqslant k,\ell \leqslant d} c' \|v_{\ell}^*\|_{V^*} \|v_k\|_V \cdot \|\overline{\xi}\|_{C^{\mathrm{an}}(\overline{\mathfrak{U}},V^*)_b^*},$$

and therefore the continuity follows.

**6.2.** The duality operator  $J_{\sigma}$ . Let  $\mathcal{N}_{\sigma}(\Omega)$  denote the image of  $I_{\sigma}$ .

We consider  $J_{\sigma}$ , the adjoint operator of  $I_{\sigma}$ , which is an injective continuous linear operator from  $\mathcal{N}_{\sigma}(\Omega)_b^*$  to  $(B_{\sigma^*}(\mathfrak{H}, V^*)_b^*)_b^* \cong B_{\sigma^*}(\mathfrak{H}, V^*)$   $(B_{\sigma^*}(\mathfrak{H}, V^*)$  is reflexive according to Corollary 3.6).

For any  $\mu \in \mathscr{N}_{\sigma}(\Omega)^*$  and  $\xi \in B_{\sigma^*}(\mathfrak{H}, V^*)^*$ , we have

(6.7) 
$$\langle J_{\sigma}(\mu), \xi \rangle = \langle I_{\sigma}(\xi), \mu \rangle.$$

For  $\hat{g} \in \mathfrak{H}$  and  $v \in V$ , we define the Dirac distribution  $\xi_{\hat{g},v} \in B_{\sigma^*}(\mathfrak{H}, V^*)^*$  as follows:

$$\langle \varphi, \xi_{\hat{g}, v} \rangle = \langle v, \varphi(\hat{g}) \rangle_{V}, \quad \varphi \in B_{\sigma^{*}}(\mathfrak{H}, V^{*}),$$

and a V-valued rigid analytic function  $\psi_{\hat{g},v}$  on  $\Omega$ :

$$\psi_{\hat{g},v}(\mathbf{u}) := \sigma(j(\hat{g},\mathbf{u}))^{-1}v.$$

Lемма 6.3.

$$I_{\sigma}(\xi_{\hat{g},v}) = \psi_{\hat{g},v}.$$

PROOF. This is straightforward from definitions.

$$I_{\sigma}(\xi_{\hat{g},v})(\mathbf{u}) = \sum_{k=1}^{d} \langle \varphi_{\mathbf{u},v_{k}^{*}}, \xi_{\hat{g},v} \rangle v_{k} = \sum_{k=1}^{d} \langle v, \varphi_{\mathbf{u},v_{k}^{*}}(\hat{g}) \rangle_{V} v_{k} = \sum_{k=1}^{d} \langle v, \sigma^{*}(j(\hat{g},\mathbf{u}))v_{k}^{*} \rangle_{V} v_{k}$$
$$= \sum_{k=1}^{d} \langle \sigma(j(\hat{g},\mathbf{u}))v, v_{k}^{*} \rangle_{V} v_{k} = \sigma(j(\hat{g},\mathbf{u}))v = \psi_{\hat{g},v}(\mathbf{u})$$
Q.E.D.

Then we obtain a formula for  $J_{\sigma}$ .

Q.E.D.

**PROPOSITION 6.4.** For any continuous linear functional  $\mu \in \mathcal{N}_{\sigma}(\Omega)^*$ , we have

(6.8) 
$$J_{\sigma}(\mu)(\hat{g}) = \sum_{k=1}^{d} \langle \psi_{\hat{g}, \nu_k}, \mu \rangle \nu_k^*.$$

PROOF. This is straightforward from Lemma 6.3 and (6.7). Indeed,

$$\sum_{k=1}^{r} \langle \psi_{\hat{g}, v_k}, \mu \rangle v_k^* = \sum_{k=1}^{r} \langle I_{\sigma}(\xi_{\hat{g}, v_k}), \mu \rangle v_k^* = \sum_{k=1}^{r} \langle J_{\sigma}(\mu), \xi_{\hat{g}, v_k} \rangle v_k^*$$
$$= \sum_{k=1}^{r} \langle v_k, J_{\sigma}(\mu)(\hat{g}) \rangle_V \cdot v_k^* = J_{\sigma}(\mu)(\hat{g}).$$
Q.E.D.

**6.3.** The image of  $I_{\sigma}$ . Let  $\mathscr{N}_{\sigma}^{0}(\Omega)$  denote the subspace of  $\mathscr{O}_{\sigma}(\Omega)$  spanned by  $\psi_{\hat{g},v}$  for all  $\hat{g} \in \mathfrak{H}$  and  $v \in V$ . Then it follows from (4.1) that  $\mathscr{N}_{\sigma}^{0}(\Omega)$  is G-invariant, and Lemma 6.3 implies  $\mathscr{N}_{\sigma}^{0}(\Omega) \subset \mathscr{N}_{\sigma}(\Omega)$ .

From (6.8), we see that  $J_{\sigma}$  factors through  $\mathscr{N}^{0}_{\sigma}(\Omega)^{*}$ , and (6.8) defines an injective map from  $\mathscr{N}^{0}_{\sigma}(\Omega)^{*}_{b}$  into  $B_{\sigma^{*}}(\mathfrak{H}, V^{*})$ . Since  $J_{\sigma}$  is injective and the natural map  $\mathscr{N}_{\sigma}(\Omega)^{*}_{b} \to \mathscr{N}^{0}_{\sigma}(\Omega)^{*}_{b}$  is surjective (the Hahn-Banach Theorem),  $\mathscr{N}^{0}_{\sigma}(\Omega)^{*}_{b} = \mathscr{N}_{\sigma}(\Omega)^{*}_{b}$ . Therefore the Hahn-Banach Theorem implies the following lemma.

LEMMA 6.5.  $\mathcal{N}_{\sigma}^{0}(\Omega)$  is dense in  $\mathcal{N}_{\sigma}(\Omega)$ .

THEOREM 6.6.

I<sub>σ</sub> is an isomorphism from B<sub>σ</sub>\*(𝔅, V\*)<sup>\*</sup><sub>b</sub> to N<sub>σ</sub>(Ω).
 N<sub>σ</sub>(Ω) is the closure of N<sub>σ</sub><sup>0</sup>(Ω) in O<sub>σ</sub>(Ω).

Proof. Let  $\iota$  be a locally analytic section of  $\operatorname{pr}_{\overline{\mathfrak{H}}}^{\mathfrak{H}}$ , and denote  $\mathfrak{K} = \iota(\overline{\mathfrak{H}})$ .

1. Let  $\mathcal{N}^0_{\sigma}(\Omega(m))$  be the image of  $\mathcal{N}^0_{\sigma}(\Omega)$  in  $\mathcal{O}_{\sigma}(\Omega(m))$ .

Since  $\psi_{\hat{g},v_k} = \pi_{\sigma}(g^{-1})v_k$ , we see that the map

$$\mathfrak{H} \to \mathscr{O}_{\sigma}(\Omega(m))$$
 $\hat{g} \mapsto \psi_{\hat{g},v_k},$ 

is locally analytic (Lemma 5.5). Since  $\Re$  is compact,  $\rho_m = \min_{1 \le k \le d} \min_{\hat{g} \in \Re} ||\psi_{\hat{g}, v_k}||_{\mathscr{O}_{\sigma}(\Omega(m))}$  is positive. Let  $\mathscr{L}$  denote the lattice  $\sum_{k=1}^{d} \sum_{\hat{g} \in \Re} \mathfrak{o}_K \cdot \psi_{\hat{g}, v_k}$  in  $\mathscr{N}_{\sigma}^0(\Omega)$ . Then, for each *m*, the image of  $\mathscr{L}$  in  $\mathscr{N}_{\sigma}^0(\Omega(m))$  contains the ball of radius  $\rho_m$  centered at zero, and therefore the interior of  $\mathscr{L}$  is a nontrivial open lattice.

2. According to Lemma 3.5,  $\iota$  induces an isomorphism  $\iota^{\circ}$  between  $C_{\sigma^*}^{an}(\mathfrak{H}, V^*)$  and  $C^{an}(\overline{\mathfrak{H}}, V^*)$ , and hence an isomorphism between  $B_{\sigma^*}(\mathfrak{H}, V^*)$  and its image, denoted by  $B(\overline{\mathfrak{H}}, V^*)$ .

Let  $\mathcal{I}$  be any (finite) disjoint open chart covering  $\{\overline{\mathfrak{U}}_{\kappa}\}_{\kappa}$  of  $\overline{\mathfrak{H}}$ . We recall that  $C^{\mathrm{an}}(\overline{\mathfrak{H}}, V^*)$  is defined to be the inductive limit, indexed with all the  $\mathcal{I}$ , of the *K*-Banach algebras  $E_{\mathcal{I}}(\overline{\mathfrak{H}}, V^*) = \prod_{\kappa} O(\overline{\mathfrak{U}}_{\kappa}, V^*)$ , where  $O(\overline{\mathfrak{U}}_{\kappa}, V^*)$  denotes the space of *K*-analytic functions

on  $\overline{\mathfrak{U}}_{\kappa}$  (cf. [2] 2.1.10 and [11] §2). The inductive limit structure is naturally induced onto  $B(\overline{\mathfrak{H}}, V^*)$ , say  $B(\overline{\mathfrak{H}}, V^*) = \lim_{a \to I} E_I(\overline{\mathfrak{H}}, V^*)$ . Moreover, the strong dual space  $B(\overline{\mathfrak{H}}, V^*)_b^*$  is the projective limit of  $E_I(\overline{\mathfrak{H}}, V^*)_b^*$ .

3. Consider

$$\begin{aligned} (\iota^{\circ-1})^* \circ I_{\sigma}^{-1}|_{\mathscr{N}_{\sigma}^0(\Omega)} : \mathscr{N}_{\sigma}^0(\Omega) &\to B(\overline{\mathfrak{H}}, V^*)_b^* \\ \psi_{\hat{\mathfrak{g}}, \nu} &\mapsto (\iota^{\circ-1})^*(\xi_{\hat{\mathfrak{g}}, \nu}) \end{aligned}$$

Let  $\hat{g} \in \Re$ .

$$\begin{split} \left\| (\iota^{\circ^{-1}})^* (\xi_{\hat{g}, \nu}) \right\|_{E_I(\overline{\mathfrak{H}}, V^*)_b^*} &= \max_{\overline{\varphi} \in E_I(\overline{\mathfrak{H}}, V^*)} \frac{\langle \overline{\varphi}, (\iota^{\circ^{-1}})^* (\xi_{\hat{g}, \nu}) \rangle}{\|\overline{\varphi}\|_{E_I(\overline{\mathfrak{H}}, V^*)}} \\ &= \max_{\varphi \in \iota^{\circ^{-1}} (E_I(\overline{\mathfrak{H}}, V^*))} \frac{\langle \varphi, \xi_{\hat{g}, \nu} \rangle}{\|\iota^{\circ}(\varphi)\|_{E_I(\overline{\mathfrak{H}}, V^*)}} \\ &= \max_{\varphi \in \iota^{\circ^{-1}} (E_I(\overline{\mathfrak{H}}, V^*))} \frac{\langle \nu, \varphi(\hat{g}) \rangle_V}{\max_{\hat{g}' \in \mathfrak{H}}} \\ &\leq \|\nu\|_V. \end{split}$$

Therefore the image of  $\mathscr{L}$  under  $(\iota^{\circ^{-1}})^* \circ I_{\sigma}^{-1}|_{\mathscr{N}_{\sigma}^{0}(\Omega)}$  in  $B(\overline{\mathfrak{H}}, V^*)_{b}^*$  is bounded, since its image in  $E_{I}(\overline{\mathfrak{H}}, V^*)_{b}^*$  are all norm-bounded by  $\max_{1 \leq k \leq d} ||_{V_{k}}||_{V}$ . Because  $\mathscr{N}_{\sigma}^{0}(\Omega)$  is metrizable, it is bornological ([9] Proposition 6.14), and therefore  $I_{\sigma}^{-1}|_{\mathscr{N}_{\sigma}^{0}(\Omega)}$  is continuous ([9] Proposition 6.13). Therefore  $I_{\sigma}$  induces an isomorphism between  $I_{\sigma}^{-1}(\mathscr{N}_{\sigma}^{0}(\Omega))$  and  $\mathscr{N}_{\sigma}^{0}(\Omega)$ , and consequently  $I_{\sigma}$  induces an isomorphism between their completions, which, in view of Lemma 6.5, must be  $B_{\sigma^*}(\mathfrak{H}, V^*)_{b}^*$  and  $\mathscr{N}_{\sigma}(\Omega)$  respectively. Q.E.D.

COROLLARY 6.7.  $J_{\sigma}$  is an isomorphism of G-representations from  $(\mathscr{N}_{\sigma}(\Omega)_{b}^{*}, \pi_{\sigma}^{*})$  to  $(B_{\sigma^{*}}(\mathfrak{H}, V^{*}), T_{\sigma^{*}})$ .

# 7. Concluding remarks

In [7] §3 we briefly reviewed Morita's theory of SL(2, *F*) and discussed the relation between  $I_{\sigma}$  and Morita's duality and Casselman's operator for

$$\sigma_s \begin{pmatrix} z^{-1} & 0 \\ 0 & z \end{pmatrix} = z^s$$

with *s* a positive integer (for *s* non-positive,  $I_{\sigma}$  is an isomorphism between two (-s + 1)-dimensional *G*-representations, which is of less interest).

To illustrate this connection, we consider the special case s = 2.  $\mathscr{O}_{\sigma_2}(\Omega)$  is canonically isomorphic to the space  $\Omega^1(\Omega)$  of holomorphic 1-forms on the upper half plane  $\Omega \cong K - F = \mathbb{P}^1(K) - \mathbb{P}^1(F)$  via  $\psi(\mathbf{u}) \mapsto \psi(\mathbf{u})d\mathbf{u}$ . It may be shown that  $\mathscr{N}_{\sigma_2}(\Omega)$  corresponds to the subspace of  $\Omega^1(\Omega)$  with zero residue at each point of  $\mathbb{P}^1(F)$  (see [4] and [7]). On the other hand,  $C^{an}_{\sigma_0}(\mathfrak{H}) \cong C^{an}(\mathfrak{H})$  with  $\mathfrak{H} \cong \mathbb{P}^1(F)$ , and we denote  $D_0 = C^{an}(\mathbb{P}^1(F))$ .  $D_0$  has two closed G-invariant subspaces, the spaces  $P_0$  and  $P_0^{loc}$  consisting of constants and locally

constant functions on  $\mathbb{P}^1(F)$  respectively. The classical Morita's duality is established via residues. More precisely, for each  $\psi \in \mathcal{O}_{\sigma_2}(\Omega)$ , define a linear functional  $M_2(\psi)$  of  $D_0$  by

$$\langle \varphi, M_2(\psi) \rangle$$
 = the sum of residues of the 1-form  $\varphi(u)\psi(u) du$  on  $\mathbb{P}^1(F)$ .

Morita's duality  $M_2$  induces G-isomorphisms  $\mathscr{O}_{\sigma_2}(\Omega) \cong (D_0/P_0)_b^*$  and  $\mathscr{N}_{\sigma_2}(\Omega) \cong (D_0/P_0^{\text{loc}})_b^*$ . Moreover, Casselman's intertwining operator

$$S_0: \varphi \mapsto d\varphi$$

induces a G-isomorphism between  $D_0/P_0^{\text{loc}}$  and the space  $D_{-2}$  of locally analytic 1-forms on  $\mathbb{P}^1(F)$ , a space that is isomorphic to  $C_{\sigma_{-2}}^{\text{an}}(\mathfrak{H})$  (see [5] and [7]). The connection between our duality operator  $I_{\sigma_2}$  and Morita's duality  $M_2$  was found in [7] Theorem 3.6 as the following commutative diagram

$$\begin{array}{c|c} \mathscr{N}_{\sigma_2}(\Omega) & \xrightarrow{M_2} & (D_0/P_0^{\mathrm{loc}})_b^* \\ I_{\sigma_2} & & & \\ I_{\sigma_2} & & & \\ B_{\sigma_{-2}}(\mathfrak{H})_b^* & = & C_{\sigma_{-2}}^{\mathrm{an}}(\mathfrak{H})_b^* & \cong & (D_{-2})_b^* \end{array}$$

A generalization of Morita's duality seems quite hard in view of its analytic construction via residues. The first step towards this would be finding other closed subrepresentations of  $(C_{\sigma}^{an}(\mathfrak{H}, V), T_{\sigma})$  and  $(\mathcal{O}_{\sigma}(\Omega), \pi_{\sigma})$ . This work is done completely for SL(2, F) in Morita and Murase's [4], [5] and [6], where the complete classifications of the sub-quotient spaces of holomorphic discrete series and the principal series are conjectured and claimed (Morita attempted to prove this, but his proof contained a serious gap).

A further question is on the irreducibility. For this, we conjecture that  $(\mathcal{N}_{\sigma}(\Omega), \pi_{\sigma})$ and  $(B_{\sigma^*}(\mathfrak{H}, V^*), T_{\sigma^*})$  are topologically irreducible G-representations if  $\sigma$  is irreducible. For SL(2, *F*), this conjecture was claimed in [6] Theorem 1 (i) and a proof for  $F = \mathbb{Q}_p$  was given by Schneider and Teitelbaum in [11].

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