# Math 552: Abstract Algebra II 

Wolmer V. Vasconcelos

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## Outline

(1) Rings in L.A.
2. Assignment \#11

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## Rings in L.A.

Several modules over rings occur in Linear Algebra. We will develop the theory of finitely generated modules over certain rings and apply it to L.A.

## Example

Let $\mathbf{V}$ be a finite dimensional vector space over the field $k$, and let

$$
\varphi: \mathbf{V} \longrightarrow \mathbf{V}
$$

be a linear transformation. Define a $k[\mathbf{x}]$-module structure $\mathbf{M}$ by declaring

$$
x \cdot v=\varphi(v), \quad \forall v \in \mathbf{V} .
$$

More generally, for a polynomial $\mathbf{f}(\mathbf{x})$, define

$$
\mathbf{f}(\mathbf{x}) \boldsymbol{v}=\mathbf{f}(\varphi)(v) .
$$

We denote this module by $\mathbf{V}_{\varphi}$. If $\phi$ is another linear transformation of $\mathbf{V}$, similarly we get a module $\mathbf{V}_{\phi}$.

Although $\mathbf{V}_{\varphi}$ and $\mathbf{V}_{\phi}$ are the same vector space, as $k[\mathbf{x}]$-modules they may not be isomorphic.

## Proposition

Let $\mathbf{A}$ and $\mathbf{B}$ be $n \times n$ matrices over $k$ and denote by $\mathbf{V}_{\mathbf{A}}$ and $\mathbf{V}_{\mathbf{B}}$ the corresponding $k[\mathbf{x}]$-modules defined on $\mathbf{V}=k^{n}$. Then $\mathbf{V}_{\mathbf{A}}$ and $\mathbf{V}_{\mathbf{B}}$ are isomorphic $k[\mathbf{x}]$-modules iff $\mathbf{A}$ and $\mathbf{B}$ are similar, that is if there is an invertible matrix $\mathbf{S}$ such that $\mathbf{A}=\mathbf{S}^{-1} \mathbf{B S}$.

Proof. If $\mathbf{S}: \mathbf{V}_{\mathbf{A}} \simeq \mathbf{V}_{\mathbf{B}}$ is an isomorphism of $k[\mathbf{x}]$-modules, it must hold:
(1) $\mathbf{S}: \mathbf{V}_{\mathbf{A}} \longrightarrow \mathbf{V}_{\mathbf{B}}$ is an isomorphism of vector spaces, that is $\mathbf{S}$ is invertible, and
(2) $\mathbf{S}(\mathbf{x} \cdot v)=\mathbf{x} \cdot(\mathbf{S}(v))$, that is $\mathbf{S}(\mathbf{A}(v))=\mathbf{B}(\mathbf{S}(v))$, that is

$$
\mathbf{S A}=\mathbf{B S}, \quad \text { or } \quad \mathbf{A}=\mathbf{S}^{-1} \mathbf{B S}
$$

For the converse, read the equations backwards.

We will use this setup to solve
(1) Given $\mathbf{A}$ and $\mathbf{B}$ as above, decide whether $\mathbf{A} \sim \mathbf{B}$.
(2) Describe the vector space

$$
\left\{\mathbf{B} \in \mathbf{M}_{n}(k): \mathbf{A B}=\mathbf{B A}\right\}
$$

(3) Many other questions are answered.

## Modules over PIDs

Let $R$ be a PID and $M$ a finitely generated $R$-module, $M=\left(u_{1}, \ldots, u_{n}\right)$, i.e. every $u \in M$ can be written

$$
u=r_{1} u_{1}+\cdots+r_{n} u_{n}, \quad r_{i} \in R
$$

Examples are free $R$-modules, $M=R^{n}$, or

$$
M=R /\left(d_{1}\right) \oplus \cdots \oplus R /\left(d_{n}\right)
$$

## Free Presentation

## Definition

A free presentation of $M$ is a surjective $R$-module homomorphism

$$
\varphi: R^{n}=R e_{1} \oplus \cdots \oplus R e_{n} \rightarrow M, \quad \varphi\left(e_{i}\right)=u_{i}
$$

The kernel of $\varphi$ is the submodule

$$
L=\left\{\left(a_{1}, \ldots, a_{n}\right) \in R^{n}: \sum a_{i} u_{i}=0\right\}
$$

$L$ is finitely generated (being a submodule of the Noetherian module $R^{n}$ ), and $R^{n} / L \simeq M$.
$L$ is called the module of relations of the $a_{i}$, or a module of syzygies of $M$.
$L$ has a set of generators

$$
\begin{aligned}
v_{1} & =\left(a_{11}, \ldots, a_{1 n}\right) \\
& \vdots \\
v_{m} & =\left(a_{m 1}, \ldots, a_{m n}\right)
\end{aligned}
$$

which can be conveniently coded by the matrix

$$
\mathbf{A}=\left[\begin{array}{ccc}
a_{11} & \cdots & a_{1 n} \\
\vdots & \ddots & \vdots \\
a_{m 1} & \cdots & a_{m n}
\end{array}\right]
$$

A is associated to the basis $\left\{e_{1}, \ldots, e_{n}\right\}$ of $R^{n}$ and the generators $\left\{v_{1}, \ldots, v_{m}\right\}$ of $L$. We are going to change the two sets to make the quotient module $R^{n} / M$ nice.
Consider elementary row operations on $\mathbf{A}$, with the exception of dividing a row or column by a non-unit of $R$.

- For example, adding $c$ times the first row to the second, has the effect of replacing the generator $v_{2} \rightarrow v_{2}+c v_{1}$, which does not change $L$. Similar effects for the other row operators.
- The interpretations of the column operations is the usual. For example, adding $d$ times column $1, c_{1}$, to column $c_{2} \rightarrow c_{2}+d c_{1}$, gives the representations of the vectors $v_{i}$ in terms of the basis $\left\{e_{1}^{\prime}=e_{1}-d e_{2}, e_{2}, e_{3}, \ldots, e_{n}\right\}$.


## Key Observation

## Proposition

Let $R$ be an Euclidean domain. Given a matrix A with entries in $R$, there exists a sequence of elementary row and column operations such that

$$
\mathbf{A} \rightsquigarrow\left[\begin{array}{ccccc}
d_{1} & 0 & 0 & \cdots & 0 \\
0 & d_{2} & 0 & \cdots & 0 \\
0 & 0 & d_{3} & \cdots & 0 \\
\cdots & \cdots & \cdots & \cdots & \cdots
\end{array}\right]
$$

where $d_{1}\left|d_{2}\right| d_{3} \mid \cdots$. Furthermore, the ideals $\left(d_{i}\right)$ are unique.

## Remark

The same assertion holds for general PID's with one extra operation allowed (details soon).

## Example

$$
\begin{aligned}
& {\left[\begin{array}{lll}
2 & 4 & 6 \\
5 & 3 & 0
\end{array}\right] \longrightarrow\left[\begin{array}{rrr}
2 & 0 & 0 \\
5 & -7 & -15
\end{array}\right] \longrightarrow\left[\begin{array}{rrr}
2 & 0 & 0 \\
1 & -7 & -15
\end{array}\right]} \\
& {\left[\begin{array}{rrr}
1 & -7 & -15 \\
0 & 14 & 30
\end{array}\right] \longrightarrow\left[\begin{array}{rrr}
1 & 0 & 0 \\
0 & 14 & 30
\end{array}\right] \longrightarrow\left[\begin{array}{lll}
1 & 0 & 0 \\
0 & 2 & 0
\end{array}\right]}
\end{aligned}
$$

## Proof

(1) We induct on the size of the matrix $\mathbf{A}$.
(2) The proof of termination comes from the fact that the division algorithm of $R$ can place the gcd $d_{1}$ of all the entries of $\mathbf{A}$ in the position $(1,1)$.
(3) Now row and column operations are performed so that combined with those in step (1) give

$$
\mathbf{A} \rightsquigarrow \mathbf{A}^{\prime}=\left[\begin{array}{cccc}
d_{1} & 0 & \cdots & 0 \\
0 & & & \\
\vdots & & \mathbf{B} & \\
0 & & &
\end{array}\right]
$$

(c) This also shows that $d_{1}\left|d_{2}\right| d_{3} \mid \cdots$.

## Uniqueness

The uniqueness of the $\left(d_{i}\right)$ comes from an additional observation.
(1) The uniqueness of $\left(d_{1}\right)$ comes directly from the construction.
(2) To prove that of $\left(d_{2}\right)$, we prove that $\left(d_{1} d_{2}\right)$ is unique. This follows from the fact that just as every elementary operation leaves unchanged the gcd of the entries of the matrix, it also leaves unchanged the gcd of all $2 \times 2$ minors of $\mathbf{A}$ (or, more generally, of all $r \times r$ minors).

## Structure Theorem for Modules over PID

Given a module $M=R^{n} / L$, there is a basis $e_{1}, e_{2}, \ldots, e_{n}$ of $R^{n}$, and a set of generators of $L$,

$$
d_{1} e_{1}, d_{2} e_{2}, \ldots, d_{n} e_{n} .
$$

This implies
$M \simeq\left(R e_{1} / d_{1} R e_{1}\right) \oplus \cdots \oplus\left(R e_{n} / d_{n} R e_{n}\right) \simeq R /\left(d_{1}\right) \oplus \cdots \oplus R /\left(d_{n}\right)$.

Some of the $d_{i}=1$, and $R /\left(d_{i}\right)=0$, or $d_{i}=0$, and $R /\left(d_{i}\right) \simeq R$.

## Theorem

Every finitely generated module M over a PID R is isomorphic to

$$
R /\left(d_{1}\right) \oplus \cdots \oplus R /\left(d_{n}\right)
$$

where $d_{1}\left|d_{2}\right| d_{3} \mid \cdots$. The ideals $\left(d_{i}\right)$ are uniquely determined, in particular the number $r$ of $d_{i}=0$, is uniquely determined (called torsionfree rank of $M$ ),

$$
M \simeq R^{r} \oplus T
$$

where $T$ has a nonzero annihilator. The ideals $\left(d_{i}\right)$ are called the rational invariants of $M$.

There is just one point to add: For a PID, the $\operatorname{gcd}(a, b)$ is the generator of the ideal $(a, b)$, that is

$$
d=r a+s b, \quad(r, s)=(1) .
$$

This means that there exists $\alpha, \beta$ such that $r \alpha+s \beta=1$. Thus, if we have a matrix of relations $\mathbf{A}$ : if we have two rows $v_{1}, v_{2}$, an equivalent set of relations with $v_{1}^{\prime}, v_{2}^{\prime}$ replacing $v_{1}, v_{2}$ is

$$
\begin{aligned}
& v_{1}^{\prime}=r v_{1}+s v_{2} \\
& v_{2}^{\prime}=\alpha v_{1}-\beta v_{2}
\end{aligned}
$$

The first coordinate of $v_{1}^{\prime}$ is the gcd of the first coordinates of $v_{1}$ and $v_{2}$.
Such operations on columns give rise to basis changes in $R^{n}$.

## The return of $\mathbf{V}_{\varphi}$

Let us go back to a linear transformation

$$
\varphi: \mathbf{V}=k^{n} \longrightarrow k^{n}
$$

and determine the structure of $\mathbf{V}_{\varphi}$.
Pick a $k$-basis $u_{1}, \ldots, u_{n}$ for $\mathbf{V}$, so that $\varphi=\left[c_{i j}\right]$. Let us determine a free presentation for $\mathbf{V}_{\varphi}$

$$
0 \longrightarrow L \longrightarrow R e_{1} \oplus \cdots \oplus R e_{n} \longrightarrow \mathbf{V}_{\varphi} \rightarrow 0, \quad e_{i} \rightarrow u_{i}
$$

## The Syzygies of $\mathbf{V}_{\varphi}$

Let us determine the module $L$. If

$$
\begin{gathered}
v=\left(\mathbf{f}_{1}(\mathbf{x}), \ldots, \mathbf{f}_{n}(\mathbf{x})\right), \\
\sum_{i=1}^{n} \mathbf{f}_{i}(\varphi)\left(u_{i}\right)=0
\end{gathered}
$$

For instance, from

$$
\varphi\left(u_{i}\right)=\mathbf{x} u_{i}=\sum c_{i j} u_{j},
$$

we have that the rows of the matrix lie in $L$

$$
\left[c_{i j}\right]-\mathbf{x} \mathbf{I}=\left[\begin{array}{cccc}
c_{11}-\mathbf{x} & c_{12} & \cdots & c_{1 n} \\
c_{21} & c_{22}-\mathbf{x} & \cdots & c_{2 n} \\
\vdots & \vdots & \vdots & \vdots \\
c_{n 1} & c_{n 2} & \cdots & c_{n n}-\mathbf{x}
\end{array}\right]
$$

## Proposition

$L$ is generated by the rows of $\varphi-\mathbf{x l}$.
Proof. Let $v=\left(\mathbf{f}_{1}(\mathbf{x}), \ldots, \mathbf{f}_{n}(\mathbf{x})\right) \in L$. We argue that $v$ is a linear combination (with coefficients in $R$ ) of the rows of $\varphi-\mathbf{x l}$.

- If all the $\mathbf{f}_{i}(\mathbf{x})$ constants, $\sum_{i} \mathbf{f}_{i} u_{i}=0$ means that $\mathbf{f}_{i}=0$, since the $u_{i}$ are $k$-linearly independent.
- We induct on $\sup \left\{\operatorname{deg}\left(\mathbf{f}_{i}\right)\right\}$ and on the number of components of this degree. Say $\operatorname{deg}\left(\mathbf{f}_{1}\right)=\sup \left\{\operatorname{deg}\left(\mathbf{f}_{i}\right)\right\}$. Divide $\mathbf{f}_{1}$ by $c_{11}-\mathbf{x}, \mathbf{f}_{1}=\mathbf{q}\left(c_{11}-\mathbf{x}\right)+r$,

$$
\left(\mathbf{f}_{1}, \ldots, \mathbf{f}_{n}\right)-\mathbf{q}\left(c_{11}-\mathbf{x}, \ldots, c_{1 n}\right)=\left(\mathbf{g}_{1}, \ldots, \mathbf{g}_{n}\right)=u
$$

Note that $u$ has fewer terms, if any, of degree $\geq \operatorname{deg}\left(\mathbf{f}_{1}\right)$.

## Structure of $\mathbf{V}_{\varphi}$

It comes out of the algorithm

$$
\varphi-\mathbf{x} \mathbf{I} \longrightarrow\left[\begin{array}{llll}
d_{1}(\mathbf{x}) & & & \\
& d_{2}(\mathbf{x}) & & \\
& & \ddots & \\
& & & d_{n}(\mathbf{x})
\end{array}\right]
$$

## Corollary

If $d_{i}(\mathbf{x}), 1 \leq i \leq n$, are the rational invariants of $\mathbf{V}_{\varphi}$
(1) $\operatorname{det}(\varphi-\mathbf{x I})=($ unit $) d_{1}(\mathbf{x}) \cdots d_{n}(\mathbf{x})$.
(2) [Cayley-Hamilton Theorem].
(3) $d_{n}(\mathbf{x})$ is the minimal polynomial of $\varphi$.

## Example

$$
\begin{gathered}
\mathbf{V}=k^{2}, \quad 1 / 2 \in k, \quad \varphi=\left[\begin{array}{ll}
1 & 2 \\
3 & 4
\end{array}\right] \\
{\left[\begin{array}{ll}
1-x & 2 \\
3 & \\
4-x
\end{array}\right] \rightarrow\left[\begin{array}{ll}
(1-x) / 2 & 1 \\
3 & 4-x
\end{array}\right] \rightarrow\left[\begin{array}{ll}
1 & (1-x) / 2 \\
4-x & 3
\end{array}\right]} \\
{\left[\begin{array}{ll}
1 & 0 \\
0 & 3-(4-x)(1-x) / 2
\end{array}\right] \rightarrow\left[\begin{array}{ll}
1 & 0 \\
0 & x^{2}-5 x-2
\end{array}\right]} \\
\mathbf{V}_{\varphi}=k[\mathbf{x}] /\left(\mathbf{x}^{2}-5 \mathbf{x}-2\right) .
\end{gathered}
$$

## Scholium

Every square matrix A with entries in a field is similar to its transpose.

Proof. The rational invariants of $\mathbf{V}_{\mathbf{A}}$ are determined from the gcd's of the minors of $\mathbf{A}-\mathbf{x l}$. But these are the same as the minors of

$$
\mathbf{A}^{t}-\mathbf{x} \mathbf{I}=(\mathbf{A}-\mathbf{x} \mathbf{I})^{t}
$$

## Commuting Matrices

Let $\varphi$ be a linear trasformation of $\mathbf{V}=k^{n}$. Consider the set of linear transformations of $\mathbf{V}$ that commute with $\varphi$,

$$
\mathbf{C}(\varphi)=\left\{\phi \in \mathbf{M}_{n}(k): \phi \varphi=\varphi \phi\right\} .
$$

We already interpreted such $\phi$ as a $k[\mathbf{x}]$-module homomorphism of $\mathbf{V}_{\varphi}$, that is, as an element of

$$
\mathbf{C}(\varphi)=\operatorname{Hom}_{k[\mathbf{x}]}\left(\mathbf{V}_{\varphi}, \mathbf{V}_{\varphi}\right) .
$$

We use the structure of $\mathbf{V}_{\varphi}$ to determine this module.

## Lemma

If $M=M_{1} \oplus M_{2} \oplus \cdots \oplus M_{n}$, then

$$
\operatorname{Hom}_{R}(M, M)=\bigoplus_{1 \leq i, j \leq n} \operatorname{Hom}_{R}\left(M_{i}, M_{j}\right)
$$

## Theorem

For $r=k[\mathbf{x}]$, if $M=\mathbf{V}_{\varphi}=R /\left(d_{1}(\mathbf{x})\right) \oplus \cdots \oplus R /\left(d_{n}(\mathbf{x})\right)$, then

$$
\mathbf{C}(\varphi)=\bigoplus_{1 \leq i, j \leq n} \operatorname{Hom}_{R}\left(R /\left(d_{i}(\mathbf{x})\right), R /\left(d_{j}(\mathbf{x})\right)\right)
$$

The terms $\operatorname{Hom}_{R}\left(R /\left(d_{i}(\mathbf{x})\right), R /\left(d_{j}(\mathbf{x})\right)\right)$ are easy to determine since one of the $d(\mathbf{x})$ divides the other.

## Let us consider some special cases.

- Suppose the minimal polynomial of $\varphi$ is equal to its characteristic polynomial. Such matrices are called derogatory. This means that $d_{1}=\cdots=d_{n-1}=1$, and $\mathbf{V}_{\varphi}=k[\mathbf{x}] /\left(d_{n}(\mathbf{x})\right)$. It follows that

$$
\mathbf{C}(\varphi)=k[\mathbf{x}] /\left(d_{n}(\mathbf{x})\right),
$$

which says that every endomorphism is a polynomial in $\varphi$, $\phi=\mathbf{g}(\varphi)$.

- Suppose that there are two summands, $M=R /\left(d_{n-1}\right) \oplus R /\left(d_{n}\right)$. We have $d_{n-1} \mid d_{n-1}$ to make calculation easy. The summands in $\operatorname{Hom}_{R}(M, M)$ are

$$
\begin{aligned}
\operatorname{Hom}_{R}\left(R /\left(d_{n-1}\right), R /\left(d_{n-1}\right)\right) & =R /\left(d_{n-1}\right) \\
\operatorname{Hom}_{R}\left(R /\left(d_{n}\right), R /\left(d_{n}\right)\right) & =R /\left(d_{n}\right) \\
\operatorname{Hom}_{R}\left(R /\left(d_{n-1}\right), R /\left(d_{n}\right)\right) & =R /\left(d_{n-1}\right) \\
\operatorname{Hom}_{R}\left(R /\left(d_{n}\right), R /\left(d_{n-1}\right)\right) & =R /\left(d_{n-1}\right)
\end{aligned}
$$

## Refinements

There are ways to enhance these decompositions that are useful, leading to primary decompositions in the case of modules over PID, or in the case of $\mathbf{V}_{\varphi}$ to Jordan decompositions.

They start out by applying the CRT (Chinese Remainder Theorem) (one in a class of results called partition of the unity) to the ring $R /(d)$, where $R$ is a PID and $d$ has a primary decomposition

$$
d=p_{1}^{e_{1}} \cdots p_{n}^{e_{n}} .
$$

## Historical Example

Consider $360=2^{3} \cdot 3^{2} \cdot 5$.

$$
\begin{gathered}
\operatorname{gcd}(72,45,40)=1, \quad \text { thus } \\
\exists a, b, c \in \mathbb{Z}, \quad 1=72 a+45 b+40 c
\end{gathered}
$$

that is, we can find the fraction $1 / 360$ as the combination

$$
\frac{1}{360}=a \frac{1}{5}+b \frac{1}{8}+c \frac{1}{9}
$$

## Primary Decomposition

## Proposition

If $R$ is a PID and

$$
d=p_{1}^{e_{1}} \cdots p_{n}^{e_{n}}
$$

then

$$
R /(d)=R /\left(p_{1}^{e_{1}}\right) \oplus \cdots \oplus R /\left(p_{n}^{e_{n}}\right)
$$

Proof. Consider the elements $c_{i}=d / p_{i}^{e_{i}}$. Since $\operatorname{gcd}\left(c_{1}, \ldots, c_{n}\right)=1$, there are elements $a_{i} \in R$ such that

$$
1=\sum_{i=1}^{n} a_{i} c_{i}
$$

Now define the homomorphism of $R$ (check this is well defined!)

$$
\mathbf{h}: R /(d) \longrightarrow R /\left(p_{1}^{e_{1}}\right) \oplus \cdots \oplus R /\left(p_{n}^{e_{n}}\right),
$$

for $u \in R /(d)$

$$
\mathbf{h}(u)=\left(a_{1} u, \ldots, a_{n} u\right)
$$

Exercise: Prove that $\mathbf{h}$ is one-one \& onto.

## Uniqueness-I

## Theorem

Let $R$ is a PID and A a finitely generated torsion module. If

$$
A=\mathbf{W}_{1} \oplus \cdots \oplus \mathbf{W}_{m}
$$

is a primary decomposition the $\mathbf{W}_{i}$ are uniquely determined by A.

Proof. If $\mathbf{W}$ is one of the $\mathbf{W}_{i}$ then $\mathbf{W}$ is a direct sum of submodules isomorphic to $R /\left(p^{r}\right)$ for a unique prime $p$. This shows that $\mathbf{W}$ is annihilated by some $p^{s}$ ( $s$ the largest of the exponents $r$ :

$$
\mathbf{W}=\left\{x \in A: p^{r} x=0, \quad \text { some } r\right\}
$$

## Uniqueness-II

## Theorem

Let $R$ be a PID and W a finitely generated primary $R$-module. Given a decomposition

$$
\mathbf{W} \simeq R /\left(p^{e_{1}}\right) \oplus \cdots \oplus R /\left(p^{e_{m}}\right)
$$

where the exponents as listed as $e_{1} \geq e_{2} \geq \cdots \geq e_{m}$, the sequence $\left(e_{1}, e_{2}, \ldots, e_{m}\right)$ is uniquely determined by $\mathbf{W}$.

Proof. Consists of the following observations:

- $p \mathbf{W}$ is a submodule of $\mathbf{W}$ and $\mathbf{W} / p \mathbf{W}$ is isomorphic to

$$
\mathbf{W} / p \mathbf{W} \simeq \oplus R /\left(p^{e_{i}}\right) / p R /\left(p^{e_{i}}\right)
$$

- Each module $\left.R /\left(p^{e}\right) / p R /\left(p^{e}\right)\right)$ is isomorphic to $R /(p)$. Thus $\mathbf{W} / p \mathbf{W}$ is a vector space of dimension $m$ over $R /(p)$.
- $e_{m}$ is the smallest exponent such that $p^{e_{m}} \mathbf{W}=0$
- Note $p R /\left(p^{e}\right) \simeq R /\left(p^{e-1}\right)$
- Consider the module $p \mathbf{W}$. Its primary decomposition is

$$
p \mathbf{W} \simeq R /\left(p^{e_{1}-1}\right) \oplus \cdots \oplus R /\left(p^{e_{m}-1}\right)
$$

## Primary decomposition of $\mathbf{V}_{\varphi}$

In the cyclic decomposition

$$
\mathbf{V}_{\varphi}=R /\left(d_{1}\right) \oplus \cdots \oplus R /\left(d_{n}\right)
$$

we are going to replace each $R /\left(d_{i}\right)$ by its primary decomposition. Suppose $p_{1}, \ldots, p_{m}$ are the primes that occur. This leads to the primary decomposition of $\mathbf{V}_{\varphi}$

$$
\mathbf{V}_{\varphi}=\mathbf{W}_{1} \oplus \cdots \oplus \mathbf{W}_{m}
$$

where $\mathbf{W}_{i}$ is a direct sum of modules $R /\left(p_{i}^{a_{j j}}\right)$ for the same $p_{i}$.

## Setting up matrix representation

Since $\varphi$ acts as a homomorphism on $\mathbf{V}_{\varphi}$, and the $\mathbf{W}_{i}$ are submodules

$$
\varphi: \mathbf{W}_{i} \rightarrow \mathbf{W}_{i}
$$

this has the following consequence:

## Block Decomposition

The decomposition of $\mathbf{V}_{\varphi}$ into a direct sum of modules $\mathbf{W}_{1} \oplus \cdots \oplus \mathbf{W}_{m}$ leads to a block decomposition for any matrix representation of $\varphi$ :

$$
[\varphi]=\left[\begin{array}{rrr}
{[\varphi]_{1}} & \cdots & 0 \\
\vdots & \ddots & \vdots \\
0 & \cdots & {[\varphi]_{m}}
\end{array}\right]
$$

We are going to pick appropriate $k$-vector spaces in the submodules.

## Jordan Block

Suppose the submodule $\mathbf{W}$ of $\mathbf{V}_{\varphi}$ is $k[\mathbf{x}] /(x-\lambda)^{r}$. This means that $\lambda$ is an eigenvalue of $\varphi$. Let us look at one such $r \times r$ block

$$
[\varphi] \mathbf{w}=\mathbf{A}=\left[v_{1}|\cdots| v_{r}\right]=\left[\begin{array}{cccccc}
\lambda & 1 & 0 & \cdots & 0 & 0 \\
0 & \lambda & 1 & \cdots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \cdots & \lambda & 1 \\
0 & 0 & 0 & \cdots & 0 & \lambda
\end{array}\right]
$$

$\underbrace{\mathbf{A}\left(u_{1}\right)=\lambda u_{1}}_{\text {eigenvector }}$,
$\mathbf{A}\left(u_{2}\right)=u_{1}+\lambda u_{2}, \cdots, \mathbf{A}\left(u_{r}\right)=u_{r-1}+\lambda u_{r}$

## Jordan Basis

The $k$-vector space $k[\mathbf{x}] /(\mathbf{x}-\lambda)^{r}$ has many interesting bases, for instance the residue classes of $\left\{1, \mathbf{x}, \ldots, \mathbf{x}^{r-1}\right\}$. Jordan's claim to glory comes from picking

$$
\left\{v_{1}=1, v_{2}=(\mathbf{x}-\lambda), \ldots, v_{r}=(\mathbf{x}-\lambda)^{r-1}\right\}
$$

$$
\begin{aligned}
\mathbf{x}\left(v_{i}\right) & =\mathbf{x}(\mathbf{x}-\lambda)^{i-1}, \quad i<r-1 \\
& =(\mathbf{x}-\lambda)^{i}+\lambda(\mathbf{x}-\lambda)^{i-1} \\
& =\lambda v_{i}+v_{i+1} \\
\mathbf{x}\left(v_{r}\right) & =\lambda v_{r}
\end{aligned}
$$

Now reverse the notation: $u_{i}=v_{r+1-i}$.

We collect all the blocks (from the $\mathbf{W}_{i}$ ) for the same eigenvalue

## Jordan Decomposition Theorem

## Theorem

Any linear operator $\mathbf{T}$ whose characteristic polynomial $p(x)= \pm \prod_{i=1}^{m}\left(x-\lambda_{i}\right)^{n_{i}}$ splits has a unique matrix representation into blocks

$$
[\mathbf{T}]_{\mathcal{B}}=\left[\begin{array}{ccc}
\mathbf{A}_{1} & \cdots & O \\
\vdots & \ddots & \vdots \\
O & \cdots & \mathbf{A}_{m}
\end{array}\right]
$$

where each $\mathbf{A}_{i}$ has a representation by Jordan $\lambda_{i}$-blocks whose number and sizes are uniquely defined

$$
\left[\begin{array}{cccc}
\lambda_{i} & 1 & \cdots & 0 \\
0 & \lambda_{i} & \cdots & 0 \\
\vdots & \vdots & \ddots & \vdots \\
0 & 0 & \cdots & \lambda_{i}
\end{array}\right] .
$$

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## Assignment \#11

Do any 2 problems:

- For the rational tridiagonal matrix [if too laborious, do $6 \times 6$ ]

$$
\varphi=\left[\begin{array}{llllllll}
1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\
1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\
0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\
0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 1 & 1 & 1 & 0 \\
0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\
0 & 0 & 0 & 0 & 0 & 0 & 1 & 1
\end{array}\right]
$$

find: (a) its rational invariants, including its minimal polynomial; (b) the dimension of the subspace of $8 \times 8$ matrices commuting with it.

- Let $\varphi$ and $\psi$ be $n \times n$ matrices with entries in a field $\mathbf{K}$. If there is an invertible matrix $S$ over an extension field $\mathbf{F}$ such that

$$
\psi=S \cdot \varphi \cdot S^{-1},
$$

[that is, $\varphi$ and $\psi$ are similar over $\mathbf{F}$ ] show that $\varphi$ and $\psi$ are similar over K.

- Describe a Jordan's canonical form theorem over the real numbers. [Only looks vague!]
- If the integer $n$ has a prime factorization

$$
n=p_{1}^{r_{1}} \cdots p_{m}^{r_{m}}
$$

find a 'formula' for the number of isoclasses of abelian groups of order $n$.

## Infinitely generated modules

Let us begin with $\mathbb{Q}$ viewed as a $\mathbb{Z}$-module.

- First we find a convenient set of generators of $\mathbb{Q}$ : For $n \in \mathbb{N}$, consider the subgroup of $\mathbb{Q}$ given by $\mathbb{Z} \frac{1}{n!}$. Then

$$
\mathbb{Q}=\bigcup_{\rightarrow} \mathbb{Z} \frac{1}{n!}
$$

- Now let $F$ be a free abelian group with a basis $\left\{e_{n}\right\}$. Map this element to $\frac{1}{n!}$. Let $L$ be the subgroup of $F$ generated by the syzygies $n e_{n}-e_{n-1}, n \geq 2$.
- $L$ is a free abelian group and $F / L \simeq \mathbb{Q}$.


## Theorem

## Let $R$ be a PID. Then any submodule of a free module is free.

Proof. Let $F$ be a free module with basis $\left\{e_{i}, i \in I\right\}$, and suppose the index set $l$ is well-ordered. For each $i \in I$ set

$$
F_{i}=\bigoplus_{j<i} R e_{j}
$$

with $F_{0}=0$ and $F_{i+1}=\bigoplus_{j \leq i} R e_{j}$.
For a submodule $M$ of $F$ each $x \in M \cap F_{i+1}$ has a unique expression $x=y+r e_{i}$, where $y \in F_{i}$ and $r \in R$. If $\phi_{i}: M \cap F_{i+1} \rightarrow R$ is defined by $\phi_{i}(x)=r$, there is a SES

$$
0 \rightarrow M \cap F_{i} \longrightarrow M \cap F_{i+1} \longrightarrow I_{i} \rightarrow 0
$$

where $I_{i}=$ image $\phi_{i}$. Since $I_{i}$ is projective, the sequence splits: $M \cap F_{i+1}=\left(M \cap F_{i}\right) \oplus C_{i}, C_{i} \simeq I_{i}$. We claim $M=\bigoplus_{i} C_{i}$.

## Proof cont'd

Claim: $M=\left(\bigcup C_{i}\right)$ : Since $F=\bigcup F_{i}$, each $x \in M$ lies in some $F_{i+1}$. Let $\nu(x)$ be the smallest $i$ such that $x \in F_{i+1}$.
Clearly $C=\left(\bigcup C_{i}\right) \subset M$. If $C \neq M$, consider the set

$$
\{\nu(x): x \in M, x \notin C\} \subset I
$$

Let $j$ be the least such index and choose $y \in M$ with $y \in M \backslash C$ and $\nu(y)=j$. This last implies $y \in M \cap F_{j+1}$, so $y=b+c$, $b \in M \cap F_{j}$ and $c \in C_{j}$. Therefore $b=y-c \in M, b \notin C$ (unless $y \in C)$, and $\nu(b)<j$, a contradiction. Hence $M=C$.

## Proof concl'd

To prove $M=\bigoplus C_{i}$, suppose $c_{1}+\cdots+c_{n}=0, c_{i} \in C_{k_{i}}$, $k_{1}<\cdots<k_{n}$. Then

$$
c_{1}+\cdots+c_{n-1}=c_{n} \in\left(M \cap F_{k_{n}}\right) \cap C_{k_{n}}=0
$$

It follows that $c_{n}=0$. Induction gives $c_{i}$ for all $i$.

## Outline

(1) Rings in L.A.
(2) Assignment \#11
(3) Hilbert NullstellensatzNoether Normalization
(5) Assignment \#12
6. Invertible IdealsDedekind DomainsHomework
. Ascignment \#13
(10) Commutative Artinian RingsAssignment \#14

## Class discussion

Let $\mathbf{f}(\mathbf{x})=\mathbf{f}\left(x_{1}, \ldots, x_{n}\right)$ be a nonconstant polynomial of $R=\mathbb{C}[\mathbf{x}]=\mathbb{C}\left[x_{1}, \ldots, x_{n}\right], n>1$.

Fact: There is $\mathbf{c} \in \mathbb{C}^{n}$ such that $\mathbf{f}(\mathbf{c})=0$.
Task: Volunteer to the plate!

The answer is easy when

$$
\mathbf{f}\left(x_{1}, \ldots, x_{n}\right)=x_{n}^{d}+\mathbf{g}\left(x_{1}, \ldots, x_{n}\right)
$$

where $\mathbf{g}(\mathbf{x})$ is a polynomial of degree $<d$ in the variable $x_{n}$. So what is the solution for the general case? One seeks a change of variables (possibly linear)

$$
\begin{aligned}
\mathbf{x} & \rightarrow \mathbf{y}, \quad[\mathbf{x}]=[\mathbf{y}] \mathbf{A} \\
\mathbf{f}(\mathbf{x}) & =\mathbf{f}(\mathbf{y} \mathbf{A})=\mathbf{g}(\mathbf{y})
\end{aligned}
$$

so that $\mathbf{g}(\mathbf{y})$ has the appropriate form.

More generally, let $\mathbf{f}_{1}(\mathbf{x}), \ldots, \mathbf{f}\left(\mathbf{x}_{m}\right)$ be a set of elements of $R=\mathbb{C}[\mathbf{x}]$.

Question: What are the obstructions to finding $\mathbf{c} \in \mathbb{C}^{n}$ such that

$$
\mathbf{f}_{1}(\mathbf{c})=\mathbf{f}_{2}(\mathbf{c})=\cdots=\mathbf{f}_{m}(\mathbf{c})=0 ?
$$

Obviously one is: there exist $\mathbf{g}_{1}(\mathbf{x}), \ldots, \mathbf{g}_{m}(\mathbf{x})$ such that

$$
\mathbf{g}_{1}(\mathbf{x}) \mathbf{f}_{1}(\mathbf{x})+\cdots+\mathbf{g}_{m}(\mathbf{x}) \mathbf{f}_{m}(\mathbf{x})=1
$$

What else?

## Hilbert Nullstellensatz

Let $k$ be a field and denote by $\bar{k}$ its algebraic closure. The Hilbert Nullstellensatz is about qualitative results about systems of polynomial equations.
Let $\mathbf{f}_{i}\left(x_{1}, \ldots, x_{n}\right) \in R=k\left[x_{1}, \ldots, x_{n}\right], 1 \leq i \leq m$, be a set of polynomials.

## Definition

The algebraic variety defined by the $\mathbf{f}_{i}$ is the set

$$
V\left(\mathbf{f}_{1}, \ldots, \mathbf{f}_{m}\right)=\left\{\mathbf{c}=\left(c_{1}, \ldots, c_{n}\right) \in \bar{k}^{n}: \mathbf{f}_{i}(\mathbf{c})=0, \quad 1 \leq i \leq m .\right\}
$$

A hypersurface is a variety defined by a single equation $V(\mathbf{f})$.

## Remark

If I is the ideal generated by the $\mathbf{f}_{i}$, then $V(I)=V\left(\mathbf{f}_{1}, \ldots, \mathbf{f}_{m}\right)$.

## Hilbert Nullstellensatz

## Theorem

If the ideal $I \subset R=k\left[x_{1}, \ldots, x_{n}\right]$ is proper, i.e. $I \neq R$, then $V(I) \neq \emptyset$.

Proof. We make two reductions.
(1) Let $\mathfrak{m}$ be a maximal ideal of $R$ containing $I$. Since $V(\mathfrak{m}) \subset V(I)$, ETA that $I$ is maximal.
(2) The ring of polynomials $S=\bar{k}\left[x_{1}, \ldots, x_{n}\right]$ is integral over $R=k\left[x_{1}, \ldots, x_{n}\right]$. By Lying-over, there is a maximal ideal $M$ of $S$ such that $M \cap R=\mathfrak{m}$. Since $V(M) \subset V(\mathfrak{m})$, ETA that $l$ is a maximal ideal and $k$ is algebraically closed.

## Nullstellensatz

After these reductions the assertion is:

## Theorem

If $k$ is an algebraically closed field and $M$ is a maximal ideal of $R=k\left[x_{1}, \ldots, x_{n}\right]$, then there is

$$
\mathbf{c}=\left(c_{1}, \ldots, c_{n}\right) \in k^{n}
$$

such that

$$
\mathbf{f}(\mathbf{c})=0 \quad \forall \mathbf{f}(\mathbf{x}) \in M .
$$

## Special case: $\mathbb{C}$

Consider the field $\mathbf{F}=\mathbb{C}\left[x_{1}, \ldots, x_{n}\right] / M$.

## Proposition

## It is ETS that $\mathbf{F}$ is isomorphic to $\mathbb{C}$.

Proof. Indeed, if $\mathbf{F} \simeq \mathbb{C}$, for each indeterminate $x_{i}$ its equivalence class in $k\left[x_{1}, \ldots, x_{n}\right] / M$ contains some element $c_{i}$ of $\mathbb{C}$, that is $x_{i}-c_{i} \in M$. this means that

$$
\left(x_{1}-c_{1}, \ldots, x_{n}-c_{n}\right) \subset M .
$$

But $\left(x_{1}-c_{1}, \ldots, x_{n}-c_{n}\right)$ is also a maximal ideal, therefore it is equal to $M$. Clearly every polynomial of $M$ vanishes at $\mathbf{c}=\left(c_{1}, \ldots, c_{n}\right)$.

## Proof of $\mathbb{C}=\mathbb{C}\left[x_{1}, \ldots, x_{n}\right] / M$

(1) ETS that the extension $\mathbb{C} \rightarrow \mathbf{F}=\mathbb{C}\left[x_{1}, \ldots, x_{n}\right] / M$ is algebraic.
(2) Observe that $[\mathbf{F}: \mathbb{C}]$ is countable, $\mathbf{F}$ being a homomorphic image of the countably generated vector space $\mathbb{C}\left[x_{1}, \ldots, x_{n}\right]$.
(3) If $\mathbf{F}$ is not algebraic over $\mathbb{C}$, suppose $t \in \mathbf{F}$ is transcendental over $\mathbb{C}$.
(9) Consider the uncountable set $\{1 /(t-c), c \in \mathbb{C}\}$.

Since they cannot be linearly independent, there are distinct $c_{i}$, $1 \leq i \leq m$ and nonzero $r_{i} \in \mathbb{C}$ such that

$$
r_{1} \frac{1}{t-c_{1}}+\cdots+r_{m} \frac{1}{t-c_{m}}=0 .
$$

Clearing denominators gives the equality of two polynomials of $\mathbb{C}[t]$ :

$$
r_{1}\left(t-c_{2}\right)\left(t-c_{3}\right) \cdots\left(t-c_{m}\right)=\left(t-c_{1}\right) \mathbf{g}(t),
$$

which is a contradiction as the $c_{i}$ are distinct.

## Outline



Rings in L.A.
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## NNL: Noether Normalization Lemma

## Definition

A finitely generated algebra $R$ over a field $k$ is a homomorphic image of a ring of polynomials over $k$,

$$
k\left[x_{1}, \ldots, x_{n}\right] / I \simeq R=k\left[a_{1}, \ldots, a_{n}\right] .
$$

## Theorem (NNL)

If $R$ is finitely generated over $k$, there is a subalgebra

$$
S=k\left[y_{1}, \ldots, y_{r}\right] \hookrightarrow R
$$

such that the $y_{i}$ are algebraically independent and $R$ is integral over $S$. $S$ is called a Noether Normalization of $R$.

## From NN to Nullstellensatz

(1) Let $M$ be a maximal ideal of $k\left[x_{1}, \ldots, x_{n}\right], k=\bar{k}$. We will show that $M=\left(x_{1}-c_{1}, \ldots, x_{n}-c_{n}\right), c_{i} \in k$.
(2) Using the NNL, let
$S=k\left[y_{1}, \ldots, y_{r}\right] \hookrightarrow R=k\left[x_{1}, \ldots, x_{n}\right] / M$ be a Noether normalization. Since $R$ is a field, $S$ is also a field, thus $r=0$.
(3) This gives that $S=k \rightarrow R$ is a finite extension, so $k=R$.

## Another version of the Nullstellensatz

## Theorem

Let I be an ideal of $R=k\left[x_{1}, \ldots, x_{n}\right]$ and $\mathbf{f} \in R$ a polynomial. Then

$$
V(I) \subset V(\mathbf{f}) \Leftrightarrow \mathbf{f} \in \sqrt{I}
$$

that is, there is a power $\mathbf{f}^{r} \in I$.
Proof. In one direction it is clear.
Suppose $V(I) \subset V(\mathbf{f})$. Consider the ideal $L$ in the polynomial ring with one extra variable

$$
L=(I, 1-t \mathbf{f}) \subset k\left[x_{1}, \ldots, x_{n}, t\right] .
$$

Since each zero of $I$ is a zero of $\mathbf{f}, L=(I, 1-t \mathbf{f})$ has no zeros. Thus by the Nullstellensatz $L=(1)$. This means that there is an equation

$$
\sum \mathbf{g}_{i} \mathbf{f}_{i}+(1-t \mathbf{f}) \mathbf{g}=1, \quad \mathbf{f}_{i} \in I, \mathbf{g}_{i}, \mathbf{g} \in R[t] .
$$

Replacing $t \rightarrow \mathbf{1} / \mathbf{f}$ and clearing denominators gives an equation

$$
\mathbf{f}^{r}=\sum \mathbf{h}_{i} \mathbf{f}_{i}, \quad \mathbf{h}_{i} \in R
$$

## Example

Let

$$
R=k[x, y] /\left(y^{2}-2 x y+x^{3}\right)
$$

Set $y_{1}=\bar{x}$ and

$$
S=k\left[y_{1}\right] \subset R
$$

Note that $\bar{y}$ is integral over $S$, so $R$ is integral over $S$. Finally,

$$
S \simeq k[x] /\left(k[x] \cap\left(y^{2}-2 x y+x^{3}\right)\right)=k[x]
$$

## Example

(1) If $R=k[x, y] /(x y+x+y)$, need a preparation: change variables $x \rightarrow x_{1}, y \rightarrow x_{1}+y_{1}$, so

$$
x y+x+y \rightarrow x_{1}\left(x_{1}+y_{1}\right)+x_{1}+x_{1}+y_{1}=x_{1}^{2}+x_{1} y_{1}+2 x_{1}+y_{1}
$$

(2) Get the NN by choosing

$$
S=k\left[y_{1}\right] \hookrightarrow R=k[x, y] /(x y+x+y) .
$$

## Proof of NN

Let $R$ be a commutative ring and $B$ a finitely generated $R$-algebra, $B=R\left[x_{1}, \ldots, x_{d}\right]$. The expression Noether normalization usually refers to the search-as effectively as possible-of more amenable finitely generated $R$-subalgebras $A \subset B$ over which $B$ is finite. This allows for looking at $B$ as a finitely generated $A$-module and therefore applying to it methods from homological algebra or even from linear algebra.

When $R$ is a field, two such results are: (i) the classical Noether normalization lemma, that asserts when it is possible to choose $A$ to be a ring of polynomials, or (ii) how to choose $A$ to be a hypersurface ring over which $B$ is birational. We review these results since their constructive steps are very useful in our discussion of the integral closure of affine rings.

## Affine Rings

Let $B=k\left[x_{1}, \ldots, x_{n}\right]$ be a finitely generated algebra over a field $k$ and assume that the $x_{i}$ are algebraically dependent. Our goal is to find a new set of generators $y_{1}, \ldots, y_{n}$ for $B$ such that

$$
k\left[y_{2}, \ldots, y_{n}\right] \hookrightarrow B=k\left[y_{1}, \ldots, y_{n}\right]
$$

is an integral extension.
Let $k\left[X_{1}, \ldots, X_{n}\right]$ be the ring of polynomials over $k$ in $n$ variables; to say that the $x_{i}$ are algebraically dependent means that the map

$$
\pi: k\left[X_{1}, \ldots, X_{n}\right] \rightarrow B, \quad X_{i} \mapsto X_{i}
$$

has non-trivial kernel, call it $l$.

Assume that $f$ is a nonzero polynomial in $I$,

$$
f\left(X_{1}, \ldots, X_{n}\right)=\sum_{\alpha} a_{\alpha} X_{1}^{\alpha_{1}} X_{2}^{\alpha_{2}} \ldots X_{n}^{\alpha_{n}}
$$

where $0 \neq a_{\alpha} \in k$ and all the multi-indices $\alpha=\left(\alpha_{1}, \ldots, \alpha_{n}\right)$ are distinct. Our goal will be fulfilled if we can change the $X_{i}$ into a new set of variables, the $Y_{i}$, such that $f$ can be written as a monic (up to a scalar multiple) polynomial in $Y_{1}$ and with coefficients in the remaining variables, i.e.

$$
\begin{equation*}
f=a Y_{1}^{m}+b_{m-1} Y_{1}^{m-1}+\cdots+b_{1} Y_{1}+b_{0} \tag{1}
\end{equation*}
$$

where $0 \neq a \in k$ and $b_{i} \in k\left[Y_{2}, \ldots, Y_{n}\right]$.

We are going to consider two changes of variables that work for our purposes: the first one, a clever idea of Nagata, does not assume anything about $k$; the second one assumes $k$ to be infinite and has certain efficiencies attached to it.

The first change of variables replaces the $X_{i}$ by $Y_{i}$ given by

$$
Y_{1}=X_{1}, \quad Y_{i}=X_{i}-X_{1}{ }^{p^{i-1}} \text { for } i \geq 2
$$

where $p$ is some integer yet to be chosen.
If we rewrite $f$ using the $Y_{i}$ instead of the $X_{i}$, it becomes

$$
\begin{equation*}
f=\sum_{\alpha} a_{\alpha} Y_{1}^{\alpha_{1}}\left(Y_{2}+Y_{1}^{p}\right)^{\alpha_{2}} \cdots\left(Y_{n}+Y_{1}^{p^{n-1}}\right)^{\alpha_{n}} \tag{2}
\end{equation*}
$$

Expanding each term of this sum, there will be only one term pure in $Y_{1}$, namely

$$
a_{\alpha} Y_{1}^{\alpha_{1}+\alpha_{2} p+\cdots+\alpha_{n} p^{n-1}}
$$

Furthermore, from each term in (2) we are going to get one and only one such power of $Y_{1}$. Such monomials have higher degree in $Y_{1}$ than any other monomial in which $Y_{1}$ occurs. If we choose $p>\sup \left\{\alpha_{i} \mid a_{\alpha} \neq 0\right\}$, then the exponents $\alpha_{1}+\alpha_{2} p+\cdots+\alpha_{n} p^{n-1}$ are distinct since they have different $p$-adic expansions. This provides for the required equation.

If $k$ is an infinite field, we consider another change of variables that preserves degrees. It will have the form

$$
Y_{1}=X_{1}, Y_{i}=X_{i}-c_{i} X_{1} \text { for } i \geq 2
$$

where the $c_{i}$ are to be properly chosen. Using this change of variables in the polynomial $f$, we obtain

$$
\begin{equation*}
f=\sum_{\alpha} a_{\alpha} Y_{1}^{\alpha_{1}}\left(Y_{2}+c_{2} Y_{1}\right)^{\alpha_{2}} \cdots\left(Y_{n}+c_{n} Y_{1}\right)^{\alpha_{n}} \tag{3}
\end{equation*}
$$

We want to make choices of the $c_{i}$ in such a way that when we expand (3) we achieve the same goal as before, i.e. a form like that in (1). For that, it is enough to work on the homogeneous component $f_{d}$ of $f$ of highest degree, in other words, we can deal with $f_{d}$ alone. But

$$
f_{d}\left(Y_{1}, \ldots, Y_{n}\right)=h_{0}\left(1, c_{2}, \ldots, c_{n}\right) Y_{1}^{d}+h_{1} Y_{1}^{d-1}+\cdots+h_{d}
$$

where $h_{i}$ are homogeneous polynomials in $k\left[Y_{2}, \ldots, Y_{n}\right]$, with $\operatorname{deg} h_{i}=i$, and we can view $h_{0}\left(1, c_{2}, \ldots, c_{n}\right)$ as a nontrivial polynomial function in the $c_{i}$. Since $k$ is infinite, we can choose the $c_{i}$, so that $0 \neq h_{0}\left(1, c_{2}, \ldots, c_{n}\right) \in k$.

## Theorem (Noether Normalization)

Let $k$ be a field and $B=k\left[x_{1}, \ldots, x_{n}\right]$ a finitely generated $k$-algebra; then there exist algebraically independent elements $z_{1}, \ldots, z_{d}$ of $B$ such that $B$ is integral over the polynomial ring $A=k\left[z_{1}, \ldots, z_{d}\right]$.

Proof. We may assume that the $x_{i}$ are algebraically dependent. From the preceding, we can find $y_{1}, \ldots, y_{n}$ in $B$ such that

$$
k\left[y_{2}, \ldots, y_{n}\right] \hookrightarrow k\left[y_{1}, \ldots, y_{n}\right]=B
$$

is an integral extension, and if necessary we iterate.

## Corollary

Let $k$ be a field and $\psi: A \mapsto B$ a k-homomorphism of finitely generated $k$-algebras. If $\mathfrak{P}$ is a maximal ideal of $B$ then $\mathfrak{p}=\psi^{-1}(\mathfrak{P})$ is a maximal ideal of $A$.

Proof. Consider the embedding

$$
A / \mathfrak{p} \hookrightarrow B / \mathfrak{P}
$$

of $k$-algebras, where by the preceding $B / \mathfrak{P}$ is a finite dimensional $k$-algebra. It follows that the integral domain $A / \mathfrak{p}$ is also a finite dimensional $k$-vector space and therefore must be a field.

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## Assignment \#12

Do Problem \#2 only
(1) Describe [with proofs] the prime spectrum of $k[x, y], k$ a field.
(2) If $M$ is a maximal ideal of $R=\mathbb{R}[x, y]$, prove that $\operatorname{dim}_{\mathbb{R}} R / M$ is 1 or 2 .

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## Invertible Ideals

Let $R$ be an integral domain of field of fractions $\mathbf{K}$. The ideals of $R$ are part of an important class of $R$-submodules of $\mathbf{K}$ :

## Definition

A submodule $L$ of $K$ is fractionary if there is $0 \neq d \in R$ such that $d L \subset R$.
(1) This means that $L=d^{-1} Q$, where $Q$ is an ideal of $R$.
(2) K is not fractionary, unless $R=\mathbf{K}$.

The sum and the product of fractionary ideals is fractionary. Another operation is

## Definition

The quotient of two fractionary ideals is

$$
L_{1}: L_{2}=\left\{x \in \mathbf{K}: x L_{2} \subset L_{1}\right\} .
$$

In particular

$$
R: L=\{x \in \mathbf{K}: x L \subset R\} .
$$

$L_{1}$ is said to be invertible if there is a fractionary ideal $L_{2}$ such that $L_{1} \cdot L_{2}=R$.

## Invertible Ideals

## Proposition

If $L$ is an invertible ideal of $R$, then $L$ is a finitely generated $R$-module.

## Proof.

The equality $L \cdot L^{\prime}=R$ means that there are $x_{i} \in L, y_{i} \in L^{\prime}$, $1 \leq i \leq n$, such that

$$
1=x_{1} y_{1}+\cdots+x_{n} y_{n}
$$

Thus for any $x \in L$,

$$
x=\left(x y_{1}\right) x_{1}+\cdots+\left(x y_{n}\right) x_{n}
$$

which shows that $L_{1}=\left(x_{1}, \ldots, x_{n}\right)$ since all $x y_{i} \in R$.

## Example

Let $R=\mathbb{Z}[\sqrt{-5}], I=(3,2+\sqrt{-5})$. We claim that $I$ is an invertible ideal. We will also see that $l$ is not a principal ideal.

- $9=3 \cdot 3=(2+\sqrt{-5})(2-\sqrt{-5})$
- Set $J=\left(1, \frac{3}{2+\sqrt{-5}}\right)$
- $l \cdot J=(2+\sqrt{-5}, 3,2-\sqrt{-5})=(1)=R$


## Local Rings

## Proposition

If $R$ is a local ring, then every invertible fractionary ideal is principal.

## Proof.

Denote by $\mathfrak{m}$ the unique maximal ideal of $R$. If $L$ is invertible, $L \cdot L^{\prime}=R$, in the equation

$$
1=x_{1} y_{1}+\cdots+x_{n} y_{n},
$$

some product, say $x_{1} y_{1} \notin \mathfrak{m}$. This means that it is an invertible element of $R$. Thus, for any $x \in L$,

$$
x=\left(x_{1} y_{1}\right)^{-1}\left(y_{1} x\right) x_{1}
$$

that is $L=R x_{1}$.

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## Dedekind Domains

These are important rings. The interest springs from their sources:

- Number Theory: Rings of algebraic numbers: If $L$ is a finite extension of $\mathbb{Q}, R$ is the ring of elements of $L$ integral over $\mathbb{Z}$.
- Algebraic Geometry: (Case of plane curve)
$R=k[x, y] /(f(x, y))$, or its integral closure.


## Dedekind Domains

The formal definition is:

## Definition

The integral domain $\mathfrak{D}$ is a Dedekind domain if every ideal is invertible.

- $\mathfrak{D}$ is a nice notation for D.D.'s, but we shall use plain R...
- The inverse of a fractionary ideal $L$ is denoted $L^{-1}$ (it is unique).
- Of course every fractionary ideal will be invertible as well.
- If $R$ is a Dedekind domain, it is Noetherian.
- Besides PID's, what are they like?


## Properties of D.D.'s

## Theorem

If $R$ is a Dedekind domain then every nonzero prime ideal is maximal.

## Proof.

We will argue by contradiction. Let $P \subsetneq Q$ be distinct prime ideals. We are going to form the ring of fractions $S=R_{Q}$ (Recall ...). $S$ is a local ring and $P_{Q}$ and $Q_{Q}$ are distinct prime ideals. They are both invertible. Thus

$$
P_{Q}=S a \subsetneq S b=Q_{Q}
$$

with $a=c b$, and therefore $c \in P_{Q}$ since $b \notin P_{Q}$. Thus

$$
c=r a=b^{-1} a
$$

## Factorization

## Theorem

Let $R$ be a Dedekind domain. Then any nonzero ideal I has a unique factorization

$$
I=P_{1}^{e_{1}} \cdots P_{n}^{e_{n}},
$$

where the $P_{i}$ are distinct prime idealas.
Proof. Since $R$ is Noetherian, I has a primary decomposition

$$
I=Q_{1} \cap \cdots \cap Q_{n}
$$

where the $P_{i}=\sqrt{Q_{i}}$ are distinct maximal ideals.
We want to argue that the intersection is actually a product.

## Definition

Two ideals $J$ and $L$ are co-maximal if $J+L=R$.

## Lemma

If $J$ and $L$ are co-maximal ideals, then $J L=J \cap L$.

## Proof.

It is clear that $J L \subset J \cap L$. For the converse, let $x \in J \cap L$. Since $J+L=R$, there are $a \in J$ and $b \in L$ such that

$$
\begin{aligned}
& 1=a+b, \quad \text { hence } \\
& x=x a+x b, \quad \text { with } \quad x a, x b \in J \cap L
\end{aligned}
$$

Now we apply this to $I=Q_{1} \cap L, L=Q_{2} \cap \cdots \cap Q_{n}$. To see that $Q_{1}$ and $L$ are co-maximal, deny. Then $Q_{1}+L \subseteq M$ for some maximal ideal $M$. This ideal would contain $\sqrt{Q_{1}}$ and $Q_{2} \cdots Q_{n}$. Thus $M$ would contain two other maximal ideals, a contradiction.

## Primary ideals

## Proposition

Let $R$ be a Dedekind domain. If $Q$ is a P-primary ideal, then $Q=P^{e}$, for some $e \geq 1$.

## Proof.

Since the radical of $Q$ is $P$, some power of $P$ is contained in $Q$, say $P^{e} \subseteq Q$, with $e$ as small as possible. If the containement is proper, we have

$$
P^{e} \cdot Q^{-1} \subsetneq Q \cdot Q^{-1}=R
$$

Therefore we must have

$$
\begin{aligned}
P^{e} \cdot Q^{-1} & \subseteq P \text { and therefore } \\
P^{e-1} & \subseteq Q \text { which is a contradiction. }
\end{aligned}
$$

## Corollary

If $R$ is a Dedekind domain, the nonzero fractionary ideals form a multiplicative group $\mathbf{G}$, with the nonzero principal fractionary forming a subgroup $\mathbf{P}$. The quotient $\mathbf{G} / \mathbf{P}$ is called the class group $\mathbf{C}(R)$ of $R . R$ is a PID if and only if $\mathbf{C}(R)$ is trivial.

## Remarks

(1) Recall that if $R \subset S$ are rings, an element $u \in S$ is integral over $R$ if it satisfies a monic equation with coefficients in $R$, $u^{n}+r_{1} u^{n-1}+\cdots+r_{n}=0, r_{i} \in R$.
(2) If every element of $S$ that is integral over $R$ already lies in $R, R$ is said to be integrally closed in $S$.
(3) If $R$ is a domain of field of fractions $\mathbf{K}$ and $\mathbf{L}$ is a finite extension of $\mathbf{K}$, for any $u \in \mathbf{L}$ there is an equation $u^{n}+r_{1} u^{n-1}+\cdots+r_{n}=0, r_{i} \in \mathbf{K}$. Let $0 \neq d \in R$ such that $d r_{i} \in R$ ( $d$ is a common denominator of the $r_{i}$.) Then $d^{n} u^{n}+d r_{1} d^{n-1} u^{n-1}+\cdots+d^{n} r_{n}=0, r_{i} \in \mathbf{K}$, showing that $d u$ is integral over $R$.

## Characterization of D.D.'s

## Theorem

Let $R$ be an integral domain of field of fractions $\mathbf{K}$. The following are equivalent:
(1) $R$ is a Dedekind domain.
(2) $R$ is a Noetherian ring in which every nonzero prime ideal is maximal and $R$ is integrally closed in $\mathbf{K}$.
(3) $R$ is Noetherian and for each prime ideal $P$ the localization $R_{P}$ is a PID.

We will check the equivalences:

$$
(1) \Leftrightarrow(2) \Leftrightarrow(3)
$$

## Some remarks on localization

- If $R$ is an integral domain then

$$
R=\bigcap_{P} R_{P}, \quad \text { all maximal ideals } P
$$

Indeed, if $x$ is contained in each $R_{P}$,

$$
x=a / b, \quad b \notin P
$$

the set (an ideal) of all elements $d$ (denominators) such that $d x \in R$ is not contained in any maximal ideal of $R$, so must be $R$.

- If each $R_{P}$ is integrally closed, then their intersection will also be such: If $z \in \mathbf{K}$ is integral over $R$, it is also integral over the larger $R_{P}$. Thus $z \in R_{P}$.


## Characterization of a PID with a unique maximal ideal

## Proposition

Let $R$ be a Noetherian domain with a unique nonzero prime ideal $\mathfrak{m}$. $R$ is a PID if and only if $R$ is integrally closed.

Proof. ETS that if $R$ is integrally closed then $\mathfrak{m}$ is invertible.

- Let $0 \neq x \in \mathfrak{m}$. Then the radical $\sqrt{(x)}$ of $(x)$ is $\mathfrak{m}$.
- Let $n$ be the smallest integer such that $\mathfrak{m}^{n} \subset(x)$. Consider the product

$$
(1 / x) \mathfrak{m}^{n-1} \mathfrak{m} \subset R
$$

- If $(1 / x) \mathfrak{m}^{n-1} \mathfrak{m}=R, \mathfrak{m}$ is invertible.
- If not, $(1 / x) \mathfrak{m}^{n-1} \mathfrak{m} \subset \mathfrak{m}$.
- Recall the Cayley-Hamilton for modules: If $E$ is a faithful, finitely generated $R$-module and $z$ is an element of a larger ring such that $z \cdot M \subset M$, then $z$ is integral over $R$.
- This implies that $(1 / x) \mathfrak{m}^{n-1}$ is integral over $R$, therefore is contained in $R$, since it is integrally closed, that is $\mathfrak{m}^{n-1} \subset(x)$, which contradicts the choice of $n$.


## Taylor expansion

It is useful to keep in mind the formula for the Taylor expansion of a polynomial $\mathbf{f}(x, y)$ around the point $(a, b)$ Use the notation

$$
b_{m n}=\frac{\partial^{m+n_{\mathbf{f}}}}{\partial^{m} x \partial^{n} y}(a, b)
$$

$$
\begin{aligned}
\mathbf{f}(x, y) & =\mathbf{f}(a, b)+b_{10}(x-a)+b_{01}(y-b) \\
& +1 / 2\left(b_{20}(x-a)^{2}+2 b_{11}(x-a)(y-b)+b_{02}(y-b)^{2}\right) \\
& + \text { higher powers }
\end{aligned}
$$

## Elliptic curve

Let us first consider the following example,

$$
R=\mathbf{C}[x, y] /(\mathbf{f}(x, y)), \quad \mathbf{f}(x, y)=y^{2}-x(x-1)(x-2) .
$$

By the Nullstellensatz its maximal ideals are of the form $M=(x-\alpha, y-\beta)$, where $\beta^{2}-\alpha(\alpha-1)(\alpha-2)=0$. We claim that $R_{M}$ is a PID. Write the polynomial $\mathbf{f}(x, y)$ as a combination of $x-\alpha$ and $y-\beta$

$$
\begin{aligned}
\mathbf{f}(x, y) & =A(x, y)(x-\alpha)+B(x, y)(y-\beta) \\
\frac{\partial \mathbf{f}}{\partial x}(\alpha, \beta) & =A(\alpha, \beta) \\
\frac{\partial \mathbf{f}}{\partial y}(\alpha, \beta) & =B(\alpha, \beta)
\end{aligned}
$$

## Elliptic curve cont’d

If one of the partial derivatives is not zero at $(\alpha, \beta)$, in the ring $R$ $\overline{A(x, y)}$ or $\overline{B(x, y)}$ are not in $M$, therefore one or the other is a unit in $R_{M}$ so that the maximal ideal $M R_{M}$ is generated by $\overline{y-\beta}$ or $\overline{x-\alpha}$ :

$$
\overline{f(x, y)}=0=\overline{A(x, y)(x-\alpha)}+\overline{B(x, y)(y-\beta)}
$$

It is easy to check that the conditions always holds since the partial derivatives are $2 y$ and
$(x-1)(x-x)+x(x-2)+x(x-1)$.

## Volunteer please

Need someone to sketch the graph of the curve

$$
y^{2}=x(x-1)(x-2)
$$

## Geometric DD's

Let $\mathbf{f}(x, y) \in R=\mathbb{C}[x, y]$ be an irreducible polynomial. The algebraic variety

$$
V(\mathbf{f})=\{(a, b) \in \mathbb{C}: \mathbf{f}(a, b)=0\}
$$

is called a (plane) curve.

- We know that every maximal ideal of $\mathbb{C}[x, y]$ is of the form $M=(x-a, y-b)$, for $a, b \in \mathbb{C}$
- Thus if $\mathbf{f} \in M$ is a combination of the polynomials, $x-a$ and $y-b, \mathbf{f}=\mathbf{g}(x-a)+\mathbf{h}(y-b)$, so $\mathbf{f}(a, b)=0$
- Conversely, if $\mathbf{f}(a, b)=0$, writing the Taylor expansion of $\mathbf{f}(x, y)$ at $a, b)$ we get

$$
\mathbf{f}(x, y)=\sum_{m+n \geq 0} a_{m n}(x-a)^{m}(y-b)^{n}, \quad a_{m n} \in \mathbb{C}
$$

showing $\mathbf{f} \in(x-a, y-b)$.

- So points in $\mathbf{f}=0$ and maximal ideals of $R /(\mathbf{f})$ correspond.

Let us determine when $R /(\mathbf{f})$ is a Dedekind domain. For that we define the ideal (Jacobian)

$$
J(\mathbf{f})=\left(\mathbf{f}, \frac{\partial \mathbf{f}}{\partial x}, \frac{\partial \mathbf{f}}{\partial y}\right)
$$

## Theorem

$R /(\mathbf{f})$ is a Dedekind domain iff $J(\mathbf{f})=(1)$.
Note what this means, if $(a, b)$ is a point of the curve, $\mathbf{f}(a, b)=0$, that is $\mathbf{f} \in M=(x-a, y-b)$, but because the ideal $J(\mathbf{f})=(1)$, either $\frac{\partial f}{\partial x}(a, b) \neq 0$ or $\frac{\partial f}{\partial y}(a, b) \neq 0$. This means $\mathbf{f}(x, y)=0$ has a tangent at $(a, b)$.

## Proof

- We are going to prove that for every maximal ideal $M$ of $R=\mathbb{C}[x, y] /(\mathbf{f}), R_{M}$ is a PID. For that, by a previous result, it will be enough to prove that the maximal ideal $M R_{M}$ is principal.
- Since $M$ is generated by the cosets of $x-a$ and $y-b$ for $(a, b)$ such that $f(a, b)=0$, it will be enough to show that $x-a$ is a multiple of $y-b$ in $R_{M}$, or vice-versa.
- We are going to make use of the fact that one of the partial derivatives $\frac{\partial f}{\partial x}(a, b)$ or $\frac{\partial f}{\partial y}(a, b)$ is nonzero.


## Proof cont'd

- Suppose $\frac{\partial f}{\partial x}(a, b) \neq 0$. Let us write the Taylor expansion of $\mathbf{f}(x, y)$ at $(a, b)$ (using that $\mathbf{f}(a, b)=0$.
- We collect first the terms in which $x-a$ appears alone

$$
(x-a)[\underbrace{\frac{\partial \mathbf{f}}{\partial x}(a, b)+1 / 2 a_{2,0}(x-a)+\text { higher powers of }(x-a)}]
$$

$+(y-b)[$ polynomial expression in $x-a$ and $y-b]$

- Since this is the coset of $\mathbf{f}(x, y)$, it is zero.
- Note that the coefficient of $x-a$

$$
\frac{\partial \mathbf{f}}{\partial x}(a, b)+1 / 2 a_{2,0}(x-a)+\text { higher powers of }(x-a)
$$

is a sum of an invertible element (the derivative) plus an element of $M R_{M}$, so it is an invertible element of $R_{M}$.

- This shows that $x-a$ is a multiple of $y-b$, and therefore $M R_{M}$ is a principal ideal.


## Creation of new D.D.'s

## Theorem

Let $R$ be a Dedekind domain of field of fractions $\mathbf{K}$ and let $\mathbf{L}$ a finite extension of $\mathbf{K}$. The integral closure $\mathbf{A}$ of $R$ in $\mathbf{L}$ is a Dedekind domain.

The main burden is to show that $\mathbf{A}$ is a Noetherian ring. We will give a proof in case $\mathbf{L}$ is a separable extension, when one has that $\mathbf{A}$ is a finitely generated $R$-module. To get that we replace $\mathbf{L}$ by $\mathbf{M}$ its split closure over $\mathbf{K}$, and show that the integral closure $\mathbf{B}$ of $R$ in $\mathbf{M}$ is a finitely generated $R$-module. Note that $\mathbf{A}$ is an $R$-submodule of $\mathbf{B}$.

## Noetherianess of the integral closure

## Theorem

Let $R$ be an integrally closed Noetherian domain of field of fractions $\mathbf{K}$ and let $\mathbf{L}$ a finite Galois extension of $\mathbf{K}$. The integral closure $\mathbf{A}$ of $R$ in $\mathbf{L}$ is a Noetherian domain.

## Proof

- Let $\mathbf{G}$ be the Galois group of $\mathbf{L}$ over $\mathbf{K}$. The trace is the function $u \in \mathbf{L} \rightarrow \mathbf{T}(u)=\sum_{\sigma \in \mathbf{G}} \sigma(u)$. Since the extension is Galois and $\mathbf{T}(u)$ is fixed by $\mathbf{G}, \mathbf{T}(u) \in \mathbf{K}$.
- If $u$ is integral over $R$, there is an equation $u^{m}+c_{1} u^{m-1}+\cdots+c_{m}=0$, with $c_{i} \in R$. Thus for any $\sigma \in \mathbf{G}, \sigma(u)$ is also integral over $R$ and therefore $\mathbf{T}(u)$ is in $\mathbf{K}$ and integral over $R$, thus $\mathbf{T}(u) \in R$ since $R$ is integrally closed.
- Define the quadratic form $\mathbf{S}(u, v)=\mathbf{T}(u v)$ on $\mathbf{L}$. $\mathbf{S}$ is nondegenerate: If $u \neq 0$ we cannot have $\mathbf{T}(u v)=0$ for all $v$, by the linear independence of automorphisms.


## Proof cont'd

- Let $x_{1}, \ldots, x_{n}$ be a basis of $\mathbf{L}$ over $\mathbf{K}$. By multiplying the $x_{i}$ by nonzero elements of $R$ we may assume that $x_{i} \in \mathbf{A}$.
- Let $y_{1}, \ldots, y_{n}$ be a basis of $\mathbf{L}$ dual to the $x_{i}$, that is $\mathbf{T}\left(x_{i} y_{j}\right)=\delta_{i j}$.
- For $u \in \mathbf{A}$, write $u=r_{1} y_{1}+\cdots+r_{n} y_{n}$. Then $\mathbf{T}\left(u x_{i}\right)=r_{i} \mathbf{T}\left(x_{i} y_{i}\right)=r_{i}$. Since $\mathbf{T}\left(u x_{i}\right) \in R$, this shows that $\mathbf{A}$ is contained in the finitely generated $R$-module $R y_{1}+\cdots+R y_{n}$, and thus $\mathbf{A}$ is Noetherian as an $R$-module and hence a Noetherian ring as well.


## Examples

- The most famous example obtained in this fashion is $\mathbb{Z}[i]$ : Gaussian integers. It is the integral closure of $\mathbb{Z}$ in $\mathbf{Q}(i)$.
- The more general quadratic extension $\mathbf{Q}(\sqrt{m}), m$ a squarefree integer is easy to examine. $z=a+b \sqrt{m}$, $a, b \in \mathbf{Q}$, is integral over $\mathbb{Z}$ iff $2 a$ and $a^{2}-b^{2} m$ are integers. Thus $a$ is an integer (and $b$ is integer) or $a$ is $1 / 2$ integer and $b$ also a $1 / 2$ integer, depending on the residue class of $m \bmod 4$.
- If $m=3, \mathbf{A}=\mathbb{Z}[\sqrt{3}]$; if $m=5, \mathbf{A}=\mathbb{Z}[1 / 2+1 / 2 \sqrt{5}]$; if $m=-5, \mathbf{A}=\mathbb{Z}[\sqrt{-5}]$.


## Infinitely generated modules

## Theorem

Let $R$ be a DD. Then any submodule of a free module is a direct sum of ideals.

Done already. Recall the idea:
Proof. Let $F$ be a free module with basis $\left\{e_{i}, i \in I\right\}$, and suppose the index set $l$ is well-ordered. For each $i \in I$ set

$$
F_{i}=\bigoplus_{j<i} R e_{j},
$$

with $F_{0}=0$ and $F_{i+1}=\bigoplus_{j \leq i} R e_{j}$.

For a submodule $M$ of $F$ each $x \in M \cap F_{i+1}$ has a unique expression $x=y+r e_{i}$, where $y \in F_{i}$ and $r \in R$. If $\phi_{i}: M \cap F_{i+1} \rightarrow R$ is defined by $\phi_{i}(x)=r$, there is a SES

$$
0 \rightarrow M \cap F_{i} \longrightarrow M \cap F_{i+1} \longrightarrow I_{i} \rightarrow 0
$$

where $I_{i}=$ image $\phi_{i}$.

To make the point clear, suppose

$$
F=R e_{1} \oplus \cdots \oplus R e_{n-1} \oplus R e_{n}=F^{\prime} \oplus R e_{n}
$$

gives $0 \rightarrow M \cap F^{\prime} \longrightarrow M \longrightarrow I_{n} e_{n} \rightarrow 0$, and therefore
$M \simeq I_{n} e_{n} \oplus M \cap F^{\prime}$. Now use induction.
Same in general case: Since $I_{i}$ is projective (as $R$ is a D.D.), the sequence splits: $M \cap F_{i+1}=\left(M \cap F_{i}\right) \oplus C_{i}, C_{i} \simeq I_{i}$. We claim $M=\bigoplus_{i} C_{i}$. Same proof from now on

## Torsion and Torsionfree Modules

- Let $R$ be an integral domain and $M$ an $R$-module. The torsion submodule of $M$ is the set

$$
T(M)=\{x \in M: r x=0, \quad 0 \neq r \in R\}
$$

- $T(M)$ is a submodule of $M$. If $T(M)=M, M$ is said to be a torsion module. If $T(M)=0, M$ is called torsionfree.
- $T(M / T(M))=0$, that is $M / T(M)$ is torsionfree.
- A set $\left\{x_{1}, \ldots, x_{n}\right\} \subset M$ is linearly independent if $\sum_{i} r_{i} x_{i}=0, r_{i} \in R$, implies $r_{i}=0$.
- The largest cardinality of the sets of linearly independent elements of $M$ is the torsionfree rank of $M$.
- A nonzero ideal $I$ of $R$ has torsionfree rank 1: If $0 \neq x, y \in I, x y-y x=0$ is a relation.


## Proposition

If $M$ is a finitely generated torsionfree module of rank $n$, then there is an embedding

$$
M \hookrightarrow R^{n} .
$$

## Proof.

Let $M=\left(y_{1}, \ldots, y_{m}\right)$ and let $\left\{x_{1}, \ldots, x_{n}\right\}$ be a linearly independent set of elements of $M$.

For each $y_{j}$, we have a relation

$$
c_{j} y_{j}+\sum_{i} a_{i j} x_{i}=0, \quad c_{j} \neq 0
$$

Let $c=\prod_{j} c_{j}$ and consider the elements $z_{i}=\frac{x_{i}}{c}$ of the module of fractions $c^{-1} M$. The $z_{i}$ are linearly independent over $R$ and each generator of $M$ is contained in the free module

## Structure of finitely generated modules

## Theorem

Let $R$ be a Dedekind domain and $M$ a finitely generated $R$-module. Then

$$
M \simeq T \oplus P
$$

where $T$ is the torsion submodule of $M$ and $P=M / T$ is a projective $R$-module. Moreover:
(1) $P \simeq \underbrace{R \oplus \cdots \oplus R}_{\text {free }} \oplus I$, where $I$ is a unique ideal up to isomorphism.
(2) $T \simeq R / I_{1} \oplus \cdots \oplus R / I_{m}, I_{1} \subseteq \ldots \subseteq I_{m}$, where the $I_{i}$ are uniquely defined.

## Proof

- In the exact sequence $0 \rightarrow T \longrightarrow M \longrightarrow M / T \rightarrow 0$, $P=M / T$ is torsionfree, so embeds into a finitely generated free $R$-module (why?).
- $P$ is projective, so the sequence splits: $M \simeq T \oplus P$.
- $P$ we know is isomorphic to a direct of ideals. One improves this to a direct sum of a free and one ideal. This ideal is unique up to isomorphism. We will describe it later: it is called the determinant of the module $M$.
- $T$ is actually a module over a PID $S$ derived from $R$.


## Outline

(1) Rings in L.A.
(2) Assignment \#11
(3) Hilbert Nullstellensatz
(4) Noether Normalization
(5) Assignment \#12
6. Invertible Ideals
(7) Dedekind Domains

8 Homework
(9) Assignment \#13
(10) Commutative Artinian Rings
(11) Assignment \#14

## Homework

Assume $R$ is a D.D.
(1) Prove that for any two nonzero ideals $/$ and $J$ of $R$, $I \oplus J \simeq R \oplus I$.
(2) Prove that any ideal $/$ of a Dedekind domain can be generated by 1.5 elements, that is $I=(a, b)$, with $a$ being any nonzero element.
(3) Prove that any submodule of $R^{n}$ is isomorphic to $R^{r} \oplus I$, for some ideal $I$.
(0) (If we recall right) Prove that if $M$ is a non-finitely generated submodule of a free module, then $M$ is free.

## Outline

(1) Rings in L.A.
(2) Assignment \#11
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(5) Assignment \#12
6. Invertible IdealsDedekind Domains
8 Homework
9 Assignment \#13
(10) Commutative Artinian Rings
(11) Assignment \#14

## Assignment \#13

Do Problem \#3 only
(1) Let $R$ be a D.D. and $P_{1}, \ldots, P_{n}$ a finite set of maximal ideals and $U$ the complement of $\bigcup_{i} P_{i}$. Note that $U$ is a multiplicative set. Prove that the ring of fractions $S=U^{-1} R$ is a D.D. with a finite number of maximal ideals.
(2) If $R$ is a D.D. and $I$ is an ideal such that $P_{1}, \ldots, P_{n}$ are the prime ideals of $V(I)$, prove that for the ring of fractions $S$ above, $R / I=S / I S$.
(3) Prove that a D.D. with finitely many primes is a PID.
(0) Prove that $\mathbb{R}[\cos t, \sin t]$ is a Dedekind domain.

## Outline

Rings in L.A.(2) Assignment \#11
(3) Hilbert Nullstellensatz
(4) Noether Normalization
(5) Assignment \#12
6. Invertible IdealsDedekind Domains
8 Homework

- Assignment \#13
(10) Commutative Artinian Rings
(11) Assignment \#14


## Commutative Artinian Rings

## Definition

The ring $R$ is Artinian if it has the descending chain condition for ideals.

Besides fields, or finite rings, the simplest [yet not so simple] examples are algebras that are finite dimensional vector spaces over a field $\mathbf{K}$.

For non-commutative rings, this chain condition can be expressed in many forms [will explain later], but in the commutative case they just turn out to be a special type of Noetherian rings.

## Elementary Properties

- Every prime ideal $P$ of a commutative Artinian ring $R$ is maximal: The quotient $R / P$ is a domain so ETS Artinian domains are fields. If $a \neq 0$, the chain (a) $\supset\left(a^{2}\right) \supset \cdots$ stabilizes at $\left(a^{n}\right)=\left(a^{n+1}\right)$, therefore $a^{n}=r a^{n+1}$ so $1=r a$, since the ring is a domain.
- $R$ has only a finite number of maximal ideals: Let $\left\{P_{2}, P_{2}, \ldots\right\}$ be distinct maximal ideals. Form the descending chain

$$
P_{1} \supset P_{1} \cdot P_{2} \supset P_{1} \cdot P_{2} \cdot P_{3} \supset \cdots
$$

that becomes stationary at

$$
P_{1} \cdot P_{2} \cdots P_{n}=P_{1} \cdot P_{2} \cdots P_{n} \cdot P_{n+1}
$$

Therefore $P_{n+1}$ contains $P_{1} \cdot P_{2} \ldots P_{n}$, and thus $P_{n+1}=P_{i}$, $i \leq n$.

## Jacobson Radical

## Theorem

Let $J$ be the intersection of all the maximal ideals of $R$. Then $J^{n}=0$ for some integer $n$.

## Proof.

Consider the descending chain $J \supset J^{2} \supset \cdots$ that stabilizes at $J^{n}=J^{n+1}$. We claim that $J^{n}=0$.

We argue by contradiction. Consider the set of nonzero ideals $L$ such that $J^{n} L \neq 0$. Note that by assumption $J$ is one such ideal. Choose a minimum ideal $L$ with this property. Now, let $x \in L$ such that $J^{n} x \neq 0$. This shows $L=R x$ by the minimality hypothesis and $x=a x, a \in J^{n}$. This implies $(1-a) x=0$ and therefore $x=0$ since $1-a$ is invertible, a contradiction.

## Partition of the Unity

If $R$ is a commutative ring, a partition of the unity is an special decomposition of the form

$$
R=J_{1}+\cdots+J_{n}, \quad J_{i} \text { ideals of } R
$$

Suppose $I_{1}, \ldots, I_{n}$ is a set of a ideals that is pairwise co-maximal, meaning $l_{i}+l_{j}=R$, for $i \neq j$. This obviously is a partition of the unikty.

Another arises from it [check!] if we set $J_{i}=\prod_{j \neq i} l_{j}$

$$
R=J_{1}+\cdots+J_{n}, \quad J_{i} \text { ideals of } R
$$

## Chinese Remainder Theorem

## Theorem

If $l_{i}, i \leq n$, is a family of ideals that is pairwise co-maximal, then for $I=I_{1} \cap I_{2} \cap \cdots \cap I_{n}$ there is an isomorphism

$$
R / I \approx R / I_{1} \times \cdots \times R / I_{n}
$$

Proof. Set $J_{i}=\prod_{j \neq l_{j}}$. Note that $I_{i}+J_{i}=R$. Since $J_{1}+\cdots+J_{n}=R$, there is an equation

$$
1=a_{1}+\cdots+a_{n}, \quad a_{i} \in J_{i}
$$

Note that for each $i, a_{i} \cong 1 \bmod I_{i}$. Define a mapping $\mathbf{h}$ from $R$ to $R / I_{1} \times \cdots \times R / I_{n}$, by $\mathbf{h}(x)=\left(\overline{x a_{1}}, \ldots, \overline{x a_{n}}\right)$. We claim that $\mathbf{h}$ is a surjective homomorphism of kernel $l$.

## Proof Cont’d

(1) Since $a_{i} \cong 1 \bmod l_{i}$,

$$
\mathbf{h}(x)=\left(\overline{x a_{1}}, \ldots, \overline{x a_{n}}\right)=\left(\bar{x}_{1}, \ldots, \bar{x}_{n}\right)
$$

which is clearly a homomorphism.
(2) The kernel consists of the $x$ such that $\bar{x}_{i}=0$ for each $i$, that is $x \in l_{i}$ for each $i$-that is, $x \in I$.
(3) To prove $\mathbf{h}$ surjective, for $u=\left(\overline{x_{1}}, \ldots, \overline{x_{n}}\right)$, setting

$$
x=x_{1} a_{1}+\cdots+x_{n} a_{n}
$$

gives $\mathbf{h}(x)=u$.

## Structure of Artinian Rings

## Theorem

Let $R$ be a commutative Artinian ring, let $\left\{P_{1}, \ldots, P_{n}\right\}$ be the set of its maximal ideals, $J$ its Jacobson radical and $m$ an integer such that $J^{m}=0$. Then

$$
R \approx R / P_{1}^{m} \times \cdots \times R / P_{n}^{m}
$$

Moreover each $R / P_{i}^{m}$ is Noetherian.
We apply CRT to the set of ideals $P_{1}^{m}, \ldots, P_{n}^{m}$ to obtain the decomposition. Now we must prove that each $R / P_{i}^{m}$ is Noetherian.
Note that $S=R / P_{i}^{m}$ has a unique maximal ideal $M=P_{i} / P_{i}^{m}$, and that $M^{m}=0$.

## Proof Cont'd

(1) Consider the chain of ideals
$R \supset M \supset M^{2} \supset M^{m-1} \supset M^{m}=0$. To prove that $R$ is
Noetherian ETS each factor module $M^{i} / M^{i+1}$ is Noetherian. [See last step]
(2) We examine the factors $M^{i} / M^{i+1}$. This module is Artinian and is also annihilated by $M$. So it is actually an Artinian $R / M$-vector space, so must be finite dimensional, in particular it is a Noetherian module.
(3) For example, suppose $M^{3}=0 . M^{2}$ is annihilated by $M$, so it is a $R / M$-vector space, so it is also a Noetherian $R$-module.
(0. Consider the exact sequence $0 \rightarrow M^{2} \rightarrow M \rightarrow M / M^{2} \rightarrow 0$. Both $M^{2}$ and $M / M^{2}$ are Noetherian, so $M$ is Noetherian as well. The general case is similar.

## Composition series

## Theorem

If $R$ is a commutative Artinian ring then there exists a tower of ideals

$$
0=M_{0} \subset M_{1} \subset \cdots \subset M_{n}=R
$$

such that for all $i, M_{i} / M_{i-1}=R / P_{i}$ for some prime ideal $P_{i}$.
Proof. Left to reader.

## Pop Quiz

Prove:

## Theorem

Let $\mathbf{K}$ be a finite extension of $\mathbb{Q}$ and denote by $\mathbf{A}$ the integral closure of $\mathbb{Z}$ is $\mathbf{K}$. Then for every $0 \neq n \in \mathbb{Z}, \mathbf{A} / n \mathbf{A}$ is a finite ring.

Relate $|\mathbf{A} / n \mathbf{A}|$ to $n$ and $\operatorname{dim}_{\mathbb{Q}} \mathbf{K}$.

## Outline

(1) Rings in L.A.
(2) Assignment \#11
(3) Hilbert Nullstellensatz
(4) Noether Normalization
(5) Assignment \#12
6. Invertible Ideals
(7) Dedekind Domains

8 Homework

- Assignment \#13
(10) Commutative Artinian Rings

11 Assignment \#14

## Assignment \#14

- Let $R$ be a finitely generated algebra over the field $\mathbf{K}$ (that is, $R$ is a homomorphic image of a polynomial ring in finitely many variables over $\mathbf{K}$ ). Prove that if $R$ is Artinian, then $\operatorname{dim}_{\mathrm{K}} R<\infty$.

