

Meromorphic open-string vertex algebras and Riemannian manifolds

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Outline

The vertex operator algebra approach to two-dimensional conformal field theory

Nonlinear sigma models

Meromorphic open-string vertex algebras and representations

A sheaf \mathcal{V} of “universal” meromorphic open-string vertex algebras

A sheaf \mathcal{W} of left modules for the sheaf \mathcal{V}

A sheaf \mathcal{V}^B of meromorphic open-string vertex algebra associated to \mathcal{W}

Lapacians and Ricci-flat manifolds

Further studies and the main challenge

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Vertex operator algebras

The vertex operator algebra approach (Belavin-Polyakov-Zamolodchikov, Borchers, Frenkel-Lepowsky-Meurman) is in fact the most successful mathematical approach to conformal field theories. The program of constructing conformal field theories in the sense Kontsevich-Segal from representations of vertex operator algebras was developed by the speaker and collaborators.

A **vertex operator algebra** is a \mathbb{Z} -graded vector space $V = \coprod_{n \in \mathbb{Z}} V_{(n)}$ equipped with a **vertex operator map**

$$\begin{aligned} Y_V : V \otimes V &\rightarrow V[[z, z^{-1}]], \\ u \otimes v &\mapsto Y(u, z)v, \end{aligned}$$

a **vacuum** $\mathbf{1} \in V_{(0)}$ and a **conformal vector** $\omega \in V_{(2)}$, satisfying suitable axioms that will be briefly discussed later.

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Modules and intertwining operators

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- ▶ Let W_1 , W_2 and W_3 be V -modules. An **intertwining operator** of type $\begin{pmatrix} W_3 \\ W_1 W_2 \end{pmatrix}$ is a linear map $\mathcal{Y} : W_1 \otimes W_2 \rightarrow W_3\{z\}$, where $W_3\{z\}$ is the space of all series in complex powers of z with coefficients in W_3 , satisfying all those axioms for V which still make sense.

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Examples

- ▶ Free bosons: Representations of infinite-dimensional Heisenberg algebras. We will briefly discuss these theories later.
- ▶ Free bosons on tori: Vertex operator algebras, modules and classical vertex operators (intertwining operators) associated to lattices. We will also briefly discuss these theories later.
- ▶ Wess-Zumino-Novikov-Witten models: Representations of affine Lie algebras.
- ▶ Minimal models: Representations of the Virasoro algebra.
- ▶ Fermion theories: Representations of infinite-dimensional Clifford algebras, affine Lie superalgebras and superconformal algebras.
- ▶ Orbifolds, cosets and \mathcal{W} -algebras, including in particular the moonshine module.

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Rational conformal field theories

Theorem (H. 2002–2006)

Let V be a simple vertex operator algebra satisfying the following conditions:

- 1. $V_{(n)} = 0$ for $n < 0$, $V_{(0)} = \mathbb{C}\mathbf{1}$ and V' is isomorphic to V as a V -module.*
- 2. Every lower-bounded generalized V -module is a direct sum of irreducible V -modules.*
- 3. V is C_2 -cofinite, that is, $\dim V / C_2(V) < \infty$ where $C_2(V)$ is the subspace of V spanned by elements of the form $\text{Res}_z z^{-2} Y(u, z)v$ for $u, v \in V$.*

Then we have the following conclusion:

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Then we have the following conclusion:

1. *The Moore-Seiberg polynomial equations hold. In particular, the Verlinde conjecture holds.*
2. *The category of V -modules has a natural structure of a modular tensor category. In particular, we have a modular functor (in all genera) and a 3-dimensional topological field theory.*
3. *All chiral and full correlation functions in genus-zero and genus-one can be constructed from intertwining operators (the part on full correlation functions being done jointly with Kong).*
4. *There exist locally convex topological completions of the spaces involved such that Segal's axioms in genus-zero and genus-one are satisfied.*

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3. *All chiral and full correlation functions in genus-zero and genus-one can be constructed from intertwining operators (the part on full correlation functions being done jointly with Kong).*
4. *There exist locally convex topological completions of the spaces involved such that Segal's axioms in genus-zero and genus-one are satisfied.*

Higher-genus and non-rational theories

- ▶ For higher-genus correlation functions, there is one problem still to be solved: Convergence in higher-genus case. Need results on meromorphic functions on the moduli space of Riemann surfaces with parametrized boundaries (generalizations of the q -expansion of the Weierstrass \wp -function).
- ▶ Many results for rational theories can be generalized to non-rational theories, including unitary non-rational theories and logarithmic theories. But there are still conjectures and open problems to be solved. The construction and study of non-rational theories is important for the study of the moduli space of conformal field theories. Nonlinear sigma models with Calabi-Yau manifolds as targets are important examples to be constructed and studied.

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Open-closed conformal field theories

- ▶ Jointly with Kong, a notion of open-string vertex algebra is introduced. We also constructed open-string vertex algebras from modules and intertwining operators for a vertex operator algebra satisfying suitable conditions. These are the open parts of open-closed conformal field theories.
- ▶ Kong further studied the open-closed conformal field theories whose closed parts and open parts are constructed from modules and intertwining operators for vertex operator algebras, especially the important Cardy condition.
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A sheaf \mathcal{V}^B of meromorphic open-string vertex algebra associated to \mathcal{W}

Lapacians and Ricci-flat manifolds

Further studies and the main challenge

Harmonic maps from a Riemann surface to a Riemannian manifold

- ▶ Σ : 2-dimensional Riemannian manifold.
 M : n -dimensional Riemannian manifold.
 φ : smooth map from Σ to M .
- ▶ Action: $\int_{\Sigma} \|d\varphi\| dS$.
Locally: $\|d\varphi\| = \eta^{ij} g_{\mu\nu} \frac{\partial \varphi^{\mu}}{\partial x^i} \frac{\partial \varphi^{\nu}}{\partial x^j}$.
- ▶ Classical nonlinear sigma models: Harmonic maps, that is, critical points of the action above.
- ▶ The action is conformally invariant. Only the Riemann surface structure on Σ is needed.

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Free bosons: Quantization of linear sigma models

- ▶ Linear sigma models: M flat.
- ▶ $M = \mathbb{R}^n$ and $p \in \mathbb{R}^n$.
 $T_p M = T_p \mathbb{R}^n$: the tangent space of $M = \mathbb{R}^n$ at p .
- ▶ Heisenberg algebra: $\widehat{T_p M} = \widehat{T_p M}_- \oplus \widehat{T_p M}_0 \oplus \widehat{T_p M}_+$, where

$$\widehat{T_p M}_- = T_p M \otimes t^{-1} \mathbb{C}[t^{-1}],$$

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Conformal field theories associated to free bosons

- ▶ Let $\widehat{T_p M}_+$ act on $|\mathbf{k}\rangle$ as 0, \mathbf{l} on $|\mathbf{k}\rangle$ as 1 and elements of $T_p M$ on $|\mathbf{k}\rangle$ as i times the vector fields obtained by parallel transporting the elements of $T_p M$. Then $\mathbb{C}|\mathbf{k}\rangle$ becomes a module for $\widehat{T_p M}_0 \oplus \widehat{T_p M}_+$.
- ▶ Induced module: $W_{|\mathbf{k}\rangle} = U(\widehat{T_p M}) \otimes_{U(\widehat{T_p M}_0 \oplus \widehat{T_p M}_+)} \mathbb{C}|\mathbf{k}\rangle$ (Fock space generated by $|\mathbf{k}\rangle$).
- ▶ $W_{|0\rangle}$ has an algebraic structure called vertex operator algebra and $W_{|\mathbf{k}\rangle}$ for any \mathbf{k} is a module for this vertex operator algebra $W_{|0\rangle}$.
- ▶ The corresponding conformal field theory can be constructed by studying the correlation functions among these modules for the vertex operator algebra $W_{|0\rangle}$.

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Sigma models on tori

- ▶ Given a torus, we still consider the vertex operator algebra $W_{|0\rangle}$. But for modules we consider only $W_{|\mathbf{k}\rangle}$ for those \mathbf{k} such that $e^{ik_\mu x^\mu}$ are well defined on the torus. Such \mathbf{k} form a lattice.
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First difficulties in generalizing this construction to the curved case

- ▶ In general, it was conjectured by physicists that quantum nonlinear sigma models are not conformal field theories. In the case that the target manifold is a Calabi-Yau manifold, the quantum nonlinear sigma module is an $N = 2$ superconformal field theory.
- ▶ M : a Riemannian manifold. $p \in M$. $T_p M$: the tangent space of \mathbb{R}^n at p .
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A noncommutative generalization of a variant of the notion of vertex operator algebras.

A **meromorphic vertex operator algebra** consists the following data:

- ▶ A \mathbb{Z} -graded vector space $V = \coprod_{n \in \mathbb{Z}} V_{(n)}$.
- ▶ A **vertex operator map**

$$\begin{aligned} Y_V : V \otimes V &\rightarrow V[[z, z^{-1}]], \\ u \otimes v &\mapsto Y(u, z)v. \end{aligned}$$

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These data satisfy the following axioms:

- ▶ **Grading-restriction property:** $\dim V_{(n)} < \infty$ for $n \in \mathbb{Z}$ and $V_{(n)} = 0$ when n is sufficiently negative.
- ▶ **Lower-truncation property:** For $u, v \in V$, $Y(u, z)v$ contains only finitely many negative power terms.
- ▶ **Axioms for the vacuum:** For $u \in V$, $Y(\mathbf{1}, z)u = u$ and $\lim_{z \rightarrow 0} Y(u, z)\mathbf{1} = u$.

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Meromorphic open-string vertex algebras

- **Rationality and associativity:** For $u_1, u_2, v \in V$, $v' \in V' = \coprod_{n \in \mathbb{Z}} V_{(n)}^*$, the series

$$\begin{aligned} &\langle v', Y(u_1, z_1) Y(u_2, z_2) v \rangle \\ &\langle v', Y(Y(u_1, z_1 - z_2) u_2, z_2) v \rangle \end{aligned}$$

are absolutely convergent in the regions $|z_1| > |z_2| > 0$ and $|z_2| > |z_1 - z_2| > 0$, respectively, to a common rational function in z_1 and z_2 with the only possible poles at $z_1, z_2 = 0$ and $z_1 = z_2$.

Vertex (operator) algebras

- ▶ If in addition, the **commutativity** holds, that is, for $u_1, u_2, v \in V, v' \in V' = \coprod_{n \in \mathbb{Z}} V_{(n)}^*$, the series

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- ▶ A \mathbb{Z} -graded vertex algebra V is a **vertex operator algebra** or **conformal vertex algebra** if there is an element $\omega \in V$ such that for $L(n) = \text{Res}_z z^{n+1} Y(\omega, z)$, we have $[L(m), L(n)] = (m-n)L(m+n) + \frac{c}{12}(m^3-m)\delta_{m+n,0}$ (the **Virasoro relation**), $\frac{d}{dz} Y(u, z) = Y(L(-1)u, z)$ (**$L(-1)$ -derivative property**) for $u \in V$ and $L(0)u = nu$ for $u \in V_{(n)}$ (**$L(0)$ -grading property**) **conformal element**

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Operator product expansion

In the region $|z_1| > |z_2| > |z_1 - z_2| > 0$, we have

$$Y(u_1, z_1)Y(u_2, z_2) = Y(Y(u_1, z_1 - z_2)u_2, z_2)$$

in a suitable sense. Write $Y(u_1, x)u_2 = \sum_{n \in \mathbb{Z}} Y_n(u_1)u_2 x^{-n-1}$. Then we have

$$Y(u_1, z_1)Y(u_2, z_2) = \sum_{n \in \mathbb{Z}} (z_1 - z_2)^{-n-1} Y(Y_n(u_1)u_2, z_2),$$

where $Y(u_1, z_1)$ and $Y(u_2, z_2)$ are the values of the quantum fields $Y(u_1, z)$ and $Y(u_2, z)$ at z_1 and z_2 , respectively, $Y(Y_n(u_1)u_2, z_2)$ for $n \in \mathbb{Z}$ are the values of the quantum fields $Y(Y_n(u_1)u_2, z)$ at z_2 and $(z_1 - z_2)^{-n-1}$ for $n \in \mathbb{Z}$ are analytic functions of $z_1 - z_2$.

Left modules for a meromorphic open-string vertex algebra

- ▶ Let V be a meromorphic open-string vertex algebra. A **left V -module** is a \mathbb{C} -graded vector space $W = \coprod_{n \in \mathbb{C}} W_{(n)}$ equipped with a vertex operator map $Y_W : V \otimes W \rightarrow W[[z, z^{-1}]]$ satisfying all those axioms for V which still make sense.
- ▶ Vertex operators for left modules also have operator product expansion.

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Meromorphic open-string vertex algebras as noncommutative generalizations of vertex algebras

- ▶ In general, a meromorphic open-string vertex algebra satisfies all the properties for a vertex algebra except for the commutativity. Thus meromorphic open-string vertex algebras should be viewed as noncommutative generalizations of vertex algebras.
- ▶ Many other properties, for example, Jacobi identity, locality, the commutator formula, skew-symmetry or even the associator formula, of vertex algebras are also not satisfied by a meromorphic open-string vertex algebra.
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Examples of meromorphic open-string vertex algebras

Theorem (H. 2012)

Given a finite-dimensional inner product space \mathfrak{h} over \mathbb{R} , let $\hat{\mathfrak{h}}_- = \mathfrak{h} \otimes t^{-1}\mathbb{C}[t^{-1}]$. Then the tensor algebra $T(\hat{\mathfrak{h}}_-)$ of $\hat{\mathfrak{h}}_-$ has a natural structure of meromorphic open-string vertex algebra.

Example

Let M be a Riemannian manifold, $p \in M$ and $T_p M$ the tangent space at p . Then $T(\widehat{T_p M}_-)$ has a natural structure of meromorphic open-string vertex algebra.

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Examples of left modules for meromorphic open-string vertex algebras

As we discussed above, the vertex operators for a left module have operator product expansion.

Theorem (H. 2012)

Given a finite-dimensional inner product space \mathfrak{h} over \mathbb{R} and a module Λ for the tensor algebra $T(\mathfrak{h}^{\mathbb{C}})$ ($\mathfrak{h}^{\mathbb{C}}$ being the complexification of \mathfrak{h}), the space $T(\hat{\mathfrak{h}}_-) \otimes \Lambda$ has a natural structure of left module for the meromorphic open-string vertex algebra $T(\hat{\mathfrak{h}}_-)$.

According to this theorem, to construct a left module for the meromorphic open-string vertex algebra $T(\hat{\mathfrak{h}}_-)$, one needs only construct left modules for the tensor algebra $T(\mathfrak{h}^{\mathbb{C}})$.

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Further studies and the main challenge

A sheaf \mathcal{V} of “universal” meromorphic open-string vertex algebras

- ▶ Given a Riemannian manifold M , we need to put the the meromorphic open-string vertex algebras constructed from the tangent spaces together to obtain a meromorphic open-string vertex algebra containing the global information of M .
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Let U be an open subset of M , $TM^{\mathbb{C}}$ the complexification of the tangent bundle of M , \widehat{TM}_- the vector bundle whose fiber at $p \in M$ is $\widehat{T_p M_-}$, $T(\widehat{TM}_-)$ the vector bundle whose fiber at $p \in M$ is $T(\widehat{T_p M_-})$ and $\Pi_U(T(\widehat{TM}_-))$ the space of parallel sections on U of the vector bundle $T(\widehat{TM}_-)$.

Theorem (H. 2012)

The space $\Pi_U(T(\widehat{TM}_-))$ has a natural structure of meromorphic open-string vertex algebra. The assignment $U \rightarrow \Pi_U(T(\widehat{TM}_-))$ gives a sheaf of meromorphic open-string vertex algebras.

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Constructing left modules for the sheaf \mathcal{V} from smooth functions

- ▶ The interesting and difficult part of our construction is the construction left modules for the sheaf \mathcal{V} from smooth functions.
- ▶ This construction is based on an action of the associative algebra $\Pi_U(T(TM^{\mathbb{C}}))$ on the space of smooth functions. Such an action is equivalent to a homomorphism from $\Pi_U(T(TM^{\mathbb{C}}))$ to the associative algebra of operators on the space of smooth functions.

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A map ϕ_U from $\Pi_U(T(TM^{\mathbb{C}}))$ to $L(C^{\infty}(U))$

- ▶ Let $T^m(TM^{\mathbb{C}})$ be the vector bundle whose fibers are the m -th tensor powers of the complexifications of the tangent spaces of M , $T(TM^{\mathbb{C}})$ the vector bundle whose fibers are the tensor algebras of the complexifications of the tangent spaces of M and $\Pi_U(T(TM^{\mathbb{C}}))$ the space of parallel sections on U of the vector bundle $T(TM^{\mathbb{C}})$.
- ▶ Let $C^{\infty}(U)$ be the space of smooth complex functions on U and $L(C^{\infty}(U))$ the algebra of linear operators on the space $C^{\infty}(U)$ of complex-valued smooth function on U .
- ▶ We define $\phi_U^m : \Pi_U(T^m(TM^{\mathbb{C}})) \rightarrow L(C^{\infty}(U))$ to be the map $(\sqrt{-1})^m \nabla^m$, where the m -th order covariant derivative ∇^m is viewed as a map $\Pi_U(T^m(TM^{\mathbb{C}}))$ to $L(C^{\infty}(U))$. Putting ϕ_U^m together, we obtain $\phi_U : \Pi_U(T(TM^{\mathbb{C}})) \rightarrow L(C^{\infty}(U))$.

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A homomorphism ϕ_U of associative algebras

Example: Let g^{-1} be the element of $\Gamma_U(T^2(TM^{\mathbb{C}}))$ corresponding to the metric on the cotangent bundle. Then g^{-1} is parallel, that is, $g^{-1} \in \Pi_U(T^2(TM^{\mathbb{C}}))$ and $\phi_U(g^{-1}) = -\Delta$.

Theorem (H. 2012)

ϕ_U is a homomorphism of associative algebras.

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A presheaf

- By the theorem above, $C^\infty(U)$ is a $\Pi_U(T(TM^\mathbb{C}))$ -module. For any $p \in M$, $\Pi_U(T(TM^\mathbb{C}))$ is isomorphic to the fixed point subspace $(T(T_p M^\mathbb{C}))^{H_p(U)}$ of $T(T_p M^\mathbb{C})$ under the holonomy group $H_p(U)$. Let

$$C_p(U) = T(T_p M^\mathbb{C}) \otimes_{(T(T_p M^\mathbb{C}))^{H_p(U)}} C^\infty(U).$$

- We know that $T(\widehat{T_p M_-})$ has a natural structure of meromorphic open-string vertex algebra and $T(\widehat{T_p M_-}) \otimes C_p(U)$ has a natural structure of left $T(\widehat{T_p M_-})$ -module. Then $(T(\widehat{T_p M_-}))^{H_p(U)}$ is a meromorphic open-string vertex subalgebra of $T(\widehat{T_p M_-})$ and it is isomorphic to $\Pi_U(T(\widehat{T M_-}))$. In particular, $T(\widehat{T_p M_-}) \otimes C_p(U)$ is also a left $\Pi_U(T(\widehat{T M_-}))$ -module.

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A presheaf

- ▶ Let W_U^0 be the left $\Pi_U(T(\widehat{TM}_-))$ -submodule of $T(\widehat{T_p M_-}) \otimes C_p(U)$ generated by elements of the form $1 \otimes (1 \otimes_{(T(T_p M^{\mathbb{C}}))^{H_p(U)}} f)$ for $f \in C^\infty(U)$, where $1 \otimes_{(T(T_p M^{\mathbb{C}}))^{H_p(U)}} f$ is the image of $1 \otimes f$ under the projection from $T(T_p M^{\mathbb{C}}) \otimes C^\infty(U)$ to $C_p(U)$.
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A sheaf \mathcal{W} of left modules for the sheaf \mathcal{V}

Let \mathcal{W} be the sheafification of \mathcal{W}^0 . The section of \mathcal{W} on U is denoted W_U .

Theorem (H. 2012)

The space W_U of sections of \mathcal{W} on U is a left $\Pi_U(\widehat{T(TM_-}))$ -module and \mathcal{W} is a sheaf of left modules for the sheaf \mathcal{V} of meromorphic open-string vertex algebras.

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A sheaf \mathcal{V}^B of meromorphic open-string vertex algebra associated to \mathcal{W}

- ▶ For an open subset U of M , let \tilde{V}_U be the subspace of V_U consisting of elements u such that $Y_{W_U}(u, x) = 0$. Then \tilde{V}_U is a meromorphic open-string vertex subalgebra of V_U and $U \mapsto \tilde{V}_U$ for all U give a subsheaf $\tilde{\mathcal{V}}$ of \mathcal{V} of meromorphic open-string vertex algebras. Let \mathcal{V}^B be the sheafification of the quotient presheaf $\mathcal{V}/\tilde{\mathcal{V}}$.
- ▶ Let V_M^B be the space of global sections of \mathcal{V}^B . The correspondance $M \mapsto V_M^B$ gives a functor from the category of Riemannian manifolds to the category of meromorphic open-string vertex algebras. Moreover, when $M = \mathbb{R}^n$, $V_{\mathbb{R}^n}^B$ is isomorphic to the vertex operator algebra $W_{|0\rangle}$. We believe that V_M^B is the canonical meromorphic open-string vertex algebra associated to the nonlinear sigma model with the target space M .

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Laplacian as a component of a vertex operator

Let $\{E_i\}_{i=1}^n$ be an orthonormal frame in an open subset U of M .
Let

$$g_{\mathbb{C}}^{-1}(-1, -1) = \sum_{i=1}^n (E_i \otimes_{\mathbb{R}} t^{-1}) \otimes (E_i \otimes_{\mathbb{R}} t^{-1}) \in \Pi_U(T^2(\widehat{TM}_-)).$$

Then $g_{\mathbb{C}}^{-1}(-1, -1)$ is in fact well defined on any open subset of M . In particular, it is well defined on M . Also, we can identify $C^\infty(M)$ with a subspace of W_M .

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The coefficient of the x^{-2} term of $Y_{W_M}(-g_{\mathbb{C}}^{-1}(-1, -1), x)$ acting on $f \in C^\infty(M)$ is equal to Δf .

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The Laplacian of a Ricci-flat manifold

Proposition

Let M be a Riemannian manifold and X a parallel tangent field. Then

$$[\Delta, \phi(X)] = \text{Ric}(X).$$

In particular, if M is Ricci flat, Δ and $\phi(X)$ is commutative.

Conjecture

Let (M, g) be Ricci flat. Then there exists a metric \tilde{g} on M such that for any parallel tensor field \mathcal{X} on (M, \tilde{g}) , $\Delta_{\tilde{g}}$ and $\phi_{\tilde{g}}(\mathcal{X})$ is commutative, where $\Delta_{\tilde{g}}$ and $\phi_{\tilde{g}}$ are the Laplacian and the map defined before with the metric \tilde{g} .

If this conjecture is true, then for a Ricci-flat manifold M , we can find a metric \tilde{g} on M such that eigenspaces of the Laplacian $\Delta_{\tilde{g}}$ generate modules for the meromorphic open-string vertex algebra of global sections of the sheaf $\mathcal{V}_{\tilde{g}}$, where $\mathcal{V}_{\tilde{g}}$ is the sheaf of meromorphic open-string vertex algebras constructed using the metric \tilde{g} .

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- ▶ The construction generalizes without difficulties to differential forms.
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Thank you!