Vertex operator (super)algebras and topological orders

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Vertex operator algebras, fractional quantum Hall states and topological orders

Yi-Zhi Huang

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January 30, 2015

Lie Group / Quamtum Math Seminar

Anyons and representation theory

Vertex operator (super)algebras and topological orders

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Outline

- Quantum Hall effect
- Fractional quantum Hall states and vertex operator algebras
- 2 Anyons and representation theory
 - Anyons
 - Modules and intertwining operators for vertex operator superalgebras
- 3 Vertex operator (super)algebras and topological orders
 - Topological orders
 - Some mathematical problems

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Quantum Hall effect

Hall effect

• The Hall effect was discovered by Hall in 1879.

• Hall effect: When a magnetic field is applied perpendicular to the direction of a current flowing through a conductor, a measurable voltage is developed in the third perpendicular direction.



Vertex operator (super)algebras and topological orders

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Quantum Hall effect



• Hall resistance: The ratio

$$R_H = V_H/I$$

of the transverse voltage V_H to the current *I*.

 Hall's original paper was purely on the experiment he did. But it was actually published in *American Journal of Mathematics* 2 (1879), 287-292.

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Quantum Hall effect

Quantum Hall effect

• When magnetic field is strong and the temperature is low, the Hall resistance *R_H* is quantized:

$$R_H = rac{h}{
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where *h* is the Planck constant, *e* is the electric charge of a proton and ν is an integer (integer quantum Hall effect) or a rational number (fractional quantum Hall effect) called filling factor.

 von Klitzing in 1980 discovered the integer quantum Hall effect. Tsui, Strörmer and Gossard in 1982 discovered the fractional quantum Hall effect.

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Vertex operator (super)algebras and topological orders

Fractional quantum Hall states and vertex operator algebras

- A quantum state in 2 + 1 dimension is given by wave functions on the complex plane. When there are *N* electrons, we have a wave function $\Psi(z_1, \ldots, z_N)$ in the complex variaables z_1, \ldots, z_N . Since electrons are fermions, $\Psi(z_1, \ldots, z_N)$ is antisymmetric in the variables z_1, \ldots, z_N .
- The wave functions for electrons are products of polynomials and Gaussian factors of the form $e^{-\sum_{k} \frac{|z_{k}|^{2}}{4\ell_{0}}}$, where $\ell_{0} = \sqrt{\frac{\hbar}{eB}}$ is the magnetic length.

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Fractional quantum Hall states and vertex operator algebras

- Correlation functions of vertex operators for a vertex operator algebra are in general rational functions of z_1, \ldots, z_N . But for some vertex operator algebras, there are indeed vertex operators such that their correlation functions are in fact polynomials.
- Using conformal field theories, physicists constructed the wave functions of fractional quantum Hall states.
- We look at some examples.

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Fractional quantum Hall states and vertex operator algebras

Laughlin states

 Laughlin states: Laughlin found in 1983 the m = 3 case of the wave function

$$\prod_{i>j}(z_i-z_j)^m e^{-\sum_k \frac{|z_k|^2}{4\ell_0}}.$$

- The wave function in this m = 3 case explains theoretically the fractional quantum Hall effect discovered by Tsui, Strörmer and Gossard. The filling factor in this case is $\frac{1}{3}$. Tsui, Strörmer and Laughlin shared the Nobel prize in physics in 1998.
- For general odd positive integer *m*, this is the wave function for a fractional quantum Hall state with the filling factor $\nu = \frac{1}{m}$.

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Fractional quantum Hall states and vertex operator algebras

Laughlin states and vertex operator algebras

- The polynomial parts $\prod_{i < j} (z_i z_j)^m$ of these wave functions can be constructed from vertex superoperator algebras.
- *L*: the rank-one lattice generated by an element α with the \mathbb{Z} -bilinear form given by $(\alpha, \alpha) = m$.
- V = V_L: The vertex operator superalgebra associated to L. There is an invariant positive definite hermitian form (·, ·) on V_L extending the ℤ-bilinear form on L.
- The analytic extension of

$$(\iota((e^{\alpha})^N), Y(\iota(e^{\alpha}), z_1) \cdots Y(\iota(e^{\alpha}), z_N)\mathbf{1})$$

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Fractional quantum Hall states and vertex operator algebras

Moore-Read Pfaffian states

• Moore-Read Pfaffian states: In the case that $\nu = \frac{1}{m}$ for an even positive integer *m*, using conformal field theory, Gregory Moore and Nick Read found in 1991 the candidate of a wave function

$$\operatorname{Pf}\left(\frac{1}{z_i-z_j}\right)\prod_{i< j}(z_i-z_j)^m e^{-\sum_k \frac{|z_k|^2}{4\ell_0}},$$

where for even N,

$$Pf\left(\frac{1}{z_i - z_j}\right) = \frac{1}{2^{N/2}(N/2)!} \sum_{\sigma \in S_N} \operatorname{sgn} \sigma \prod_{k=1}^{N/2} \frac{1}{z_{\sigma(2k-1)} - z_{\sigma(2k)}}$$

is the Pfaffian for the skew-symmetric matrix whose off diagonal entries are $\frac{1}{z_i - z_j}$.

Anyons and representation theory

Vertex operator (super)algebras and topological orders

Fractional quantum Hall states and vertex operator algebras

- L(¹/₂, 0): Vertex operator algebra for the minimal model of central charge ¹/₂.
- $L(\frac{1}{2}, \frac{1}{2})$: Irreducible module of lowest weight $\frac{1}{2}$.
- L(¹/₂, 0) ⊕ L(¹/₂, ¹/₂): A vertex operator superalgebra with an invariant positive definite hermitian form. This is constructed similarly to (but certainly easier than) the moonshine module.
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The analytic extension of the product of

$$Y_V\left(\iota(\boldsymbol{e}^{\alpha})\otimes v_{\frac{1}{2},\frac{1}{2}}, Z_i\right) = Y_{V_L}(\iota(\boldsymbol{e}^{\alpha}), Z_i)\otimes Y_V\left(v_{\frac{1}{2},\frac{1}{2}}, Z_i\right)$$

for i = 1, ..., N applied to the vacuum and the paired with a suitable element of V is equal to

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Fractional quantum Hall states and vertex operator algebras

Read-Rezayi states

• For a positive integer *k*, Read and Rezayi proposed in 1999 the wave functions

$$S\left(\prod_{0\leq r$$

where N = kn (the number of variables), *m* is an odd positive integer, *S* means the symmetrization over all permutations, and

$$X_{r,s} = (z_{kr+1} - z_{ks+1})(z_{kr+1} - z_{ks+2})(z_{kr+2} - z_{ks+2}) \cdot \cdots (z_{kr+2} - z_{ks+3})(z_{kr+k} - z_{ks+k})(z_{kr+k} - z_{ks+1}).$$

Fractional quantum Hall states and vertex operator algebras

- L(k, 0): the vertex operator algebra associated to the affine Lie algebra sl(2, ℂ) and level k.
- α : A positive root of $\mathfrak{sl}(2,\mathbb{C})$.
- Ω^{⟨e_{α_k}⟩}_{L(k,0)}: The generalized vertex operator algebra of parafermions (Lepowsky-Wilson (1981), Lepowsky-Primc (1984), Fateev-Zamolodchikov(1985) and Dong-Lepowsky (1993)).
- *V_L*: The generalized vertex operator algebra associated to the rank-one lattice generated by α satisfying $(\alpha, \alpha) = m + \frac{2}{k}$.
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- *V_L*: The generalized vertex operator algebra associated to the rank-one lattice generated by α satisfying $(\alpha, \alpha) = m + \frac{2}{k}$.
- $V_L \otimes \Omega^A_{L(k,0)}$: A generalized vertex operator algebra.

Fractional quantum Hall states and vertex operator algebras

- L(k, 0): the vertex operator algebra associated to the affine Lie algebra st(2, ℂ)[^] and level k.
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Vertex operator (super)algebras and topological orders

Fractional quantum Hall states and vertex operator algebras

Read-Rezayi states and vertex operator algebras

- $u_{\rho}, u_{\rho}^{\dagger}$: Certain canonical generators of $\Omega_{L(k,0)}^{\langle e_{\alpha_{k}} \rangle}$.
- V: The generalized vertex operator subalgebra of V_L ⊗ Ω^A_{L(k,0)} generated by ι(e^α) ⊗ u₁.
- The analytic extensions of the product of

$$Y_{V}(\iota(e^{\alpha}) \otimes u_{1}, z_{i}) = Y_{V_{L}}(\iota(e^{\alpha}), z_{i}) \otimes Y_{\Omega^{A}_{L(k,0)}}(u_{1}, z_{i})$$

applied to the vacuum and paired with a suitable element of V is equal to

$$\prod_{i < j} (z_i - z_j)^m S\left(\prod_{0 \le r < s < n} X_{r,s}(z_{kr+1}, ..., z_{kr+k}, z_{ks+1}, ..., z_{ks+k})\right)$$

Vertex operator (super)algebras and topological orders

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Anyons and representation theory

Vertex operator (super)algebras and topological orders

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Anyons

Outline

Fractional quantum Hall states

- Quantum Hall effect
- Fractional quantum Hall states and vertex operator algebras

2 Anyons and representation theory

Anyons

- Modules and intertwining operators for vertex operator superalgebras
- Vertex operator (super)algebras and topological orders
 - Topological orders
 - Some mathematical problems

Anyons and representation theory

Vertex operator (super)algebras and topological orders

Anyons

Abelian anyons

- The wave functions above are for electrons in pure material. If there are impurity in the material and so on, the points where impurity occurs behave like particles and are called quasi-particles. If these quasi-particles are indistinguishable, then we can exchange them using paths in the configuration space of *n*-tuples (z_1, \ldots, z_n) satisfying $z_i \neq z_j$. These paths form the braid group B_n .
- If the wave function after the exchange of quasi-particles is equal to a complex number (not 1 or -1) of absolute value 1 multiplying the wave function before the exchange, these quasi-particles are called abelian anyons.
- Abelian anyons have been found in experiments.

Anyons and representation theory

Vertex operator (super)algebras and topological orders

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Anyons and representation theory

Vertex operator (super)algebras and topological orders

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Anyons

Nonabelian anyons

- If the wave functions with quasi-particles form a Hilbert space and the exchange of quasi-particles gives a unitary operator on this Hilbert space, these quasi-particles are called nonabelian anyons.
- Nonabelian anyons are still to be found in experiments. An announcement by a group of physicists about finding nonabelian anyons is still to be confirmed by other groups of physicists.

Anyons and representation theory

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- Abelian anyons were first suggested by Leinaas and Myrheim in 1977. They are derived rigorously by Goldin, Menikoff and Sharp in 1980 and 1981 using representations of local nonrelativistic current algebra and the corresponding diffeomorphism group.
- Wilczek in 1982 introduced the term **anyons** and proposed a model for abelian anyons in connection with fractional spin in two dimensions.

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Anyons and representation theory

Vertex operator (super)algebras and topological orders

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- Nonabelian anyons were considered theoretically by Bais (1980) Goldin, Menikoff and Sharp (1985), Moore and Seiberg (1988), Witten (1989), Fredenhagen, Rehren and Schroer (1989) Fröhlich and Gabbiani (1990).
- Moore and Read in 1991 and Wen in 1991 pointed out explicitly that nonabelian anyons can be realized in fractional quantum Hall effect.
- In particular, Moore and Read in 1991 suggested that the quasi-particles in a fractional quantum Hall system whose ground states are given by the Moore-Read Pfaffian wave functions above are nonabelian anyons.

Anyons and representation theory

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Anyons and representation theory

Vertex operator (super)algebras and topological orders

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Modules and intertwining operators for vertex operator superalgebras

Outline

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- Quantum Hall effect
- Fractional quantum Hall states and vertex operator algebras

2 Anyons and representation theory

- Anyons
- Modules and intertwining operators for vertex operator superalgebras
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Anyons and representation theory

Vertex operator (super)algebras and topological orders

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Modules and intertwining operators for vertex operator superalgebras

Modules

- When the material has an impurity point, say at 0, electrons still go through the material but in general might not pass the impurity point. We then have wave functions with 0 as a possible singular point.
- These wave functions still have the same properties as the wave functions without impurity points.
- In this case, we have a module for the vertex operator superalgebra placed at 0.

Anyons and representation theory

Vertex operator (super)algebras and topological orders

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Anyons and representation theory

Vertex operator (super)algebras and topological orders

Modules and intertwining operators for vertex operator superalgebras

Intertwining perators

- When the material has several impurity points, the wave functions locally are analytic functions of the positions of the impurity points. These wave functions can be obtained as the correlation functions of intertwining operators.
- Each impurity point corresponds to a quasi-particle. The algebra of intertwining operators (intertwining operator algebra) is the algebra underlying anyons.
- When the intertwining operator algebra is abelian, we have abelian anyons. When the intertwining operator algebra is not abelian, we have nonabelian anyons.

Anyons and representation theory

Vertex operator (super)algebras and topological orders

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Anyons and representation theory

Vertex operator (super)algebras and topological orders

Modules and intertwining operators for vertex operator superalgebras

Tensor categories

- When a vertex operator algebra satisfying suitable conditions, a suitable category of modules has a natural structure of a braided tensor category or even a modular tensor category.
- The braided tensor category structure or the modular tensor category structure describes the topological properties of nonabelian anyons.
- If the algebraic structure of modular tensor categories can be realized in a physical system such as a fractional quantum Hall system, then one can use such a physical system to do quantum computation. Since modular tensor category is essentially topological, this is called topological quantum computation.

Anyons and representation theory

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Anyons and representation theory

Vertex operator (super)algebras and topological orders

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Topological orders

Outline

Fractional quantum Hall states

- Quantum Hall effect
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2 Anyons and representation theory

- Anyons
- Modules and intertwining operators for vertex operator superalgebras

3 Vertex operator (super)algebras and topological orders

- Topological orders
- Some mathematical problems

Anyons and representation theory

Vertex operator (super)algebras and topological orders

Topological orders

Orders in states of matter

- Matter has many different states, such as gas, liquid and solid. Different states are distinguished by some of their internal structures. These internal structures are called orders.
- Classically, in Landau's symmetry-breaking theory, different orders correspond to different symmetries (or more precisely, the breaking of symmetries). But there exist different fractional quantum Hall states that have the same symmetry. This suggests that there is a new type of orders that cannot be described by symmetry or symmetry breaking.
- These new orders were called topological orders and were first proposed by Wen in 1989.

Anyons and representation theory

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Vertex operator (super)algebras and topological orders

Topological orders

Topological orders in 2 + 1 dimension

- Macroscopically, a topological order in 2 + 1 dimension can be studied using tensor categories satisfying suitable properties.
- But there is still no satisfactory microscopic theory of topological orders. Ideally, one would want to define a topological order to be an equivalence class of suitable quantum states (such as fractional quantum Hall states) under a suitable equivalence relation such that the quantum states in the equivalence class can be deformed to each other smoothly and give equivalent tensor categories.
- The correct microscopic theory of topological orders will need a mathematical theory that describes quantum states and also gives tensor categories.

Vertex operator (super)algebras and topological orders

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Vertex operator (super)algebras and topological orders

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Topological orders

- Vertex operator (super)algebras are analogous to Lie (super)algebras. The Jacobi identity for vertex operator (super)algebras is analogous to the Jacobi identity for Lie super)algebras. There is also a skew-symmetry for vertex operator (super)algebras.
- In 1990, I introduced a notion of vertex group that should be viewed as an analogue of the notion of group. The relation between vertex operator algebras and vertex groups is analogous to the relation between between Lie algebras and Lie groups.

Vertex operator (super)algebras and topological orders

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Vertex operator (super)algebras and topological orders

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Topological orders

- I proposed in my Ph.D. thesis in 1990 that vertex groups and vertex operator algebras play the role of symmetries and infinitesimal symmetries, respectively, in string theory.
- Though vertex operator (suer)algebras do not give the Hamiltonian of fractional quantum Hall states, they can be used to construct their wave functions.
- Moreover, vertex operator (super)algebras together with some additional choice of the module categories give tensor categories.

Vertex operator (super)algebras and topological orders

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Vertex operator (super)algebras and topological orders

Topological orders

- It is clear that the representation theory of vertex operator (super)algebras must play an important role in the still-to-be-established microscopic theory of topological orders in 2 + 1 dimension.
- Based on the role played by vertex operator (super)algebras in the study of fractional quantum Hall states, I propose that, topological orders also correspond to "symmetries," just as in Landau's theory. But the "symmetries" are described by vertex operator (super)algebras or vertex (super)groups, not by groups.

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Vertex operator (super)algebras and topological orders

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Topological orders

Example: Moore-Read Pfaffian states

- The vertex operator (super)algebra or the "(infinitesimal) symmetry" is V = V_L ⊗ (L(¹/₂, 0) ⊕ L(¹/₂, ¹/₂)).
- The wave function for *N* electrons without quasi-particles is given by the correlation function obtained from the product of the vertex operators $Y_V\left(\iota(e^{\alpha}) \otimes v_{\frac{1}{2},\frac{1}{2}}, z_i\right)$ for $i = 1, \ldots, N$.
- In general, the wave functions containing quasi-particles or anyons are given by the correlation functions obtained from the products of intertwining operators.

Vertex operator (super)algebras and topological orders

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Vertex operator (super)algebras and topological orders

Topological orders

- The category of V-modules has a natural stucture of braided tensor categories. Probably it is a modular tensor category.
- We would like to define the topological order of Moore-Read Pfaffian states. We first define an equivalence relation among quantum states.
- A quantum state is given by its Hamiltonian and its wave fucntions. The quantum state is equivalent to a Moore-Read Pfaffian state if its wave functions are given by correlation functions obtained from products of intertwining operators for a vertex operator superalgebra satisfying the following three properties:

Vertex operator (super)algebras and topological orders

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Vertex operator (super)algebras and topological orders

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Topological orders

- The weights of homogeneous elements of modules other than the vacuum are bigger than a fixed real number (gapped theory).
- It can be obtained from deforming smoothly the vertex operator superalgebra for the Moore-Read Pfaffian state.
- The braided tensor category of its category of modules is equivalent to the braided tensor category for the Moore-Read Pfaffian states.

Vertex operator (super)algebras and topological orders

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- It can be obtained from deforming smoothly the vertex operator superalgebra for the Moore-Read Pfaffian state.
- The braided tensor category of its category of modules is equivalent to the braided tensor category for the Moore-Read Pfaffian states.

Vertex operator (super)algebras and topological orders

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Vertex operator (super)algebras and topological orders

Topological orders

- One possible definition of the topological order of a Moore-Read Pfaffian state using vertex operator superalgebras: The class of quantum states equivalent to the Moore-Read Pfaffian state.
- We can also study topological orders using the representation theory of vertex operator (super)algebras. In Landau's theory, the free energy is invariant under the action of the group corresponding to the symmetry and thus can be written as a linear combinations of the characters of the group. In this theory, we can use the modular invariance to study the corresponding physical quantities.

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Anyons and representation theory

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Some mathematical problems

Outline

Fractional quantum Hall states

- Quantum Hall effect
- Fractional quantum Hall states and vertex operator algebras

2 Anyons and representation theory

- Anyons
- Modules and intertwining operators for vertex operator superalgebras

3 Vertex operator (super)algebras and topological orders

- Topological orders
- Some mathematical problems

Anyons and representation theory

Vertex operator (super)algebras and topological orders

Some mathematical problems

- Conjecture: The braid group representations given by the wave functions of fractional quantum Hall states are the same as those given by the representations of the corresponding vertex operator algebras.
- Bonderson, Gurarie, Nayak in 2010 proved the conjecture in the case of Moore-Read Pfaffian wave functions.
- The approach used in the proof by Bonderson, Gurarie and Nayak is not easy to be generalized to the general case.
- The greatly developed representation theory of vertex operator algebras should be very useful in finding a proof of this fundamental conjecture.

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Vertex operator (super)algebras and topological orders

Some mathematical problems

Classification of wave functions for electrons

- Wave functions for electrons must be polynomials. If they are correlation functions from a vertex operator superalgebra, it is a very strong condition on the vertex operator superalgebra.
- Is it possible to classify such vertex operator superalgebras and thus to classify the possible wave functions for electrons?
- In studying this classification problem, Wen, Wang and their collaborators developed a method based on the pattern of zeros. They also combined this method with the operator product expansion of vertex operator (super)algebras. Though some interesting results were obtained, it seems to be still far away from a classification.

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Some mathematical problems

Classification of topological orders in 2 + 1 dimension

- In general, we can define topological orders in 2 + 1 dimension in a way similar to the definition of the topological order of a Moore-Read Pfaffian state.
- Is it possible to classify all the topological orders in 2 + 1 dimension defined in this way?

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