#### Superalgebras described by root data

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#### Example

 $\mathfrak{sl}_3$ : Lie algebra of  $3 \times 3$  matrices of trace 0 ( [a, b] := ab - ba).  $\mathfrak{sl}_3 = \tilde{\mathfrak{g}}/\mathfrak{r}$ , where  $\tilde{\mathfrak{g}} = \tilde{\mathfrak{n}}_- \oplus \mathfrak{h} \oplus \tilde{\mathfrak{n}}_+$ :  $\mathfrak{h}$  is commutative, spanned by  $h_1, h_2$ ;  $\tilde{\mathfrak{n}}_+$  is a free Lie algebra generated by  $e_1, e_2$   $\tilde{\mathfrak{n}}_-$  is a free Lie algebra generated by  $f_1, f_2$ we fix  $\alpha_1, \alpha_2 \in \mathfrak{h}^*$  by  $\langle h_i, \alpha_j \rangle = a_{ij}$  for  $(a_{ij}) := \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$ 

(\*\*) 
$$[h, e_i] = \alpha_i(h)e_i$$
,  $[h, f_i] = -\alpha_i(h)f_i$ ,  $[e_i, f_j] = \delta_{ij}h_i$ .

- $\mathfrak{r}$  is a maximal ideal satisfying  $\mathfrak{r} \cap \mathfrak{h} = 0$  ( $\mathfrak{r}$  is unique).
- Serre relations: τ is generated by [e<sub>1</sub>, [e<sub>1</sub>, e<sub>2</sub>]], [f<sub>1</sub>, [f<sub>1</sub>, f<sub>2</sub>]]
   [e<sub>2</sub>, [e<sub>2</sub>, e<sub>1</sub>]], [f<sub>2</sub>, [f<sub>2</sub>, f<sub>1</sub>]].

Observation: for  $e'_1 := f_1, e'_2 := [e_1, e_2], f'_1 := e_1, f'_2 := -[f_2, f_1]$ we have  $[e_1, [e_1, e_2]] = [f'_1, e'_2], [f_1, [f_1, f_2]] = [e'_1, f'_2].$ 

#### More examples

Using the same procedure for another matrix  $A = (a_{ij})$  we get:

- semisimple Lie algebra  $\mathfrak{sl}_2 \times \mathfrak{sl}_2$  for  $(a_{ij}) = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix};$
- fin.-dim. simple Lie algebras  $\mathfrak{sp}_2 = \mathfrak{o}_3$  and  $G_2$  for  $\begin{pmatrix} 2 & -1 \\ -2 & 2 \end{pmatrix}$  and  $\begin{pmatrix} 2 & -1 \\ -3 & 2 \end{pmatrix}$  respectively;
- affine Lie algebras for  $\begin{pmatrix} 2 & -2 \\ -2 & 2 \end{pmatrix}$  and  $\begin{pmatrix} 2 & -1 \\ -4 & 2 \end{pmatrix}$ ;
- a Kac-Moody algebra with infinite GK dimension for  $\begin{pmatrix} 2 & a_{12} \\ a_{21} & 2 \end{pmatrix}$  with  $a_{12}, a_{21} \in \mathbb{Z}_{<0}, a_{12}a_{21} > 4$ .

Remarks:

1. All semisimple Lie algebras can be obtained by this procedure (for some  $A \in Mat_{n \times n}(\mathbb{Z})$ )

2. The affine Lie algebras are the infinite-dimensional Kac-Moody algebras with finite Gelfand-Kirillov dimension.

## Groupoid of root data

We will now define a groupoid of root data *R*.

Once and forever we fix a finite set *X*. The objects of *R* (root data) are the triples  $(\mathfrak{h}, a : X \to \mathfrak{h}, b : X \to \mathfrak{h}^*)$  where  $\mathfrak{h}$  is a fin.-dim. vector space over  $\mathbb{C}$  and a, b are "injective maps". We have generating arrows of three types:

- 1. reflections  $r_x : (\mathfrak{h}, a, b) \to (\mathfrak{h}, a', b')$  defined by the source  $(\mathfrak{h}, a, b)$  and reflectable elements  $x \in X$ ;
- 2. tautological  $t_{\theta} : (\mathfrak{h}, a, b) \to (\mathfrak{h}', a', b')$  determined by

 $\theta:\mathfrak{h}\overset{\sim}{\to}\mathfrak{h}'.$  Here  $a':=\theta\circ a,\,b'=((\theta^*)^{-1})\circ b.$ 

3. homothety  $h_{\lambda} : (\mathfrak{h}, a, b) \to (\mathfrak{h}, a', b)$  determined by

 $\lambda : X \to \mathbb{C}^*$ , with  $a'(x) = \lambda(x)a(x)$ .

This collection of objects and arrows (=quiver) generates a free category  $\tilde{R}$ . The groupoid R is defined as the one with the same objects as  $\tilde{R}$ , and whose arrows are equivalence classes of the arrows above, where roughly speaking, two compositions of arrows ( $\mathfrak{h}, a, b$ )  $\rightarrow$  ( $\mathfrak{h}', a', b'$ ) are equivalent if they induce the same isomorphism  $\mathfrak{h} \xrightarrow{\sim} \mathfrak{h}'$ .

#### Reflections

<u>Cartan matrix</u>:  $A = (a_{xy})$ , where  $a_{xy} := \langle a(x), b(y) \rangle$ . An element  $x \in X$  is called <u>reflectable</u> at  $v = (\mathfrak{h}, a, b)$  if  $a_{xx} \neq 0$ and  $\frac{2a_{xy}}{a_{xx}} \in \mathbb{Z}_{\leq 0}$ . The <u>reflection</u>  $r_x : v = (\mathfrak{h}, a, b) \rightarrow v' = (\mathfrak{h}, a', b')$  is given by

$$a'(y) := a(y) - 2\frac{a_{yx}}{a_{xx}}a(x), \quad b'(y) := b(y) - 2\frac{a_{xy}}{a_{xx}}b(x).$$

One has  $r_x^2 = Id$ . Cartan matrices are preserved by  $r_x$ ,  $t_\theta$ ; for  $h_\lambda : (\mathfrak{h}, a, b) \to (\mathfrak{h}, a', b)$  one has A' = DA, where *D* is an invertible diagonal matrix. In this talk we consider only connected components  $R_0$  such that for each  $v \in R_0$  each  $x \in X$  is reflectable.

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Let  $v = (\mathfrak{h}, a, b) \in R$ . We assign to v a Lie superalgebra  $\tilde{\mathfrak{g}}(v)$  generated by  $\mathfrak{h} = \mathfrak{h}(v)$ ,  $\tilde{e}_x, \tilde{f}_x, x \in X$ , subject to the relations

1.  $[\mathfrak{h},\mathfrak{h}] = 0,$ 2.  $[h, \tilde{e}_x] = \langle b(x), h \rangle \tilde{e}_x, \quad [h, \tilde{f}_x] = -\langle b(x), h \rangle \tilde{f}_x$ 3.  $[\tilde{e}_x, \tilde{f}_y] = \delta_{xy} a(x).$ 

<u>Definition</u> Let  $R_0 \subset R$  be a connected component. A root Lie algebra  $\mathfrak{g}$  supported on  $R_0$  is a collection of Lie algebras  $\mathfrak{g}(v), v \in R_0$  with epimorphisms  $\psi_v : \tilde{\mathfrak{g}}(v) \twoheadrightarrow \mathfrak{g}(v)$  such that

- 1. Ker  $\psi_{\mathbf{v}} \cap \mathfrak{h}(\mathbf{v}) = \mathbf{0}$
- 2. for any arrow  $\gamma: v \to v'$  in  $R_0$  there exists  $\mathfrak{g}(v) \stackrel{\sim}{\to} \mathfrak{g}(v')$  extending the corresponding isomorphism  $\mathfrak{h}(v) \stackrel{\sim}{\to} \mathfrak{h}(v')$ .

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We call a component  $R_0$  <u>admissible</u> if it admits a root algebra. If  $R_0$  is admissible, one has the universal root algebra  $\tilde{\mathfrak{g}}(v)/\mathfrak{s}$ and the smallest root algebra  $\mathfrak{g}_{KM} := \tilde{\mathfrak{g}}(v)/\mathfrak{r}$ :  $\tilde{\mathfrak{g}}(v)/\mathfrak{s} \twoheadrightarrow \mathfrak{g}(v) \twoheadrightarrow \mathfrak{g}_{KM}$  for any root algebra  $\mathfrak{g}(v)$ . One has  $\tilde{\mathfrak{g}}(v) = \bigoplus_{\alpha \in \mathfrak{h}^*} \tilde{\mathfrak{g}}(v)_{\alpha}$  and  $\tilde{e}_x \in \tilde{\mathfrak{g}}(v)_{b(x)}$ .

- s is generated by  $[\tilde{\mathfrak{g}}(v)_{b'(x)}, \tilde{\mathfrak{g}}(v)_{b'(y)}]$  for  $x \neq y$ , where  $v' = (\mathfrak{h}, a', b') \in R_0$ ;
- $\mathfrak{r}$  is the maximal ideal of  $\tilde{\mathfrak{g}}(v)$  satisfying  $\mathfrak{r} \cap \mathfrak{h} = 0$ .

We say that  $R_0$  is <u>symmetrizable</u> if  $a_{xy} = a_{yx}$  for each x, y for some  $v \in R_0$ .

<u>Theorem</u> (O. Gabber- V. Kac, 1981) If  $R_0$  is symmetrizable, then  $R_0$  admits a unique root algebra.

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#### Superworld: Groupoid of root data

The objects of *R* (root data) are the quadruples  $(\mathfrak{h}, a : X \to \mathfrak{h}, b : X \to \mathfrak{h}^*, p : X \to \mathbb{Z}_2)$ An element  $x \in X$  is called *reflectable* at  $v = (\mathfrak{h}, a, b, p)$  if the following conditions hold.

1. If 
$$a_{xx} = 0$$
 then  $p(x) = 1$ ;  
2. If  $a_{xx} \neq 0$  and  $p(x) = 0$  then  $\frac{2a_{xy}}{a_{xx}} \in \mathbb{Z}_{\leq 0}$ .  
3. If  $a_{xx} \neq 0$  and  $p(x) = 1$  then  $\frac{a_{xy}}{a_{xx}} \in \mathbb{Z}_{\leq 0}$ .  
The reflection  $r_x : v \to v' = (\mathfrak{h}, a', b', p')$  is defined as follows.  
If  $a_{xx} \neq 0$ , then  $p' := p$  and  
 $a'(y) := a(y) - 2\frac{a_{yx}}{a_{xx}}a(x), \quad b'(y) := b(y) - 2\frac{a_{xy}}{a_{xx}}b(x).$   
If  $a_{xx} = 0$  then  $p(x) = 1$  and  $(a'(y), b'(y), p'(y))$  is given by  
 $\begin{cases} (-a(x), -b(x), p(x)) & \text{if } x = y, \\ (a(y), b(y), p(y)) & \text{if } x \neq y, \quad a_{xy} = 0, \\ (a(y) + \frac{a_{yx}}{a_{xy}}a(x), b(y) + b(x), 1 + p(y)) & \text{if } a_{xy} \neq 0. \end{cases}$ 

We call a component  $R_0$  <u>quasi-symmetric</u> if for all  $v \in R_0$  $a_{xy} = 0$  implies  $a_{yx} = 0$  for all  $x, y \in X$ .

Symmetricity  $\implies$  Quasi-symmetricity  $\iff$  Admissibility

The Kac-Moody superalgebras  $g_{KM}$  were classified in V. Kac in Adv. in Math., 1977, J. W. van de Leur Comm. in Algebra, 1989, and by C. Hoyt and V. Serganova ("Kac-Moody superalgebras and integrability", 2011).

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## Root (super)algebras: examples and classification

Example |X| = 1, p(x) = 1,  $a_{xx} = 0$ . In this case dim  $\mathfrak{h} \ge 2$ . For dim  $\mathfrak{h} = 2$ ,  $\tilde{\mathfrak{g}}(v) = \mathfrak{g}(v)$  is of dimension (4|2) and  $\mathfrak{g}_{KM} = \mathfrak{gl}(1|1)$  has dimension (2|2). Root algebra is not unique!

#### Example If g is an indecomposable fin-dim. Kac-Moody algebra, then g is a root algebra for some admissible $R_0$ ; moreover, $g \cong g_{KM}$ if $g \neq gl(1|1)$ .

Theorem (Hinich, Serganova, G. 2021)

- 1. Let  $R_0$  be admissible and symmetrizable. The root superalgebra is unique if  $\mathfrak{g}_{KM} \neq \mathfrak{gl}(1|1)$ ,  $A(n|n)^{(i)}$ . and is not unique if  $\mathfrak{g}_{KM} = \mathfrak{gl}(1|1)$ ,  $A(n|n)^{(1)}$ .
- 2. In the non-symmetrizable affine cases the root superalgebra is unique for S(2|1, a) and is not unique for  $q(n)^{(2)}$ .

# Remark: relations in [1] were studied in H. Yamane, PRIMS 1999.