

4. Orthogonality	114
5. Skew <i>HL</i> -functions	118
6. Transition matrices	125
7. Green's polynomials	131

CHAPTER IV. THE CHARACTERS OF  $GL_n$  OVER A FINITE FIELD

1. The groups $L$ and $M$	137
2. Conjugacy classes	138
3. Induction from parabolic subgroups	141
4. The characteristic map	143
5. Construction of the characters	147
6. The irreducible characters	150
Appendix: proof of (5.1)	155

CHAPTER V. THE HECKE RING OF  $GL_n$  OVER A LOCAL FIELD

1. Local fields	157
2. The Hecke ring $H(G, K)$	158
3. Spherical functions	162
4. Hecke series and zeta functions for $GL_n(F)$	164
5. Hecke series and zeta functions for $GSp_{2n}(F)$	166

NOTATION	171
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BIBLIOGRAPHY	175
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INDEX	179
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FROM "Symmetric Functions and  
Hall polynomials" by I. G. Macdonald

I  
SYMMETRIC FUNCTIONS

## 1. Partitions

MANY of the objects we shall consider in this book will turn out to be parametrized by partitions. The purpose of this section is to lay down some notation and terminology which will be used throughout, and to collect together some elementary results on orderings of partitions which will be used later.

*Partitions*

A *partition* is any (finite or infinite) sequence

$$(1.1) \quad \lambda = (\lambda_1, \lambda_2, \dots, \lambda_r, \dots)$$

of non-negative integers in decreasing order;

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_r \geq \dots$$

and containing only finitely many non-zero terms. We shall find it convenient not to distinguish between two such sequences which differ only by a string of zeros at the end. Thus, for example, we regard  $(2, 1)$ ,  $(2, 1, 0)$ ,  $(2, 1, 0, 0, \dots)$  as the same partition.

The non-zero  $\lambda_i$  in (1.1) are called the *parts* of  $\lambda$ . The number of parts is the *length* of  $\lambda$ , denoted by  $l(\lambda)$ ; and the sum of the parts is the *weight* of  $\lambda$ , denoted by  $|\lambda|$ :

$$|\lambda| = \lambda_1 + \lambda_2 + \dots$$

If  $|\lambda| = n$  we say that  $\lambda$  is a *partition of  $n$* . The set of all partitions of  $n$  is denoted by  $\mathcal{P}_n$ , and the set of all partitions by  $\mathcal{P}$ . In particular,  $\mathcal{P}_0$  consists of a single element, the unique partition of zero, which we denote by  $0$ .

Sometimes it is convenient to use a notation which indicates the number of times each integer occurs as a part:

$$\lambda = (1^{m_1} 2^{m_2} \dots r^{m_r} \dots)$$

means that exactly  $m_i$  of the parts of  $\lambda$  are equal to  $i$ . The number

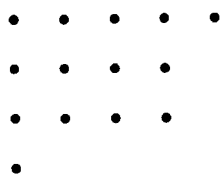
$$(1.2) \quad m_i = m_i(\lambda) = \text{Card}\{j : \lambda_j = i\}$$

is called the *multiplicity* of  $i$  in  $\lambda$ .

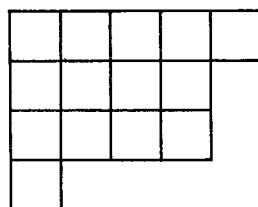
*Diagrams*

The *diagram* of a partition  $\lambda$  may be formally defined as the set of points  $(i, j) \in \mathbf{Z}^2$  such that  $1 \leq j \leq \lambda_i$ . In drawing such diagrams we shall

adopt the convention, as with matrices, that the first coordinate  $i$  (the row index) increases as one goes downwards, and the second coordinate  $j$  (the column index) increases as one goes from left to right.† For example, the diagram of the partition (5441) is



consisting of 5 points of nodes in the top row, 4 in the second row, 4 in the third row, and 1 in the fourth row. More often it is convenient to replace the nodes by squares, in which case the diagram is



We shall usually denote the diagram of a partition  $\lambda$  by the same symbol  $\lambda$ .

The *conjugate* of a partition  $\lambda$  is the partition  $\lambda'$  whose diagram is the transpose of the diagram  $\lambda$ , i.e. the diagram obtained by reflection in the main diagonal. Hence  $\lambda'_i$  is the number of nodes in the  $i$ th column of  $\lambda$ , or equivalently

$$(1.3) \quad \lambda'_i = \text{Card}\{j : \lambda_j \geq i\}.$$

In particular,  $\lambda'_1 = l(\lambda)$  and  $\lambda_1 = l(\lambda')$ . Obviously  $\lambda'' = \lambda$ .

For example, the conjugate of (5441) is (43331).

From (1.2) and (1.3) we have

$$(1.4) \quad m_i(\lambda) = \lambda'_i - \lambda'_{i+1}.$$

For each partition  $\lambda$  we define

$$(1.5) \quad n(\lambda) = \sum_{i \geq 1} (i-1)\lambda_i,$$

† Some authors (especially Francophones) prefer the convention of coordinate geometry (in which the first coordinate increases from left to right and the second coordinate from bottom to top) and define the diagram of  $\lambda$  to be the set of  $(i, j) \in \mathbb{Z}^2$  such that  $1 \leq i \leq \lambda_j$ . Readers who prefer this convention should read this book upside down in a mirror.

so that  $n(\lambda)$  is the sum of the numbers obtained by attaching a zero to each node in the top row of the diagram of  $\lambda$ , a 1 to each node in the second row, and so on. Adding up the numbers in each column, we see that

$$(1.6) \quad n(\lambda) = \sum_{i \geq 1} \binom{\lambda'_i}{2}.$$

Another notation for partitions which is occasionally useful is the following, due to Frobenius. Suppose that the main diagonal of the diagram of  $\lambda$  consists of  $r$  nodes  $(i, i)$  ( $1 \leq i \leq r$ ). Let  $\alpha_i = \lambda_i - i$  be the number of nodes in the  $i$ th row of  $\lambda$  to the right of  $(i, i)$ , for  $1 \leq i \leq r$ , and let  $\beta_i = \lambda'_i - i$  be the number of nodes in the  $i$ th column of  $\lambda$  below  $(i, i)$ , for  $1 \leq i \leq r$ . We have  $\alpha_1 > \alpha_2 > \dots > \alpha_r \geq 0$  and  $\beta_1 > \beta_2 > \dots > \beta_r \geq 0$ , and we denote the partition  $\lambda$  by

$$\lambda = (\alpha_1, \dots, \alpha_r \mid \beta_1, \dots, \beta_r) = (\alpha \mid \beta).$$

Clearly the conjugate of  $(\alpha \mid \beta)$  is  $(\beta \mid \alpha)$ .

For example, if  $\lambda = (5441)$  we have  $\alpha = (421)$  and  $\beta = (310)$ .

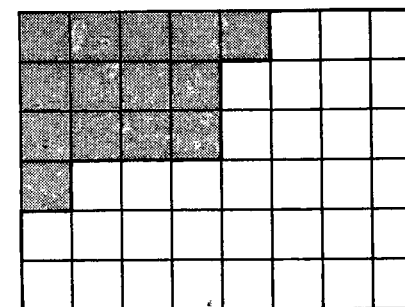
(1.7) Let  $\lambda$  be a partition and let  $m \geq \lambda_1$ ,  $n \geq \lambda'_1$ . Then the  $m+n$  numbers

$$\lambda_i + n - i \quad (1 \leq i \leq n), \quad n - 1 + j - \lambda'_j \quad (1 \leq j \leq m)$$

are a permutation of  $\{0, 1, 2, \dots, m+n-1\}$ .

*Proof.* The diagram of  $\lambda$  is contained in the diagram of  $(m^n)$ , which is an  $n \times m$  rectangle. Number the successive segments of the boundary line between  $\lambda$  and its complement in  $(m^n)$  (marked thickly in the picture) with the numbers  $0, 1, \dots, m+n-1$ , starting at the bottom. The numbers attached to the vertical segments are  $\lambda_i + n - i$  ( $1 \leq i \leq n$ ), and by transposition those attached to the horizontal segments are

$$(m+n-1) - (\lambda'_j + m - j) = n - 1 + j - \lambda'_j \quad (1 \leq j \leq m). \quad |$$



$\lambda = (54^21)$ ,  $m=8$ ,  $n=6$

Let

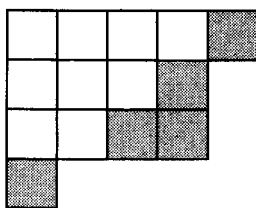
$$f_{\lambda,n}(t) = \sum_{i=1}^n t^{\lambda_i+n-i}.$$

Then (1.7) is equivalent to the identity

$$(1.7') \quad f_{\lambda,n}(t) + t^{m+n-1} f_{\lambda',m}(t) = (1-t^{m+n})/(1-t).$$

### Skew diagrams and tableaux

If  $\lambda, \mu$  are partitions, we shall write  $\lambda \supset \mu$  to mean that the diagram of  $\lambda$  contains the diagram of  $\mu$ , i.e. that  $\lambda_i \geq \mu_i$  for all  $i \geq 1$ . The set-theoretic difference  $\theta = \lambda - \mu$  is called a *skew diagram*. For example, if  $\lambda = (5441)$  and  $\mu = (432)$ , the skew diagram  $\lambda - \mu$  is the shaded region in the picture below:



A *path* in a skew diagram  $\theta$  is a sequence  $x_0, x_1, \dots, x_m$  of squares in  $\theta$  such that  $x_{i-1}$  and  $x_i$  have a common side, for  $1 \leq i \leq m$ . A subset  $\varphi$  of  $\theta$  is said to be *connected* if any two squares in  $\varphi$  can be connected by a path in  $\varphi$ . The maximal connected subsets of  $\theta$  are themselves skew diagrams, called the *connected components* of  $\theta$ . In the example above, there are three connected components.

The *conjugate* of a skew diagram  $\theta = \lambda - \mu$  is  $\theta' = \lambda' - \mu'$ . Let  $\theta_i = \lambda_i - \mu_i$ ,  $\theta'_i = \lambda'_i - \mu'_i$ , and

$$|\theta| = \sum \theta_i = |\lambda| - |\mu|.$$

A skew diagram  $\theta$  is a *horizontal  $m$ -strip* (resp. a *vertical  $m$ -strip*) if  $|\theta| = m$  and  $\theta'_i \leq 1$  (resp.  $\theta_i \leq 1$ ) for each  $i \geq 1$ . In other words, a horizontal (resp. vertical) strip has at most one square in each column (resp. row).

A *tableau*  $T$  is a sequence of partitions

$$\mu = \lambda^{(0)} \subset \lambda^{(1)} \subset \dots \subset \lambda^{(r)} = \lambda$$

such that each skew diagram  $\theta^{(i)} = \lambda^{(i)} - \lambda^{(i-1)}$  ( $1 \leq i \leq r$ ) is a horizontal strip. Graphically,  $T$  may be described by numbering each square of the skew diagram  $\theta^{(i)}$  with the number  $i$ , for  $1 \leq i \leq r$ , and we shall often

think of a tableau as a numbered skew diagram in this way. The numbers inserted in  $\lambda - \mu$  must increase strictly down each column, and weakly from left to right along each row. The skew diagram  $\lambda - \mu$  is called the *shape* of the tableau  $T$ , and the sequence  $(|\theta^{(1)}|, \dots, |\theta^{(r)}|)$  is called the *weight* of  $T$ .

A *standard tableau* is a tableau  $T$  in which each number  $1, 2, \dots, r$  occurs exactly once, so that its weight is  $(1, 1, \dots, 1)$ .

### Addition of partitions

Let  $\lambda, \mu$  be partitions. We define  $\lambda + \mu$  to be the sum of the sequences  $\lambda, \mu$ :

$$(\lambda + \mu)_i = \lambda_i + \mu_i.$$

Also we define  $\lambda \cup \mu$  to be the partition whose parts are those of  $\lambda$  and of  $\mu$ , arranged in descending order. For example, if  $\lambda = (321)$  and  $\mu = (22)$ , then  $\lambda + \mu = (541)$  and  $\lambda \cup \mu = (32221)$ .

The operations  $+$  and  $\cup$  are dual to each other:

$$(1.8) \quad (\lambda \cup \mu)' = \lambda' + \mu'.$$

*Proof.* The diagram of  $\lambda \cup \mu$  is obtained by taking the rows of the diagrams of  $\lambda$  and  $\mu$  and reassembling them in order of decreasing length. Hence the length of the  $i$ th column of  $\lambda \cup \mu$  is the sum of the lengths of the  $i$ th columns of  $\lambda$  and of  $\mu$ , i.e.

$$(\lambda \cup \mu)'_i = \lambda'_i + \mu'_i. \quad |$$

### Orderings

Let  $L_n$  denote the *reverse lexicographic ordering* on the set  $\mathcal{P}_n$  of partitions of  $n$ : that is to say,  $L_n$  is the subset of  $\mathcal{P}_n \times \mathcal{P}_n$  consisting of all  $(\lambda, \mu)$  such that either  $\lambda = \mu$  or else the first non-vanishing difference  $\lambda_i - \mu_i$  is *positive*.  $L_n$  is a total ordering. For example, when  $n = 5$ ,  $L_n$  arranges  $\mathcal{P}_5$  in the sequence

$$(5), (41), (32), (31^2), (2^21), (21^3), (1^5).$$

Another total ordering on  $\mathcal{P}_n$  is  $L'_n$ , the set of all  $(\lambda, \mu)$  such that either  $\lambda = \mu$  or else the first non-vanishing difference  $\tilde{\lambda}_i - \tilde{\mu}_i$  is *negative*, where  $\tilde{\lambda}_i = \lambda_{n+1-i}$ . The orderings  $L_n, L'_n$  are distinct as soon as  $n \geq 6$ . For example, if  $\lambda = (31^3)$  and  $\mu = (2^3)$  we have  $(\lambda, \mu) \in L$  and  $(\mu, \lambda) \in L'$ .

(1.9) Let  $\lambda, \mu \in \mathcal{P}_n$ . Then

$$(\lambda, \mu) \in L'_n \Leftrightarrow (\mu', \lambda') \in L_n.$$

*Proof.* Suppose that  $(\lambda, \mu) \in L'_n$  and  $\lambda \neq \mu$ . Then for some integer  $i \geq 1$  we have  $\lambda_i < \mu_i$ , and  $\lambda_j = \mu_j$  for  $j > i$ . If we put  $k = \lambda_i$  and consider the

diagrams of  $\lambda$  and  $\mu$ , we see immediately that  $\lambda'_j = \mu'_j$  for  $1 \leq j \leq k$ , and that  $\lambda'_{k+1} < \mu'_{k+1}$ , so that  $(\mu', \lambda') \in L_n$ . The converse is proved similarly. |

An ordering which is more important than either  $L_n$  or  $L'_n$  is the natural (partial) ordering  $N_n$  on  $\mathcal{P}_n$ , defined as follows:

$$(\lambda, \mu) \in N_n \Leftrightarrow \lambda_1 + \dots + \lambda_i \geq \mu_1 + \dots + \mu_i \quad \text{for all } i \geq 1.$$

As soon as  $n \geq 6$ ,  $N_n$  is not a total ordering. For example, the partitions  $(31^3)$  and  $(2^3)$  are incomparable with respect to  $N_6$ .

We shall write  $\lambda \geq \mu$  in place of  $(\lambda, \mu) \in N_n$ .

(1.10) Let  $\lambda, \mu \in \mathcal{P}_n$ . Then

$$\lambda \geq \mu \Rightarrow (\lambda, \mu) \in L_n \cap L'_n.$$

*Proof.* Suppose that  $\lambda \geq \mu$ . Then either  $\lambda_1 > \mu_1$ , in which case  $(\lambda, \mu) \in L_n$ , or else  $\lambda_1 = \mu_1$ . In that case either  $\lambda_2 > \mu_2$ , in which case again  $(\lambda, \mu) \in L_n$ , or else  $\lambda_2 = \mu_2$ . Continuing in this way, we see that  $(\lambda, \mu) \in L_n$ .

Also, for each  $i \geq 1$ , we have

$$\begin{aligned} \lambda_{i+1} + \lambda_{i+2} + \dots &= n - (\lambda_1 + \dots + \lambda_i) \\ &\leq n - (\mu_1 + \dots + \mu_i) \\ &= \mu_{i+1} + \mu_{i+2} + \dots \end{aligned}$$

Hence the same reasoning as before shows that  $(\lambda, \mu) \in L'_n$ . |

*Remark.* It is not true in general that  $N_n = L_n \cap L'_n$ . For example, when  $n = 12$  and  $\lambda = (63^2)$ ,  $\mu = (5^2 1^2)$  we have  $(\lambda, \mu) \in L_{12} \cap L'_{12}$ , but  $(\lambda, \mu) \notin N_{12}$ .

(1.11) Let  $\lambda, \mu \in \mathcal{P}_n$ . Then

$$\lambda \geq \mu \Leftrightarrow \mu' \geq \lambda'.$$

*Proof.* Clearly it is enough to prove one implication. Suppose then that  $\mu' \geq \lambda'$ . Then for some  $i \geq 1$  we have

$$\lambda'_1 + \dots + \lambda'_j \leq \mu'_1 + \dots + \mu'_j \quad (1 \leq j \leq i-1)$$

and

$$(1) \quad \lambda'_1 + \dots + \lambda'_i > \mu'_1 + \dots + \mu'_i$$

from which it follows that  $\lambda'_i > \mu'_i$ .

Let  $l = \lambda'_i$ ,  $m = \mu'_i$ . From (1) it follows that

$$(2) \quad \lambda'_{i+1} + \lambda'_{i+2} + \dots < \mu'_{i+1} + \mu'_{i+2} + \dots$$

Now  $\lambda'_{i+1} + \lambda'_{i+2} + \dots$  is equal to the number of nodes in the diagram of  $\lambda$

which lie to the right of the  $i$ th column, and therefore

$$\lambda'_{i+1} + \lambda'_{i+2} + \dots = \sum_{j=1}^l (\lambda_j - i).$$

Likewise

$$\mu'_{i+1} + \mu'_{i+2} + \dots = \sum_{j=1}^m (\mu_j - i).$$

Hence from (2) we have

$$(3) \quad \sum_{j=1}^m (\mu_j - i) > \sum_{j=1}^l (\lambda_j - i) \geq \sum_{j=1}^m (\lambda_j - i)$$

in which the right-hand inequality holds because  $l > m$  and  $\lambda_j \geq i$  for  $1 \leq j \leq l$ . From (3) we have

$$\mu_1 + \dots + \mu_m > \lambda_1 + \dots + \lambda_m$$

and therefore  $\lambda \not\geq \mu$ . |

#### Raising operators

In this subsection we shall work not with partitions but with integer vectors  $a = (a_1, \dots, a_n) \in \mathbf{Z}^n$ . The symmetric group  $S_n$  acts on  $\mathbf{Z}^n$  by permuting the coordinates, and the set

$$P_n = \{b \in \mathbf{Z}^n : b_1 \geq b_2 \geq \dots \geq b_n\}$$

is a fundamental domain for this action, i.e. the  $S_n$ -orbit of each  $a \in \mathbf{Z}^n$  meets  $P_n$  in exactly one point, which we denote by  $a^+$ . Thus  $a^+$  is obtained by rearranging  $a_1, \dots, a_n$  in descending order of magnitude.

For  $a, b \in \mathbf{Z}^n$  we define  $a \geq b$  as before to mean

$$a_1 + \dots + a_i \geq b_1 + \dots + b_i \quad (1 \leq i \leq n).$$

(1.12) Let  $a \in \mathbf{Z}^n$ . Then

$$a \in P_n \Leftrightarrow a \geq wa \quad \text{for all } w \in S_n.$$

*Proof.* Suppose that  $a \in P_n$ , i.e.,  $a_1 \geq \dots \geq a_n$ . If  $wa = b$ , then  $(b_1, \dots, b_n)$  is a permutation of  $(a_1, \dots, a_n)$ , and therefore

$$a_1 + \dots + a_i \geq b_1 + \dots + b_i \quad (1 \leq i \leq n)$$

so that  $a \geq b$ .

Conversely, if  $a \geq wa$  for all  $w \in S_n$  we have in particular

$$(a_1, \dots, a_n) \geq (a_1, \dots, a_{i-1}, a_{i+1}, a_i, a_{i+2}, \dots, a_n)$$

for  $1 \leq i \leq n-1$ , from which it follows that

$$a_1 + \dots + a_{i-1} + a_i \geq a_1 + \dots + a_{i-1} + a_{i+1},$$

i.e.,  $a_i \geq a_{i+1}$ . Hence  $a \in P_n$ . |

Let  $\delta = (n-1, n-2, \dots, 1, 0) \in P_n$ .

(1.13) Let  $a \in P_n$ . Then for each  $w \in S_n$  we have

$$(a + \delta - w\delta)^+ \geq a.$$

*Proof.* Since  $\delta \in P_n$  we have  $\delta \geq w\delta$  by (1.12), hence

$$a + \delta - w\delta \geq a.$$

Let  $b = (a + \delta - w\delta)^+$ . Then again by (1.12) we have

$$b \geq a + \delta - w\delta.$$

Hence  $b \geq a$ . |

For each pair of integers  $i, j$  such that  $1 \leq i < j \leq n$  define  $R_{ij}: \mathbf{Z}^n \rightarrow \mathbf{Z}^n$  by

$$R_{ij}(a) = (a_1, \dots, a_i + 1, \dots, a_j - 1, \dots, a_n).$$

Any product  $R = \prod_{i < j} R_{ij}^{r_{ij}}$  is called a *raising operator*. The order of the terms in the product is immaterial, since they commute with each other.

(1.14) Let  $a \in \mathbf{Z}^n$  and let  $R$  be a raising operator. Then

$$(Ra)^+ \geq a.$$

*Proof.* Let  $R$  be as above. If  $b = Ra$  we have

$$b_i = a_i + \sum_{j=1}^n r_{ij}$$

where, if  $i > j$ ,  $r_{ij}$  is defined to be  $-r_{ji}$ , and  $r_{ii} = 0$ . Hence if  $c = b^+$  we have

$$c_1 + \dots + c_k \geq b_1 + \dots + b_k = a_1 + \dots + a_k + \sum_{i=1}^k \sum_{j=1}^n r_{ij}.$$

But the matrix  $(r_{ij})$  is skew-symmetric, so that

$$\sum_{i=1}^k \sum_{j=1}^k r_{ij} = 0.$$

Hence

$$c_1 + \dots + c_k \geq a_1 + \dots + a_k + \sum_{i=1}^k \sum_{j=k+1}^n r_{ij} \geq a_1 + \dots + a_k$$

because  $r_{ij} \geq 0$  for  $i < j$ . Hence  $c \geq a$ , as required. |

Conversely:

(1.15) Let  $a, b \in \mathbf{Z}^n$  be such that  $a \leq b$  and  $a_1 + \dots + a_n = b_1 + \dots + b_n$ . Then there exists a raising operator  $R$  such that  $b = Ra$ .

*Proof.* We may take

$$R = \prod_{k=1}^{n-1} R_{k,k+1}^{r_k}$$

where

$$r_k = \sum_{i=1}^k (b_i - a_i) \geq 0. |$$

*Examples*

1. Let  $\lambda$  be a partition. The *hook-length* of  $\lambda$  at  $x = (i, j) \in \lambda$  is defined to be

$$h(x) = h(i, j) = \lambda_i + \lambda'_j - i - j + 1.$$

From (1.7'), with  $\lambda$  and  $\lambda'$  interchanged, and  $m = \lambda_1$ , we have

$$\sum_{j=1}^{\lambda_1} t^{\lambda_j + \lambda_1 - j} + \sum_{j=1}^n t^{\lambda_1 - 1 + j - \lambda_j} = \sum_{j=0}^{\lambda_1 + n - 1} t^j$$

or, putting  $\mu_i = \lambda_i + n - i$  ( $1 \leq i \leq n$ ),

$$(1) \quad \sum_{j=1}^{\lambda_1} t^{h(1,j)} + \sum_{j=2}^n t^{\mu_1 - \mu_j} = \sum_{j=1}^{\mu_1} t^j.$$

By writing down this identity for the partition  $(\lambda, \lambda_1 + 1, \dots)$  and then summing over  $i = 1, 2, \dots, l(\lambda)$  we obtain

$$(2) \quad \sum_{x \in \lambda} t^{h(x)} + \sum_{i < j} t^{\mu_i - \mu_j} = \sum_{i \geq 1} \sum_{j=1}^{\mu_i} t^j.$$

From (2) it follows that

$$(3) \quad \prod_{x \in \lambda} (1 - t^{h(x)}) = \frac{\prod_{i \geq 1} \prod_{j=1}^{\mu_i} (1 - t^j)}{\prod_{i < j} (1 - t^{\mu_i - \mu_j})}$$

and in particular, by dividing both sides of (3) by  $(1-t)^{|\lambda|}$  and then setting  $t = 1$ , that

$$(4) \quad \prod_{x \in \lambda} h(x) = \frac{\prod_{i \geq 1} \mu_i!}{\prod_{i < j} (\mu_i - \mu_j)}.$$

2. The sum of the hook-lengths of  $\lambda$  is

$$\sum_{x \in \lambda} h(x) = n(\lambda) + n(\lambda') + |\lambda|.$$

3. For each  $x = (i, j) \in \lambda$ , the *content* of  $x$  is defined to be  $c(x) = j - i$ . We have

$$\sum_{x \in \lambda} c(x) = n(\lambda') - n(\lambda).$$

If  $n$  is any integer  $\geq l(\lambda)$ , the numbers  $n + c(x)$  for  $x$  in the  $i$ th row of  $\lambda$  are  $n - i + 1, \dots, n - i + \lambda_i$ , and therefore

$$\prod_{x \in \lambda} (1 - t^{n+c(x)}) = \prod_{i \geq 1} \frac{\varphi_{\lambda_i + n - i}(t)}{\varphi_{n - i}(t)}$$

where  $\varphi_r(t) = (1 - t)(1 - t^2) \dots (1 - t^r)$ .

4. If  $\lambda = (\lambda_1, \dots, \lambda_n) = (\alpha_1, \dots, \alpha_r \mid \beta_1, \dots, \beta_r)$  in Frobenius notation, then

$$\sum_{i=1}^n t^i (1 - t^{-\lambda_i}) = \sum_{j=1}^r (t^{\beta_j + 1} - t^{-\alpha_j}).$$

#### Notes and references

The idea of representing a partition by a diagram goes back to Ferrers and Sylvester, and the diagram of a partition is called by some authors the Ferrers (often misspelt) diagram or graph. Tableaux and raising operators were introduced by Alfred Young in his series of papers on quantitative substitutional analysis [55].

## 2. The ring of symmetric functions

Consider the ring  $\mathbf{Z}[x_1, \dots, x_n]$  of polynomials in  $n$  independent variables  $x_1, \dots, x_n$  with rational integer coefficients. The symmetric group  $S_n$  acts on this ring by permuting the variables, and a polynomial is *symmetric* if it is invariant under this action. The symmetric polynomials form a subring

$$\Lambda_n = \mathbf{Z}[x_1, \dots, x_n]^{S_n}.$$

$\Lambda_n$  is a graded ring: we have

$$\Lambda_n = \bigoplus_{k \geq 0} \Lambda_n^k$$

where  $\Lambda_n^k$  consists of the homogeneous symmetric polynomials of degree  $k$ , together with the zero polynomial.

For each  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbf{N}^n$  we denote by  $x^\alpha$  the monomial

$$x^\alpha = x_1^{\alpha_1} \dots x_n^{\alpha_n}.$$

Let  $\lambda$  be any partition of length  $\leq n$ . The polynomial

$$(2.1) \quad m_\lambda(x_1, \dots, x_n) = \sum x^\alpha$$

summed over all distinct permutations  $\alpha$  of  $\lambda = (\lambda_1, \dots, \lambda_n)$ , is clearly symmetric, and the  $m_\lambda$  (as  $\lambda$  runs through all partitions of length  $\leq n$ ) form a  $\mathbf{Z}$ -basis of  $\Lambda_n$ . Hence the  $m_\lambda$  such that  $l(\lambda) \leq n$  and  $|\lambda| = k$  form a  $\mathbf{Z}$ -basis of  $\Lambda_n^k$ ; in particular, as soon as  $n \geq k$ , the  $m_\lambda$  such that  $|\lambda| = k$  form a  $\mathbf{Z}$ -basis of  $\Lambda_n^k$ .

In the theory of symmetric functions, the number of variables is usually irrelevant, provided only that it is large enough, and it is often more convenient to work with symmetric functions in infinitely many variables. To make this idea precise, let  $m \geq n$  and consider the homomorphism

$$\mathbf{Z}[x_1, \dots, x_m] \rightarrow \mathbf{Z}[x_1, \dots, x_n]$$

which sends each of  $x_{n+1}, \dots, x_m$  to zero and the other  $x_i$  to themselves. On restriction to  $\Lambda_m$  this gives a homomorphism

$$\rho_{m,n}: \Lambda_m \rightarrow \Lambda_n$$

whose effect on the basis ( $m_\lambda$ ) is easily described; it sends  $m_\lambda(x_1, \dots, x_m)$  to  $m_\lambda(x_1, \dots, x_n)$  if  $l(\lambda) \leq n$ , and to 0 if  $l(\lambda) > n$ . It follows that  $\rho_{m,n}$  is surjective. On restriction to  $\Lambda_m^k$  we have homomorphisms

$$\rho_{m,n}^k: \Lambda_m^k \rightarrow \Lambda_n^k$$

for all  $k \geq 0$  and  $m \geq n$ , which are always surjective, and are bijective for  $m \geq n \geq k$ .

We now form the inverse limit

$$\Lambda^k = \varprojlim_n \Lambda_n^k$$

of the  $\mathbf{Z}$ -modules  $\Lambda_n^k$  relative to the homomorphisms  $\rho_{m,n}^k$ : an element of  $\Lambda^k$  is by definition a sequence  $f = (f_n)_{n \geq 0}$ , where each  $f_n = f_n(x_1, \dots, x_n)$  is a homogeneous symmetric polynomial of degree  $k$  in  $x_1, \dots, x_n$ , and  $f_m(x_1, \dots, x_m, 0, \dots, 0) = f_n(x_1, \dots, x_n)$  whenever  $m \geq n$ . Since  $\rho_{m,n}^k$  is an isomorphism for  $m \geq n \geq k$ , it follows that the projection

$$\rho_n^k: \Lambda^k \rightarrow \Lambda_n^k$$

which sends  $f$  to  $f_n$ , is an isomorphism for all  $n \geq k$ , and hence that  $\Lambda^k$  has a  $\mathbf{Z}$ -basis consisting of the *monomial symmetric functions*  $m_\lambda$  (for all partitions  $\lambda$  of  $k$ ) defined by

$$\rho_n^k(m_\lambda) = m_\lambda(x_1, \dots, x_n)$$

for all  $n \geq k$ . Hence  $\Lambda^k$  is a free  $\mathbf{Z}$ -module of rank  $p(k)$ , the number of partitions of  $k$ .

Now let

$$\Lambda = \bigoplus_{k \geq 0} \Lambda^k,$$

so that  $\Lambda$  is the free  $\mathbf{Z}$ -module generated by the  $m_\lambda$  for all partitions  $\lambda$ . We have surjective homomorphisms

$$\rho_n = \bigoplus_{k \geq 0} \rho_n^k: \Lambda \rightarrow \Lambda_n$$

for each  $n \geq 0$ , and  $\rho_n$  is an isomorphism in degrees  $k \leq n$ .

It is clear that  $\Lambda$  has a structure of a graded ring such that the  $\rho_n$  are ring homomorphisms. The graded ring  $\Lambda$  thus defined is called the *ring of symmetric functions*† in countably many independent variables  $x_1, x_2, \dots$ .

*Remarks.* 1.  $\Lambda$  is not the inverse limit (in the category of rings) of the rings  $\Lambda_n$  relative to the homomorphisms  $\rho_{m,n}$ . This inverse limit,  $\hat{\Lambda}$  say, contains for example the infinite product  $\prod_{i=1}^{\infty} (1+x_i)$ , which does not belong to  $\Lambda$ , since the elements of  $\Lambda$  are by definition finite sums of monomial symmetric functions  $m_\lambda$ . However,  $\Lambda$  is the inverse limit of the  $\Lambda_n$  in the category of graded rings.

2. We could use any commutative ring  $A$  in place of  $\mathbf{Z}$  as coefficient ring; in place of  $\Lambda$  we should obtain  $\Lambda_A \cong \Lambda \otimes_{\mathbf{Z}} A$ .

#### Elementary symmetric functions

For each integer  $r \geq 0$  the  $r$ th elementary symmetric function  $e_r$  is the sum of all products of  $r$  distinct variables  $x_i$ , so that  $e_0 = 1$  and

$$e_r = \sum_{i_1 < i_2 < \dots < i_r} x_{i_1} x_{i_2} \dots x_{i_r} = m_{(1^r)}$$

for  $r \geq 1$ . The generating function for the  $e_r$  is

$$(2.2) \quad E(t) = \sum_{r \geq 0} e_r t^r = \prod_{i \geq 1} (1 + x_i t)$$

( $t$  being another variable), as one sees by multiplying out the product on the right. (If the number of variables is finite, say  $n$ , then  $e_r$  (i.e.,  $\rho_n(e_r)$ ) is

† The elements of  $\Lambda$  (unlike those of  $\Lambda_n$ ) are no longer polynomials: they are formal infinite sums of monomials. We have therefore reverted to the older terminology of 'symmetric functions'.

zero for all  $r > n$ , and (2.2) then takes the form

$$\sum_{r=0}^n e_r t^r = \prod_{i=1}^n (1 + x_i t)$$

both sides now being elements of  $\Lambda_n[t]$ . Similar remarks will apply to many subsequent formulas, and we shall usually leave it to the reader to make the necessary (and obvious) adjustments.)

For each partition  $\lambda = (\lambda_1, \lambda_2, \dots)$  define

$$e_\lambda = e_{\lambda_1} e_{\lambda_2} \dots$$

(2.3) Let  $\lambda$  be a partition,  $\lambda'$  its conjugate. Then

$$e_{\lambda'} = m_\lambda + \sum_{\mu} a_{\lambda\mu} m_\mu$$

where the  $a_{\lambda\mu}$  are non-negative integers, and the sum is over partitions  $\mu$  which are later than  $\lambda$  in the reverse lexicographic ordering.

*Proof.* We have

$$e_{\lambda'} = e_1^{m_1} e_2^{m_2} \dots$$

where  $m_i = m_i(\lambda') = \lambda_i - \lambda_{i+1}$  by (1.4). If we now multiply out this product, we obtain a sum of monomials; if these are arranged in reverse lexicographic order, the leading term in the expansion is clearly

$$x_1^{m_1} (x_1 x_2)^{m_2} (x_1 x_2 x_3)^{m_3} \dots = x_1^{\lambda_1} x_2^{\lambda_2} x_3^{\lambda_3} \dots = x^\lambda.$$

Since  $e_{\lambda'}$  is a symmetric function, it follows that the monomial symmetric function  $m_\lambda$  occurs in the expansion with coefficient 1, and that all other  $m_\mu$  which occur are lower than  $m_\lambda$  in the reverse lexicographic ordering. |

(2.4) We have

$$\Lambda = \mathbf{Z}[e_1, e_2, \dots]$$

and the  $e_r$  are algebraically independent over  $\mathbf{Z}$ .

*Proof.* The  $m_\lambda$  form a  $\mathbf{Z}$ -basis of  $\Lambda$ , and (2.3) shows that the  $e_\lambda$  form another  $\mathbf{Z}$ -basis: in other words, every element of  $\Lambda$  is uniquely expressible as a polynomial in the  $e_r$ . |

*Remark.* When there are only finitely many variables  $x_1, \dots, x_n$ , (2.4) states that  $\Lambda_n = \mathbf{Z}[e_1, \dots, e_n]$ , and that  $e_1, \dots, e_n$  are algebraically independent. This is the usual statement of the 'fundamental theorem on symmetric functions'.

### Complete symmetric functions

For each  $r \geq 0$  the  $r$ th complete symmetric function  $h_r$  is the sum of all monomials of total degree  $r$  in the variables  $x_1, x_2, \dots$ , so that

$$h_r = \sum_{|\lambda|=r} m_\lambda.$$

In particular,  $h_0 = 1$  and  $h_1 = e_1$ . It is convenient to define  $h_r$  and  $e_r$  to be zero for  $r < 0$ .

The generating function for the  $h_r$  is

$$(2.5) \quad H(t) = \sum_{r \geq 0} h_r t^r = \prod_{i \geq 1} (1 - x_i t)^{-1}.$$

To see this, observe that

$$(1 - x_i t)^{-1} = \sum_{k \geq 0} x_i^k t^k,$$

and multiply these geometric series together.

From (2.2) and (2.5) we have

$$(2.6) \quad H(t)E(-t) = 1$$

or, equivalently,

$$(2.6') \quad \sum_{r=0}^n (-1)^r e_r h_{n-r} = 0$$

for all  $n \geq 1$ .

Since the  $e_r$  are algebraically independent (2.4), we may define a homomorphism of graded rings

$$\omega: \Lambda \rightarrow \Lambda$$

by

$$\omega(e_r) = h_r$$

for all  $r \geq 0$ . The symmetry of the relations (2.6') as between the  $e$ 's and the  $h$ 's shows that

(2.7)  $\omega$  is an involution, i.e.  $\omega^2$  is the identity map. |

It follows that  $\omega$  is an automorphism of  $\Lambda$ , and hence from (2.4) that

(2.8) We have

$$\Lambda = \mathbf{Z}[h_1, h_2, \dots]$$

and the  $h_r$  are algebraically independent over  $\mathbf{Z}$ . |

*Remark.* If the number of variables is finite, say  $n$  (so that  $e_r = 0$  for  $r > n$ ) the mapping  $\omega: \Lambda_n \rightarrow \Lambda_n$  is defined by  $\omega(e_r) = h_r$  for  $1 \leq r \leq n$ , and is still an involution by reason of (2.6'); we have  $\Lambda_n = \mathbf{Z}[h_1, \dots, h_n]$  with  $h_1, \dots, h_n$  algebraically independent, but  $h_{n+1}, h_{n+2}, \dots$  are non-zero polynomials in  $h_1, \dots, h_n$  (or in  $e_1, \dots, e_n$ ).

As in the case of the  $e$ 's, we define

$$h_\lambda = h_{\lambda_1} h_{\lambda_2} \dots$$

for any partition  $\lambda = (\lambda_1, \lambda_2, \dots)$ . By (2.8), the  $h_\lambda$  form a  $\mathbf{Z}$ -basis of  $\Lambda$ . We now have three  $\mathbf{Z}$ -bases, all indexed by partitions: the  $m_\lambda$ , the  $e_\lambda$  and the  $h_\lambda$ , the last two of which correspond under the involution  $\omega$ . If we define

$$f_\lambda = \omega(m_\lambda)$$

for each partition  $\lambda$ , the  $f_\lambda$  form a fourth  $\mathbf{Z}$ -basis of  $\Lambda$ . (The  $f_\lambda$  are the 'forgotten' symmetric functions: they have no particularly simple direct description.)

The relations (2.6') lead to a determinant identity which we shall make use of later. Let  $N$  be a positive integer and consider the matrices of  $N+1$  rows and columns

$$H = (h_{i-j})_{0 \leq i, j \leq N}, \quad E = ((-1)^{i-j} e_{i-j})_{0 \leq i, j \leq N}$$

with the convention mentioned earlier that  $h_r = e_r = 0$  for  $r < 0$ . Both  $H$  and  $E$  are lower triangular, with 1's down the diagonal, so that  $\det H = \det E = 1$ ; moreover the relations (2.6') show that they are inverses of each other. It follows that each minor of  $H$  is equal to the complementary cofactor of  $E'$ , the transpose of  $E$ .

Let  $\lambda, \mu$  be two partitions of length  $\leq p$ , such that  $\lambda'$  and  $\mu'$  have length  $\leq q$ , where  $p+q = N+1$ . Consider the minor of  $H$  with row indices  $\lambda_i + p - i$  ( $1 \leq i \leq p$ ) and column indices  $\mu_i + p - i$  ( $1 \leq i \leq p$ ). By (1.7) the complementary cofactor of  $E'$  has row indices  $p - 1 + j - \lambda'_j$  ( $1 \leq j \leq q$ ) and column indices  $p - 1 + j - \mu'_j$  ( $1 \leq j \leq q$ ). Hence we have

$$\det(h_{\lambda_i - \mu_j - i + j})_{1 \leq i, j \leq p} = (-1)^{|\lambda| + |\mu|} \det((-1)^{\lambda'_i - \mu'_j - i + j} e_{\lambda'_i - \mu'_j - i + j})_{1 \leq i, j \leq q}.$$

The minus signs cancel out, and therefore we have (Aitken [1])

$$(2.9) \quad \det(h_{\lambda_i - \mu_j - i + j})_{1 \leq i, j \leq p} = \det(e_{\lambda'_i - \mu'_j - i + j})_{1 \leq i, j \leq q}.$$

In particular, taking  $\mu = 0$ :

$$(2.9') \quad \det(h_{\lambda_i - i + j}) = \det(e_{\lambda'_i - i + j}).$$

*Power sums*

For each  $r \geq 1$  the  $r$ th power sum is

$$p_r = \sum x_i^r = m_{(r)}.$$

The generating function for the  $p_r$  is

$$\begin{aligned} P(t) &= \sum_{r \geq 1} p_r t^{r-1} = \sum_{i \geq 1} \sum_{r \geq 1} x_i^r t^{r-1} \\ &= \sum_{i \geq 1} \frac{x_i}{1 - x_i t} \\ &= \sum_{i \geq 1} \frac{d}{dt} \log \frac{1}{1 - x_i t} \end{aligned}$$

so that

$$(2.10) \quad P(t) = \frac{d}{dt} \log \prod_{i \geq 1} (1 - x_i t)^{-1} = \frac{d}{dt} \log H(t) = H'(t)/H(t).$$

Likewise we have

$$(2.10') \quad P(-t) = \frac{d}{dt} \log E(t) = E'(t)/E(t).$$

From (2.10) we obtain

$$(2.11) \quad n h_n = \sum_{r=1}^n p_r h_{n-r}$$

for  $n \geq 1$ , and these equations enable us to express the  $h$ 's in terms of the  $p$ 's, and vice versa. From (2.11) it is clear that  $h_n \in \mathbf{Q}[p_1, \dots, p_n]$  and  $p_n \in \mathbf{Z}[h_1, \dots, h_n]$ , and hence that

$$\mathbf{Q}[p_1, \dots, p_n] = \mathbf{Q}[h_1, \dots, h_n].$$

Since the  $h_r$  are algebraically independent over  $\mathbf{Z}$ , and hence also over  $\mathbf{Q}$ , it follows that

(2.12) We have

$$\Lambda_{\mathbf{Q}} = \Lambda \otimes_{\mathbf{Z}} \mathbf{Q} = \mathbf{Q}[p_1, p_2, \dots]$$

and the  $p_r$  are algebraically independent over  $\mathbf{Q}$ .

Hence, if we define

$$p_{\lambda} = p_{\lambda_1} p_{\lambda_2} \dots$$

for each partition  $\lambda = (\lambda_1, \lambda_2, \dots)$ , then the  $p_{\lambda}$  form a  $\mathbf{Q}$ -basis of  $\Lambda_{\mathbf{Q}}$ . But they do not form a  $\mathbf{Z}$ -basis of  $\Lambda$ : for example,  $h_2 = \frac{1}{2}(p_1^2 + p_2)$  does not have integral coefficients when expressed in terms of the  $p_{\lambda}$ .

Since the involution  $\omega$  interchanges  $E(t)$  and  $H(t)$  it follows from (2.10) and (2.10') that

$$\omega(p_n) = (-1)^{n-1} p_n$$

for all  $n \geq 1$ , and hence that for any partition  $\lambda$  we have

$$(2.13) \quad \omega(p_{\lambda}) = \varepsilon_{\lambda} p_{\lambda}$$

where  $\varepsilon_{\lambda} = (-1)^{|\lambda| - l(\lambda)}$ .

Finally, we shall express  $h_n$  and  $e_n$  as linear combinations of the  $p_{\lambda}$ . For any partition  $\lambda$ , define

$$z_{\lambda} = \prod_{i \geq 1} i^{m_i} \cdot m_i!$$

where  $m_i = m_i(\lambda)$  is the number of parts of  $\lambda$  equal to  $i$ . Then we have

$$(2.14) \quad \begin{aligned} H(t) &= \sum_{\lambda} z_{\lambda}^{-1} p_{\lambda} t^{|\lambda|}, \\ E(t) &= \sum_{\lambda} \varepsilon_{\lambda} z_{\lambda}^{-1} p_{\lambda} t^{|\lambda|}, \end{aligned}$$

or equivalently

$$(2.14') \quad \begin{aligned} h_n &= \sum_{|\lambda|=n} z_{\lambda}^{-1} p_{\lambda}, \\ e_n &= \sum_{|\lambda|=n} \varepsilon_{\lambda} z_{\lambda}^{-1} p_{\lambda}. \end{aligned}$$

*Proof.* It is enough to prove the first of the identities (2.14), since the second then follows by applying the involution  $\omega$  and using (2.13). From (2.10) we have

$$\begin{aligned} H(t) &= \exp \sum_{r \geq 1} p_r t^r / r \\ &= \prod_{r \geq 1} \exp(p_r t^r / r) \\ &= \prod_{r \geq 1} \sum_{m_r=0}^{\infty} (p_r t^r)^{m_r} / r^{m_r} \cdot m_r! \\ &= \sum_{\lambda} z_{\lambda}^{-1} p_{\lambda} t^{|\lambda|}. \end{aligned}$$

(2.15) *Remark.* In the language of  $\lambda$ -rings ([5], [23]) the ring  $\Lambda$  is the 'free  $\lambda$ -ring in one variable' (or, more precisely, is the underlying ring). Consequently all the formulas and identities in this chapter can be translated into this language. It is not our intention to write a text on the theory of  $\lambda$ -rings: we shall merely provide a brief dictionary.

If  $R$  is any  $\lambda$ -ring and  $x$  any element of  $R$ , there exists a unique  $\lambda$ -homomorphism  $\Lambda \rightarrow R$  under which  $e_1 (= h_1 = p_1)$  is mapped to  $x$ .

Under this homomorphism

$e_r$ is mapped to	$\lambda^r(x)$	( $r$ th exterior power)
$h_r$	$\sigma^r(x) = (-1)^r \lambda^r(-x)$	( $r$ th symmetric power)
$E(t)$	$\lambda_t(x)$	
$H(t)$	$\sigma_t(x) = \lambda_{-t}(-x)$	
$p_r$	$\psi^r(x)$	(Adams operations)

and the involution  $\omega$  corresponds in  $R$  to  $x \mapsto -x$ . So, for example, (2.14') becomes

$$\sigma^n(x) = \sum_{|\lambda|=n} z_\lambda^{-1} \psi^\lambda(x)$$

valid for any element  $x$  of any  $\lambda$ -ring (where of course  $\psi^\lambda(x) = \psi^{\lambda_1}(x)\psi^{\lambda_2}(x)\dots$ ).

### Examples

1. Let  $x_1 = \dots = x_n = 1$ ,  $x_{n+1} = x_{n+2} = \dots = 0$ . Then  $E(t) = (1+t)^n$ ,  $H(t) = (1-t)^{-n}$ , so that

$$e_r = \binom{n}{r}, \quad h_r = \binom{n+r-1}{r}$$

and  $p_r = n$  for all  $r \geq 1$ .

2. Let  $x_i = 1/n$  for  $1 \leq i \leq n$ ,  $x_i = 0$  for  $i > n$ , and then let  $n \rightarrow \infty$ . From Ex. 1 we have

$$e_r = \lim_{n \rightarrow \infty} \frac{1}{n^r} \binom{n}{r} = \frac{1}{r!}$$

and likewise  $h_r = 1/r!$ , so that  $E(t) = H(t) = e^t$ . We have  $p_1 = 1$  and  $p_r = 0$  for  $r > 1$ ; more generally,  $m_\lambda = 0$  for all partitions  $\lambda$  except  $\lambda = (1^r)$  ( $r \geq 0$ ).

3. Let  $x_i = q^{i-1}$  for  $1 \leq i \leq n$ , and  $x_i = 0$  for  $i > n$ , where  $q$  is an indeterminate. Then

$$E(t) = \prod_{i=0}^{n-1} (1+q^i t) = \sum_{r=0}^n q^{r(r-1)/2} \begin{bmatrix} n \\ r \end{bmatrix} t^r$$

where  $\begin{bmatrix} n \\ r \end{bmatrix}$  denotes the ' $q$ -binomial coefficient' or Gaussian polynomial

$$\begin{bmatrix} n \\ r \end{bmatrix} = \frac{(1-q^n)(1-q^{n-1})\dots(1-q^{n-r+1})}{(1-q)(1-q^2)\dots(1-q^r)},$$

and

$$H(t) = \prod_{i=0}^{n-1} (1-q^i t)^{-1} = \sum_{r=0}^{\infty} \begin{bmatrix} n+r-1 \\ r \end{bmatrix} t^r.$$

These identities are easily proved by induction on  $n$ . It follows that

$$e_r = q^{r(r-1)/2} \begin{bmatrix} n \\ r \end{bmatrix}, \quad h_r = \begin{bmatrix} n+r-1 \\ r \end{bmatrix}.$$

$h_r$  is the generating function for partitions  $\lambda$  such that  $l(\lambda) \leq r$  and  $l(\lambda') \leq n-1$ , and  $e_r$  is the generating function for such partitions with all parts distinct.

4. Let  $n \rightarrow \infty$  in Ex. 3, i.e., let  $x_i = q^{i-1}$  for all  $i \geq 1$ . Then

$$E(t) = \prod_{i=0}^{\infty} (1+q^i t) = \sum_{r=0}^{\infty} q^{r(r-1)/2} t^r / \varphi_r(q)$$

$$H(t) = \prod_{i=0}^{\infty} (1-q^i t)^{-1} = \sum_{r=0}^{\infty} t^r / \varphi_r(q)$$

where

$$\varphi_r(q) = (1-q)(1-q^2)\dots(1-q^r).$$

Hence in this case

$$e_r = q^{r(r-1)/2} / \varphi_r(q), \quad h_r = 1 / \varphi_r(q)$$

and  $p_r = (1-q^r)^{-1}$ .

5. Since the  $h_r$  are algebraically independent we may specialize them in any way, and forget about the original variables  $x_i$ : in other words, we may take  $H(t)$  (or  $E(t)$ ) to be any power series in  $t$  with constant term 1. (We have already done this in Ex. 2 above, where  $H(t) = e^t$ .) Let  $a, b, q$  be variables and take

$$H(t) = \prod_{i=0}^{\infty} \frac{1-bq^i t}{1-aq^i t}.$$

Then we have

$$h_r = \prod_{i=1}^r \frac{a-bq^{i-1}}{1-q^i}, \quad e_r = \prod_{i=1}^r \frac{aq^{i-1}-b}{1-q^i}$$

(see e.g. Andrews [2], Ch. II.) Also  $p_r = (a^r - b^r) / (1 - q^r)$ .

6. Take  $H(t) = \prod_{n=1}^{\infty} (1-t^n)^{-1}$ , so that  $h_n = p(n)$ , the number of partitions of  $n$ .

Then  $E(-t) = \prod_{n=1}^{\infty} (1-t^n)$ , and so by Euler's pentagonal number theorem  $e_n = 0$  unless  $n$  is a pentagonal number, i.e. of the form  $\frac{1}{2}m(3m+1)$  for some  $m \in \mathbf{Z}$ ; and  $e_n = (-1)^{m(m+1)/2}$  if  $n = \frac{1}{2}m(3m+1)$ .

From (2.10) we obtain  $p_r = \sigma(r)$ , the sum of the divisors of  $r$ . Hence (2.11) gives in this case

$$(1) \quad p(n) = \frac{1}{n} \sum_{r=1}^n \sigma(r) p(n-r).$$

7. Take  $H(t) = \prod_{n=1}^{\infty} (1-t^n)^{-n}$ , so that  $h_n = p_2(n)$ , the number of plane partitions of  $n$  (§5, Ex. 13). From (2.10) we obtain  $p_r = \sigma_2(r)$ , the sum of the squares of the

divisors of  $r$ . Hence by (2.11)

$$(2) \quad p_2(n) = \frac{1}{n} \sum_{r=1}^n \sigma_2(r) p_2(n-r).$$

It is perhaps only fair to warn the reader that the obvious generalization of (1) and (2) to  $m$ -dimensional partitions ( $m > 2$ ) is false.

8. By solving the equations (2.6') for  $e_n$  we obtain

$$e_n = \det(h_{1-i+j})_{1 \leq i, j \leq n}$$

and dually

$$h_n = \det(e_{1-i+j})_{1 \leq i, j \leq n}.$$

Likewise from (2.11) we obtain the determinant formulas

$$p_n = \begin{vmatrix} e_1 & 1 & 0 & \dots & 0 \\ 2e_2 & e_1 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ ne_n & e_{n-1} & e_{n-2} & \dots & e_1 \end{vmatrix}$$

$$n!e_n = \begin{vmatrix} p_1 & 1 & 0 & \dots & 0 \\ p_2 & p_1 & 2 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ p_{n-1} & p_{n-2} & \dots & \dots & n-1 \\ p_n & p_{n-1} & \dots & \dots & p_1 \end{vmatrix}$$

and dually

$$(-1)^{n-1} p_n = \begin{vmatrix} h_1 & 1 & 0 & \dots & 0 \\ 2h_2 & h_1 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ nh_n & h_{n-1} & h_{n-2} & \dots & h_1 \end{vmatrix}$$

$$n!h_n = \begin{vmatrix} p_1 & -1 & 0 & \dots & 0 \\ p_2 & p_1 & -2 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ p_{n-1} & p_{n-2} & \dots & \dots & -n+1 \\ p_n & p_{n-1} & \dots & \dots & p_1 \end{vmatrix}.$$

9. Let  $G$  be any subgroup of the symmetric group  $S_n$ . The cycle indicator of  $G$  is the symmetric function

$$c(G) = \frac{1}{|G|} \sum_{\rho \in G} n_G(\rho) p_\rho$$

where  $n_G(\rho)$  is the number of elements in  $G$  of cycle-type  $\rho$ , and the sum is over all partitions  $\rho$  of  $n$ . In particular,

$$c(S_n) = \sum_{|\rho|=n} z_\rho^{-1} p_\rho = h_n$$

by (2.14'), and for the alternating group  $A_n$  we have

$$c(A_n) = h_n + e_n.$$

If  $G$  is a subgroup of  $S_n$  and  $H$  a subgroup of  $S_m$ , then  $G \times H$  is a subgroup of  $S_n \times S_m \subset S_{n+m}$ , and we have

$$c(G \times H) = c(G) \cdot c(H).$$

10. From Exx. 8 and 9 it follows that the number of elements of cycle-type  $\rho$  in  $S_n$  is equal to the coefficient of  $p_\rho$  in the determinant

$$d_n = n!h_n = \begin{vmatrix} p_1 & -1 & 0 & \dots & 0 \\ p_2 & p_1 & -2 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ p_{n-1} & p_{n-2} & \dots & \dots & -n+1 \\ p_n & p_{n-1} & \dots & \dots & p_1 \end{vmatrix}$$

Let  $l$  be a prime number. We may use this formula to count the number of conjugacy classes in  $S_n$  in which the number of elements is prime to  $l$ , by reducing the determinant  $d_n$  modulo  $l$ . Suppose that  $n = a_0 + n_1 l$ , where  $0 \leq a_0 \leq l-1$ . Then since the multiples of  $l$  above the diagonal in  $d_n$  become zero on reduction, it follows that

$$d_n \equiv d_1^{n_1} d_{a_0} \pmod{l}$$

Now it is clear from the original definition of  $d_n = n!c(S_n)$  that

$$d_1 \equiv p_1 - p_l \pmod{l}$$

and therefore we have

$$(1) \quad d_n \equiv (p_1 - p_l)^{n_1} \cdot d_{a_0} \pmod{l}.$$

Hence if  $n = a_0 + a_1 l + a_2 l^2 + \dots$ , with  $0 \leq a_i \leq l-1$  for all  $i \geq 0$ , it follows from (1) that

$$d_n \equiv d_{a_0} \prod_{i \geq 1} (p_1^{l^i} - p_l^{l^i})^{a_i} \pmod{l}.$$

Consequently, if  $\mu_l(S_n)$  denotes the number of conjugacy classes in  $S_n$  of order prime to  $l$ , we have

$$\begin{aligned} \mu_l(S_n) &= \mu_l(S_{a_0}) \prod_{i \geq 1} (a_i + 1) \\ &= p(a_0) \prod_{i \geq 1} (a_i + 1) \end{aligned}$$

where  $p(a_0)$  is the number of partitions of  $a_0$ . In particular, if  $l=2$ , we see that  $\mu_2(S_n)$  is always a power of 2, because each  $a_i$  is then either 0 or 1: namely  $\mu_2(S_n) = 2^r$  if  $n$  is a sum of  $r$  distinct powers of 2.

11. Let

$$f(t) = \sum_{n=0}^{\infty} \frac{f_n t^n}{n!}, \quad g(t) = \sum_{n=0}^{\infty} \frac{g_n t^n}{n!}$$

be formal power series (with coefficients in a commutative  $\mathbf{Q}$ -algebra) such that  $g(0) = 0$ . We may substitute  $g(t)$  for  $t$  in  $f(t)$ , and obtain say

$$H(t) = f(g(t)) = \sum_0^{\infty} \frac{H_n t^n}{n!}.$$

Clearly each coefficient  $H_n$  is of the form

$$H_n = \sum_{k=1}^n f_k B_{n,k}(g)$$

where the  $B_{n,k}$  are polynomials in the coefficients of  $g$ , called the *partial Bell polynomials*. Since each  $H_n$  is linear in the coefficients of  $f$ , in order to compute the polynomials  $B_{n,k}$  we may take  $f_k = a^k$ , so that  $f(t) = e^{at}$ . Writing

$$H(t) = \sum_{n=0}^{\infty} h_n t^n$$

as usual, we have  $H(t) = \exp(ag(t))$  and therefore by (2.10)

$$P(t) = \frac{d}{dt} \log H(t) = ag'(t) = \sum_1^{\infty} \frac{ag_n t^{n-1}}{(n-1)!},$$

so that  $p_n = ag_n/(n-1)!$  for all  $n \geq 1$ . Hence by (2.14')

$$H_n = n! h_n = \sum_{|\lambda|=n} \frac{n!}{z_\lambda} p_\lambda$$

and consequently

$$B_{n,k} = \sum_{\lambda} \frac{n!}{z_\lambda} p_\lambda = \sum_{\lambda} c_\lambda g_\lambda$$

where the sum is over partitions  $\lambda$  of  $n$  such that  $l(\lambda) = k$ , and

$$g_\lambda = g_{\lambda_1} g_{\lambda_2} \dots, \quad c_\lambda = n! / \prod_{i \geq 1} i!^{r_i}$$

if  $\lambda = (1^{r_1} 2^{r_2} \dots)$ . These coefficients  $c_\lambda$  are *integers*, because  $c_\lambda$  is the number of decompositions of a set of  $n$  elements into disjoint subsets containing  $\lambda_1, \lambda_2, \dots$  elements. Hence each  $B_{n,k}$  is a polynomial in the  $g_n$ 's with integer coefficients.

Particular cases:

(a) if  $g(t) = \log(1+t)$ , then  $B_{n,k} = s(n,k)$  are the *Stirling numbers of the first kind*;  $(-1)^{n-k} s(n,k)$  is the number of elements of  $S_n$  which are products of  $k$  disjoint cycles. We have

$$\sum_{n,k \geq 0} s(n,k) \frac{t^n}{n!} a^k = (1+t)^a = \sum_{n \geq 0} \binom{a}{n} t^n,$$

from which it follows that

$$\sum_{k=0}^n s(n,k) a^k = a(a-1) \dots (a-n+1)$$

and hence that  $s(n,k)$  is the  $(n-k)$ th elementary symmetric function of  $-1, -2, \dots, -n+1$ .

(b) if  $g(t) = e^t - 1$ , so that  $g_n = 1$  for all  $n \geq 1$ , then  $B_{n,k} = S(n,k)$  are the *Stirling numbers of the second kind*;  $S(n,k)$  is the number of decompositions of a set of  $n$  elements into  $k$  disjoint subsets, and is also the  $(n-k)$ th complete symmetric function of  $1, 2, \dots, k$ .

12. Deduce from Ex. 11 that if  $f$  and  $g$  are  $n$  times differentiable functions of a real variable, and if  $f_k, g_k, (f \circ g)_k$  denote the  $k$ th derivatives of  $f, g$  and  $f \circ g$ , then

$$(f \circ g)_n = \sum_{k=1}^n B_{n,k}(g_1, g_2, \dots)(f_k \circ g).$$

13. If  $H(t) = (1-t^r)/(1-t)^r$ , we have

$$h_n = \binom{n+r-1}{r-1} - \binom{n-1}{r-1}$$

and by (2.10) we find that  $p_n = r$  if  $n \not\equiv 0 \pmod{r}$ , whereas  $p_n = 0$  if  $n \equiv 0 \pmod{r}$ . Hence from (2.14')

$$\sum_{\lambda} z_\lambda^{-1} r^{l(\lambda)} = \binom{n+r-1}{r-1} - \binom{n-1}{r-1} \quad (r \geq 2)$$

where the sum on the left is over partitions  $\lambda$  of  $n$  none of whose parts is divisible by  $r$ .

In particular ( $r=2$ )

$$\sum_{\lambda} z_\lambda^{-1} 2^{l(\lambda)} = 2$$

summed over all partitions of  $n$  into *odd* parts.

### Notes and references

Ex. 10. The calculation of  $\mu_p(S_n)$ , and in particular the fact that  $\mu_2(S_n)$  is a power of 2, is new, as far as I know. More generally, if  $G$  is any finite Coxeter group,  $\mu_2(G)$  is a power of 2 [33].

Ex. 11. For more information on Bell polynomials, Stirling numbers etc. see for example L. Comtet, *Analyse Combinatoire* (2 vols.), Presses Universitaires de France, Paris (1970).

Ex. 13. This result is due to A. O. Morris [38].

### 3. S-functions

Suppose to begin with that the number of variables is finite, say  $x_1, \dots, x_n$ . Let  $x^\alpha = x_1^{\alpha_1} \dots x_n^{\alpha_n}$  be a monomial, and consider the polynomial  $a_\alpha$  obtained by antisymmetrizing  $x^\alpha$ : that is to say,

$$a_\alpha = a_\alpha(x_1, \dots, x_n) = \sum_{w \in S_n} \varepsilon(w) \cdot w(x^\alpha)$$

where  $\varepsilon(w)$  is the *sign* ( $\pm 1$ ) of the permutation  $w$ . This polynomial  $a_\alpha$  is skew-symmetric, i.e. we have

$$w(a_\alpha) = \varepsilon(w) a_\alpha$$

for any  $w \in S_n$ ; in particular, therefore,  $a_\alpha$  vanishes unless  $\alpha_1, \dots, \alpha_n$  are all distinct. Hence we may as well assume that  $\alpha_1 > \alpha_2 > \dots > \alpha_n \geq 0$ , and therefore we may write  $\alpha = \lambda + \delta$ , where  $\lambda$  is a partition of length  $\leq n$ , and  $\delta = (n-1, n-2, \dots, 1, 0)$ . Then

$$a_\alpha = a_{\lambda+\delta} = \sum_w \varepsilon(w) \cdot w(x^{\lambda+\delta})$$

which can be written as a determinant:

$$a_{\lambda+\delta} = \det(x_i^{\lambda_i+n-i})_{1 \leq i, j \leq n}$$

This determinant is divisible in  $\mathbf{Z}[x_1, \dots, x_n]$  by each of the differences  $x_i - x_j$  ( $1 \leq i < j \leq n$ ), and hence by their product, which is the *Vandermonde determinant*

$$\prod_{1 \leq i < j \leq n} (x_i - x_j) = \det(x_i^{n-i}) = a_\delta.$$

So  $a_{\lambda+\delta}$  is divisible by  $a_\delta$  in  $\mathbf{Z}[x_1, \dots, x_n]$ , and the quotient

$$(3.1) \quad s_\lambda = s_\lambda(x_1, \dots, x_n) = a_{\lambda+\delta}/a_\delta$$

is *symmetric*, i.e. is in  $\Lambda_n$ . It is called the *S-function* in the variables  $x_1, \dots, x_n$ , corresponding to the partition  $\lambda$  (where  $l(\lambda) \leq n$ ), and is homogeneous of degree  $|\lambda|$ .

Notice that the definition (3.1) makes sense for any integer vector  $\lambda \in \mathbf{Z}^n$  such that  $\lambda + \delta$  has no negative parts. If the numbers  $\lambda_i + n - i$  ( $1 \leq i \leq n$ ) are not all distinct, then  $s_\lambda = 0$ . If they are all distinct, then we have  $\lambda + \delta = w(\mu + \delta)$  for some  $w \in S_n$  and some partition  $\mu$ , and  $s_\lambda = \varepsilon(w)s_\mu$ .

The polynomials  $a_{\lambda+\delta}$ , where  $\lambda$  runs through all partitions of length  $\leq n$ , form a basis of the  $\mathbf{Z}$ -module  $A_n$  of skew-symmetric polynomials in  $x_1, \dots, x_n$ . Multiplication by  $a_\delta$  is an isomorphism of  $\Lambda_n$  onto  $A_n$  (i.e.,  $A_n$  is the free  $\Lambda_n$ -module generated by  $a_\delta$ ), and therefore

(3.2) *The S-functions  $s_\lambda(x_1, \dots, x_n)$ , where  $l(\lambda) \leq n$ , form a  $\mathbf{Z}$ -basis of  $\Lambda_n$ .*

Now let us consider the effect of increasing the number of variables. If  $m \geq n$ , it is clear that  $a_\alpha(x_1, \dots, x_n, 0, \dots, 0) = a_\alpha(x_1, \dots, x_n)$ . Hence

$$\rho_{m,n}(s_\lambda(x_1, \dots, x_m)) = s_\lambda(x_1, \dots, x_n)$$

in the notation of §2. It follows that for each partition  $\lambda$  the polynomials  $s_\lambda(x_1, \dots, x_n)$ , as  $n \rightarrow \infty$ , define a unique element  $s_\lambda \in \Lambda$ , homogeneous of degree  $|\lambda|$ . From (3.2) we have immediately:

(3.3) *The  $s_\lambda$  form a  $\mathbf{Z}$ -basis of  $\Lambda$ , and for each  $k \geq 0$  the  $s_\lambda$  such that  $|\lambda| = k$  form a  $\mathbf{Z}$ -basis of  $\Lambda^k$ .*

From (2.4) and (2.8) it follows that each S-function  $s_\lambda$  can be expressed as a polynomial in the elementary symmetric functions  $e_r$ , and as a polynomial in the complete symmetric functions  $h_r$ . The formulas are:

$$(3.4) \quad s_\lambda = \det(h_{\lambda_i-i+j})_{i \leq j \leq n}$$

where  $n \geq l(\lambda)$ , and

$$(3.5) \quad s_\lambda = \det(e_{\lambda_i-i+j})_{i \leq j \leq m}$$

where  $m \geq l(\lambda')$ .

By (2.9'), it is enough to prove one of these formulas, say (3.4). We shall work with  $n$  variables  $x_1, \dots, x_n$ . For  $1 \leq k \leq n$  let  $e_r^{(k)}$  denote the elementary symmetric functions of  $x_1, \dots, x_{k-1}, x_{k+1}, \dots, x_n$  (omitting  $x_k$ ), and let  $M$  denote the  $n \times n$  matrix

$$M = ((-1)^{n-i} e_{n-i}^{(k)})_{1 \leq i, k \leq n}.$$

The formula (3.4) will be a consequence of

(3.6) *For any  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbf{N}^n$ , let*

$$A_\alpha = (x_j^{\alpha_i}), \quad H_\alpha = (h_{\alpha_i-n+j})$$

( $n \times n$  matrices). Then  $A_\alpha = H_\alpha M$ .

*Proof.* Let

$$E^{(k)}(t) = \sum_{r=0}^{n-1} e_r^{(k)} t^r = \prod_{i \neq k} (1 + x_i t).$$

Then

$$H(t)E^{(k)}(-t) = (1 - x_k t)^{-1}.$$

By picking out the coefficient of  $t^{\alpha_i}$  on either side, we obtain

$$\sum_{j=1}^n h_{\alpha_i-n+j} \cdot (-1)^{n-j} e_{n-j}^{(k)} = x_k^{\alpha_i}$$

and hence  $H_\alpha M = A_\alpha$ .

Now take determinants in (3.6): we obtain

$$a_\alpha = \det(A_\alpha) = \det(H_\alpha) \det(M)$$

for any  $\alpha \in \mathbf{N}^n$ , and in particular  $\det M = a_\delta$ , since  $\det(H_\delta) = 1$ . Hence

$$(3.7) \quad a_\alpha = a_\delta \det(H_\alpha)$$

or equivalently

$$(3.7') \quad a_\alpha = a_\delta \sum_{w \in S_n} \varepsilon(w) h_{\alpha-w}$$

for any  $a \in \mathbf{N}^n$ . Taking  $\alpha = \lambda + \delta$  in (3.7), we obtain (3.4), or equivalently from (3.7')

$$(3.4') \quad s_\lambda = \sum_{w \in S_n} \varepsilon(w) h_{\lambda + \delta - w\delta}.$$

From (3.4) and (3.5) it follows that

$$(3.8) \quad \omega(s_\lambda) = s_\lambda,$$

for all partitions  $\lambda$ .

Also from (3.4) and (3.5) we obtain, in particular,

$$(3.9) \quad s_{(n)} = h_n, \quad s_{(1^n)} = e_n.$$

Finally, the formula (3.4) or (3.4') which expresses  $s_\lambda$  as a polynomial in the  $h$ 's can also be expressed in terms of raising operators (§1):

$$(3.4'') \quad s_\lambda = \prod_{i < j} (1 - R_{ij}) h_\lambda$$

where, for any raising operator  $R$ ,  $Rh_\lambda$  means  $h_{R\lambda}$ .

*Proof.* In the ring  $\mathbf{Z}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$  we have

$$\begin{aligned} \sum_{w \in S_n} \varepsilon(w) x^{\lambda + \delta - w\delta} &= x^{\lambda + \delta} a_{-\delta} = x^{\lambda + \delta} \prod_{i < j} (x_i^{-1} - x_j^{-1}) \\ &= \prod_{i < j} (1 - x_i x_j^{-1}) \cdot x^\lambda \\ &= \prod_{i < j} (1 - R_{ij}) x^\lambda \end{aligned}$$

where  $R(x^\lambda) = x^{R\lambda}$  for any raising operator  $R$ . If we now apply the  $\mathbf{Z}$ -linear map  $\varphi: \mathbf{Z}[x_1^{\pm 1}, \dots, x_n^{\pm 1}] \rightarrow \Lambda_n$  defined by  $\varphi(x^\alpha) = h_\alpha$  for all  $\alpha \in \mathbf{Z}^n$ , we see that

$$\sum_{w \in S_n} \varepsilon(w) h_{\lambda + \delta - w\delta} = \prod_{i < j} (1 - R_{ij}) h_\lambda$$

and therefore (3.4'') follows from (3.4').

(3.10) *Remark.* In view of (2.15) we may use (3.4) or (3.5) to define 'S-operations' in any  $\lambda$ -ring  $R$ . If  $\mu$  is any partition and  $x$  is any element of  $R$ , we define

$$\begin{aligned} S^\mu(x) &= \det(\sigma^{\mu_i - i + j}(x))_{1 \leq i, j \leq n} \\ &= \det(\lambda^{\mu_i - i + j}(x))_{1 \leq i, j \leq m} \end{aligned}$$

where  $n \geq l(\mu)$  and  $m \geq l(\mu')$ . We have

$$S^\mu(-x) = (-1)^{|\mu|} S^{\mu'}(x)$$

and in particular

$$S^{(n)}(x) = \sigma^n(x), \quad S^{(1^n)}(x) = \lambda^n(x).$$

For example, the results of Exx. 1-3 below evaluate  $S^\lambda(1+q+\dots+q^{n-1})$ ,  $S^\lambda((1-q)^{-1})$  and  $S^\lambda((a-b)/(1-q))$ , where  $a, b, q$  are elements of rank 1 in a  $\lambda$ -ring  $R$  such that  $1-q$  is a unit in  $R$ .

Since each  $f \in \Lambda$  is an integral linear combination of the  $s_\mu$ , say

$$f = \sum a_\mu s_\mu,$$

it follows that  $f$  determines a 'natural operation'

$$F = \sum a_\mu S^\mu$$

on the category of  $\lambda$ -rings.  $F$  is *natural* in the sense that it commutes with all  $\lambda$ -homomorphisms (because it is a polynomial in the  $\lambda$ 's). Conversely, any natural operation  $F$  arises in this way, from  $f = F(e_1)$ .

### Examples

1. Take  $x_i = q^{i-1}$  ( $1 \leq i \leq n$ ) as in §2, Ex. 3. If  $\lambda$  is any partition of length  $\leq n$ , we have

$$a_{\lambda + \delta} = \det(q^{(i-1)(\lambda_i + n - i)})_{1 \leq i, j \leq n}$$

which is a Vandermonde determinant in the variables  $q^{\lambda_i + n - i}$  ( $1 \leq j \leq n$ ), so that

$$\begin{aligned} a_{\lambda + \delta} &= \prod_{i < j} (q^{\lambda_i + n - i} - q^{\lambda_j + n - j}) \\ &= q^{n(\lambda) + n(n-1)/2} \prod_{i < j} (1 - q^{\lambda_i - \lambda_j - i + j}) \end{aligned}$$

which by use of §1, Ex. 1 is equal to

$$\frac{q^{n(\lambda) + n(n-1)/2} \prod_{i \geq 1} \varphi_{\lambda_i + n - i}(q)}{\prod_{x \in \lambda} (1 - q^{h(x)})}$$

where  $h(x)$  is the hook-length at  $x \in \lambda$ , and  $\varphi_r(q) = (1-q)\dots(1-q^r)$ . Hence (§1, Ex. 3)

$$s_\lambda = a_{\lambda + \delta} / a_\delta = q^{n(\lambda)} \prod_{x \in \lambda} \frac{1 - q^{n+c(x)}}{1 - q^{h(x)}}$$

where  $c(x)$  is the content (§1, Ex. 3) of  $x \in \lambda$ .

For any partition  $\lambda$  define

$$\left[ \begin{matrix} n \\ \lambda \end{matrix} \right] = \prod_{x \in \lambda} \frac{1 - q^{n-c(x)}}{1 - q^{h(x)}}$$

(which when  $\lambda = (r)$  agrees with the notation  $\left[ \begin{matrix} n \\ r \end{matrix} \right]$  for the  $q$ -binomial coefficients

introduced in §2, Ex. 3). Then we have

$$s_\lambda(1, q, \dots, q^{n-1}) = q^{n(\lambda)} \left[ \begin{matrix} n \\ \lambda' \end{matrix} \right].$$

$\left[ \begin{matrix} n \\ \lambda' \end{matrix} \right]$  is a polynomial in  $q$ , of degree

$$d = \sum_{x \in \lambda} (n - c(x) - h(x)) = \sum_{i=1}^n (n+1-2i)\lambda'_i$$

by using §1, Ex. 2 and 3. If  $a_i$  is the coefficient of  $q^i$  in  $\left[ \begin{matrix} n \\ \lambda' \end{matrix} \right]$  for  $0 \leq i \leq d$ , then clearly  $a_i = a_{d-i}$ . We shall show in §8, Ex. 4 that  $\left[ \begin{matrix} n \\ \lambda' \end{matrix} \right]$  is *unimodal* (or 'spindle-shaped'), i.e. that  $a_0 \leq a_1 \leq \dots \leq a_{\lfloor d/2 \rfloor}$ .

Finally, we can express  $\left[ \begin{matrix} n \\ \lambda' \end{matrix} \right]$  as a determinant in the  $q$ -binomial coefficients  $\left[ \begin{matrix} n \\ i \end{matrix} \right]$ , by using (3.5).

2. Let  $n \rightarrow \infty$  in Ex. 1, so that  $H(t) = \prod_{i=0}^{\infty} (1 - q^i t)^{-1}$ . From Ex. 1 we have

$$s_\lambda = q^{n(\lambda)} \prod_{x \in \lambda} (1 - q^{h(x)})^{-1} = q^{n(\lambda)} H_\lambda(q)^{-1}$$

where  $H_\lambda(q)$  is the 'hook polynomial'  $\prod_{x \in \lambda} (1 - q^{h(x)})$ .

3. More generally, let

$$H(t) = \prod_{i=0}^{\infty} \frac{1 - bq^i t}{1 - aq^i t}$$

as in §2, Ex. 5. Then

$$(*) \quad s_\lambda = q^{n(\lambda)} \prod_{x \in \lambda} \frac{a - bq^{c(x)}}{1 - q^{h(x)}}.$$

For if we replace  $t$  by  $a^{-1}t$ , the effect is to replace  $s_\lambda$  by  $a^{-|\lambda|} s_\lambda$ . Hence we may assume that  $a = 1$ . Both sides of (\*) are then polynomials in  $b$ , hence it is enough to show that they are equal for infinitely many values of  $b$ . But when  $b = q^n$  and  $a = 1$  we are back in the situation of Ex. 1, and (\*) is therefore true for  $b = q^n$ .

4. Suppose  $x_i = 1$  ( $1 \leq i \leq n$ ),  $x_i = 0$  for  $i > n$ . Then  $E(t) = (1+t)^n$ , and

$$s_\lambda = \prod_{x \in \lambda} \frac{n + c(x)}{h(x)}$$

by setting  $q = 1$  in Ex. 1.

More generally, if  $E(t) = (1+t)^X$ , where  $X$  need not be a positive integer, then

$$s_\lambda = \prod_{x \in \lambda} \frac{X + c(x)}{h(x)}$$

for the same reason as in Ex. 3: both sides are polynomials in  $X$  which take the same values at all positive integers.

These polynomials may be regarded as generalized binomial coefficients, and

they take integer values whenever  $X$  is an integer. For any partition  $\lambda$  define

$$\binom{X}{\lambda} = \prod_{x \in \lambda} \frac{X - c(x)}{h(x)}$$

(which is consistent with the usual notation for binomial coefficients). Then

$$\binom{X}{\lambda} = \det \left( \binom{X}{\lambda_i - i + j} \right)$$

by (3.5). Also

$$\binom{-X}{\lambda} = (-1)^{|\lambda|} \binom{X}{\lambda'}.$$

5. As in §2, Ex. 2, take  $x_i = 1/n$  for  $1 \leq i \leq n$ ,  $x_i = 0$  for  $i > n$ , and let  $n \rightarrow \infty$ . Then  $E(t) = H(t) = e^t$ , and from Ex. 4 we have

$$s_\lambda = \lim_{n \rightarrow \infty} \frac{1}{n^{|\lambda|}} \prod_{x \in \lambda} \frac{n + c(x)}{h(x)} = \prod_{x \in \lambda} h(x)^{-1}.$$

6. Let  $p(n)$  denote the number of partitions of  $n$ . Then

$$\det(p(i-j+1))_{1 \leq i, j \leq n}$$

is equal to  $\pm 1$  or 0 according as  $n$  is or is not a pentagonal number. (Use §2, Ex. 6 together with (3.4).)

7. Let  $m$  be a positive integer. Then

$$\begin{aligned} \prod_{1 \leq i, j \leq n} \frac{x_i^m - x_j^m}{x_i - x_j} &= \frac{a_{ms}}{a_s} = s_{(m-1)s} \\ &= \det(h_{(m-1)(n-i+j)})_{1 \leq i, j \leq n} \\ &= \det(h_{mi-j})_{1 \leq i, j \leq n-1}. \end{aligned}$$

In particular,

$$\prod_{i < j} (x_i + x_j) = \det(h_{2i-j}).$$

8. Consider the ring  $Q_n = \mathbf{Q}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$  of polynomials in  $x_1, \dots, x_n$  and their inverses. For each  $\alpha \in \mathbf{Z}^n$  the monomial  $x^\alpha = x_1^{\alpha_1} \dots x_n^{\alpha_n}$  generates a symmetric function

$$\bar{m}_\alpha = \sum_{w \in S_n} x^{w\alpha}$$

and the  $\bar{m}_\alpha$  such that  $\alpha_1 \geq \alpha_2 \geq \dots \geq \alpha_n$  form a basis of  $Q_n^S$ .

Define a linear mapping  $\varphi: Q_n^S \rightarrow \Lambda_n \otimes \mathbf{Q}$  by  $\varphi(\bar{m}_\alpha) = h_\alpha$  (with the usual convention that  $h_\alpha = 0$  if any  $\alpha_i$  is negative).

(a) For all  $\alpha, \beta \in \mathbf{Z}^n$  we have

$$\varphi(a_\alpha a_\beta) = \det(h_{\alpha_i + \beta_j})_{1 \leq i, j \leq n}.$$

For

$$\begin{aligned} a_\alpha a_\beta &= \sum_{w_1, w_2 \in S_n} \varepsilon(w_1 w_2) x^{w_1 \alpha + w_2 \beta} \\ &= \sum_{w \in S_n} \varepsilon(w) \sum_{w_1 \in S_n} x^{w_1(\alpha + w\beta)} \\ &= \sum_{w \in S_n} \varepsilon(w) \bar{m}_{\alpha + w\beta} \end{aligned}$$

so that

$$\varphi(a_\alpha a_\beta) = \sum_{w \in S_n} \varepsilon(w) h_{\alpha + w\beta} = \det(h_{\alpha_i + \beta_j}).$$

(b) In particular, if  $\lambda$  is any partition of  $n$ , we have

$$\varphi(s_\lambda a_\delta a_{-\delta}) = \varphi(a_{\lambda+\delta} a_{-\delta}) = \det(h_{\lambda_i - i + j}) = s_\lambda$$

by (3.4). Since the  $s_\lambda$  form a  $\mathbf{Z}$ -basis of  $\Lambda_n$ , it follows that  $\varphi(f a_\delta a_{-\delta}) = f$  for all  $f \in \Lambda_n$ .(c) Let  $\alpha, \beta \in \mathbf{N}^n$  and let  $\bar{\beta} = (\beta_n, \dots, \beta_1)$  be the reverse of  $\beta$ . Then  $s_{\bar{\beta}} = a_{\beta-\delta} / a_{-\delta}$ , and hence from (6) we have

$$s_\alpha s_{\bar{\beta}} = \varphi(a_{\alpha+\delta} a_{\beta-\delta}) = \det(h_{\alpha_i + \beta_j - i + j})_{1 \leq i, j \leq n}$$

a formula which expresses the product of two  $S$ -functions (in a finite number of variables) as a determinant in the  $h_r$ .9. Let  $a, b \geq 0$ , then  $(a | b)$  is the Frobenius notation (§1) for the partition  $(a+1, 1^b)$ . From the determinant formula (3.4) we have

$$s_{(a|b)} = h_{a+1} e_b - h_{a+2} e_{b-1} + \dots + (-1)^b h_{a+b+1}$$

If  $a$  or  $b$  is negative, we define  $s_{(a|b)}$  by this formula. It follows that (when  $a$  or  $b$  is negative)  $s_{(a|b)} = 0$  except when  $a+b = -1$ , in which case  $s_{(a|b)} = (-1)^b$ .Now let  $\lambda$  be any partition of length  $\leq n$ . By multiplying the matrix  $(h_{\lambda_i - i + j})_{1 \leq i, j \leq n}$  on the right by the matrix  $((-1)^{j-1} e_{n+1-j-k})_{1 \leq j, k \leq n}$ , we obtain the matrix  $(s_{(\lambda_i - i | n-k)})_{1 \leq i, k \leq n}$ . By taking determinants and using §1, Ex. 4 we arrive at the formula

$$s_{(\alpha|\beta)} = \det(s_{(\alpha_i | \beta_j)})_{1 \leq i, j \leq r}$$

where  $(\alpha | \beta) = (\alpha_1, \dots, \alpha_r | \beta_1, \dots, \beta_r)$ .

$$10. \quad s_\lambda(1+x_1, 1+x_2, \dots, 1+x_n) = \sum_{\mu} d_{\lambda\mu} s_\mu(x_1, \dots, x_n)$$

summed over all partitions  $\mu \subset \lambda$ , where

$$d_{\lambda\mu} = \det \left( \begin{pmatrix} \lambda_i + n - i \\ \mu_j + n - j \end{pmatrix} \right)_{1 \leq i, j \leq n}$$

(Calculate  $a_{\lambda+\delta}(1+x_1, \dots, 1+x_n)$  and observe that  $a_\delta(1+x_1, \dots, 1+x_n) = a_\delta(x_1, \dots, x_n)$ .)This formula can be used to calculate the Chern classes of the exterior square  $\Lambda^2 E$  and symmetric square  $S^2 E$  of a vector bundle  $E$ . If  $c(E) = \prod_{i=1}^m (1+x_i)$  is the total Chern class of  $E$ , then

$$\begin{aligned} c(\Lambda^2 E) &= \prod_{i < j} (1+x_i+x_j) \\ &= 2^{-m(m-1)/2} \prod_{i < j} (1+2x_i+1+2x_j) \\ &= 2^{-m(m-1)/2} s_\delta(1+2x_1, \dots, 1+2x_m) \end{aligned}$$

by Ex. 7, where  $\delta = (m-1, m-2, \dots, 0)$ , and therefore

$$c(\Lambda^2 E) = 2^{-m(m-1)/2} \sum_{\mu \subset \delta} d_{\delta\mu} 2^{|\mu|} s_\mu(x_1, \dots, x_m).$$

Likewise

$$\begin{aligned} c(S^2 E) &= \prod_{i < j} (1+x_i+x_j) \\ &= 2^{-m(m-1)/2} \sum_{\nu \subset \varepsilon} d_{\varepsilon\nu} 2^{|\nu|} s_\nu(x_1, \dots, x_m) \end{aligned}$$

where  $\varepsilon = (m, m-1, \dots, 1)$ .11. Let  $\mu = (\mu_1, \dots, \mu_n)$  be a partition of length  $\leq n$ , and  $r$  a positive integer. Then, the variables being  $x_1, \dots, x_n$ , we have

$$(1) \quad a_{\mu+\delta} p_r = \sum_{q=1}^n a_{\mu+\delta+r\varepsilon_q}$$

where  $\varepsilon_q$  is the sequence with 1 in the  $q$ th place and 0 elsewhere. We shall rearrange the sequence  $\mu + \delta + r\varepsilon_q$  in descending order. If it has two terms equal, it will contribute nothing to (1). We may therefore assume that for some  $p \leq q$  we have

$$\mu_{p-1} + n - p + 1 > \mu_q + n - q + r > \mu_p + n - p,$$

in which case  $a_{\mu+\delta+r\varepsilon_q} = (-1)^{q-p} a_{\lambda+\delta}$ , where  $\lambda$  is the partition

$$\lambda = (\mu_1, \dots, \mu_{p-1}, \mu_q + p - q + r, \mu_p + 1, \dots, \mu_{q-1} + 1, \mu_q + 1, \dots, \mu_n),$$

and therefore  $\theta = \lambda - \mu$  is such that  $\theta_i = 0$  if  $i \notin [p, q]$ ,

$$\theta_p = \mu_q - \mu_p + p - q + r, \quad \theta_i = \mu_{i-1} - \mu_i + 1 \quad \text{if } p+1 \leq i \leq q.$$

Let us call a skew diagram  $\theta$  a *border strip* if  $\theta$  is connected and contains no  $2 \times 2$  block of squares (i.e. the successive rows (or columns) of  $\theta$  overlap by exactly one square). The *length* of a border strip  $\theta$  is the total number  $|\theta|$  of squares it contains, and its *height*  $\text{ht}(\theta)$  is defined to be one less than the number

of rows it occupies. With this terminology, the preceding discussion shows that

$$(2) \quad s_\mu p_\nu = \sum_\lambda (-1)^{\text{ht}(\lambda-\mu)} s_\lambda$$

summed over all partitions  $\lambda \supset \mu$  such that  $\lambda - \mu$  is a border strip of length  $r$ .

From (2) it follows that, for any partitions  $\lambda, \mu, \rho$  such that  $|\lambda| = |\mu| + |\rho|$ , the coefficient of  $s_\lambda$  in  $s_\mu p_\rho$  is

$$\sum_S (-1)^{\text{ht}(S)}$$

summed over all sequences of partitions  $S = (\lambda^{(0)}, \lambda^{(1)}, \dots, \lambda^{(m)})$  such that  $\mu = \lambda^{(0)} \subset \lambda^{(1)} \subset \dots \subset \lambda^{(m)} = \lambda$ , with each  $\lambda^{(i)} - \lambda^{(i-1)}$  a border strip of length  $\rho_i$ , and

$$\text{ht}(S) = \sum_i \text{ht}(\lambda^{(i)} - \lambda^{(i-1)}).$$

12. Let  $\sigma: \mathbf{Z}[x_1, \dots, x_n] \rightarrow \Lambda_n$  be the  $\mathbf{Z}$ -linear mapping defined by  $\sigma(x^\alpha) = s_\alpha$  for all  $\alpha \in \mathbf{N}^n$ . Then  $\sigma$  is  $\Lambda_n$ -linear, i.e.  $\sigma(fg) = f\sigma(g)$  for  $f \in \Lambda_n$  and  $g \in \mathbf{Z}[x_1, \dots, x_n]$ . For  $\sigma(x^\alpha) = a_\delta^{-1} a(x^{\alpha+\delta})$ , where

$$a = \sum_{w \in S_n} \varepsilon(w) w$$

is the antisymmetrization operator. By linearity it follows that  $\sigma(g) = a_\delta^{-1} a(gx^\delta)$  for all  $g \in \mathbf{Z}[x_1, \dots, x_n]$ , and the result follows from the fact that  $a$  is  $\Lambda_n$ -linear.

#### Notes and references

$S$ -functions were first considered by Jacobi [20], as quotients of skew-symmetric polynomials by the polynomial  $a_\delta$ , just as we have introduced them. Their relevance to the representation theory of the symmetric groups and the general linear groups, which we shall describe later, was discovered by Schur [44] much later. The name  $S$ -function (or Schur function) is due to Littlewood and Richardson [28]. The identity (3.4) which expresses  $s_\lambda$  in terms of the  $h$ 's is due originally to Jacobi [20], and is often called the Jacobi-Trudi identity.

The results of Exx. 1-4 may be found in Littlewood [29], Chapter VII, which gives other results of the same sort. The formula in Ex. 8 for the product of two  $S$ -functions as a determinant in the  $h$ 's is essentially due to Jacobi (*loc. cit.*), though rediscovered since. The result of Ex. 9 is due to Giambelli [56]. Ex. 10 is due to A. Lascoux [26].

#### 4. Orthogonality

Let  $x = (x_1, x_2, \dots)$  and  $y = (y_1, y_2, \dots)$  be two finite or infinite sequences of independent variables. We shall denote the symmetric functions of the  $x$ 's by  $s_\lambda(x), p_\lambda(x)$ , etc., and the symmetric functions of the  $y$ 's by  $s_\lambda(y), p_\lambda(y)$ , etc.

We shall give three series expansions for the product

$$\prod_{i,j} (1 - x_i y_j)^{-1}.$$

The first of these is

$$(4.1) \quad \prod_{i,j} (1 - x_i y_j)^{-1} = \sum_\lambda z_\lambda^{-1} p_\lambda(x) p_\lambda(y)$$

summed over all partitions  $\lambda$ .

This follows from (2.14), applied to the set of variables  $x_i y_j$ . Next we have

$$(4.2) \quad \prod_{i,j} (1 - x_i y_j)^{-1} = \sum_\lambda h_\lambda(x) m_\lambda(y) = \sum_\lambda m_\lambda(x) h_\lambda(y)$$

summed over all partitions  $\lambda$ .

*Proof.* We have

$$\begin{aligned} \prod_{i,j} (1 - x_i y_j)^{-1} &= \prod_j H(y_j) \\ &= \prod_j \sum_{r=0}^{\infty} h_r(x) y_j^r \\ &= \sum_\alpha h_\alpha(x) y^\alpha \\ &= \sum_\lambda h_\lambda(x) m_\lambda(y) \end{aligned}$$

where  $\alpha$  runs through all sequences  $(\alpha_1, \alpha_2, \dots)$  of non-negative integers such that  $\sum \alpha_i < \infty$ , and  $\lambda$  runs through all partitions. |

The third identity is

$$(4.3) \quad \prod_{i,j} (1 - x_i y_j)^{-1} = \sum_\lambda s_\lambda(x) s_\lambda(y)$$

summed over all partitions  $\lambda$ .

*Proof.* This is a consequence of (4.2) and (3.7'). Let  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n)$  be two finite sets of variables, and as usual let  $\delta = (n-1, n-2, \dots, 0)$ . Then from (4.2)

$$a_\delta(x) a_\delta(y) \prod_{i,j=1}^n (1 - x_i y_j)^{-1} = a_\delta(x) \sum_{\alpha, w} h_\alpha(x) \varepsilon(w) y^{\alpha+w\delta}$$

summed over  $\alpha \in \mathbf{N}^n$  and  $w \in S_n$ ,

$$\begin{aligned} &= a_\delta(x) \sum_{\beta, w} \varepsilon(w) h_{\beta-w\delta}(x) y^\beta \\ &= \sum_{\beta} a_\beta(x) y^\beta \end{aligned}$$

by (3.7'). Since  $a_{w\beta} = \varepsilon(w) a_\beta$ , it follows that this last sum is equal to  $\sum a_\gamma(x) a_\gamma(y)$  summed over  $\gamma_1 > \gamma_2 > \dots > \gamma_n \geq 0$ , i.e. to

$$\sum_{\lambda} a_{\lambda+\delta}(x) a_{\lambda+\delta}(y),$$

summed over partitions  $\lambda$  of length  $\leq n$ . This proves (4.3) in the case of  $n$  variables  $x_i$  and  $n$  variables  $y_i$ ; now let  $n \rightarrow \infty$  as usual. |

We now define a scalar product on  $\Lambda$ , i.e. a  $\mathbf{Z}$ -valued bilinear form  $\langle u, v \rangle$ , by requiring that the bases  $(h_\lambda)$  and  $(m_\lambda)$  should be dual to each other:

$$(4.5) \quad \langle h_\lambda, m_\mu \rangle = \delta_{\lambda\mu}$$

for all partitions  $\lambda, \mu$ , where  $\delta_{\lambda\mu}$  is the Kronecker delta.

(4.6) For each  $n \geq 0$ , let  $(u_\lambda), (v_\lambda)$  be  $\mathbf{Z}$ -bases of  $\Lambda^n$ , indexed by the partitions of  $n$ . Then the following conditions are equivalent:

$$(a) \quad \langle u_\lambda, v_\mu \rangle = \delta_{\lambda\mu} \quad \text{for all } \lambda, \mu;$$

$$(b) \quad \sum_{\lambda} u_\lambda(x) v_\lambda(y) = \prod_{i,j} (1 - x_i y_j)^{-1}.$$

*Proof.* Let

$$u_\lambda = \sum_{\rho} a_{\lambda\rho} h_\rho, \quad v_\mu = \sum_{\sigma} b_{\mu\sigma} m_\sigma.$$

Then

$$\langle u_\lambda, v_\mu \rangle = \sum_{\rho} a_{\lambda\rho} b_{\mu\rho}$$

so that (a) is equivalent to

$$(a') \quad \sum_{\rho} a_{\lambda\rho} b_{\mu\rho} = \delta_{\lambda\mu}.$$

Also (b) is equivalent to the identity

$$\sum_{\lambda} u_\lambda(x) v_\lambda(y) = \sum_{\rho} h_\rho(x) m_\rho(y)$$

by (4.2), hence is equivalent to

$$(b') \quad \sum_{\lambda} a_{\lambda\rho} b_{\lambda\sigma} = \delta_{\rho\sigma}.$$

Since (a') and (b') are equivalent, so are (a) and (b). |

From (4.6) and (4.1) it follows that

$$(4.7) \quad \langle p_\lambda, p_\mu \rangle = \delta_{\lambda\mu} z_\lambda$$

so that the  $p_\lambda$  form an *orthogonal* basis of  $\Lambda$ . Likewise from (4.6) and (4.3) we have

$$(4.8) \quad \langle s_\lambda, s_\mu \rangle = \delta_{\lambda\mu}$$

so that the  $s_\lambda$  form an *orthonormal* basis of  $\Lambda$ , and the  $s_\lambda$  such that  $|\lambda| = n$  form an orthonormal basis of  $\Lambda^n$ . Any other orthonormal basis of  $\Lambda^n$  must therefore be obtained from the basis  $(s_\lambda)$  by transformation by an orthogonal integer matrix. The only such matrices are signed permutation matrices, and therefore (4.8) characterizes the  $s_\lambda$ , up to order and sign.

Also from (4.7) or (4.8) we see that

(4.9) The bilinear form  $\langle u, v \rangle$  is symmetric and positive definite. |

(4.10) The involution  $\omega$  is an isometry, i.e.  $\langle \omega u, \omega v \rangle = \langle u, v \rangle$ .

*Proof.* From (2.13) we have  $\omega(p_\lambda) = \pm p_\lambda$ , hence by (4.7)

$$\langle \omega(p_\lambda), \omega(p_\mu) \rangle = \langle p_\lambda, p_\mu \rangle$$

which proves (4.10), since the  $p_\lambda$  form a  $\mathbf{Q}$ -basis of  $\Lambda_{\mathbf{Q}}$  (2.12). |

Finally, from (4.10) and (4.5) we have

$$\langle e_\lambda, f_\mu \rangle = \delta_{\lambda\mu}$$

where  $f_\mu = \omega(m_\mu)$ , i.e.  $(e_\lambda)$  and  $(f_\lambda)$  are dual bases of  $\Lambda$ .

*Remarks.* 1. By applying the involution  $\omega$  to the symmetric functions of the  $x$ 's we obtain from (4.1), (4.2) and (4.3) three series expansions for the product  $\prod_{i,j} (1 + x_i y_j)$ , namely

$$(4.1') \quad \prod_{i,j} (1 + x_i y_j) = \sum_{\lambda} \varepsilon_{\lambda} z_{\lambda}^{-1} p_{\lambda}(x) p_{\lambda}(y),$$

$$(4.2') \quad \prod_{i,j} (1 + x_i y_j) = \sum_{\lambda} m_{\lambda}(x) e_{\lambda}(y) = \sum_{\lambda} e_{\lambda}(x) m_{\lambda}(y),$$

$$(4.3') \quad \prod_{i,j} (1 + x_i y_j) = \sum_{\lambda} s_{\lambda}(x) s_{\lambda}(y),$$

the last by virtue of (3.8).

2. If  $x, y$  are elements of a  $\lambda$ -ring  $R$ , we have

$$\begin{aligned}\sigma_i(xy) &= \sum_{\lambda} z_{\lambda}^{-1} \psi^{\lambda}(x) \psi^{\lambda}(y) t^{|\lambda|} \\ &= \sum_{\lambda} S^{\lambda}(x) S^{\lambda}(y) t^{|\lambda|}\end{aligned}$$

from (4.1) and (4.3), and

$$\begin{aligned}\lambda_i(xy) &= \sum_{\lambda} \varepsilon_{\lambda} z_{\lambda}^{-1} \psi^{\lambda}(x) \psi^{\lambda}(y) t^{|\lambda|} \\ &= \sum_{\lambda} S^{\lambda}(x) S^{\lambda'}(y) t^{|\lambda|}\end{aligned}$$

from (4.1') and (4.3').

### Examples

1. If we take  $y_1 = \dots = y_n = t$ ,  $y_{n+1} = y_{n+2} = \dots = 0$  in (4.3'), we obtain

$$\begin{aligned}E(t)^n &= \sum_{\lambda} s_{\lambda}(x) s_{\lambda'}(y) \\ &= \sum_{\lambda} \binom{n}{\lambda} s_{\lambda}(x) t^{|\lambda|}\end{aligned}$$

in the notation of §3, Ex. 4.

The coefficients of the powers of  $t$  on each side are polynomials in  $n$  (with coefficients in  $\mathbf{A}$ ) which are equal for all positive integral values of  $n$ , and hence identically equal. Consequently we have

$$E(t)^X = \sum_{\lambda} \binom{X}{\lambda} s_{\lambda} t^{|\lambda|}$$

for all  $X$ . By replacing  $X, t$  by  $-X, -t$  we obtain

$$H(t)^X = \sum_{\lambda} \binom{X}{\lambda'} s_{\lambda} t^{|\lambda|}.$$

These identities generalize the binomial theorem.

2. Let  $y_i = q^{i-1}$  for  $1 \leq i \leq n$ , and  $y_i = 0$  for  $i > n$ . From (4.3') we obtain

$$\prod_{i=1}^n E(q^{i-1}) = \sum_{\lambda} q^{n(\lambda)} \binom{n}{\lambda} s_{\lambda}$$

in the notation of §3, Ex. 1. Likewise, from (4.3),

$$\prod_{i=1}^n H(q^{i-1}) = \sum_{\lambda} q^{n(\lambda)} \binom{n}{\lambda'} s_{\lambda}.$$

In these formulas we may let  $n \rightarrow \infty$  and obtain

$$\begin{aligned}\prod_{i,j \geq 1} (1 + x_j q^{i-1}) &= \sum_{\lambda} \frac{q^{n(\lambda)}}{H_{\lambda}(q)} s_{\lambda}(x), \\ \prod_{i,j \geq 1} (1 - x_j q^{i-1})^{-1} &= \sum_{\lambda} \frac{q^{n(\lambda)}}{H_{\lambda}(q)} s_{\lambda}(x),\end{aligned}$$

where  $H_{\lambda}(q) = \prod_{x \in \lambda} (1 - q^{h(x)})$  is the hook-length polynomial corresponding to the partition  $\lambda$ .

3. Let  $y_1 = \dots = y_n = t/n$ ,  $y_{n+1} = y_{n+2} = \dots = 0$ , and then let  $n \rightarrow \infty$ . We have

$$\prod_i \left(1 + \frac{x_i t}{n}\right)^n \rightarrow \prod_i \exp(x_i t) = \exp(e_1 t)$$

and

$$\frac{1}{n^{|\lambda|}} \binom{n}{\lambda} \rightarrow \prod_{x \in \lambda} h(x)^{-1} = h(\lambda)^{-1}$$

where  $h(\lambda)$  is the product of the hook-lengths of  $\lambda$ . Hence from (4.3') we obtain

$$\exp(e_1 t) = \sum_{\lambda} \frac{s_{\lambda}}{h(\lambda)} t^{|\lambda|}$$

and therefore

$$e_1^n = \sum_{|\lambda|=n} \frac{n!}{h(\lambda)} s_{\lambda}$$

or equivalently

$$\langle e_1^n, s_{\lambda} \rangle = n! / h(\lambda).$$

4. From (2.14') and (4.7) we have

$$\langle h_n, p_{\lambda} \rangle = 1$$

for all partitions  $\lambda$  of  $n$ . Dually,

$$\langle e_n, p_{\lambda} \rangle = \varepsilon_{\lambda}.$$

5.

$$\prod_{i=1}^m \prod_{j=1}^n (x_i + y_j) = \sum_{\lambda} s_{\lambda}(x) s_{\lambda'}(y)$$

summed over all partitions  $\lambda = (\lambda_1, \dots, \lambda_m)$  such that  $\lambda_1 \leq n$  (i.e.  $\lambda \subset (n^m)$ ), where  $\lambda' = (m - \lambda'_1, \dots, m - \lambda'_m)$  (Replace  $y_i$  by  $y_i^{-1}$  in (4.1), and clear of fractions.)

Hence from §3, Ex. 10 we have

$$\prod_{i=1}^m \prod_{j=1}^n (1 + x_i + y_j) = \sum_{\lambda, \mu} d_{\lambda, \mu} s_{\lambda}(x) s_{\mu}(y)$$

summed over pairs of partitions  $\lambda, \mu$  such that  $\mu \subset \lambda \subset (n^m)$ . (This formula gives the Chern classes of a tensor product  $E \otimes F$  of vector bundles, since if  $c(E) = \prod (1 + x_i)$  and  $c(F) = \prod (1 + y_j)$  are the total Chern classes of  $E$  and  $F$ , we have  $c(E \otimes F) = \prod (1 + x_i + y_j)$ .)

6. Let  $\Delta = \det((1-x_i y_j)^{-1})_{1 \leq i, j \leq n}$  (Cauchy's determinant). Then

$$\Delta = a_\delta(x) a_\delta(y) \prod_{i, j=1}^n (1-x_i y_j)^{-1}.$$

For if we multiply each element of the  $i$ th row of the matrix  $((1-x_i y_j)^{-1})$  by  $\prod_{j=1}^n (1-x_i y_j)$ , we shall obtain a matrix  $D$  whose  $(i, j)$  element is

$$\prod_{r \neq j} (1-x_i y_r) = \sum_{k=1}^n x_i^{n-k} \cdot (-1)^{n-k} e_{n-k}^{(j)}(y)$$

in the notation of (3.6). This shows that  $D = A_\delta(x)M(y)$ , so that  $\det(D) = a_\delta(x)a_\delta(y)$ . On the other hand, it is clear from the definition of  $D$  that  $\det(D) = \Delta \cdot \prod_{i=1}^n (1-x_i y_i)$ .

Since also

$$\begin{aligned} \Delta &= \det(1 + x_i y_j + x_i^2 y_j^2 + \dots) \\ &= \sum_{\alpha} \det(x_i^{\alpha_i} y_j^{\alpha_j}) \\ &= \sum_{\alpha} a_{\alpha}(x) y^{\alpha} \end{aligned}$$

the summation being over all  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$ , it follows that

$$\Delta = \sum_{\lambda} a_{\lambda+\delta}(x) a_{\lambda+\delta}(y)$$

summed over all partitions  $\lambda$  of length  $\leq n$ . Hence we have another proof of (4.3).

7. Likewise the identity (4.3') can be proved directly, without recourse to duality. Consider the Vandermonde determinant  $a_\delta(x, y)$  in  $2n$  variables  $x_1, \dots, x_n, y_1, \dots, y_n$ ; on the one hand, this is equal to  $a_\delta(x) a_\delta(y) \prod (x_i - y_j)$ ; on the other hand, expanding the determinant by Laplace's rule, we see that it is equal to

$$(1) \quad \sum_{\mu} (-1)^{e(\mu)} a_{\mu}(x) a_{\bar{\mu}}(y),$$

summed over  $\mu \in \mathbb{N}^n$  such that  $2n-1 \geq \mu_1 > \mu_2 > \dots > \mu_n \geq 0$ , where  $\bar{\mu}$  is the strictly increasing sequence consisting of the integers in  $[0, 2n-1]$  not equal to any of the  $\mu_i$ , and  $e(\mu) = \sum (2n-i-\mu_i)$ . By writing  $\mu = \lambda + \delta$  and using (1.7), we see that (1) is equal to

$$(y_1 \dots y_n)^{2n-1} \sum_{\lambda} a_{\lambda+\delta}(x) a_{\lambda'+\delta}(y^{-1})$$

summed over all partitions  $\lambda$  such that  $l(\lambda) \leq n$  and  $l(\lambda') \leq n$ . If we now replace each  $y_i$  by  $y_i^{-1}$ , we obtain (4.3').

#### Notes and references

The scalar product on  $\Lambda$  was apparently first introduced by P. Hall [17]. Ex. 5 is due to A. Lascoux [26].

#### 5. Skew S-functions

Any symmetric function  $f \in \Lambda$  is uniquely determined by its scalar products with the  $s_{\lambda}$ : namely

$$f = \sum_{\lambda} \langle f, s_{\lambda} \rangle s_{\lambda}$$

since the  $s_{\lambda}$  form an orthonormal basis of  $\Lambda$  (4.8).

Let  $\lambda, \mu$  be partitions, and define a symmetric function  $s_{\lambda/\mu}$  by the relations

$$(5.1) \quad \langle s_{\lambda/\mu}, s_{\nu} \rangle = \langle s_{\lambda}, s_{\mu} s_{\nu} \rangle$$

for all partitions  $\nu$ . The  $s_{\lambda/\mu}$  are called *skew S-functions*. Equivalently, if  $c_{\mu\nu}^{\lambda}$  are the integers defined by

$$(5.2) \quad s_{\mu} s_{\nu} = \sum_{\lambda} c_{\mu\nu}^{\lambda} s_{\lambda},$$

then we have

$$(5.3) \quad s_{\lambda/\mu} = \sum_{\nu} c_{\mu\nu}^{\lambda} s_{\nu}.$$

In particular, it is clear that  $s_{\lambda/0} = s_{\lambda}$ , where  $0$  denotes the zero partition. Also  $c_{\mu\nu}^{\lambda} = 0$  unless  $|\lambda| = |\mu| + |\nu|$ , so that  $s_{\lambda/\mu}$  is homogeneous of degree  $|\lambda| - |\mu|$ , and is zero if  $|\lambda| < |\mu|$ . (We shall see shortly that  $s_{\lambda/\mu} = 0$  unless  $\lambda \supset \mu$ .)

Now let  $x = (x_1, x_2, \dots)$  and  $y = (y_1, y_2, \dots)$  be two sets of variables. Then

$$\begin{aligned} \sum_{\lambda} s_{\lambda/\mu}(x) s_{\lambda}(y) &= \sum_{\lambda, \nu} c_{\mu\nu}^{\lambda} s_{\nu}(x) s_{\lambda}(y) \\ &= \sum_{\nu} s_{\nu}(x) s_{\mu}(y) s_{\nu}(y) \end{aligned}$$

by (5.2) and (5.3), and therefore

$$\sum_{\lambda} s_{\lambda/\mu}(x) s_{\lambda}(y) = s_{\mu}(y) \sum_{\nu} h_{\nu}(x) m_{\nu}(y)$$

by (4.2) and (4.3). Now suppose that  $y = (y_1, \dots, y_n)$ , so that the sums above are restricted to partitions  $\lambda$  and  $\nu$  of length  $\leq n$ . Then the previous equation can be rewritten in the form

$$\begin{aligned} \sum_{\lambda} s_{\lambda/\mu}(x) a_{\lambda+\delta}(y) &= \sum_{\nu} h_{\nu}(x) m_{\nu}(y) a_{\mu+\delta}(y) \\ &= \sum_{\alpha} h_{\alpha}(x) \sum_{w \in S_n} \varepsilon(w) y^{\alpha+w(\mu+\delta)} \end{aligned}$$

summed over  $\alpha \in \mathbf{N}^n$ . Hence  $s_{\lambda/\mu}(x)$  is equal to the coefficient of  $y^{\lambda+\delta}$  in this sum, i.e. we have

$$s_{\lambda/\mu} = \sum_{w \in S_n} \varepsilon(w) h_{\lambda+\delta-w(\mu+\delta)}$$

with the usual convention that  $h_\alpha = 0$  if any component  $\alpha_i$  of  $\alpha$  is negative. This formula can also be written as a determinant

$$(5.4) \quad s_{\lambda/\mu} = \det(h_{\lambda_i - \mu_j - i + j})_{1 \leq i, j \leq n}$$

where  $n \geq l(\lambda)$ .

When  $\mu = 0$ , (5.4) becomes (3.4).

From (5.4) and (2.9) we have also

$$(5.5) \quad s_{\lambda/\mu} = \det(e_{\lambda_i - \mu_j - i + j})_{1 \leq i, j \leq m}$$

where  $m \geq l(\lambda')$ , and therefore

$$(5.6) \quad \omega(s_{\lambda/\mu}) = s_{\lambda'/\mu'}$$

From (5.4) it follows that  $s_{\lambda/\mu} = 0$  unless  $\lambda_i \geq \mu_i$  for all  $i$ , i.e. unless  $\lambda \supset \mu$ . For if  $\lambda_r < \mu_r$  for some  $r$ , we have  $\lambda_i \leq \lambda_r < \mu_r \leq \mu_j$  for  $1 \leq j \leq r \leq i \leq n$ , and therefore  $\lambda_i - \mu_j - i + j < 0$  for this range of values of  $(i, j)$ . Consequently the matrix  $(h_{\lambda_i - \mu_j - i + j})$  has an  $(n - r + 1) \times r$  block of zeros in the bottom-left-hand corner, and therefore its determinant vanishes.

The same considerations show that if  $\lambda \supset \mu$  and  $\mu_r \geq \lambda_{r+1}$  for some  $r < n$ , the matrix  $(h_{\lambda_i - \mu_j - i + j})$  is of the form  $\begin{pmatrix} A & C \\ 0 & B \end{pmatrix}$ , where  $A$  has  $r$  rows

and columns, and  $B$  has  $n - r$  rows and columns, so that its determinant is equal to  $\det(A) \det(B)$ . Hence if the skew diagram  $\lambda - \mu$  consists of two disjoint pieces  $\theta, \varphi$  (each of which is a skew diagram), then we have  $s_{\lambda/\mu} = s_\theta \cdot s_\varphi$ . To summarize:

(5.7) *The skew S-function  $s_{\lambda/\mu}$  is zero unless  $\lambda \supset \mu$ , in which case it depends only on the skew diagram  $\lambda - \mu$ . If  $\theta_i$  are the components (§1) of  $\lambda - \mu$ , we have  $s_{\lambda/\mu} = \prod s_{\theta_i}$ .*

If the number of variables  $x_i$  is finite, we can say more:

(5.8) *We have  $s_{\lambda/\mu}(x_1, \dots, x_n) = 0$  unless  $0 \leq \lambda'_i - \mu'_i \leq n$  for all  $i \geq 1$ .*

*Proof.* Suppose that  $\lambda'_r - \mu'_r > n$  for some  $r \geq 1$ . Since  $e_{n+1} = e_{n+2} = \dots = 0$ , it follows as above that the matrix  $(e_{\lambda'_i - \mu'_j - i + j})$  has a rectangular block of zeros in the top right-hand corner, with one vertex of the rectangle on the main diagonal, hence its determinant vanishes. |

Now let  $x = (x_1, x_2, \dots)$ ,  $y = (y_1, y_2, \dots)$ ,  $z = (z_1, z_2, \dots)$  be three sets of independent variables. Then by (5.2) we have

$$(a) \quad \sum_{\lambda, \mu} s_{\lambda/\mu}(x) s_\lambda(z) s_\mu(y) = \sum_{\mu} s_\mu(y) s_\mu(z) \cdot \prod_{i,k} (1 - x_i z_k)^{-1}$$

which by (4.3) is equal to

$$\prod_{i,k} (1 - x_i z_k)^{-1} \cdot \prod_{j,k} (1 - y_j z_k)^{-1}$$

and therefore also equal to

$$(b) \quad \sum_{\lambda} s_\lambda(x, y) s_\lambda(z)$$

where  $s_\lambda(x, y)$  denotes the S-function corresponding to  $\lambda$  in the set of variables  $(x_1, x_2, \dots, y_1, y_2, \dots)$ . From the equality of (a) and (b) we conclude that

$$(5.9) \quad s_\lambda(x, y) = \sum_{\mu} s_{\lambda/\mu}(x) s_\mu(y) \\ = \sum_{\mu, \nu} c_{\mu, \nu}^\lambda s_\mu(y) s_\nu(x).$$

More generally, we have

$$(5.10) \quad s_{\lambda/\mu}(x, y) = \sum_{\nu} s_{\lambda/\nu}(x) s_{\nu/\mu}(y)$$

summed over partitions  $\nu$  such that  $\lambda \supset \nu \supset \mu$ .

*Proof.* From (5.9) we have

$$\sum_{\mu} s_{\lambda/\mu}(x, y) s_\mu(z) = s_\lambda(x, y, z) \\ = \sum_{\nu} s_{\lambda/\nu}(x) s_\nu(y, z) \\ = \sum_{\mu, \nu} s_{\lambda/\nu}(x) s_{\nu/\mu}(y) s_\mu(z)$$

by (5.9) again; now equate the coefficients of  $s_\mu(z)$  at either end of this chain of equalities. |

The formula (5.10) may clearly be generalized, as follows. Let  $x^{(1)}, \dots, x^{(n)}$  be  $n$  sets of variables, and let  $\lambda, \mu$  be partitions. Then

$$(5.11) \quad s_{\lambda/\mu}(x^{(1)}, \dots, x^{(n)}) = \sum_{\nu} \prod_{i=1}^n s_{\nu^{(i)}/\mu^{(i)}}(x^{(i)})$$

summed over all sequences  $(\nu) = (\nu^{(0)}, \nu^{(1)}, \dots, \nu^{(n)})$  of partitions, such that  $\nu^{(0)} = \mu$ ,  $\nu^{(n)} = \lambda$  and  $\nu^{(0)} \subset \nu^{(1)} \subset \dots \subset \nu^{(n)}$ . |

We shall apply (5.11) in the case where each set of variables  $x^{(i)}$  consists of a single variable  $x_i$ . For a single  $x$ , it follows from (5.8) that

$s_{\lambda/\mu}(x) = 0$  unless  $\lambda - \mu$  is a *horizontal strip* (§1), in which case  $s_{\lambda/\mu}(x) = x^{|\lambda - \mu|}$ . Hence each of the products in the sum on the right-hand side of (5.11) is a monomial  $x_1^{\alpha_1} \dots x_n^{\alpha_n}$ , where  $\alpha_i = |\nu^{(i)} - \nu^{(i-1)}|$ , and hence we have  $s_{\lambda/\mu}(x_1, \dots, x_n)$  expressed as a sum of monomials  $x^\alpha$ , one for each *tableau* (§1)  $T$  of shape  $\lambda - \mu$ . If the weight of  $T$  is  $\alpha = (\alpha_1, \dots, \alpha_n)$ , we shall write  $x^T$  for  $x^\alpha$ . Then:

$$(5.12) \quad s_{\lambda/\mu} = \sum_T x^T$$

summed over all tableaux  $T$  of shape  $\lambda - \mu$ . |

For each partition  $\nu$  such that  $|\nu| = |\lambda - \mu|$ , let  $K_{\lambda - \mu, \nu}$  denote the number of tableaux of shape  $\lambda - \mu$  and weight  $\nu$ . From (5.12) we have

$$(5.13) \quad s_{\lambda/\mu} = \sum_\nu K_{\lambda - \mu, \nu} m_\nu$$

and therefore

$$(5.14) \quad K_{\lambda - \mu, \nu} = \langle s_{\lambda/\mu}, h_\nu \rangle = \langle s_\lambda, s_\mu h_\nu \rangle$$

so that

$$(5.15) \quad s_\mu h_\nu = \sum_\lambda K_{\lambda - \mu, \nu} s_\lambda.$$

In particular, suppose that  $\nu = (r)$ , a partition with only one non-zero part. Then  $K_{\lambda - \mu, (r)}$  is 1 or 0 according as  $\lambda - \mu$  is or is not a horizontal  $r$ -strip, and therefore from (5.15) we have

$$(5.16) \quad s_\mu h_r = \sum_\lambda s_\lambda$$

summed over all partitions  $\lambda$  such that  $\lambda - \mu$  is a horizontal  $r$ -strip. |

By applying the involution  $\omega$  to (5.16), we obtain

$$(5.17) \quad s_\mu e_r = \sum_\lambda s_\lambda$$

summed over all partitions  $\lambda$  such that  $\lambda - \mu$  is a vertical  $r$ -strip. |

*Remarks.* 1. It is easy to give a direct proof of (5.17). Consider (for a finite set of variables  $x_1, \dots, x_n$ ) the product

$$\begin{aligned} a_{\mu+\delta} e_r &= \sum_{w \in S_n} \varepsilon(w) x^{w(\mu+\delta)} \sum_\alpha x^\alpha \\ &= \sum_\alpha a_{\mu+\alpha+\delta} \end{aligned}$$

where the sum is over all  $\alpha \in \mathbb{N}^n$  such that each  $\alpha_i$  is 0 or 1, and  $\sum \alpha_i = r$ . For each such  $\alpha$ , the sequence

$$\mu + \alpha + \delta = (\mu_1 + \alpha_1 + n - 1, \mu_2 + \alpha_2 + n - 2, \dots, \mu_n + \alpha_n)$$

is in descending order, so that we have only to reject those  $\alpha$  for which two consecutive terms are equal. We are then left with those  $\alpha$  for which  $\lambda = \mu + \alpha$  is a *partition*, i.e. such that  $\lambda - \mu$  is a vertical  $r$ -strip. This proves (5.17), hence also (5.16) by duality. We can now play back the rest of the argument: (5.16) implies (5.15) by induction on the length of  $\nu$ , hence (5.14), which in turn is merely a restatement of (5.13).

2. Proposition (5.12) is the origin of the application of  $S$ -functions to enumeration of plane partitions (see the examples at the end of this section). For this reason, combinatorialists often prefer to take (5.12) as the definition of  $S$ -functions (see e.g. Stanley [51]). This approach has the advantage of starting directly with a simple explicit definition, but it is not clear a priori why one should be led to make such a definition in the first place.

3. In any  $\lambda$ -ring we can define operations  $S^{\lambda/\mu}$  by the formula (5.3):

$$S^{\lambda/\mu} = \sum_\nu c_{\mu\nu}^\lambda S^\nu$$

Then (5.9), for example, takes the form of an addition theorem:

$$S^\lambda(x+y) = \sum_\mu S^{\lambda/\mu}(x) S^\mu(y)$$

for any two elements  $x, y$  of a  $\lambda$ -ring. Similarly for the other formulas in this section.

### Examples

1. Let  $\lambda - \mu$  be a horizontal strip. Then  $s_{\lambda/\mu} = h_\nu = h_{\nu_1} h_{\nu_2} \dots$  where the integers  $\nu_i$  are the lengths of the components of the strip. (Use (5.7).) Likewise, if  $\lambda - \mu$  is a vertical strip, we have  $s_{\lambda/\mu} = e_{\nu_1} e_{\nu_2} \dots$  where again the  $\nu_i$  are the lengths of the components of the strip.

2. Let  $\lambda$  be a partition of  $n$ . Then the number of standard tableaux of shape  $\lambda$  is

$$K_{\lambda, (1^n)} = \langle s_\lambda, h_1^n \rangle$$

by (5.14). By §4, Ex. 3 it follows that the number of standard tableaux of shape  $\lambda$  is equal to  $n!/h(\lambda)$ , where  $h(\lambda)$  is the product of the hook-lengths of  $\lambda$ .

3. For each symmetric function  $f \in \Lambda$ , let  $D(f): \Lambda \rightarrow \Lambda$  be the adjoint of multiplication by  $f$ , i.e.

$$\langle D(f)u, v \rangle = \langle u, fv \rangle$$

for all  $u, v \in \Lambda$ . Then  $D: \Lambda \rightarrow \text{End}(\Lambda)$  is a ring homomorphism.

(a) For each partition  $\mu$ , let  $D_\mu$  denote  $D(s_\mu)$ . Then since

$$\langle D_\mu s_\lambda, s_\nu \rangle = \langle s_\lambda, s_\mu s_\nu \rangle = \langle s_{\lambda/\mu}, s_\nu \rangle$$

for all  $\lambda, \mu, \nu$ , it follows that  $D_\mu s_\lambda = s_{\lambda/\mu}$ .

Hence from (5.9) we have

$$s_\lambda(x, y) = \sum_{\mu} D_\mu s_\lambda(x) \cdot s_\mu(y)$$

and therefore, for any  $f \in \Lambda$ ,

$$f(x, y) = \sum_{\mu} D_\mu f(x) \cdot s_\mu(y).$$

(b) We have  $D(h_\lambda)m_\mu = 0$  unless  $\mu = \lambda \cup \nu$  for some partition  $\nu$ , and in that case  $D(h_\lambda)m_\mu = m_\nu$ . For

$$\langle D(h_\lambda)m_\mu, h_\nu \rangle = \langle m_\mu, h_\lambda h_\nu \rangle = \langle m_\mu, h_{\lambda \cup \nu} \rangle$$

which is zero unless  $\mu = \lambda \cup \nu$ .

In particular,  $D(h_n)m_\mu = 0$  if  $n$  is not a part of  $\mu$ , and  $D(h_n)m_\mu = m_\nu$  if  $n$  is a part of  $\mu$ , where  $\nu$  is the partition obtained by removing one part  $n$  from  $\mu$ . It follows that for every  $f(x_0, x_1, x_2, \dots) \in \Lambda$ ,  $(D(h_n)f)(x_1, x_2, \dots)$  is the coefficient of  $x_0^n$  in  $f$ .

(c) Next consider  $D(p_n)$ . If  $N \geq n$  we have

$$\langle D(p_n)h_N, p_\lambda \rangle = \langle h_N, p_n p_\lambda \rangle = 1 = \langle h_{N-n}, p_\lambda \rangle$$

for all partitions  $\lambda$  of  $N-n$ , by §4, Ex. 4. Hence

$$D(p_n)h_N = h_{N-n}$$

and therefore

$$D(p_n) = \sum_{r \geq 0} h_r \partial / \partial h_{n+r}$$

acting on symmetric functions expressed as polynomials in the  $h$ 's.

Dually

$$D(p_n) = (-1)^{n-1} \sum_{r \geq 0} e_r \partial / \partial e_{n+r}$$

acting on symmetric functions expressed as polynomials in the  $e$ 's.

Further, we have  $\langle D(p_n)p_\lambda, p_\mu \rangle = \langle p_\lambda, p_n p_\mu \rangle$ , which is 0 if  $\lambda \neq \mu \cup (n)$ , and is equal to  $z_\lambda$  if  $\lambda = \mu \cup (n)$ . It follows that  $D(p_n)p_\lambda = 0$  if  $n$  is not a part of  $\lambda$ , and that  $D(p_n)p_\lambda = z_\lambda z_\mu^{-1}$  if  $n$  is a part of  $\lambda$ , and  $\mu$  is the partition obtained by removing one part  $n$  from  $\lambda$ . From the definition of  $z_\lambda$  it follows that  $z_\lambda z_\mu^{-1} = n \cdot m_n(\lambda)$ , where  $m_n(\lambda)$  is the multiplicity of  $n$  as a part of  $\lambda$ , and therefore

$$D(p_n) = n \partial / \partial p_n$$

acting on symmetric functions expressed as polynomials in the  $p$ 's. In particular, each  $D(p_n)$  is a derivation of  $\Lambda$ .

Since each  $f \in \Lambda$  can be expressed as a polynomial  $\varphi(p_1, p_2, \dots)$  with rational

coefficients, it follows that

$$D(f) = \varphi(\partial / \partial p_1, 2\partial / \partial p_2, \dots)$$

is a linear differential operator with constant coefficients.

4. We have

$$\sum_{\lambda} s_{\lambda} = \prod_i (1 - x_i)^{-1} \prod_{i < j} (1 - x_i x_j)^{-1},$$

where the sum on the left is over all partitions  $\lambda$ .

It is enough to prove this for a finite set of variables  $x_1, \dots, x_n$ . Let  $\Phi(x_1, \dots, x_n)$  denote  $\sum_{\lambda} s_{\lambda}(x_1, \dots, x_n)$ , which is now a sum over partitions  $\lambda$  of length  $\leq n$ . By induction on  $n$ , it is enough to show that

$$\Phi(x_1, \dots, x_n, y) = \Phi(x_1, \dots, x_n)(1-y)^{-1} \prod_{i=1}^n (1-x_i y)^{-1}.$$

From (5.9) it follows that

$$\Phi(x_1, \dots, x_n, y) = \sum_{\lambda, \mu} y^{|\lambda - \mu|} s_{\mu}(x_1, \dots, x_n)$$

where the sum on the right is over all pairs of partitions  $\lambda \supset \mu$  such that  $l(\mu) \leq n$  and  $\lambda - \mu$  is a horizontal strip. For each such pair  $\lambda, \mu$ , define  $\nu \subset \mu$  by  $\mu_i - \nu_i = \lambda_{i+1} - \mu_{i+1}$  ( $i \geq 1$ ), so that  $|\lambda - \mu| = \lambda_1 - \mu_1 + |\mu - \nu|$ . Then  $\lambda$  can be reconstructed from  $\mu, \nu$  and the integer  $\lambda_1 - \mu_1$ , and hence

$$(*) \quad \sum_{\lambda, \mu} y^{|\lambda - \mu|} s_{\mu}(x_1, \dots, x_n) = \sum_{\mu, \nu} y^{|\mu - \nu|} (1-y)^{-1} s_{\mu}(x_1, \dots, x_n),$$

the sum on the right being over pairs of partitions  $\mu \supset \nu$  such that  $l(\mu) \leq n$  and  $\mu - \nu$  is a horizontal strip. By (5.16), the right-hand side of (\*) is equal to

$$\sum_{\nu, r} y^r (1-y)^{-1} h_r(x_1, \dots, x_n) s_{\nu}(x_1, \dots, x_n)$$

summed over all partitions  $\nu$  of length  $\leq n$ , and all integers  $r \geq 0$ ; and this last sum is equal to  $(1-y)^{-1} \prod_{i=1}^n (1-x_i y)^{-1} \Phi(x_1, \dots, x_n)$ , as required.

5. We have

$$\sum_{\mu \text{ even}} s_{\mu} = \prod_i (1 - x_i^2)^{-1} \prod_{i < j} (1 - x_i x_j)^{-1},$$

where the sum on the left is over all even partitions  $\mu$  (i.e., with all parts  $\mu_i$  even).

Each partition  $\lambda$  can be reduced to an even partition  $\mu$  by removing a vertical strip, in exactly one way: we take  $\mu_i = \lambda_i$  if  $\lambda_i$  is even, and  $\mu_i = \lambda_i - 1$  if  $\lambda_i$  is odd. From this observation and (5.17) it follows that

$$\left( \sum_{\mu \text{ even}} s_{\mu} \right) \left( \sum_{r \geq 0} e_r \right) = \sum_{\lambda} s_{\lambda},$$

the sum on the right being over all partitions  $\lambda$ . Since  $\sum e_r = \prod(1+x_i)$ , the result now follows from Ex. 4.

6. We have

$$\sum_{\nu' \text{ even}} s_\nu = \prod_{i < j} (1 - x_i x_j)^{-1}.$$

The proof is dual to that of Ex. 5: each partition  $\lambda$  can be reduced to one with even columns by removing a horizontal strip, in exactly one way. From this observation and (5.16) it follows that

$$\left( \sum_{\nu' \text{ even}} s_\nu \right) \left( \sum_{r \geq 0} h_r \right) = \sum_{\lambda} s_\lambda,$$

and since  $\sum_{r \geq 0} h_r = \prod(1-x_i)^{-1}$ , the result again follows from Ex. 4.

The involution  $\omega$  interchanges the identities of Ex. 5 and Ex. 6.

7. The same argument as in Ex. 6 shows that

$$\sum_{\lambda} t^{c(\lambda)} s_\lambda = \prod_i (1 - tx_i)^{-1} \prod_{i < j} (1 - x_i x_j)^{-1}$$

where the sum is over all partitions  $\lambda$ , and  $c(\lambda)$  is the number of columns of odd length in  $\lambda$ . This includes the identities of Ex. 4 (when  $t=1$ ) and Ex. 6 (when  $t=0$ ).

8. By applying the involution  $\omega$  to Ex. 7 we obtain

$$\sum_{\lambda} t^{r(\lambda)} s_\lambda = \prod_i \frac{1+tx_i}{1-x_i^2} \prod_{i < j} \frac{1}{1-x_i x_j}$$

where the sum is over all partitions  $\lambda$ , and  $r(\lambda)$  is the number of rows of odd length in  $\lambda$ . When  $t=1$  this reduces to Ex. 4, and when  $t=0$  it reduces to Ex. 5.

9. The products

$$\prod_{i < j} (1 - x_i x_j), \quad \prod_i (1 - x_i) \prod_{i < j} (1 - x_i x_j), \quad \prod_i (1 - x_i^2) \prod_{i < j} (1 - x_i x_j).$$

(i.e., the reciprocals of those of Exx. 4,5,6) can also be expanded as series of  $S$ -functions. The expansions may be derived from Weyl's identity for the root-systems of types  $D_n$ ,  $B_n$ ,  $C_n$  respectively. (If  $R$  is a root system with Weyl group  $W$ ,  $R^+$  a system of positive roots,  $\rho$  half the sum of the positive roots, then Weyl's identity ([6], p. 185) is

$$(*) \quad \sum_{w \in W} \varepsilon(w) e^{w\rho} = \prod_{\alpha \in R^+} (e^{\alpha/2} - e^{-\alpha/2})$$

where  $\varepsilon(w)$  is the sign of  $w \in W$ , and the  $e$ 's are formal exponentials.)

(a) When  $R$  is of type  $D_n$ , the identity (\*) leads to

$$\sum_{\pi} (-1)^{|\pi|/2} s_{\pi}(x_1, \dots, x_n) = \prod_{i < j} (1 - x_i x_j)$$

summed over all partitions  $\pi = (\alpha_1 - 1, \dots, \alpha_p - 1 \mid \alpha_1, \dots, \alpha_p)$  in Frobenius notation, where  $\alpha_1 \leq n-1$ .

(b) When  $R$  is of type  $C_n$ , we obtain from (\*)

$$\sum_{\rho} (-1)^{|\rho|/2} s_{\rho}(x_1, \dots, x_n) = \prod_i (1 - x_i^2) \prod_{i < j} (1 - x_i x_j)$$

summed over all partitions  $\rho = (\alpha_1 + 1, \dots, \alpha_p + 1 \mid \alpha_1, \dots, \alpha_p)$ , where  $\alpha_1 \leq n-1$ .

(c) When  $R$  is of type  $B_n$ , we obtain from (\*)

$$\sum_{\sigma} (-1)^{(|\sigma|+p(\sigma))/2} s_{\sigma}(x_1, \dots, x_n) = \prod_i (1 - x_i) \prod_{i < j} (1 - x_i x_j)$$

summed over all self-conjugate partitions  $\sigma = (\alpha_1, \dots, \alpha_p \mid \alpha_1, \dots, \alpha_p)$  such that  $\alpha_1 \leq n-1$ , where  $p(\sigma) = p$ .

10. In the language of  $\lambda$ -rings, the identities of Exs. 5, 6, and 9 give series expansions (in terms of  $S$ -operations) for  $\sigma_i(\sigma^2(x))$ ,  $\sigma_i(\lambda^2(x))$ ,  $\lambda_i(\sigma^2(x))$  and  $\lambda_i(\lambda^2(x))$ , namely

$$\sigma_i(\sigma^2(x)) = \sum_{\mu' \text{ even}} S^{\mu}(x) t^{|\mu|/2},$$

$$\sigma_i(\lambda^2(x)) = \sum_{\nu' \text{ even}} S^{\nu}(x) t^{|\nu|/2},$$

$$\lambda_i(\sigma^2(x)) = \sum_{\rho} S^{\rho}(x) t^{|\rho|/2},$$

$$\lambda_i(\lambda^2(x)) = \sum_{\pi} S^{\pi}(x) t^{|\pi|/2},$$

the last two summations being over partitions  $\rho = (\alpha_1 + 1, \dots, \alpha_p + 1 \mid \alpha_1, \dots, \alpha_p)$  and  $\pi = (\alpha_1 - 1, \dots, \alpha_p - 1 \mid \alpha_1, \dots, \alpha_p)$ .

11. Let  $x_1 = \dots = x_N = t$ ,  $x_{N+1} = x_{N+2} = \dots = 0$  in the formula of Ex. 4. Then  $s_{\lambda} = \binom{N}{|\lambda|} t^{|\lambda|}$  (§3, Ex. 4) and hence, for each  $n \geq 0$ ,

$$\begin{aligned} \sum_{|\lambda|=n} \binom{N}{|\lambda|} &= \text{coefficient of } t^n \text{ in } (1-t)^{-N} (1-t^2)^{-N(N-1)/2} \\ &= \text{coefficient of } t^n \text{ in } (1-t)^{-N(N+1)/2} (1+t)^{-N(N-1)/2} \end{aligned}$$

Since this is true for all positive integers  $N$ , it is a polynomial identity, i.e.

$$\sum_{|\lambda|=n} \binom{X}{|\lambda|} = \text{coefficient of } t^n \text{ in } (1-t)^{-X(X+1)/2} (1+t)^{-X(X-1)/2}.$$

12. Let  $x_1 = \dots = x_N = t/N$ ,  $x_{N+1} = x_{N+2} = \dots = 0$  in the identity of Ex. 4, and let  $N \rightarrow \infty$ . Then from Ex. 11 we obtain

$$\sum_{|\lambda|=n} h(\lambda)^{-1} = \text{coefficient of } t^n \text{ in } \exp(t + \frac{1}{2}t^2)$$

where (§4, Ex. 3)  $h(\lambda)$  is the product of the hook lengths of  $\lambda$ . From Ex. 2 it follows that the total number of standard tableaux of weight  $(1^n)$  is equal to  $n!$  multiplied by the coefficient of  $t^n$  in  $\exp(t + \frac{1}{2}t^2)$ . This number is also the number of permutations  $w \in S_n$  such that  $w^2 = 1$ .

13. Let  $\lambda$  be a partition. A *plane partition of shape*  $\lambda$  is a mapping  $\pi$ , from (the diagram of)  $\lambda$  to the positive integers such that  $\pi(x_1) \geq \pi(x_2)$  whenever  $x_2$  lies below or to the right of  $x_1$  in  $\lambda$ . The numbers  $\pi(x)$  are the *parts* of  $\pi$ , and

$$|\pi| = \sum_{x \in \lambda} \pi(x)$$

is called the *weight* of  $\pi$ . Any plane partition  $\pi$  determines a sequence  $\lambda = \lambda^{(0)} \supset \lambda^{(1)} \supset \dots$  of (linear) partitions such that  $\pi^{-1}(i) = \lambda^{(i-1)} - \lambda^{(i)}$  for each  $i \geq 1$ .

If  $\pi(x_1) > \pi(x_2)$  whenever  $x_2$  lies directly below  $x_1$  (i.e., if the parts of  $\pi$  decrease strictly down each column) then  $\pi$  is said to be *column-strict*. Clearly  $\pi$  is column-strict if and only if each skew diagram  $\pi^{-1}(i) = \lambda^{(i-1)} - \lambda^{(i)}$  is a horizontal strip.

A plane partition  $\pi$  has a 3-dimensional *diagram*, consisting of the points  $(i, j, k)$  with integer coordinates such that  $(i, j) \in \lambda$  and  $1 \leq k \leq \pi(i, j)$ . Alternatively, we may think of the diagram of  $\pi$  as a set of unit cubes, such that  $\pi(x)$  cubes are stacked vertically on each square  $x \in \lambda$ . As in the case of ordinary (linear) partitions, we shall use the same symbol  $\pi$  to denote a plane partition and its diagram.

If  $S$  is any set of plane partitions, the *generating function* of  $S$  is the polynomial or formal power series

$$\sum_{\pi \in S} q^{|\pi|}$$

in which the coefficient of  $q^n$  is the number of plane partitions of weight  $n$  which belong to  $S$ .

(a) Consider column-strict plane partitions of shape  $\lambda$ , with all parts  $\leq n$ . By (5.12) the generating function for these is  $s_\lambda(q^n, q^{n-1}, \dots, q)$ , which by §3, Ex. 1 is

$$q^{|\lambda|+n(\lambda)} \prod_{x \in \lambda} \frac{1 - q^{n+c(x)}}{1 - q^{h(x)}}$$

(b) Let  $l, m, n$  be three positive integers, and consider the set of plane partitions  $\pi$  with all parts  $\leq n$  and shape  $\lambda$  such that  $l(\lambda) \leq l$  and  $l(\lambda') \leq m$ : that is, the set of three-dimensional diagrams  $\pi$  which fit inside a box  $B$  with side-lengths  $l, m, n$ . By adding  $l+1-i$  to each part in the  $i$ th row of  $\pi$ , for  $1 \leq i \leq l$ , we convert  $\pi$  into a column-strict plane partition of shape  $(m, \dots, m) = (m^l)$  and largest part  $\leq l+n$ . From (a) above, the generating function for the plane partitions  $\pi \in B$  is therefore

$$(1) \quad \prod_{x \in (m^l)} \frac{1 - q^{l+n+c(x)}}{1 - q^{h(x)}}$$

In this form the result does not display the symmetry which it must have as a function of  $l, m$ , and  $n$ . It may be rewritten as follows: for each  $y = (i, j, k) \in B$ , define the *height* of  $y$  to be  $ht(y) = i+j+k-2$  (so that the point  $(1, 1, 1)$  has height 1). Then the generating function (1) may be written in the form

$$(2) \quad \sum_{\pi \in B} q^{|\pi|} = \prod_{y \in B} \frac{1 - q^{l+ht(y)}}{1 - q^{ht(y)}}$$

(c) We may now let any or all of  $l, m, n$  become infinite. The most striking result is obtained by letting all of  $l, m, n$  tend to  $\infty$ : the box  $B$  is then replaced by the positive octant, and for each  $n \geq 1$  the number of lattice points  $(i, j, k)$  with

$i+j+k-2 = n$  and  $i, j, k \geq 1$  is equal to the coefficient of  $t^{n-1}$  in  $(1-t)^{-3}$ , hence to  $\frac{1}{2}n(n+1)$ . It follows that the generating function for *all* plane partitions is

$$(3) \quad \prod_{n=1}^{\infty} \left( \frac{1 - q^{n+1}}{1 - q^n} \right)^{n(n+1)/2} = \prod_{n=1}^{\infty} (1 - q^n)^{-n}$$

(d) Likewise, the generating function for all plane partitions with largest part  $\leq m$  is

$$(4) \quad \prod_{n=1}^{\infty} (1 - q^n)^{-\min(m, n)}$$

14. From Ex. 13(a), by letting  $n \rightarrow \infty$ , the generating function for all column-strict plane partitions of shape  $\lambda$  is

$$(1) \quad q^{|\lambda|+n(\lambda)} H_\lambda(q)^{-1}$$

where  $H_\lambda(q) = \prod_{x \in \lambda} (1 - q^{h(x)})$ .

Another way of obtaining this generating function is as follows. Let  $\pi$  be a column-strict plane partition of shape  $\lambda$ , and let  $S$  be the set of pairs  $(\pi(i, j), j)$  where  $(i, j) \in \lambda$ . The elements of  $S$  are all distinct, because  $\pi$  is column-strict. We order  $S$  as follows:  $(r, j)$  precedes  $(r', j')$  if either  $r > r'$ , or  $r = r'$  and  $j < j'$ . This is a linear ordering of  $S$ . Define a standard tableau  $T(\pi)$  of shape  $\lambda$  as follows:  $T(i, j) = k \Leftrightarrow (\pi(i, j), j)$  is the  $k$ th element of  $S$  in the linear ordering defined above. Then  $T(\pi)$  is a standard tableau. For example, if  $\pi$  is

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then  $S$  is the ordered set

$(3, 1), (3, 2), (2, 1), (2, 2), (2, 3), (1, 1), (1, 4), (1, 5)$ ,

and  $T(\pi)$  is the standard tableau

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Conversely, let  $T$  be a standard tableau of shape  $\lambda$ , and let  $\pi$  be a column-strict plane partition such that  $T(\pi) = T$ . Let  $|\lambda| = n$ , and for  $1 \leq k \leq n$  let  $a_k$  be the part of  $\pi$  in the square occupied by  $k$  in  $T$ . Then  $a_1 \geq \dots \geq a_n \geq 1$  and

$$a_k > a_{k+1} \quad \forall k \in R(T) \quad \leftarrow$$

where  $R(T)$  is the set of integers  $k \in [1, n-1]$  such that  $k+1$  lies in a lower row than  $k$  in the tableau  $T$ . Now let

$$b_k = \begin{cases} a_k - a_{k+1} & \text{if } k \notin R(T) \text{ and } k \neq n \\ a_k - a_{k+1} - 1 & \text{if } k \in R(T) \\ a_n - 1 & \text{if } k = n \end{cases}$$

so that  $b_k \geq 0$  for  $k = 1, 2, \dots, n$ . Then we have

$$\sum_{k=1}^n a_k = n + r(T) + \sum_{k=1}^n kb_k$$

where

$$r(T) = \sum \{k : k+1 \text{ lies in a lower row than } k \text{ in } T\}$$

and therefore the generating function for the column-strict plane partitions  $\pi$  such that  $T(\pi) = T$  is

$$q^{n+r(T)} \varphi_n(q)^{-1}$$

where as usual  $\varphi_n(q) = (1-q)\dots(1-q^n)$ .

Hence the generating function for column-strict plane partitions of shape  $\lambda$  is

$$(2) \quad q^n \left( \sum_T q^{r(T)} \right) / \varphi_n(q)$$

summed over all standard tableaux  $T$  of shape  $\lambda$ .

From (1) and (2) it follows that

$$(3) \quad \sum_T q^{r(T)} = q^{n(\lambda)} \varphi_n(q) / H_\lambda(q).$$

15. Let  $S$  be any set of positive integers. From (5.12) and Ex. 4 it follows that the generating function for column-strict plane partitions all of whose parts belong to  $S$  is

$$\prod_{i \in S} (1-q^i)^{-1} \prod_{\substack{i, j \in S \\ i < j}} (1-q^{i+j})^{-1}.$$

(a) Take  $S$  to consist of all the positive integers. Then the generating function for all column-strict plane partitions, of arbitrary shape, is

$$(1) \quad \prod_{n=1}^{\infty} (1-t^n)^{-[n+1/2]}.$$

(b) Take  $S$  to consist of all the odd positive integers. We obtain the generating function

$$(2) \quad \prod_{n=1}^{\infty} ((1-t^{2n-1})^{-1} (1-t^{2n})^{-[n/2]}).$$

Now the column-strict plane partitions with all parts odd are in one-to-one correspondence with the *symmetrical* plane partitions  $\pi$  (i.e. such that  $\pi(i, j) = \pi(j, i)$ ). For the diagram of a symmetrical plane partition may be thought of as a sequence of diagrams of symmetrical (linear) partitions  $\pi^{(1)} \supset \pi^{(2)} \supset \dots$ , piled one on top of the other; each  $\pi^{(i)}$  is of the form  $(\alpha_1, \dots, \alpha_p \mid \alpha_1, \dots, \alpha_p)$  in Frobenius notation, and hence determines a linear partition  $\sigma^{(i)} = (2\alpha_1 + 1, \dots, 2\alpha_p + 1)$  with odd parts, all distinct; and the  $\sigma^{(i)}$  can be taken as the columns of a column-strict plane partition with odd parts. It follows that (2) is the generating function for the set of all symmetrical plane partitions.

16. Let  $\Phi(x_1, \dots, x_n) = \prod_i (1-x_i)^{-1} \prod_{i < j} (1-x_i x_j)^{-1}$  as in Ex. 4.

By setting  $t=0$  in the identity of III, §5, Ex. 5 we obtain

$$(1) \quad \sum_{m, \lambda} u^m s_\lambda(x_1, \dots, x_n) = \sum_{\varepsilon} \Phi(x_1^{\varepsilon_1}, \dots, x_n^{\varepsilon_n}) / (1-u \prod x_i^{(1-\varepsilon_i)/2})$$

where the sum on the left is over all partitions  $\lambda = (\lambda_1, \dots, \lambda_n)$  of length  $\leq n$ , and integers  $m \geq \lambda_1$ ; and the sum on the right is over all  $\varepsilon = (\varepsilon_1, \dots, \varepsilon_n)$  with each  $\varepsilon_i = \pm 1$ .

We shall rewrite (1) in the notation of root-systems. Let  $v_1, \dots, v_n$  be the standard basis of  $\mathbf{R}^n$ . Then the set of vectors

$$R = \{\pm v_i (1 \leq i \leq n), \pm v_i \pm v_j (1 \leq i < j \leq n)\}$$

is a root-system of type  $B_n$ , for which

$$R^+ = \{v_i (1 \leq i \leq n), v_i \pm v_j (1 \leq i < j \leq n)\}$$

is a system of positive roots, so that

$$\rho = \frac{1}{2}((2n-1)v_1 + (2n-3)v_2 + \dots + v_n)$$

is half the sum of the positive roots. The subset  $R_0$  of  $R$  defined by

$$R_0 = \{v_i - v_j : i \neq j\}$$

is a subsystem of  $R$  of type  $A_{n-1}$ , and  $R_0^+ = R^+ \cap R_0$  is a system of positive roots for  $R_0$ . The Weyl group  $W_0$  of  $R_0$  is the symmetric group  $S_n$ , acting by permutations of  $v_1, \dots, v_n$ , and the Weyl group  $W$  of  $R$  is the semidirect product of  $W_0$  with the group (of order  $2^n$ ) of transformations  $w_\varepsilon : v_i \mapsto \varepsilon_i v_i (1 \leq i \leq n)$ , where as before  $\varepsilon = (\varepsilon_1, \dots, \varepsilon_n)$  and each  $\varepsilon_i$  is  $\pm 1$ . In this notation,

$$\Phi(e^{-v_1}, \dots, e^{-v_n}) = \prod_{\alpha \in R_0^+} (1-e^{-\alpha}) / \prod_{\alpha \in R^+} (1-e^{-\alpha}) \\ = \left( \sum_{w \in W_0} \varepsilon(w) e^{w\rho} \right) / \left( \sum_{w \in W} \varepsilon(w) e^{w\rho} \right),$$

by virtue of Weyl's identity (Ex. 9). It follows that the right-hand side of (1) (with  $x_i$  replaced by  $e^{-v_i}$ ) may be written as a sum over  $W$ , and by equating the coefficients of  $u^m$  on either side of (1) we arrive at the identity

$$(2) \quad \sum_{\lambda} s_{\lambda}(e^{-v_1}, \dots, e^{-v_n}) = e^{-m\rho} J(m\theta + \rho) / J(\rho)$$

where  $\theta = \frac{1}{2}(v_1 + \dots + v_n)$ , and for any vector  $v$

$$J(v) = \sum_{w \in W} \varepsilon(w) e^{wv},$$

and the sum on the left is over all partitions  $\lambda$  such that  $l(\lambda) \leq n$  and  $l(\lambda') \leq m$  (i.e. such that  $\lambda \subset (m^n)$ ).

(If preferred, the right-hand side of (2) can be written as a quotient of determinants:

$$(2') \quad \sum_{\lambda} s_{\lambda}(x_1, \dots, x_n) = D_m / D_0$$

where  $D_m = \det(x_j^{m+2n-1} - x_j^{-1})_{1 \leq i, j \leq n}$ , and the summation is as before over partitions  $\lambda \subset (m^n)$ .

This identity (2) is a polynomial identity in  $n$  independent variables  $e^{-v_i}$ . We may therefore specialize it to obtain identities in one variable  $q$ , by replacing each  $e^{-v_i}$  by  $q^{f_i}$ , where the  $f_i$  are arbitrary integers. This means that each exponential  $e^{-v}$  is replaced by  $q^{(v, f)}$ , where  $f = \sum f_i v_i$  and  $(v, f)$  is the standard scalar product on  $\mathbb{R}^n$ . In this way we obtain

$$(3) \quad \sum_{\lambda} s_{\lambda}(q^{f_1}, \dots, q^{f_n}) = q^{m(\theta, f)} \frac{\sum \varepsilon(w) q^{-(m\theta + \rho, wf)}}{\sum \varepsilon(w) q^{-(\rho, wf)}}$$

the sum on the left being over all partitions  $\lambda \subset (m^n)$ .

**17** In formula (3) of Ex. 16 let us take  $f = 2\rho$ , the sum of the positive roots of  $R$ , so that  $f_i = 2n - 2i + 1$ . On the right-hand side, the alternating sum

$$\sum \varepsilon(w) q^{-(m\theta + \rho, 2wp)}$$

is by Weyl's identity (Ex. 9) equal to the product

$$\prod_{\alpha \in R^+} (q^{-\langle m\theta + \rho, \alpha \rangle} - q^{\langle m\theta + \rho, \alpha \rangle})$$

and therefore the right-hand side of (3) is equal to

$$\prod_{\alpha \in R^+} \frac{q^{2\langle m\theta + \rho, \alpha \rangle} - 1}{q^{\langle 2\rho, \alpha \rangle} - 1}.$$

In this product the positive roots  $v_i - v_j (i < j)$  make no contribution, because they are orthogonal to  $\theta = \frac{1}{2} \sum v_i$ . Hence we obtain the identity

$$(4) \quad \sum_{\lambda \subset (m^n)} s_{\lambda}(q^{2n-1}, q^{2n-3}, \dots, q) = \prod_{i=1}^n \frac{q^{m+2i-1} - 1}{q^{2i-1} - 1} \prod_{1 \leq i < j \leq n} \frac{q^{2(m+i+j-1)} - 1}{q^{2(i+j-1)} - 1}.$$

The left-hand side of (4) is the generating function for column-strict plane partitions with odd parts  $\leq 2n - 1$ , and with at most  $m$  columns and at most  $n$  rows; or equivalently (Ex. 15) it is the generating function for *symmetrical* plane partitions  $\pi$  whose diagrams are contained in the box  $B = B_{(n, n, m)} = \{(i, j, k) : 1 \leq i, j \leq n, 1 \leq k \leq m\}$ .

The right-hand side of (4) can be rewritten in a form analogous to that of Ex. 13, formula (2), as follows. Let  $G_2$  be the group of two elements consisting of the identity and the mapping  $(i, j, k) \mapsto (j, i, k)$ , so that the box  $B$  is stable under  $G_2$ . For each orbit  $\eta$  of  $G_2$  in  $B$  let  $|\eta|$  ( $= 1$  or  $2$ ) be the number of elements of  $\eta$ , and let

$$ht(\eta) = \sum_{y \in \eta} ht(y)$$

where  $ht(i, j, k) = i + j + k - 2$  as in Ex. 13. Then the generating function for symmetrical plane partitions  $\pi \subset B$  is

$$(5) \quad \prod_{\eta \in B/G_2} \frac{1 - q^{ht(\eta)+|\eta|}}{1 - q^{ht(\eta)}}.$$

18. Let  $G_3$  be the group of three elements generated by  $(i, j, k) \mapsto (j, k, i)$  and let  $C_n$  be the cube  $\{(i, j, k) : 1 \leq i, j, k \leq n\}$ . The formula (5) of Ex. 17 suggests the

following conjecture: the generating function for *cyclically symmetric* plane partitions  $\pi$  (i.e. those whose diagrams are stable under  $G_3$ ) contained in the cube  $C_n$  should be

$$(6) \quad \prod_{\eta \in C_n/G_3} \frac{1 - q^{ht(\eta)+|\eta|}}{1 - q^{ht(\eta)}}.$$

This has been checked numerically for  $n \leq 10$  (J. McKay) and has recently been proved for arbitrary  $n$  and  $q = 1$  by G. E. Andrews [4].

19. With the notation of Ex. 16, the set of vectors

$$R_1 = \{\pm 2v_i (1 \leq i \leq n), \pm v_i \pm v_j (1 \leq i < j \leq n)\}$$

is a root system of type  $C_n$ , for which

$$R_1^+ = \{2v_i (1 \leq i \leq n), v_i \pm v_j (1 \leq i < j \leq n)\}$$

is a system of positive roots, so that

$$\rho_1 = nv_1 + (n-1)v_2 + \dots + v_n$$

is half the sum of the positive roots. The Weyl group is the same group  $W$  as in Ex. 16.

We shall take  $f = \rho_1$  in formula (3) of Ex. 16, so that  $e^{-v_i}$  is replaced by  $q^{n-i+1}$ . As in Ex. 17, by virtue of Weyl's identity we have

$$\sum \varepsilon(w) q^{-(m\theta + \rho, wp_1)} = \prod_{\alpha \in R_1^+} (q^{-\langle m\theta + \rho, \alpha/2 \rangle} - q^{\langle m\theta + \rho, \alpha/2 \rangle})$$

and therefore the right-hand side of (3) is equal to

$$\prod_{\alpha \in R_1^+} \frac{q^{\langle m\theta + \rho, \alpha \rangle} - 1}{q^{\langle \rho, \alpha \rangle} - 1}.$$

Again the roots  $v_i - v_j (i < j)$  make no contribution to this product, and hence we obtain

$$(7) \quad \sum_{\lambda \subset (m^n)} s_{\lambda}(q^n, \dots, q) = \prod_{1 \leq i < j \leq n} \frac{q^{m+i+j-1} - 1}{q^{i+j-1} - 1}.$$

The left-hand side of (7) is the generating function for column-strict plane partitions with largest part  $\leq n$  and at most  $m$  columns, and the right-hand side can be written in terms of the height function introduced in Ex. 13, namely as

$$\prod_{y \in D} \frac{1 - q^{ht(y)+1}}{1 - q^{ht(y)}}$$

where  $D$  is the prism  $\{(i, j, k) : 1 \leq i \leq j \leq n, 1 \leq k \leq m\}$ .

#### Notes and references

Ex. 2. The fact that the number of standard tableaux of shape  $\lambda$  is equal to  $n!/h(\lambda)$  is due to Frame, Robinson, and Thrall [11]. No simple direct combinatorial proof seems to be known.

Ex. 3. The operators  $D(e_n)$ ,  $D(h_n)$  were introduced by Hammond [18]

and the  $D(s_\lambda)$  by Foulkes [9], in both cases defined as differential operators. See also Foulkes [10].

Ex. 4. This identity is usually ascribed to Littlewood [29], p. 238; however, in an equivalent form it was stated by Schur in 1918 (Ges. Abhandlungen, Vol. 3, p. 456). Bender & Knuth found an elegant combinatorial proof (reproduced in [51], p. 177), using the properties of Knuth's correspondence.

Exx. 5, 6, 9. These identities are all due to Littlewood (*loc. cit.*) The observation that the identities of Ex. 9 follow naturally from Weyl's identity for the classical root systems is, I believe, new.

Exx. 13, 14, 15. Plane partitions were first investigated by MacMahon [35], and the generating functions (1), (3), and (4) of Ex. 13 are due originally to him, but proved differently. The application of  $S$ -functions to these problems is due to Stanley [51], who gives more details and references.

Exx. 16, 17. The results in these examples are new. MacMahon [35] conjectured the generating function (Ex. 17, (4)) for symmetrical plane partitions, but was unable to prove it. It remained a conjecture until proved recently by Andrews [3]. His proof is quite different from that given here.

Ex. 19. The generating function (7) was established by Gordon (see Stanley, *loc. cit.* p. 265) who did not publish his proof. It too was proved recently by Andrews [3].

## 6. Transition matrices

In this section we shall be dealing with matrices whose rows and columns are indexed by the partitions of a positive integer  $n$ . We shall regard the partitions of  $n$  as arranged in reverse lexicographical order (§1), so that  $(n)$  comes first and  $(1^n)$  comes last. It follows from (1.10) that  $\lambda$  precedes  $\mu$  if  $\lambda \geq \mu$  (but not conversely). A matrix  $(M_{\lambda\mu})$  indexed by the partitions of  $n$  will be said to be *strictly upper triangular* if  $M_{\lambda\mu} = 0$  unless  $\lambda \geq \mu$ , and *strictly upper unitriangular* if also  $M_{\lambda\lambda} = 1$  for all  $\lambda$ . Likewise we define *strictly lower triangular* and *strictly lower unitriangular*.

Let  $U_n$  (resp.  $U'_n$ ) denote the set of strictly upper (resp. lower) unitriangular matrices with integer entries, indexed by the partitions of  $n$ .

(6.1)  $U_n, U'_n$  are groups (with respect to matrix multiplication).

*Proof.* Suppose  $M, N \in U_n$ . Then  $(MN)_{\lambda\mu} = \sum_{\nu} M_{\lambda\nu} N_{\nu\mu}$  is zero unless there exists a partition  $\nu$  such that  $\lambda \geq \nu \geq \mu$ , i.e. unless  $\lambda \geq \mu$ . For the same reason,  $(MN)_{\lambda\lambda} = M_{\lambda\lambda} N_{\lambda\lambda} = 1$ . Hence  $MN \in U_n$ .

Now let  $M \in U_n$ . The set of equations

$$(1) \quad \sum_{\mu} M_{\lambda\mu} x_{\mu} = y_{\lambda}$$

is equivalent to

$$(2) \quad \sum (M^{-1})_{\lambda\mu} y_{\mu} = x_{\lambda}.$$

For a fixed  $\lambda$ , the equations (1) for  $y_{\nu}$  where  $\nu \leq \lambda$ , involve only the  $x_{\mu}$  for  $\mu \leq \nu$ , hence for  $\mu \leq \lambda$ . Hence the same is true of the equations (2), and therefore  $(M^{-1})_{\lambda\mu} = 0$  unless  $\mu \leq \lambda$ . It follows that  $M^{-1} \in U_n$ . |

Let  $J$  denote the transposition matrix:

$$J_{\lambda\mu} = \begin{cases} 1 & \text{if } \lambda' = \mu, \\ 0 & \text{otherwise.} \end{cases}$$

(6.2)  $M$  is strictly upper triangular (resp. unitriangular) if and only if  $JMJ$  is strictly lower triangular (resp. unitriangular).

*Proof.* If  $N = JMJ$ , we have  $N_{\lambda\mu} = M_{\lambda'\mu'}$ . By (1.11),  $\lambda' \geq \mu'$  if and only if  $\mu \geq \lambda$ , whence the result. |

If  $(u_{\lambda}), (v_{\lambda})$  are any two  $\mathbf{Z}$ -bases of  $\Lambda^n$ , each indexed by the partitions of  $n$ , we denote by  $M(u, v)$  the matrix  $(M_{\lambda\mu})$  of coefficients in the equations

$$u_{\lambda} = \sum_{\mu} M_{\lambda\mu} v_{\mu};$$

$M(u, v)$  is called the *transition matrix* from the basis  $(u_{\lambda})$  to the basis  $(v_{\lambda})$ . It is a non-singular matrix of integers.

(6.3) Let  $(u_{\lambda}), (v_{\lambda}), (w_{\lambda})$  be  $\mathbf{Z}$ -bases of  $\Lambda^n$ . Then

$$(1) \quad M(u, v)M(v, w) = M(u, w),$$

$$(2) \quad M(v, u) = M(u, v)^{-1}.$$

Let  $(u'_{\lambda}), (v'_{\lambda})$  be the bases dual to  $(u_{\lambda}), (v_{\lambda})$  respectively (with respect to the scalar product of §4). Then

$$(3) \quad M(u', v') = M(v, u)' = M(u, v)^*$$

(where  $M'$  denotes the transpose and  $M^*$  the transposed inverse of a matrix  $M$ ).

$$(4) \quad M(\omega u, \omega v) = M(u, v)$$

where  $\omega: \Lambda \rightarrow \Lambda$  is the involution defined in §2.

All of these assertions are obvious.

Consider now the five  $\mathbf{Z}$ -bases of  $\Lambda^n$  defined in §2 and §3:  $(e_\lambda)$ ,  $(f_\lambda)$ ,  $(h_\lambda)$ ,  $(m_\lambda)$ ,  $(s_\lambda)$ . We shall show that all the transition matrices relating pairs of these bases can be expressed in terms of the matrix

$$K = M(s, m)$$

and the transposition matrix  $J$ .

Since  $(m_\lambda)$  and  $(h_\lambda)$  are dual bases, and the basis  $(s_\lambda)$  is self-dual (4.8), we have

$$M(s, h) = K^*$$

by (6.3)(3). If we now apply the involution  $\omega$  and observe that

$$M(\omega s, s) = J,$$

by virtue of (3.8), we have

$$M(s, e) = M(\omega s, h) = M(\omega s, s)M(s, h) = JK^*$$

by (6.3)(1) and (4). Finally, by (6.3)(3) again,

$$M(s, f) = M(s, e)^* = (JK^*)^* = JK.$$

We can now use (6.3)(1) and (2) to complete the following table of transition matrices, in which the entry in row  $u$  and column  $v$  is  $M(u, v)$ :

TABLE 1

	$e$	$h$	$m$	$f$	$s$
$e$	1	$K'JK^*$	$K'JK$	$K'K$	$K'J$
$h$	$K'JK^*$	1	$K'K$	$K'JK$	$K'$
$m$	$K^{-1}JK^*$	$K^{-1}K^*$	1	$K^{-1}JK$	$K^{-1}$
$f$	$K^{-1}K^*$	$K^{-1}JK^*$	$K^{-1}JK$	1	$K^{-1}J$
$s$	$JK^*$	$K^*$	$K$	$JK$	1

Some of the transition matrices in Table 1 have combinatorial interpretations. From (5.13) it follows that

$$(6.4) \quad K_{\lambda\mu} \text{ is the number of tableaux of shape } \lambda \text{ and weight } \mu. \quad |$$

The numbers  $K_{\lambda\mu}$  are sometimes called *Kostka numbers*. By (6.4) they are non-negative. Moreover,

(6.5) The matrix  $(K_{\lambda\mu})$  is strictly upper unitriangular.

*Proof.* If  $T$  is a tableau of shape  $\lambda$  and weight  $\mu$ , then for each  $r \geq 1$  there are altogether  $\mu_1 + \dots + \mu_r$  symbols  $\leq r$  in  $T$ , which must all be located in the top  $r$  rows of  $T$  (because of the condition of strict increase down the columns of a tableau). Hence  $\mu_1 + \dots + \mu_r \leq \lambda_1 + \dots + \lambda_r$  for all  $r \geq 1$ , i.e.  $\mu \leq \lambda$ . Hence  $K_{\lambda\mu} = 0$  unless  $\lambda \geq \mu$ , and for the same reason  $K_{\lambda\lambda} = 1$ . |

(6.6) (i)  $M(e, m)_{\lambda\mu} = \sum_{\nu} K_{\nu\lambda} K_{\nu\mu}$  is the number of matrices of 0's and 1's with row sums  $\lambda_i$  and column sums  $\mu_j$ .

(ii)  $M(h, m)_{\lambda\mu} = \sum_{\nu} K_{\nu\lambda} K_{\nu\mu}$  is the number of matrices of non-negative integers with row sums  $\lambda_i$  and column sums  $\mu_j$ .

*Proof.* (i) Consider the coefficient of a monomial  $x^\mu$  (where  $\mu$  is a partition of  $n$ ) in  $e_\lambda = e_{\lambda_1} e_{\lambda_2} \dots$ . Each monomial in  $e_{\lambda_i}$  is of the form  $\prod_j x_j^{a_{ij}}$ , where each  $a_{ij}$  is 0 or 1, and  $\sum_j a_{ij} = \lambda_i$ ; hence we must have

$$\prod_{i,j} x_j^{a_{ij}} = \prod_j x_j^{\mu_j},$$

so that  $\sum_i a_{ij} = \mu_j$ . Hence the matrix  $(a_{ij})$  has row sums  $\lambda_i$  and column sums  $\mu_j$ . |

For (ii) the proof is similar: the only difference is that  $e_\lambda$  is replaced by  $h_\lambda$ , and consequently the exponents  $a_{ij}$  can now be arbitrary integers  $\geq 0$ . |

From Table 1 and (6.5) we can read off:

(6.7) (i)  $M(s, h)$  and  $M(h, s)$  are strictly lower unitriangular.

(ii)  $M(s, m)$  and  $M(m, s)$  are strictly upper unitriangular.

(iii)  $M(e, m) = M(h, f)$  and is symmetric.

(iv)  $M(e, f) = M(h, m)$  and is symmetric.

(v)  $M(e, h) = M(h, e)$ .

(vi)  $M(m, f) = M(f, m)$ .

(vii)  $M(h, s) = M(s, m)'$ .

(viii)  $M(e, s) = M(s, f)'$ .

*Remarks.* 1. From (6.4) and (6.6)(i) it follows that the number of  $(0, 1)$ -matrices with row sums  $\lambda_i$  and column sums  $\mu_j$  is equal to the number of pairs of tableaux of conjugate shapes and weights  $\lambda, \mu$ . In fact one can set up an explicit one-one correspondence between these two sets of objects (Knuth's dual correspondence [22], [51]).



### 7. The characters of the symmetric groups

In this section we shall take for granted the elementary facts about representations and characters of finite groups.

If  $G$  is a finite group and  $f, g$  are functions on  $G$  with values in a commutative  $\mathbf{Q}$ -algebra, the *scalar product* of  $f$  and  $g$  is defined by

$$\langle f, g \rangle_G = \frac{1}{|G|} \sum_{x \in G} f(x)g(x^{-1}).$$

If  $H$  is a subgroup of  $G$  and  $f$  is a character of  $H$ , the induced character of  $G$  will be denoted by  $\text{ind}_H^G(f)$ . If  $g$  is a character of  $G$ , its restriction to  $H$  will be denoted by  $\text{res}_G^H(g)$ .

Each permutation  $w \in S_n$  factorizes uniquely as a product of disjoint cycles. If the orders of these cycles are  $\rho_1, \rho_2, \dots$ , where  $\rho_1 \geq \rho_2 \geq \dots$ , then  $\rho(w) = (\rho_1, \rho_2, \dots)$  is a partition of  $n$  called the *cycle-type* of  $w$ . It determines  $w$  up to conjugacy in  $S_n$ , and the conjugacy classes of  $S_n$  are indexed in this way by the partitions of  $n$ .

We define a mapping  $\psi: S_n \rightarrow \Lambda^n$  as follows:

$$\psi(w) = p_{\rho(w)}.$$

If  $m, n$  are positive integers, we may embed  $S_m \times S_n$  in  $S_{m+n}$  by making  $S_m$  and  $S_n$  act on complementary subsets of  $\{1, 2, \dots, m+n\}$ . Of course there are many different ways of doing this, but the resulting subgroups of  $S_{m+n}$  are all conjugate. Hence if  $v \in S_m$  and  $w \in S_n$ ,  $v \times w \in S_{m+n}$  is well-defined up to conjugacy in  $S_{m+n}$ , with cycle-type  $\rho(v \times w) = \rho(v) \cup \rho(w)$ , so that

$$(7.1) \quad \psi(v \times w) = \psi(v)\psi(w).$$

Let  $R^n$  denote the  $\mathbf{Z}$ -module generated by the irreducible characters of  $S_n$ , and let

$$R = \bigoplus_{n \geq 0} R^n,$$

with the understanding that  $S_0 = \{1\}$ , so that  $R^0 = \mathbf{Z}$ . The  $\mathbf{Z}$ -module  $R$  has a ring structure, defined as follows. Let  $f \in R^m, g \in R^n$ , and embed  $S_m \times S_n$  in  $S_{m+n}$ . Then  $f \times g$  is a character of  $S_m \times S_n$ , and we define

$$f \cdot g = \text{ind}_{S_m \times S_n}^{S_{m+n}}(f \times g),$$

which is a character of  $S_{m+n}$ , i.e. an element of  $R^{m+n}$ . Thus we have defined a bilinear multiplication  $R^m \times R^n \rightarrow R^{m+n}$ , and it is not difficult to verify that with this multiplication  $R$  is a commutative, associative, graded ring with identity element.

Moreover,  $R$  carries a scalar product: if  $f, g \in R$ , say  $f = \sum f_n, g = \sum g_n$

with  $f_n, g_n \in R^n$ , we define

$$\langle f, g \rangle = \sum_{n \geq 0} \langle f_n, g_n \rangle_{S_n}.$$

Next we define a  $\mathbf{Z}$ -linear mapping

$$\text{ch}: R \rightarrow \Lambda_{\mathbf{Q}} = \Lambda \otimes_{\mathbf{Z}} \mathbf{Q}$$

as follows: if  $f \in R^n$ , then

$$\text{ch}(f) = \langle f, \psi \rangle_{S_n} = \frac{1}{n!} \sum_{w \in S_n} f(w)\psi(w)$$

(since  $\psi(w) = \psi(w^{-1})$ ). If  $f_{\rho}$  is the value of  $f$  at elements of cycle type  $\rho$ , we have

$$(7.2) \quad \text{ch}(f) = \sum_{|\rho|=n} z_{\rho}^{-1} f_{\rho} p_{\rho}.$$

$\text{ch}(f)$  is called the *characteristic* of  $f$ , and  $\text{ch}$  is the *characteristic map*. From (7.2) and (4.7) it follows that, for  $f$  and  $g$  in  $R^n$ ,

$$\langle \text{ch}(f), \text{ch}(g) \rangle = \sum_{|\rho|=n} z_{\rho}^{-1} f_{\rho} g_{\rho} = \langle f, g \rangle_{S_n}$$

and hence that  $\text{ch}$  is an *isometry*.

The basic fact is now

(7.3) *The characteristic map is an isometric isomorphism of  $R$  onto  $\Lambda$ .*

*Proof.* Let us first verify that  $\text{ch}$  is a ring homomorphism. If  $f \in R^m$  and  $g \in R^n$ , we have

$$\begin{aligned} \text{ch}(f \cdot g) &= \langle \text{ind}_{S_m \times S_n}^{S_{m+n}}(f \times g), \psi \rangle_{S_{m+n}} \\ &= \langle f \times g, \text{res}_{S_m \times S_n}^{S_{m+n}}(\psi) \rangle_{S_m \times S_n} \end{aligned}$$

by Frobenius reciprocity,

$$= \langle f, \psi \rangle_{S_m} \langle g, \psi \rangle_{S_n} = \text{ch}(f) \cdot \text{ch}(g)$$

by (7.1).

Next, let  $\eta_n$  be the identity character of  $S_n$ . Then

$$\text{ch}(\eta_n) = \sum_{|\rho|=n} z_{\rho}^{-1} p_{\rho} = h_n$$

by (7.2) and (2.14'). If now  $\lambda = (\lambda_1, \lambda_2, \dots)$  is any partition of  $n$ , let  $\eta_{\lambda}$  denote  $\eta_{\lambda_1} \cdot \eta_{\lambda_2} \cdot \dots$ . Then  $\eta_{\lambda}$  is a character of  $S_n$ , namely the character induced by the identity character of  $S_{\lambda} = S_{\lambda_1} \times S_{\lambda_2} \times \dots$ , and we have  $\text{ch}(\eta_{\lambda}) = h_{\lambda}$ .

Now define, for each partition  $\lambda$  of  $n$ ,

$$(7.4) \quad \chi^{\lambda} = \det(\eta_{\lambda_i - i + j})_{1 \leq i, j \leq n} \in R^n,$$

i.e.  $\chi^\lambda$  is a (possibly virtual) character of  $S_n$ , and by (3.4) we have

$$(7.5) \quad \text{ch}(\chi^\lambda) = s_\lambda.$$

Since  $\text{ch}$  is an isometry, it follows from (4.8) that

$$\langle \chi^\lambda, \chi^\mu \rangle = \delta_{\lambda\mu}$$

for any two partitions  $\lambda, \mu$ , and hence in particular that the  $\chi^\lambda$  are, up to sign, irreducible characters of  $S_n$ . Since the number of conjugacy classes in  $S_n$  is equal to the number of partitions of  $n$ , these characters exhaust all the irreducible characters of  $S_n$ ; hence the  $\chi^\lambda$  for  $|\lambda| = n$  form a basis of  $R^n$ , and hence  $\text{ch}$  is an isomorphism of  $R^n$  onto  $\Lambda^n$  for each  $n$ , hence of  $R$  onto  $\Lambda$ . |

(7.6) *The irreducible characters of  $S_n$  are  $\chi^\lambda$  ( $|\lambda| = n$ ) defined by (7.4).*

*Proof.* From the proof of (7.3), we have only to show that  $\chi^\lambda$  and not  $-\chi^\lambda$  is an irreducible character; for this purpose it will suffice to show that  $\chi^\lambda(1) > 0$ . Now we have from (7.5) and (7.2)

$$s_\lambda = \text{ch}(\chi^\lambda) = \sum_{\rho} z_{\rho}^{-1} \chi_{\rho}^{\lambda} p_{\rho}$$

where  $\chi_{\rho}^{\lambda}$  is the value of  $\chi^\lambda$  at elements of cycle-type  $\rho$ . Hence

$$(7.7) \quad \chi_{\rho}^{\lambda} = \langle s_{\lambda}, p_{\rho} \rangle$$

by (4.7), and in particular

$$\chi^{\lambda}(1) = \chi_{(1^n)}^{\lambda} = \langle s_{\lambda}, p_1^n \rangle$$

so that

$$h_1^n = p_1^n = \sum_{|\lambda|=n} \chi^{\lambda}(1) s_{\lambda}$$

and therefore

$$\chi^{\lambda}(1) = M(h, s)_{(1^n), \lambda} = K_{\lambda, (1^n)}$$

from Table 1: hence  $\chi^{\lambda}(1)$  is the number of standard tableaux of shape  $\lambda$ , hence is a positive integer. |

(7.8) *The transition matrix  $M(p, s)$  is the character table of  $S_n$ , i.e.*

$$p_{\rho} = \sum_{\lambda} \chi_{\rho}^{\lambda} s_{\lambda}.$$

This is a restatement of (7.7). |

Let  $\lambda, \mu, \nu$  be partitions of  $n$ , and let

$$\gamma_{\mu\nu}^{\lambda} = \langle \chi^{\lambda}, \chi^{\mu} \chi^{\nu} \rangle_{S_n} = \frac{1}{n!} \sum_{w \in S_n} \chi^{\lambda}(w) \chi^{\mu}(w) \chi^{\nu}(w)$$

which is symmetrical in  $\lambda, \mu, \nu$ . Then we have, for two sets of variables  $x = (x_1, x_2, \dots)$  and  $y = (y_1, y_2, \dots)$ ,

$$(7.9) \quad s_{\lambda}(xy) = \sum_{\mu, \nu} \gamma_{\mu\nu}^{\lambda} s_{\mu}(x) s_{\nu}(y).$$

(Compare (5.9).)

*Proof.* For all partitions  $\rho$  we have  $p_{\rho}(xy) = p_{\rho}(x)p_{\rho}(y)$  and hence from (7.8)

$$\sum_{\lambda} \chi^{\lambda} s_{\lambda}(xy) = \sum_{\mu, \nu} \chi^{\mu} \chi^{\nu} s_{\mu}(x) s_{\nu}(y)$$

so that  $s_{\lambda}(xy)$  is the coefficient of  $\chi^{\lambda}$  in the right-hand side. |

Finally, we remark that (7.8) is equivalent to

$$\chi_{\rho}^{\lambda} = \langle s_{\lambda}, p_{\rho} \rangle$$

and hence also by virtue of (4.7) to

$$(7.10) \quad s_{\lambda} = \sum_{\rho} z_{\rho}^{-1} \chi_{\rho}^{\lambda} p_{\rho}.$$

#### Examples

1.  $\chi^{(n)} = \eta_n$  is the trivial character of  $S_n$ , and  $\chi^{(1^n)} = \varepsilon_n = \varepsilon$  is the sign character. (Compare (7.10) with (2.14').)

2. For any partition  $\lambda$  of  $n$ ,  $\chi^{\lambda'} = \varepsilon_n \chi^{\lambda}$ . For

$$\chi_{\rho}^{\lambda'} = \langle s_{\lambda'}, p_{\rho} \rangle = \langle s_{\lambda}, p_{\rho} \rangle = \varepsilon_{\rho} \chi_{\rho}^{\lambda}$$

since  $\omega(s_{\lambda}) = s_{\lambda}$  and  $\omega(p_{\rho}) = \varepsilon_{\rho} p_{\rho}$ . Hence the involution  $\omega$  on  $\Lambda$  corresponds to multiplication by  $\varepsilon_n$  in  $R^n$ .

3. Corresponding to each skew diagram  $\lambda - \mu$  of weight  $n$ , there is a character  $\chi^{\lambda/\mu}$  of  $S_n$  defined by  $\text{ch}(\chi^{\lambda/\mu}) = s_{\lambda/\mu}$ . If  $|\mu| = m$  we have from (5.1) and (7.3)

$$\begin{aligned} \langle \chi^{\lambda/\mu}, \chi^{\nu} \rangle_{S_n} &= \langle \chi^{\lambda}, \chi^{\mu} \cdot \chi^{\nu} \rangle_{S_{m+n}} \\ &= \langle \text{res}_{S_m \times S_n}^{S_{m+n}} \chi^{\lambda}, \chi^{\mu} \times \chi^{\nu} \rangle_{S_m \times S_n} \end{aligned}$$

by Fröbenius reciprocity, and therefore the restriction of  $\chi^{\lambda}$  to  $S_m \times S_n$  is  $\sum_{|\mu|=m} \chi^{\mu} \times \chi^{\lambda/\mu}$ .

The degree of  $\chi^{\lambda/\mu}$  is equal to  $\langle s_{\lambda/\mu}, e_1^n \rangle = K_{\lambda/\mu, (1^n)}$ , i.e. to the number of standard tableaux of shape  $\lambda - \mu$ .

4. Let  $G$  be a subgroup of  $S_n$ , and let  $c(G)$  be the cycle indicator of  $G$  (§2, Ex. 9). Then  $c(G) = \text{ch}(\chi_G)$ , where  $\chi_G$  is the character of  $S_n$  induced by the trivial character  $1_G$  of  $G$ . For  $\text{ch}(\chi_G) = \langle \chi_G, \psi \rangle_{S_n} = \langle 1_G, \psi | G \rangle_G$  (by Frobenius reciprocity)  $= c(G)$ .

If  $G, H$  are subgroups of  $S_n$ ,  $\langle c(G), c(H) \rangle$  is the number of  $(G, H)$  double cosets in  $S_n$ .

5. From §3, Ex. 11 and (7.8) we obtain the following combinatorial rule for computing  $\chi_\rho^\lambda$ :

$$\chi_\rho^\lambda = \sum_S (-1)^{\text{ht}(S)}$$

summed over all sequences of partitions  $S = (\lambda^{(0)}, \lambda^{(1)}, \dots, \lambda^{(m)})$  such that  $m = l(\rho)$ ,  $0 = \lambda^{(0)} \subset \lambda^{(1)} \subset \dots \subset \lambda^{(m)} = \lambda$ , and such that each  $\lambda^{(i)} - \lambda^{(i-1)}$  is a border strip (§3, Ex. 11) of length  $\rho_i$ , and  $\text{ht}(S) = \sum_i \text{ht}(\lambda^{(i)} - \lambda^{(i-1)})$ .

6. The degree  $f^\lambda = \chi^\lambda(1)$  of  $\chi^\lambda$  may also be computed as follows. By (7.8), it is the coefficient of  $x^{\lambda+\delta}$  in  $(\sum x_i)^n \sum_{w \in S_n} \varepsilon(w) x^{w\delta}$ . If we put  $\mu = \lambda + \delta$  (so that  $\mu_i = \lambda_i + n - i$ ,  $1 \leq i \leq n$ ), this coefficient is

$$\sum_{w \in S_n} \varepsilon(w) n! / \prod_{i=1}^n (\mu_i - n + w(i))!$$

which is the determinant  $n! \det(1/(\mu_i - n + j))$ , hence equal to

$$\begin{aligned} & \frac{n!}{\mu!} \det(\mu_i(\mu_i - 1) \dots (\mu_i - n + j + 1)) \\ &= \frac{n!}{\mu!} \det(\mu_i^{-1}) = \frac{n!}{\mu!} \Delta(\mu_1, \dots, \mu_n) \end{aligned}$$

where  $\mu! = \prod_i \mu_i!$  and  $\Delta(\mu_1, \dots, \mu_n) = \prod_{i < j} (\mu_i - \mu_j)$ .

7. Let  $\rho = (r, 1^{n-r})$ , so that  $\chi_\rho^\lambda$  is the value of the character  $\chi^\lambda$  of  $S_n$  at an  $r$ -cycle ( $1 \leq r \leq n$ ). By (7.8),  $\chi_\rho^\lambda$  is the coefficient of  $x^\mu = x^{\lambda+\delta}$  in  $(\sum x_i)(\sum x_i)^{n-r} \sum_{w \in S_n} \varepsilon(w) x^{w\delta}$ . From the result of Ex. 6, this coefficient is

$$\sum_i \frac{(n-r)! \Delta(\mu_1, \dots, \mu_i - r, \dots, \mu_n)}{\mu_1! \dots (\mu_i - r)! \dots \mu_n!}$$

and therefore

$$\chi_\rho^\lambda / f^\lambda = \frac{(n-r)!}{n!} \sum_{i=1}^n \frac{\mu_i!}{(\mu_i - r)!} \prod_{j \neq i} \frac{\mu_i - \mu_j - r}{\mu_i - \mu_j}$$

If we put  $\varphi(x) = \prod (x - \mu_i)$  and  $h_\rho = n! / z_\rho = n! / (n-r)! r$ , this formula becomes

$$-r^2 h_\rho \chi_\rho^\lambda / f^\lambda = \sum_{i=1}^n \mu_i(\mu_i - 1) \dots (\mu_i - r + 1) \varphi(\mu_i - r) / \varphi'(\mu_i)$$

which is equal to the coefficient of  $x^{-1}$  in the expansion of

$$x(x-1) \dots (x-r+1) \varphi(x-r) / \varphi(x)$$

in descending powers of  $x$ .

In particular, when  $r=2$  we obtain

$$h_\rho \chi_\rho^\lambda / f^\lambda = n(\lambda') - n(\lambda).$$

### Notes and references

The representation theory of finite groups was founded by Frobenius in a series of papers published in the last years of the nineteenth century, and reproduced in Vol. 3 of his collected works; in particular, he obtained the irreducible characters of the symmetric groups in 1900 [12], and our exposition does not differ substantially from his.

Ex. 5 is due to Littlewood and Richardson [28]; Exx. 6 and 7 to Frobenius (*loc. cit.*)

### 8. Plethysm

In this section we shall study briefly another sort of multiplication in  $\Lambda$ , called *plethysm* or *composition*, and defined as follows. Let  $f, g \in \Lambda$ , and write  $g$  as a sum of monomials:

$$g = \sum_\alpha u_\alpha x^\alpha.$$

Now introduce the set of fictitious variables  $y_i$  defined by

$$(8.1) \quad \prod (1 + y_i t) = \prod_\alpha (1 + x^\alpha t)^\alpha$$

and define

$$(8.2) \quad f \circ g = f(y_1, y_2, \dots).$$

If  $f \in \Lambda^m$  and  $g \in \Lambda^n$ , then clearly  $f \circ g \in \Lambda^{mn}$ . Also  $e_1$  acts as a two sided identity:  $f \circ e_1 = e_1 \circ f = f$  for all  $f \in \Lambda$ .

From the definition (8.2) it is clear that

(8.3) For each  $g \in \Lambda$ , the mapping  $f \mapsto f \circ g$  is an endomorphism of the ring  $\Lambda$ . |

By taking logarithms of both sides of (8.1) we obtain

$$p_n(y) = \sum_\alpha u_\alpha (x^\alpha)^n \quad (n \geq 1)$$

so that

$$(8.4) \quad p_n \circ g = g \circ p_n = g(x_1^n, x_2^n, \dots)$$

for all  $g \in \Lambda$ . In particular,

$$(8.5) \quad p_n \circ p_m = p_m \circ p_n = p_{mn}.$$

From (8.4) it follows that

(8.6) For each  $n \geq 1$ , the mapping  $g \mapsto p_n \circ g$  is an endomorphism of the ring  $\Lambda$ . |

Plethysm is associative: for all  $f, g, h \in \Lambda$  we have

$$(8.7) \quad (f \circ g) \circ h = f \circ (g \circ h).$$

*Proof.* Since the  $p_n$  generate  $\Lambda_{\mathbf{Q}}$  (2.12), by virtue of (8.3) and (8.6) it is enough to verify associativity when  $f = p_m$  and  $g = p_n$ , in which case it is obvious from (8.4) and (8.5). |

For plethysm involving  $S$ -functions, there are the following formulas: from (5.9) it follows that

$$(8.8) \quad \begin{aligned} s_\lambda \circ (g+h) &= \sum_{\mu, \nu} c_{\mu\nu}^\lambda (s_\mu \circ g)(s_\nu \circ h) \\ &= \sum_{\mu} (s_{\lambda/\mu} \circ g)(s_\nu \circ h) \end{aligned}$$

and from (7.9) that

$$(8.9) \quad s_\lambda \circ (gh) = \sum_{\mu, \nu} \gamma_{\mu\nu}^\lambda (s_\mu \circ g)(s_\nu \circ h).$$

The sum in (8.8) is over pairs of partitions  $\mu, \nu \subset \lambda$ , and in (8.9) over pairs of partitions  $\mu, \nu$  such that  $|\mu| = |\nu| = |\lambda|$ .

Finally, let  $\lambda, \mu$  be partitions. Then  $s_\lambda \circ s_\mu$  is an integral linear combination of  $S$ -functions, say

$$(8.10) \quad s_\lambda \circ s_\mu = \sum_{\pi} a_{\lambda\mu}^\pi s_\pi$$

summed over partitions  $\pi$  such that  $|\pi| = |\lambda| \cdot |\mu|$ . We shall prove in the Appendix that the coefficients  $a_{\lambda\mu}^\pi$  are all  $\geq 0$ .

*Remarks.* 1. We have observed in (3.10) that to each  $f \in \Lambda$  there corresponds a natural operation  $F$  on the category of  $\lambda$ -rings. In this correspondence, plethysm corresponds to composition of operations: if  $f, g \in \Lambda$  correspond to the natural operations  $F, G$ , then  $f \circ g$  corresponds to  $F \circ G$ .

2. Plethysm is defined in the ring  $R$  of §7 via the characteristic map: for  $u, v \in R$ ,  $u \circ v$  is defined to be  $\text{ch}^{-1}(\text{ch } u \circ \text{ch } v)$ . If  $u$  (resp.  $v$ ) is an irreducible character of  $S_m$  (resp.  $S_n$ ), then  $u \circ v$  is a character of  $S_{mn}$  which may be described as follows: if  $U$  (resp.  $V$ ) is an  $S_m$ -module with character  $u$  (resp. an  $S_n$ -module with character  $v$ ), the wreath product  $S_n \sim S_m$  (which is the normalizer of  $S_n^m = S_n \times \dots \times S_n$  in  $S_{mn}$ ) acts on  $U$  and on the  $m$ th tensor power  $T^m(V)$ , hence also on  $U \otimes T^m(V)$ ; and  $u \circ v$  is the character of the  $S_{mn}$ -module induced by  $U \otimes T^m(V)$ . See the Appendix to this chapter.

### Examples

1. Let  $\bar{\omega} : \Lambda \rightarrow \Lambda$  be the involution defined by

$$(\bar{\omega}f)(x_1, x_2, \dots) = (\omega f)(-x_1, -x_2, \dots)$$

(so that  $\bar{\omega} = (-1)^n \omega$  on  $\Lambda^n$ ). Then for any  $f, g \in \Lambda$  we have  $f \circ (-g) = (\bar{\omega}f) \circ g$ .

2. Since  $s_{\lambda/\mu} = D_\mu(s_\lambda)$ , where  $D_\mu$  is the differential operator defined in §5, Ex. 3(a), it follows that from (8.8) that

$$f \circ (g+h) = \sum_{\mu} ((D_\mu f) \circ g)(s_\mu \circ h)$$

for all  $f, g, h \in \Lambda$ .

$$3. \quad h_n \circ (gh) = \sum_{|\lambda|=n} (s_\lambda \circ g)(s_\lambda \circ h),$$

$$e_n \circ (gh) = \sum_{|\lambda|=n} (s_\lambda \circ g)(s_{\lambda'} \circ h).$$

These formulas are particular cases of (8.9) (and are consequences of (4.3) and (4.3').)

4. Let  $\lambda$  be a partition of length  $\leq n$ , and consider  $(s_\lambda \circ s_{(n-1)})(x_1, x_2)$ . By definition this is equal to  $s_\lambda(x_1^{n-1}, x_1^{n-2}x_2, \dots, x_2^{n-1})$ , i.e. to  $x_2^{(n-1)|\lambda|} s_\lambda(q^{n-1}, q^{n-2}, \dots, 1)$ , where  $q = x_1 x_2^{-1}$ . On the other hand, by the positivity of the coefficients in (8.10),  $(s_\lambda \circ s_{n-1})(x_1, x_2)$  is a linear combination of the  $s_\pi(x_1, x_2)$  with non-negative integer coefficients, where  $\pi = (\pi_1, \pi_2)$  and  $\pi_1 + \pi_2 = (n-1)|\lambda| = d$  say. Now

$$\begin{aligned} s_\pi(x_1, x_2) &= x_1^{\pi_1} x_2^{\pi_2} + x_1^{\pi_1-1} x_2^{\pi_2+1} + \dots + x_1^{\pi_2} x_2^{\pi_1} \\ &= x_2^d (q^{\pi_1} + q^{\pi_1-1} + \dots + q^{\pi_2}) \end{aligned}$$

Hence  $s_\lambda(q^{n-1}, q^{n-2}, \dots, 1)$  is a non-negative linear combination of the polynomials  $q^{\pi_1} + q^{\pi_1-1} + \dots + q^{\pi_2}$ , where  $\pi_1 \geq \pi_2$  and  $\pi_1 + \pi_2 = d$ . It follows that  $s_\lambda(q^{n-1}, q^{n-2}, \dots, 1)$  is a *unimodal* symmetrical polynomial in  $q$ , i.e. that if  $a_i$  is the coefficient of  $q^i$  in this polynomial, for  $0 \leq i \leq d$ , then  $a_i = a_{d-i}$  (symmetry) and

$$a_0 \leq a_1 \leq \dots \leq a_{[d/2]}$$

(unimodality).

From §3, Ex. 1 it follows that the generalized Gaussian polynomial

$$\left[ \begin{matrix} n \\ \lambda \end{matrix} \right] = \prod_{x \in \lambda} \frac{1 - q^{n-c(x)}}{1 - q^{h(x)}}$$

is symmetrical and unimodal for all  $n$  and  $\lambda$ .

5. Let  $G$  be a subgroup of  $S_m$  and  $H$  a subgroup of  $S_n$ , so that  $G \sim H$  is a subgroup of the wreath product  $S_m \sim S_n \subset S_{mn}$ . Then the cycle-indicator (§2, Ex. 9) of  $G \sim H$  is

$$c(G \sim H) = c(H) \circ c(G).$$

### Notes and references

Plethysm was introduced by D. E. Littlewood [29]. His notation for our  $s_\lambda \circ s_\mu$  is  $\{\mu\} \otimes \{\lambda\}$ . Many authors have computed (or have described

algorithms to compute)  $s_\lambda \circ s_\mu$  for particular choices of either  $\lambda$  or  $\mu$ . For their work we refer to the bibliographies in Littlewood [29] and Robinson [40].

### 9. The Littlewood–Richardson rule

If  $\mu$  and  $\nu$  are partitions, the product  $s_\mu s_\nu$  is an integral linear combination of  $S$ -functions:

$$s_\mu s_\nu = \sum_\lambda c_{\mu\nu}^\lambda s_\lambda$$

or equivalently

$$(9.1) \quad s_{\lambda/\mu} = \sum_\nu c_{\mu\nu}^\lambda s_\nu$$

The coefficients  $c_{\mu\nu}^\lambda$  are non-negative integers, because by (7.3) and (7.5)  $c_{\mu\nu}^\lambda = \langle \chi^\lambda, \chi^\mu \cdot \chi^\nu \rangle$  is the multiplicity of  $\chi^\lambda$  in the character  $\chi^\mu \cdot \chi^\nu$ ; also we have  $c_{\mu\nu}^\lambda = 0$  unless  $|\lambda| = |\mu| + |\nu|$  and  $\mu, \nu \subset \lambda$ .

This section is devoted to the statement and proof of a combinatorial rule for computing  $c_{\mu\nu}^\lambda$ , due to Littlewood and Richardson [28].

Let  $T$  be a tableau. From  $T$  we derive a *word* or sequence  $w(T)$  by reading the symbols in  $T$  from right to left (as in Arabic) in successive rows, starting with the top row. For example, if  $T$  is the tableau

	1	1	2	3
	2	3		
1	4			

$w(T)$  is the word 32113241.

If a word  $w$  arises in this way from a tableau of shape  $\lambda - \mu$ , we shall say that  $w$  is *compatible* with  $\lambda - \mu$ .

A word  $w = a_1 a_2 \dots a_N$  in the symbols  $1, 2, \dots, n$  is said to be a *lattice permutation* if for  $1 \leq r \leq N$  and  $1 \leq i \leq n-1$ , the number of occurrences of the symbol  $i$  in  $a_1 a_2 \dots a_r$  is not less than the number of occurrences of  $i+1$ .

We can now state the Littlewood–Richardson rule:

(9.2) *Let  $\lambda, \mu, \nu$  be partitions. Then  $c_{\mu\nu}^\lambda$  is equal to the number of tableaux  $T$  of shape  $\lambda - \mu$  and weight  $\nu$  such that  $w(T)$  is a lattice permutation.*

The proof we shall give of (9.2) depends on the following proposition. For any partitions  $\lambda, \mu, \pi$  such that  $\lambda \supset \mu$ , let  $\text{Tab}(\lambda - \mu, \pi)$  denote the

set of tableaux  $T$  of shape  $\lambda - \mu$  and weight  $\pi$ , and let  $\text{Tab}^0(\lambda - \mu, \pi)$  denote the subset of those  $T$  such that  $w(T)$  is a lattice permutation. From (5.14) we have

$$(9.3) \quad |\text{Tab}(\lambda - \mu, \pi)| = K_{\lambda - \mu, \pi} = \langle s_{\lambda/\mu}, h_\pi \rangle.$$

We shall prove that

(9.4) *There exists a bijection*

$$\text{Tab}(\lambda - \mu, \pi) \cong \coprod_\nu (\text{Tab}^0(\lambda - \mu, \nu) \times \text{Tab}(\nu, \pi)).$$

Before proving (9.4), let us deduce (9.2) from it. From (9.4) and (9.3), we have

$$\langle s_{\lambda/\mu}, h_\pi \rangle = \sum_\nu |\text{Tab}^0(\lambda - \mu, \nu)| \langle s_\nu, h_\pi \rangle$$

for all partitions  $\pi$ , and therefore

$$s_{\lambda/\mu} = \sum_\nu |\text{Tab}^0(\lambda - \mu, \nu)| s_\nu.$$

Comparison of this identity with (9.1) shows that  $c_{\mu\nu}^\lambda = |\text{Tab}^0(\lambda - \mu, \nu)|$ .

To construct a bijection as required for (9.4), we shall follow the method of Littlewood and Robinson [39], which consists in starting with a tableau  $T$  of shape  $\lambda - \mu$  and successively modifying it until the word  $w(T)$  becomes a lattice permutation, and simultaneously building up a tableau  $M$ , which serves to record the sequence of moves made.

If  $w = a_1 a_2 \dots a_N$  is any word in the symbols  $1, 2, \dots$ , let  $m_r(w)$  denote the number of occurrences of the symbol  $r$  in  $w$ . For  $1 \leq p \leq N$  and  $r \geq 2$ , the difference  $m_r(a_1 \dots a_p) - m_{r-1}(a_1 \dots a_p)$  is called the  *$r$ -index* of  $a_p$  in  $w$ . Observe that  $w$  is a lattice permutation if and only if all indices are  $\leq 0$ .

Let  $m$  be the maximum value of the  $r$ -indices in  $w$ , and suppose that  $m > 0$ . Take the first element of  $w$  at which this maximum is attained (clearly this element will be an  $r$ ), and replace it by  $r-1$ . Denote the result of this operation by  $S_{r-1,r}(w)$  (substitution of  $r-1$  for  $r$ ). Observe that  $S_{r-1,r}(w)$  has maximum  $r$ -index  $m-1$  (unless  $m=1$ , in which case it can be  $-1$ ).

(9.5) *The operation  $S_{r-1,r}$  is one-to-one.*

*Proof.* Let  $w' = S_{r-1,r}(w)$ . To reconstruct  $w$  from  $w'$ , let  $m'$  be the maximum  $r$ -index in  $w'$ . If  $m' \geq 0$ , take the last symbol in  $w'$  with  $r$ -index  $m'$ , and convert the next symbol (which must be an  $r-1$ ) into  $r$ . If  $m' < 0$ , the first symbol in  $w'$  must be an  $r-1$ , and this is converted into  $r$ . In either case the result is  $w$ , which is therefore uniquely determined by  $w'$  and  $r$ . |

(9.6) Let  $w' = S_{r-1,r}(w)$ . Then  $w'$  is compatible with  $\lambda - \mu$  if and only if  $w$  is compatible with  $\lambda - \mu$ .

*Proof.* Let  $w = w(T)$ ,  $w' = w(T')$ , where  $T$  and  $T'$  are arrays of shape  $\lambda - \mu$ . They differ in only one square, say  $x$ , which in  $T$  is occupied by  $r$  and in  $T'$  by  $r-1$ .

Suppose that  $T$  is a tableau. If  $T'$  is not a tableau there are two possibilities: either (a) the square  $y$  immediately to the left of  $x$  in  $T$  is occupied by  $r$ , or (b) the square immediately above  $x$  is occupied by  $r-1$ .

In case (a) the symbol  $r$  in square  $y$  would have a higher  $r$ -index in  $w(T)$  than the  $r$  in square  $x$ , which is impossible. In case (b) the square  $x$  in  $T$  will be the left-hand end of a string of say  $s$  squares occupied by the symbol  $r$ , and immediately above this string there will be a string of  $s$  squares occupied by the symbol  $r-1$ . It follows that  $w(T)$  contains a segment of the form

$$(r-1)^s \dots r^s$$

where the unwritten symbols in between the two strings are all either  $> r$  or  $< r-1$ , and the last  $r$  is the one to be replaced by  $r-1$  to form  $w'$ . But the  $r$ -index of this  $r$  is equal to that of the element of  $w$  immediately preceding the first of the string of  $r-1$ 's, and this again is impossible. Hence if  $T$  is a tableau, so also is  $T'$ .

The reverse implication is proved similarly, using the recipe of (9.5) for passing back from  $w'$  to  $w$ . |

Suppose now that the word  $w$  has the lattice permutation property with respect to  $(1, 2, \dots, r-1)$  but not with respect to  $(r-1, r)$ , or in other words that all the  $s$ -indices are  $\leq 0$  for  $2 \leq s \leq r-1$  but not for  $s = r$ . This is the only situation in which we shall use the operator  $S_{r-1,r}$ . The effect of replacing  $r$  by  $r-1$  in  $w$  as required by  $S_{r-1,r}$  may destroy the lattice permutation property with respect to  $(r-2, r-1)$ , i.e. it may produce some  $(r-1)$ -indices equal to  $+1$ . In this case we operate with  $S_{r-2,r-1}$  to produce

$$S_{r-2,r}(w) = S_{r-2,r-1}S_{r-1,r}(w).$$

At this stage the  $(r-1)$ -indices will all be  $\leq 0$ , but there may be some  $(r-2)$ -indices equal to  $+1$ , and so on. Eventually this process will stop, and we have then say

$$S_{a,r}(w) = S_{a,a+1} \dots S_{r-1,r}(w)$$

for some  $a$  such that  $1 \leq a \leq r-1$ , and the word  $S_{a,r}(w)$  again has the lattice property with respect to  $(1, 2, \dots, r-1)$ , and maximal  $r$ -index strictly less than that of  $w$ .

At this point the following lemma is crucial:

(9.7) If  $w$ ,  $S_{a,r}(w) = w'$  and  $S_{b,r}(w') = w''$  all have the lattice property with respect to  $(1, 2, \dots, r-1)$ , then  $b \leq a$ .

*Proof.* Let  $w = x_1 x_2 x_3 \dots$ . We have to study in detail the process of passing from  $w$  to  $w'$ . This starts by applying  $S_{r-1,r}$ , i.e. by replacing the first symbol  $r$  in  $w$  with  $r$ -index  $m$ , where  $m$  is the maximum of the  $r$ -indices, by  $r-1$ . Suppose that this happens at  $x_{p_0}$ . Then for each  $s \geq 1$ , the  $(r-1)$ -index of  $x_s$  is unaltered if  $s < p_0$ , and is increased by 1 if  $s \geq p_0$ . The element on which  $S_{r-2,r-1}$  operates is therefore in the  $p_1$ th place, where  $p_1$  is the first integer  $\geq p_0$  for which  $x_{p_1}$  has  $(r-1)$ -index in  $w$  equal to 0. Likewise the element on which  $S_{r-3,r-2}$  operates is in the  $p_2$ th place, where  $p_2$  is the first integer  $\geq p_1$  for which  $x_{p_2}$  has  $(r-2)$ -index zero, and so on.

In this way we obtain a sequence

$$p_0 \leq p_1 \leq \dots \leq p_{r-a-1}$$

with the property that, for each  $i \geq 1$ ,  $x_{p_i}$  is the first element not preceding  $x_{p_{i-1}}$  for which the  $(r-i)$ -index is 0. Observe that in  $w'$  the element in the  $p_i$ th place still has  $(r-i)$ -index zero, for each  $i \geq 1$  (though it will no longer be the first with this property).

Now consider the passage from  $w' = y_1 y_2 y_3 \dots$  to  $w''$ . In  $w'$  the maximum  $r$ -index is  $m-1$  (which by assumption is still positive) and occurs first at say  $y_{q_0}$ , where  $q_0 < p_0$ . (This is because the  $r$ -index can by its definition only go up or down in single steps, and therefore the  $r$ -index  $m-1$  occurs first in  $w$  at some element to the left of  $x_{p_0}$ ; and the elements to the left of the  $p_0$ th are the same in  $w'$  as in  $w$ .) In  $w'$  the  $(r-1)$ -index of  $y_{p_1}$  is zero, and is therefore  $+1$  in  $S_{r-1,r}(w')$ . Hence  $S_{r-1,r}(w')$  admits the substitution  $S_{r-2,r-1}$ , which will operate on the element in the  $q_1$ th place, where  $q_1$  is the first integer  $\geq q_0$  for which the  $(r-1)$ -index of  $y_{q_1}$  in  $w'$  is 0, so that  $q_0 \leq q_1 < p_1$ . Continuing in this way we get a sequence

$$q_0 \leq q_1 \leq q_2 \leq \dots \leq q_{r-a-1}$$

with  $q_i < p_i$  for all  $i \geq 0$ , and  $w'$  admits the operator  $S_{a,r}$ .

If  $S_{a,r}(w') = w''$ , then  $b = a$ ; if not, then  $S_{a,r}(w')$  admits further substitutions  $S_{a-1,a}, \dots$ , until  $w'' = S_{b,r}(w')$  is attained, so that  $b < a$  in this case. In either case we have  $b \leq a$ , as required. |

We shall now describe the algorithm of Littlewood and Robinson which constructs from a tableau  $T$  of shape  $\lambda - \mu$  and weight  $\pi$ , where  $\lambda, \mu, \pi$  are partitions, a pair  $(L, M)$  where  $L \in \text{Tab}^0(\lambda - \mu, \nu)$  for some partition  $\nu$ , and  $M \in \text{Tab}(\nu, \pi)$ .

If  $A$  is any array—not necessarily a tableau—and  $a, r$  are positive integers such that  $a < r$ , we denote by  $R_{a,r}(A)$  the result of raising the

right-hand element of the  $r$ th row of  $A$  up to the right-hand end of the  $a$ th row.

The algorithm begins with the word  $w_1 = w(T)$  and the array  $M_1$  consisting of  $\pi_1$  1's in the first row,  $\pi_2$  2's in the second row, and so on (i.e.  $M_1$  is the unique tableau of shape  $\pi$  and weight  $\pi$ ).

Operate on  $w_1$  with  $S_{12}$  until there are no positive 2-indices, and simultaneously on  $M_1$  with  $R_{12}$  the same number of times: say

$$w_2 = S_{12}^m(w_1), \quad M_2 = R_{12}^m(M_1).$$

Next operate on  $w_2$  with  $S_{23}$  or  $S_{13}$  as appropriate until there are no positive 2- or 3-indices, and simultaneously operate on  $M_2$  with  $R_{23}$  or  $R_{13}$ : say

$$w_3 = \dots S_{a_2,3} S_{a_1,3}(w_2), \quad M_3 = \dots R_{a_2,3} R_{a_1,3}(M_2)$$

where each  $a_1, a_2$  is 1 or 2.

Continue in this way until we reach  $(w_l, M_l)$ , where  $l = l(\pi)$ . Clearly from our construction  $w_l$  is a lattice permutation. From (9.6) it follows that  $w_l$  is compatible with  $\lambda - \mu$ , so that  $w_l = w(L)$  where  $L \in \text{Tab}^0(\lambda - \mu, \nu)$  for some partition  $\nu$ . Next, it is clear from the construction that at each stage the length  $l_i(M_r)$  of the  $i$ th row of the array  $M_r$  is equal to the multiplicity  $m_i(w_r)$  of the symbol  $i$  in the corresponding word  $w_r$ , so that the final array  $M = M_l$  has shape  $\nu$  and weight  $\pi$ .

We have to show moreover that  $M_l$  is a *tableau*. For this, we shall prove by induction on  $r$  that the first  $r$  rows of  $M_r$  form a tableau. This is clear if  $r = 1$ , so assume that  $r > 1$  and the result is true for  $r - 1$ .

Consider the steps that lead from  $M_{r-1}$  to  $M_r$ : we have, say,

$$M_r = R_{a_m, r} \dots R_{a_1, r}(M_{r-1});$$

let us put

$$M_{r-1, i} = R_{a_i, r} \dots R_{a_1, r}(M_{r-1}) \quad (1 \leq i \leq m)$$

and likewise

$$w_{r-1, i} = S_{a_i, r} \dots S_{a_1, r}(w_{r-1}),$$

where each word  $w_{r-1, i}$  has the lattice property with respect to  $(1, 2, \dots, r - 1)$ . Each array  $M_{r-1, i}$  is obtained from its predecessor  $M_{r-1, i-1}$  (or  $M_{r-1}$  if  $i = 1$ ) by moving up a single symbol  $r$  from the  $r$ th row to the  $a_i$ th row. By our construction the length  $l_j(M_{r-1, i})$  of the  $j$ th row of  $M_{r-1, i}$  is equal to the multiplicity  $m_j(w_{r-1, i})$  of  $j$  in  $w_{r-1, i}$ , for each  $j \geq 1$ ; and since each word  $w_{r-1, i}$  has the lattice property with respect to  $(1, 2, \dots, r - 1)$ , it follows that

$$l_1(M_{r-1, i}) \geq \dots \geq l_{r-1}(M_{r-1, i}).$$

Also, by (9.7), the integers  $a_i$  satisfy  $a_1 \geq \dots \geq a_m$ . It follows that no two symbols  $r$  can appear in the same column at any stage, and consequently the first  $r$  rows of  $M_r$  form a tableau.

The algorithm therefore provides a mapping

$$\text{Tab}(\lambda - \mu, \pi) \rightarrow \coprod_{\nu} \text{Tab}^0(\lambda - \mu, \nu) \times \text{Tab}(\nu, \pi).$$

To complete the proof of (9.4) we have to show that this mapping is a bijection. For this purpose it is enough to show that, for each  $r \geq 1$ , we can unambiguously trace our steps back from  $(w_r, M_r)$  to  $(w_{r-1}, M_{r-1})$ . With the notation used above, we have

$$w_r = S_{a_m, r} \dots S_{a_1, r}(w_{r-1}),$$

and the sequence  $(a_1, \dots, a_m)$  can be read off from the array  $M_r$ , since the  $a_i$  are the indices  $< r$  of the rows in which the symbols  $r$  are located in  $M_r$ , arranged in descending order:  $a_1 \geq a_2 \geq \dots \geq a_m$  (by virtue of (9.7)). Since by (9.5) each  $S_{a, r}$  is reversible, it follows that  $(w_{r-1}, M_{r-1})$  is uniquely determined by  $(w_r, M_r)$ . Finally, by (9.6), if  $w_r$  is compatible with  $\lambda - \mu$ , then so also is  $w_{r-1}$ , and the proof is complete. Q.E.D.

*Remark.* A lattice permutation  $w = a_1 a_2 \dots a_N$  of weight  $\nu$  may be described by a standard tableau  $T(w)$  of shape  $\nu$ , in which the symbol  $r$  occurs in the  $a_r$ th row, for  $1 \leq r \leq N$  (the fact that  $w$  is lattice ensures that  $T(w)$  is a tableau). Hence the algorithm described above constructs from a word  $w$  a pair of tableaux  $T(w)$  and  $M_l$  of the same shape  $\nu$ , the first of which is standard and the second of weight  $\pi$ . It may be verified that this algorithm coincides with one described by Burge [7] (see also Gansner [13]).

#### Notes and references

The Littlewood-Richardson rule (9.2) was first stated, but not proved, by Littlewood and Richardson in [28] (p. 119). The proof subsequently published by Robinson [39], and reproduced in Littlewood's book ([29], pp. 94-6) is incomplete, and it is this proof that we have endeavoured to complete. Apparently, complete proofs of the rule were first published only recently, due to Lascoux and Schützenberger [46] and Thomas [53].