Rings in L.A. Assignment #11 Hilbert Nullstellensatz Noether Normalization Assignment #12 Invertible Ideals Dedeki

### Math 552: Abstract Algebra II

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# Rings in L.A.

Several modules over rings occur in Linear Algebra. We will develop the theory of finitely generated modules over certain rings and apply it to L.A.

#### Example

Let **V** be a finite dimensional vector space over the field k, and let

$$\varphi: \mathbf{V} \longrightarrow \mathbf{V}$$

be a linear transformation. Define a  $k[\mathbf{x}]$ -module structure **M** by declaring

$$x \cdot v = \varphi(v), \quad \forall v \in \mathbf{V}.$$

More generally, for a polynomial f(x), define

 $\mathbf{f}(\mathbf{x})\mathbf{v} = \mathbf{f}(\varphi)(\mathbf{v}).$ 

We denote this module by  $V_{\varphi}$ . If  $\phi$  is another linear transformation of **V**, similarly we get a module  $V_{\phi}$ .

Although  $\mathbf{V}_{\varphi}$  and  $\mathbf{V}_{\phi}$  are the same vector space, as  $k[\mathbf{x}]$ -modules they may not be isomorphic.

### Proposition

Let **A** and **B** be  $n \times n$  matrices over k and denote by  $V_A$  and  $V_B$ the corresponding  $k[\mathbf{x}]$ -modules defined on  $\mathbf{V} = k^n$ . Then  $V_A$ and  $V_B$  are isomorphic  $k[\mathbf{x}]$ -modules iff **A** and **B** are similar, that is if there is an invertible matrix **S** such that  $\mathbf{A} = \mathbf{S}^{-1}\mathbf{BS}$ .

**Proof.** If  $S : V_A \simeq V_B$  is an isomorphism of k[x]-modules, it must hold:

- $\fbox{S}: V_A \longrightarrow V_B \text{ is an isomorphism of vector spaces, that is } S \text{ is invertible, and}$
- **2**  $\mathbf{S}(\mathbf{x} \cdot \mathbf{v}) = \mathbf{x} \cdot (\mathbf{S}(\mathbf{v}))$ , that is  $\mathbf{S}(\mathbf{A}(\mathbf{v})) = \mathbf{B}(\mathbf{S}(\mathbf{v}))$ , that is

$$SA = BS$$
, or  $A = S^{-1}BS$ 

For the converse, read the equations backwards.

We will use this setup to solve

- **(1)** Given **A** and **B** as above, decide whether  $\mathbf{A} \sim \mathbf{B}$ .
- 2 Describe the vector space

$$\{\mathbf{B}\in\mathbf{M}_n(k):\mathbf{AB}=\mathbf{BA}\}$$

Many other questions are answered.

### **Modules over PIDs**

Let *R* be a PID and *M* a finitely generated *R*-module,  $M = (u_1, \ldots, u_n)$ , i.e. every  $u \in M$  can be written

$$u = r_1 u_1 + \cdots + r_n u_n, \quad r_i \in \mathbf{R}.$$

Examples are free *R*-modules,  $M = R^n$ , or

$$M = R/(d_1) \oplus \cdots \oplus R/(d_n).$$

## **Free Presentation**

### Definition

A free presentation of *M* is a surjective *R*-module homomorphism

$$\varphi: \mathbf{R}^n = \mathbf{R}\mathbf{e}_1 \oplus \cdots \oplus \mathbf{R}\mathbf{e}_n \to \mathbf{M}, \quad \varphi(\mathbf{e}_i) = u_i.$$

The kernel of  $\varphi$  is the submodule

$$L=\{(a_1,\ldots,a_n)\in R^n:\sum a_iu_i=0\}.$$

*L* is finitely generated (being a submodule of the Noetherian module  $R^n$ ), and  $R^n/L \simeq M$ .

*L* is called the module of relations of the  $a_i$ , or a module of syzygies of *M*.

#### L has a set of generators

$$v_1 = (a_{11}, \dots, a_{1n})$$
  
$$\vdots$$
  
$$v_m = (a_{m1}, \dots, a_{mn})$$

which can be conveniently coded by the matrix

$$\mathbf{A} = \begin{bmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \cdots & a_{mn} \end{bmatrix}$$

**A** is associated to the basis  $\{e_1, \ldots, e_n\}$  of  $\mathbb{R}^n$  and the generators  $\{v_1, \ldots, v_m\}$  of *L*. We are going to change the two sets to make the quotient module  $\mathbb{R}^n/M$  nice. Consider elementary row operations on **A**, with the exception of dividing a row or column by a non-unit of *R*.

- For example, adding *c* times the first row to the second, has the effect of replacing the generator v<sub>2</sub> → v<sub>2</sub> + cv<sub>1</sub>, which does not change *L*. Similar effects for the other row operators.
- The interpretations of the column operations is the usual.
  For example, adding *d* times column 1, *c*<sub>1</sub>, to column *c*<sub>2</sub> → *c*<sub>2</sub> + *dc*<sub>1</sub>, gives the representations of the vectors *v<sub>i</sub>* in terms of the basis {*e'*<sub>1</sub> = *e*<sub>1</sub> − *de*<sub>2</sub>, *e*<sub>2</sub>, *e*<sub>3</sub>, ..., *e<sub>n</sub>*}.

# **Key Observation**

### Proposition

Let R be an Euclidean domain. Given a matrix **A** with entries in R, there exists a sequence of elementary row and column operations such that

$$\mathbf{A} \rightsquigarrow \begin{bmatrix} d_1 & 0 & 0 & \cdots & 0 \\ 0 & d_2 & 0 & \cdots & 0 \\ 0 & 0 & d_3 & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \end{bmatrix}$$

where  $d_1|d_2|d_3|\cdots$ . Furthermore, the ideals  $(d_i)$  are unique.

#### Remark

The same assertion holds for general PID's with one extra operation allowed (details soon).

### Example



## Proof

- We induct on the size of the matrix A.
- The proof of termination comes from the fact that the division algorithm of R can place the gcd  $d_1$  of all the entries of **A** in the position (1, 1).
- Now row and column operations are performed so that combined with those in step (1) give

$$\mathbf{A} \rightsquigarrow \mathbf{A}' = \begin{bmatrix} d_1 & 0 & \cdots & 0 \\ 0 & & & \\ \vdots & \mathbf{B} & \\ 0 & & & \end{bmatrix}$$

• This also shows that  $d_1|d_2|d_3|\cdots$ .

# Uniqueness

The uniqueness of the  $(d_i)$  comes from an additional observation.

- The uniqueness of  $(d_1)$  comes directly from the construction.
- To prove that of (d<sub>2</sub>), we prove that (d<sub>1</sub>d<sub>2</sub>) is unique. This follows from the fact that just as every elementary operation leaves unchanged the gcd of the entries of the matrix, it also leaves unchanged the gcd of all 2 × 2 minors of **A** (or, more generally, of all r × r minors).

### Structure Theorem for Modules over PID

Given a module  $M = R^n/L$ , there is a basis  $e_1, e_2, \ldots, e_n$  of  $R^n$ , and a set of generators of L,

$$d_1e_1, d_2e_2, \ldots, d_ne_n.$$

This implies

 $M \simeq (Re_1/d_1Re_1) \oplus \cdots \oplus (Re_n/d_nRe_n) \simeq R/(d_1) \oplus \cdots \oplus R/(d_n).$ 

Some of the  $d_i = 1$ , and  $R/(d_i) = 0$ , or  $d_i = 0$ , and  $R/(d_i) \simeq R$ .

#### Theorem

Every finitely generated module M over a PID R is isomorphic to

 $R/(d_1) \oplus \cdots \oplus R/(d_n),$ 

where  $d_1|d_2|d_3|\cdots$ . The ideals  $(d_i)$  are uniquely determined, in particular the number r of  $d_i = 0$ , is uniquely determined (called torsionfree rank of M),

$$M\simeq R^{r}\oplus T$$
,

where T has a nonzero annihilator. The ideals  $(d_i)$  are called the rational invariants of M.

There is just one point to add: For a PID, the gcd(a, b) is the generator of the ideal (a, b), that is

$$d = ra + sb$$
,  $(r, s) = (1)$ .

This means that there exists  $\alpha$ ,  $\beta$  such that  $r\alpha + s\beta = 1$ . Thus, if we have a matrix of relations **A**: if we have two rows  $v_1$ ,  $v_2$ , an equivalent set of relations with  $v'_1$ ,  $v'_2$  replacing  $v_1$ ,  $v_2$  is

$$\begin{aligned} \mathbf{v}_1' &= \mathbf{r}\mathbf{v}_1 + \mathbf{s}\mathbf{v}_2 \\ \mathbf{v}_2' &= \alpha\mathbf{v}_1 - \beta\mathbf{v}_2 \end{aligned}$$

The first coordinate of  $v'_1$  is the gcd of the first coordinates of  $v_1$  and  $v_2$ .

Such operations on columns give rise to basis changes in  $R^n$ .

# The return of $V_{\varphi}$

Let us go back to a linear transformation

$$\varphi: \mathbf{V} = \mathbf{k}^n \longrightarrow \mathbf{k}^n$$

and determine the structure of  $V_{\varphi}$ .

Pick a *k*-basis  $u_1, \ldots, u_n$  for **V**, so that  $\varphi = [c_{ij}]$ . Let us determine a free presentation for **V**<sub> $\varphi$ </sub>

$$0 \longrightarrow L \longrightarrow \textit{Re}_1 \oplus \cdots \oplus \textit{Re}_n \longrightarrow V_{\varphi} \rightarrow 0, \quad \textit{e}_i \rightarrow u_i.$$

# The Syzygies of V $_{\varphi}$

Let us determine the module L. If

$$\mathbf{v} = (\mathbf{f}_1(\mathbf{x}), \dots, \mathbf{f}_n(\mathbf{x})),$$
$$\sum_{i=1}^n \mathbf{f}_i(\varphi)(u_i) = \mathbf{0}.$$

For instance, from

$$\varphi(u_i) = \mathbf{x}u_i = \sum c_{ij}u_j,$$

we have that the rows of the matrix lie in L

$$[C_{ij}] - \mathbf{X}\mathbf{I} = \begin{bmatrix} c_{11} - \mathbf{X} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} - \mathbf{X} & \cdots & c_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} - \mathbf{X} \end{bmatrix}$$

### Proposition

L is generated by the rows of  $\varphi - \mathbf{xI}$ .

**Proof.** Let  $v = (f_1(\mathbf{x}), \dots, f_n(\mathbf{x})) \in L$ . We argue that v is a linear combination (with coefficients in *R*) of the rows of  $\varphi - \mathbf{xI}$ .

- If all the  $\mathbf{f}_i(\mathbf{x})$  constants,  $\sum_i \mathbf{f}_i u_i = 0$  means that  $\mathbf{f}_i = 0$ , since the  $u_i$  are k-linearly independent.
- We induct on sup{deg(f<sub>i</sub>)} and on the number of components of this degree. Say deg(f<sub>1</sub>) = sup{deg(f<sub>i</sub>)}. Divide f<sub>1</sub> by c<sub>11</sub> x, f<sub>1</sub> = q(c<sub>11</sub> x) + r,

$$(\mathbf{f}_1,\ldots,\mathbf{f}_n)-\mathbf{q}(c_{11}-\mathbf{x},\ldots,c_{1n})=(\mathbf{g}_1,\ldots,\mathbf{g}_n)=u.$$

Note that *u* has fewer terms, if any, of degree  $\geq deg(\mathbf{f}_1)$ .

# Structure of $V_{\varphi}$

It comes out of the algorithm

$$arphi - \mathbf{x} \mathbf{I} \longrightarrow \begin{bmatrix} d_1(\mathbf{x}) & & \\ & d_2(\mathbf{x}) & & \\ & & \ddots & \\ & & & & d_n(\mathbf{x}) \end{bmatrix}$$

#### Corollary

If  $d_i(\mathbf{x})$ ,  $1 \le i \le n$ , are the rational invariants of  $\mathbf{V}_{\varphi}$ 

- det $(\varphi \mathbf{x}\mathbf{I}) = (\text{unit})d_1(\mathbf{x})\cdots d_n(\mathbf{x}).$
- [Cayley-Hamilton Theorem].
- **3**  $d_n(\mathbf{x})$  is the minimal polynomial of  $\varphi$ .

### Example

$$\mathbf{V} = k^2, \quad 1/2 \in k, \quad \varphi = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$$

$$\begin{bmatrix} 1-x & 2\\ 3 & 4-x \end{bmatrix} \rightarrow \begin{bmatrix} (1-x)/2 & 1\\ 3 & 4-x \end{bmatrix} \rightarrow \begin{bmatrix} 1 & (1-x)/2\\ 4-x & 3 \end{bmatrix}$$
$$\begin{bmatrix} 1 & 0\\ 0 & 3-(4-x)(1-x)/2 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 0\\ 0 & x^2-5x-2 \end{bmatrix}$$
$$\mathbf{V}_{\varphi} = k[\mathbf{x}]/(\mathbf{x}^2-5\mathbf{x}-2).$$

### Scholium

Every square matrix **A** with entries in a field is similar to its transpose.

**Proof.** The rational invariants of  $V_{\text{A}}$  are determined from the gcd's of the minors of A-xI. But these are the same as the minors of

$$\mathbf{A}^t - \mathbf{x}\mathbf{I} = (\mathbf{A} - \mathbf{x}\mathbf{I})^t.$$

### **Commuting Matrices**

Let  $\varphi$  be a linear transformation of  $\mathbf{V} = k^n$ . Consider the set of linear transformations of **V** that commute with  $\varphi$ ,

$${f C}(arphi)=\{\phi\in {f M}_n(k): \phiarphi=arphi\phi\}.$$

We already interpreted such  $\phi$  as a  $k[\mathbf{x}]$ -module homomorphism of  $\mathbf{V}_{\varphi}$ , that is, as an element of

$$\mathbf{C}(\varphi) = \operatorname{Hom}_{k[\mathbf{x}]}(\mathbf{V}_{\varphi}, \mathbf{V}_{\varphi}).$$

We use the structure of  $V_{\varphi}$  to determine this module.

#### Lemma

If 
$$M = M_1 \oplus M_2 \oplus \cdots \oplus M_n$$
, then

$$\operatorname{Hom}_{R}(M,M) = \bigoplus_{1 \leq i,j \leq n} \operatorname{Hom}_{R}(M_{i},M_{j}).$$

#### Theorem

For 
$$r = k[\mathbf{x}]$$
, if  $M = \mathbf{V}_{\varphi} = R/(d_1(\mathbf{x})) \oplus \cdots \oplus R/(d_n(\mathbf{x}))$ , then

$$\mathbf{C}(\varphi) = \bigoplus_{1 \leq i,j \leq n} \operatorname{Hom}_{R}(R/(d_{i}(\mathbf{x})), R/(d_{j}(\mathbf{x}))).$$

The terms  $\operatorname{Hom}_R(R/(d_i(\mathbf{x})), R/(d_j(\mathbf{x})))$  are easy to determine since one of the  $d(\mathbf{x})$  divides the other.

Let us consider some special cases.

Suppose the minimal polynomial of φ is equal to its characteristic polynomial. Such matrices are called derogatory. This means that d<sub>1</sub> = ··· = d<sub>n-1</sub> = 1, and V<sub>φ</sub> = k[x]/(d<sub>n</sub>(x)). It follows that

 $\mathbf{C}(\varphi) = k[\mathbf{x}]/(d_n(\mathbf{x})),$ 

which says that every endomorphism is a polynomial in  $\varphi$ ,  $\phi = \mathbf{g}(\varphi)$ .

• Suppose that there are two summands,  $M = R/(d_{n-1}) \oplus R/(d_n)$ . We have  $d_{n-1}|d_{n-1}$  to make calculation easy. The summands in  $\operatorname{Hom}_R(M, M)$  are

$$\operatorname{Hom}_{R}(R/(d_{n-1}), R/(d_{n-1})) = R/(d_{n-1})$$

$$\operatorname{Hom}_{R}(R/(d_{n}), R/(d_{n})) = R/(d_{n})$$

Hom<sub>*R*</sub>(*R*/(*d*<sub>*n*-1</sub>), *R*/(*d*<sub>*n*</sub>)) = *R*/(*d*<sub>*n*-1</sub>)

 $\operatorname{Hom}_{R}(R/(d_{n}), R/(d_{n-1})) = R/(d_{n-1})$ 

# Refinements

There are ways to enhance these decompositions that are useful, leading to primary decompositions in the case of modules over PID, or in the case of  $V_{\varphi}$  to Jordan decompositions.

They start out by applying the CRT (Chinese Remainder Theorem) (one in a class of results called partition of the unity) to the ring R/(d), where R is a PID and d has a primary decomposition

$$d=p_1^{e_1}\cdots p_n^{e_n}.$$

### **Historical Example**

Consider  $360 = 2^3 \cdot 3^2 \cdot 5$ .

$$gcd(72, 45, 40) = 1$$
, thus

$$\exists a, b, c \in \mathbb{Z}, \quad 1 = 72a + 45b + 40c$$

that is, we can find the fraction 1/360 as the combination

$$\frac{1}{360} = a\frac{1}{5} + b\frac{1}{8} + c\frac{1}{9}$$

# **Primary Decomposition**

### Proposition

If R is a PID and

$$d=p_1^{e_1}\cdots p_n^{e_n},$$

then

$$R/(d) = R/(p_1^{e_1}) \oplus \cdots \oplus R/(p_n^{e_n}).$$

**Proof.** Consider the elements  $c_i = d/p_i^{e_i}$ . Since  $gcd(c_1, \ldots, c_n) = 1$ , there are elements  $a_i \in R$  such that

$$1=\sum_{i=1}^n a_i c_i.$$

### Now define the homomorphism of *R* (check this is well defined!)

$$\mathbf{h}: R/(d) \longrightarrow R/(p_1^{e_1}) \oplus \cdots \oplus R/(p_n^{e_n}),$$

for  $u \in R/(d)$ 

$$\mathbf{h}(u)=(a_1u,\ldots,a_nu).$$

#### Exercise: Prove that h is one-one & onto.

# **Uniqueness–I**

#### Theorem

Let R is a PID and A a finitely generated torsion module. If

 $A = \mathbf{W}_1 \oplus \cdots \oplus \mathbf{W}_m$ 

is a primary decomposition the  $\mathbf{W}_i$  are uniquely determined by A.

**Proof.** If **W** is one of the **W**<sub>*i*</sub> then **W** is a direct sum of submodules isomorphic to  $R/(p^r)$  for a unique prime *p*. This shows that **W** is annihilated by some  $p^s$  (*s* the largest of the exponents *r*:

$$\mathbf{W} = \{ x \in \mathbf{A} : \mathbf{p}^r x = \mathbf{0}, \text{ some } r \}$$

# **Uniqueness–II**

#### Theorem

Let R be a PID and  $\mathbf{W}$  a finitely generated primary R-module. Given a decomposition

 $\mathbf{W} \simeq R/(p^{e_1}) \oplus \cdots \oplus R/(p^{e_m}),$ 

where the exponents as listed as  $e_1 \ge e_2 \ge \cdots \ge e_m$ , the sequence  $(e_1, e_2, \ldots, e_m)$  is uniquely determined by **W**.

**Proof.** Consists of the following observations:

• *p*W is a submodule of W and W/*p*W is isomorphic to

 $\mathbf{W}/p\mathbf{W}\simeq \oplus R/(p^{e_i})/pR/(p^{e_i})$ 

 Each module R/(p<sup>e</sup>)/pR/(p<sup>e</sup>)) is isomorphic to R/(p). Thus W/pW is a vector space of dimension m over R/(p). •  $e_m$  is the smallest exponent such that  $p^{e_m} \mathbf{W} = 0$ 

• Note 
$$pR/(p^e) \simeq R/(p^{e-1})$$

• Consider the module *p***W**. Its primary decomposition is

$$p\mathbf{W} \simeq R/(p^{e_1-1}) \oplus \cdots \oplus R/(p^{e_m-1})$$

# Primary decomposition of V $_{\varphi}$

In the cyclic decomposition

$$\mathbf{V}_{\varphi} = R/(d_1) \oplus \cdots \oplus R/(d_n)$$

we are going to replace each  $R/(d_i)$  by its primary decomposition. Suppose  $p_1, \ldots, p_m$  are the primes that occur. This leads to the primary decomposition of  $\mathbf{V}_{\varphi}$ 

$$\mathbf{V}_{\varphi} = \mathbf{W}_1 \oplus \cdots \oplus \mathbf{W}_m$$

where **W**<sub>*i*</sub> is a direct sum of modules  $R/(p_i^{a_{ij}})$  for the same  $p_i$ .

### Setting up matrix representation

Since  $\varphi$  acts as a homomorphism on  $\mathbf{V}_{\varphi},$  and the  $\mathbf{W}_i$  are submodules

$$\varphi: \mathbf{W}_i \to \mathbf{W}_i$$

this has the following consequence:

### **Block Decomposition**

The decomposition of  $\mathbf{V}_{\varphi}$  into a direct sum of modules  $\mathbf{W}_1 \oplus \cdots \oplus \mathbf{W}_m$  leads to a block decomposition for any matrix representation of  $\varphi$ :

$$[\varphi] = \begin{bmatrix} [\varphi]_1 & \cdots & O \\ \vdots & \ddots & \vdots \\ O & \cdots & [\varphi]_m \end{bmatrix}$$

We are going to pick appropriate *k*-vector spaces in the submodules.
### **Jordan Block**

Suppose the submodule **W** of  $\mathbf{V}_{\varphi}$  is  $k[\mathbf{x}]/(x - \lambda)^r$ . This means that  $\lambda$  is an eigenvalue of  $\varphi$ . Let us look at one such  $r \times r$  block

$$[\varphi]_{\mathbf{W}} = \mathbf{A} = [v_1|\cdots|v_r] = \begin{bmatrix} \lambda & 1 & 0 & \cdots & 0 & 0\\ 0 & \lambda & 1 & \cdots & 0 & 0\\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots\\ 0 & 0 & 0 & \cdots & \lambda & 1\\ 0 & 0 & 0 & \cdots & 0 & \lambda \end{bmatrix}$$

 $\underbrace{\mathbf{A}(u_1) = \lambda u_1}_{\text{eigenvector}}, \quad \mathbf{A}(u_2) = u_1 + \lambda u_2, \cdots, \mathbf{A}(u_r) = u_{r-1} + \lambda u_r$ 

### **Jordan Basis**

The *k*-vector space  $k[\mathbf{x}]/(\mathbf{x} - \lambda)^r$  has many interesting bases, for instance the residue classes of  $\{1, \mathbf{x}, \dots, \mathbf{x}^{r-1}\}$ .

Jordan's claim to glory comes from picking

$$\{\mathbf{v}_1 = 1, \mathbf{v}_2 = (\mathbf{x} - \lambda), \dots, \mathbf{v}_r = (\mathbf{x} - \lambda)^{r-1}\}$$

$$\mathbf{x}(\mathbf{v}_i) = \mathbf{x}(\mathbf{x} - \lambda)^{i-1}, \quad i < r - 1$$
$$= (\mathbf{x} - \lambda)^i + \lambda(\mathbf{x} - \lambda)^{i-1}$$
$$= \lambda \mathbf{v}_i + \mathbf{v}_{i+1}$$
$$\mathbf{x}(\mathbf{v}_r) = \lambda \mathbf{v}_r$$

Now reverse the notation:  $u_i = v_{r+1-i}$ .

#### We collect all the blocks (from the $W_i$ ) for the same eigenvalue

$$\begin{bmatrix} \mathbf{J}_1 & O & O \\ O & \mathbf{J}_2 & O \\ O & O & \mathbf{J}_3 \end{bmatrix} = \begin{bmatrix} \lambda & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \lambda & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \lambda & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \lambda & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \lambda & \lambda & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \lambda & \lambda & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \lambda & \lambda & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \lambda \end{bmatrix}$$

# Jordan Decomposition Theorem

#### Theorem

Any linear operator **T** whose characteristic polynomial  $p(x) = \pm \prod_{i=1}^{m} (x - \lambda_i)^{n_i}$  splits has a unique matrix representation into blocks

 $[\mathbf{T}]_{\mathcal{B}} = \begin{bmatrix} \mathbf{A}_1 & \cdots & O \\ \vdots & \ddots & \vdots \\ O & \cdots & \mathbf{A}_m \end{bmatrix}$ 

where each  $\mathbf{A}_i$  has a representation by Jordan  $\lambda_i$ -blocks whose number and sizes are uniquely defined

$$\begin{bmatrix} \lambda_i & 1 & \cdots & 0 \\ 0 & \lambda_i & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \lambda_i \end{bmatrix}$$

# Outline

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### Rings in L.A.

### Assignment #11

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### Assignment #11

Do any 2 problems:

For the rational tridiagonal matrix [if too laborious, do 6 × 6]

$$\varphi = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

find: (a) its rational invariants, including its minimal polynomial; (b) the dimension of the subspace of  $8 \times 8$  matrices commuting with it.

 Let φ and ψ be n × n matrices with entries in a field K. If there is an invertible matrix S over an extension field F such that

$$\psi = \mathbf{S} \cdot \varphi \cdot \mathbf{S}^{-1},$$

[that is,  $\varphi$  and  $\psi$  are similar over **F**] show that  $\varphi$  and  $\psi$  are similar over **K**.

- Describe a Jordan's canonical form theorem over the real numbers. [Only looks vague!]
- If the integer *n* has a prime factorization

$$n=p_1^{r_1}\cdots p_m^{r_m},$$

find a 'formula' for the number of isoclasses of abelian groups of order *n*.

## Infinitely generated modules

Let us begin with  ${\mathbb Q}$  viewed as a  ${\mathbb Z}\text{-module}.$ 

First we find a convenient set of generators of Q: For n ∈ N, consider the subgroup of Q given by Z<sup>1</sup>/<sub>n</sub>. Then

$$\mathbb{Q} = \bigcup_{\rightarrow} \mathbb{Z} \frac{1}{n!}$$

- Now let *F* be a free abelian group with a basis  $\{e_n\}$ . Map this element to  $\frac{1}{n!}$ . Let *L* be the subgroup of *F* generated by the syzygies  $ne_n e_{n-1}$ ,  $n \ge 2$ .
- *L* is a free abelian group and  $F/L \simeq \mathbb{Q}$ .

#### Theorem

Let R be a PID. Then any submodule of a free module is free.

**Proof.** Let *F* be a free module with basis  $\{e_i, i \in I\}$ , and suppose the index set *I* is well-ordered. For each  $i \in I$  set

$$F_i = \bigoplus_{j < i} Re_j,$$

with  $F_0 = 0$  and  $F_{i+1} = \bigoplus_{j \le i} Re_j$ . For a submodule M of F each  $x \in M \cap F_{i+1}$  has a unique expression  $x = y + re_i$ , where  $y \in F_i$  and  $r \in R$ . If  $\phi_i : M \cap F_{i+1} \to R$  is defined by  $\phi_i(x) = r$ , there is a SES

$$0 \to M \cap F_i \longrightarrow M \cap F_{i+1} \longrightarrow I_i \to 0,$$

where  $I_i = \text{image } \phi_i$ . Since  $I_i$  is projective, the sequence splits:  $M \cap F_{i+1} = (M \cap F_i) \oplus C_i, C_i \simeq I_i$ . We claim  $M = \bigoplus_i C_i$ .

## Proof cont'd

Claim:  $M = (\bigcup C_i)$ : Since  $F = \bigcup F_i$ , each  $x \in M$  lies in some  $F_{i+1}$ . Let  $\nu(x)$  be the smallest *i* such that  $x \in F_{i+1}$ . Clearly  $C = (\bigcup C_i) \subset M$ . If  $C \neq M$ , consider the set

$$\{\nu(\mathbf{x}): \mathbf{x} \in \mathbf{M}, \mathbf{x} \notin \mathbf{C}\} \subset \mathbf{I}$$

Let *j* be the least such index and choose  $y \in M$  with  $y \in M \setminus C$ and  $\nu(y) = j$ . This last implies  $y \in M \cap F_{j+1}$ , so y = b + c,  $b \in M \cap F_j$  and  $c \in C_j$ . Therefore  $b = y - c \in M$ ,  $b \notin C$  (unless  $y \in C$ ), and  $\nu(b) < j$ , a contradiction. Hence M = C.

### Proof concl'd

To prove 
$$M = \bigoplus C_i$$
, suppose  $c_1 + \cdots + c_n = 0, \, c_i \in C_{k_i},$   
 $k_1 < \cdots < k_n$ . Then

$$c_1+\cdots+c_{n-1}=c_n\in (M\cap F_{k_n})\cap C_{k_n}=0$$

It follows that  $c_n = 0$ . Induction gives  $c_i$  for all *i*.

# Outline

- **Rings in L.A.**
- 2 Assignment #11
- 3 Hilbert Nullstellensatz
- Noether Normalization
- 5 Assignment #12
- Invertible Ideals
- Dedekind Domains
- 8 Homework
- Assignment #13
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### **Class discussion**

Let  $\mathbf{f}(\mathbf{x}) = \mathbf{f}(x_1, \dots, x_n)$  be a nonconstant polynomial of  $R = \mathbb{C}[\mathbf{x}] = \mathbb{C}[x_1, \dots, x_n], n > 1.$ 

**Fact:** There is  $\mathbf{c} \in \mathbb{C}^n$  such that  $\mathbf{f}(\mathbf{c}) = \mathbf{0}$ . **Task:** Volunteer to the plate! The answer is easy when

$$\mathbf{f}(x_1,\ldots,x_n)=x_n^d+\mathbf{g}(x_1,\ldots,x_n),$$

where  $\mathbf{g}(\mathbf{x})$  is a polynomial of degree < d in the variable  $x_n$ . So what is the solution for the general case? One seeks a change of variables (possibly linear)

so that  $\mathbf{g}(\mathbf{y})$  has the appropriate form.

More generally, let  $f_1(\mathbf{x}), \ldots, f(\mathbf{x}_m)$  be a set of elements of  $R = \mathbb{C}[\mathbf{x}]$ .

**Question:** What are the obstructions to finding  $\mathbf{c} \in \mathbb{C}^n$  such that

$$f_1(c) = f_2(c) = \cdots = f_m(c) = 0$$
?

Obviously one is: there exist  $\mathbf{g}_1(\mathbf{x}), \ldots, \mathbf{g}_m(\mathbf{x})$  such that

$$\mathbf{g}_1(\mathbf{x})\mathbf{f}_1(\mathbf{x}) + \cdots + \mathbf{g}_m(\mathbf{x})\mathbf{f}_m(\mathbf{x}) = 1$$

What else?

## Hilbert Nullstellensatz

Let *k* be a field and denote by  $\overline{k}$  its algebraic closure. The Hilbert Nullstellensatz is about qualitative results about systems of polynomial equations.

Let  $\mathbf{f}_i(x_1, \ldots, x_n) \in \mathbf{R} = k[x_1, \ldots, x_n]$ ,  $1 \le i \le m$ , be a set of polynomials.

#### Definition

The algebraic variety defined by the  $f_i$  is the set

$$V(\mathbf{f}_1,\ldots,\mathbf{f}_m) = \{\mathbf{c} = (\mathbf{c}_1,\ldots,\mathbf{c}_n) \in \overline{k}^n : \mathbf{f}_i(\mathbf{c}) = \mathbf{0}, \quad \mathbf{1} \le i \le m.\}$$

#### A hypersurface is a variety defined by a single equation $V(\mathbf{f})$ .

#### Remark

If *I* is the ideal generated by the  $\mathbf{f}_i$ , then  $V(I) = V(\mathbf{f}_1, \dots, \mathbf{f}_m)$ .

## Hilbert Nullstellensatz

#### Theorem

If the ideal  $I \subset R = k[x_1, ..., x_n]$  is proper, i.e.  $I \neq R$ , then  $V(I) \neq \emptyset$ .

Proof. We make two reductions.

- Let  $\mathfrak{m}$  be a maximal ideal of R containing I. Since  $V(\mathfrak{m}) \subset V(I)$ , ETA that I is maximal.
- ② The ring of polynomials  $S = \overline{k}[x_1, ..., x_n]$  is integral over  $R = k[x_1, ..., x_n]$ . By Lying-over, there is a maximal ideal *M* of *S* such that *M* ∩ *R* = m. Since *V*(*M*) ⊂ *V*(m), ETA that *I* is a maximal ideal and *k* is algebraically closed.

## Nullstellensatz

#### After these reductions the assertion is:

#### Theorem

If k is an algebraically closed field and M is a maximal ideal of  $R = k[x_1, ..., x_n]$ , then there is

$$\mathbf{c} = (c_1, \ldots, c_n) \in k^n$$

such that

$$\mathbf{f}(\mathbf{c}) = \mathbf{0} \quad \forall \mathbf{f}(\mathbf{x}) \in M.$$

# Special case: $\ensuremath{\mathbb{C}}$

Consider the field 
$$\mathbf{F} = \mathbb{C}[x_1, \ldots, x_n]/M$$
.

#### Proposition

It is ETS that **F** is isomorphic to  $\mathbb{C}$ .

**Proof.** Indeed, if  $\mathbf{F} \simeq \mathbb{C}$ , for each indeterminate  $x_i$  its equivalence class in  $k[x_1, \ldots, x_n]/M$  contains some element  $c_i$  of  $\mathbb{C}$ , that is  $x_i - c_i \in M$ . this means that

$$(x_1-c_1,\ldots,x_n-c_n)\subset M.$$

But  $(x_1 - c_1, ..., x_n - c_n)$  is also a maximal ideal, therefore it is equal to *M*. Clearly every polynomial of *M* vanishes at  $\mathbf{c} = (c_1, ..., c_n)$ .

# **Proof of** $\mathbb{C} = \mathbb{C}[x_1, \ldots, x_n]/M$

- ETS that the extension C → F = C[x<sub>1</sub>,...,x<sub>n</sub>]/M is algebraic.
- Observe that [F : C] is countable, F being a homomorphic image of the countably generated vector space C[x<sub>1</sub>,..., x<sub>n</sub>].
- If F is not algebraic over C, suppose t ∈ F is transcendental over C.
- Consider the uncountable set  $\{1/(t-c), c \in \mathbb{C}\}$ .

Since they cannot be linearly independent, there are distinct  $c_i$ ,  $1 \le i \le m$  and nonzero  $r_i \in \mathbb{C}$  such that

$$r_1\frac{1}{t-c_1}+\cdots+r_m\frac{1}{t-c_m}=0.$$

Clearing denominators gives the equality of two polynomials of  $\mathbb{C}[t]$ :

$$r_1(t-c_2)(t-c_3)\cdots(t-c_m)=(t-c_1)\mathbf{g}(t),$$

which is a contradiction as the  $c_i$  are distinct.

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# **NNL: Noether Normalization Lemma**

#### Definition

A finitely generated algebra R over a field k is a homomorphic image of a ring of polynomials over k,

$$k[x_1,\ldots,x_n]/I\simeq R=k[a_1,\ldots,a_n].$$

#### Theorem (NNL)

If R is finitely generated over k, there is a subalgebra

$$S = k[y_1, \ldots, y_r] \hookrightarrow R$$

such that the  $y_i$  are algebraically independent and R is integral over S. S is called a Noether Normalization of R.

### From NN to Nullstellensatz

- Let *M* be a maximal ideal of  $k[x_1, ..., x_n]$ ,  $k = \overline{k}$ . We will show that  $M = (x_1 c_1, ..., x_n c_n)$ ,  $c_i \in k$ .
- ② Using the NNL, let  $S = k[y_1, ..., y_r] \hookrightarrow R = k[x_1, ..., x_n]/M$  be a Noether normalization. Since *R* is a field, *S* is also a field, thus r = 0.
- **3** This gives that  $S = k \rightarrow R$  is a finite extension, so k = R.

# Another version of the Nullstellensatz

#### Theorem

Let I be an ideal of  $R = k[x_1, \dots, x_n]$  and  $f \in R$  a polynomial. Then

 $V(I) \subset V(\mathbf{f}) \Leftrightarrow \mathbf{f} \in \sqrt{I}$ 

that is, there is a power  $\mathbf{f}^r \in I$ .

Proof. In one direction it is clear.

Suppose  $V(I) \subset V(f)$ . Consider the ideal *L* in the polynomial ring with one extra variable

$$L = (I, 1 - t\mathbf{f}) \subset k[x_1, \ldots, x_n, t].$$

Since each zero of *I* is a zero of **f**,  $L = (I, 1 - t\mathbf{f})$  has no zeros. Thus by the Nullstellensatz L = (1). This means that there is an equation

$$\sum \mathbf{g}_i \mathbf{f}_i + (1 - t\mathbf{f})\mathbf{g} = 1, \quad \mathbf{f}_i \in I, \mathbf{g}_i, \mathbf{g} \in R[t].$$

Replacing  $t \rightarrow 1/f$  and clearing denominators gives an equation

$$\mathbf{f}^r = \sum \mathbf{h}_i \mathbf{f}_i, \quad \mathbf{h}_i \in R$$

## Example

Let

$$R=k[x,y]/(y^2-2xy+x^3)$$
  
Set  $y_1=\overline{x}$  and  $S=k[y_1]\subset R$ 

Note that  $\overline{y}$  is integral over *S*, so *R* is integral over *S*. Finally,

$$S \simeq k[x]/(k[x] \cap (y^2 - 2xy + x^3)) = k[x]$$

### Example

• If 
$$R = k[x, y]/(xy + x + y)$$
, need a preparation: change variables  $x \to x_1$ ,  $y \to x_1 + y_1$ , so

$$xy + x + y \rightarrow x_1(x_1 + y_1) + x_1 + x_1 + y_1 = x_1^2 + x_1y_1 + 2x_1 + y_1$$

#### 2 Get the NN by choosing

$$S = k[y_1] \hookrightarrow R = k[x,y]/(xy+x+y).$$

# **Proof of NN**

Let *R* be a commutative ring and *B* a finitely generated *R*-algebra,  $B = R[x_1, ..., x_d]$ . The expression *Noether normalization* usually refers to the search-as effectively as possible-of more amenable finitely generated *R*-subalgebras  $A \subset B$  over which *B* is finite. This allows for looking at *B* as a finitely generated *A*-module and therefore applying to it methods from homological algebra or even from linear algebra. When R is a field, two such results are: (i) the classical *Noether normalization lemma*, that asserts when it is possible to choose A to be a ring of polynomials, or (ii) how to choose A to be a hypersurface ring over which B is birational. We review these results since their constructive steps are very useful in our discussion of the integral closure of affine rings.

# **Affine Rings**

Let  $B = k[x_1, ..., x_n]$  be a finitely generated algebra over a field k and assume that the  $x_i$  are algebraically dependent. Our goal is to find a new set of generators  $y_1, ..., y_n$  for B such that

$$k[y_2,\ldots,y_n] \hookrightarrow B = k[y_1,\ldots,y_n]$$

is an integral extension.

Let  $k[X_1, ..., X_n]$  be the ring of polynomials over k in n variables; to say that the  $x_i$  are algebraically dependent means that the map

$$\pi \colon k[X_1,\ldots,X_n] \to B, \quad X_i \mapsto x_i$$

has non-trivial kernel, call it *I*.

Assume that *f* is a nonzero polynomial in *I*,

$$f(X_1,\ldots,X_n)=\sum_{\alpha}a_{\alpha}X_1^{\alpha_1}X_2^{\alpha_2}\cdots X_n^{\alpha_n},$$

where  $0 \neq a_{\alpha} \in k$  and all the multi-indices  $\alpha = (\alpha_1, \ldots, \alpha_n)$  are distinct. Our goal will be fulfilled if we can change the  $X_i$  into a new set of variables, the  $Y_i$ , such that *f* can be written as a monic (up to a scalar multiple) polynomial in  $Y_1$  and with coefficients in the remaining variables, i.e.

$$f = aY_1^m + b_{m-1}Y_1^{m-1} + \dots + b_1Y_1 + b_0, \tag{1}$$

where  $0 \neq a \in k$  and  $b_i \in k[Y_2, \ldots, Y_n]$ .

We are going to consider two changes of variables that work for our purposes: the first one, a clever idea of Nagata, does not assume anything about k; the second one assumes k to be infinite and has certain efficiencies attached to it.

The first change of variables replaces the  $X_i$  by  $Y_i$  given by

$$Y_1 = X_1, \ Y_i = X_i - X_1^{p^{i-1}}$$
 for  $i \ge 2$ ,

where p is some integer yet to be chosen. If we rewrite f using the  $Y_i$  instead of the  $X_i$ , it becomes

$$f = \sum_{\alpha} a_{\alpha} Y_{1}^{\alpha_{1}} (Y_{2} + Y_{1}^{p})^{\alpha_{2}} \cdots (Y_{n} + Y_{1}^{p^{n-1}})^{\alpha_{n}}.$$
 (2)

Expanding each term of this sum, there will be only one term pure in  $Y_1$ , namely

 $a_{\alpha}Y_{1}^{\alpha_{1}+\alpha_{2}p+\cdots+\alpha_{n}p^{n-1}}.$ 

Furthermore, from each term in (2) we are going to get one and only one such power of  $Y_1$ . Such monomials have higher degree in  $Y_1$  than any other monomial in which  $Y_1$  occurs. If we choose  $p > \sup\{\alpha_i | a_{\alpha} \neq 0\}$ , then the exponents  $\alpha_1 + \alpha_2 p + \cdots + \alpha_n p^{n-1}$  are distinct since they have different *p*-adic expansions. This provides for the required equation.

If k is an infinite field, we consider another change of variables that preserves degrees. It will have the form

$$Y_1 = X_1, \ Y_i = X_i - c_i X_1 \text{ for } i \ge 2,$$

where the  $c_i$  are to be properly chosen. Using this change of variables in the polynomial f, we obtain

$$f = \sum_{\alpha} a_{\alpha} Y_{1}^{\alpha_{1}} (Y_{2} + c_{2} Y_{1})^{\alpha_{2}} \cdots (Y_{n} + c_{n} Y_{1})^{\alpha_{n}}.$$
 (3)

We want to make choices of the  $c_i$  in such a way that when we expand (3) we achieve the same goal as before, i.e. a form like that in (1). For that, it is enough to work on the homogeneous component  $f_d$  of f of highest degree, in other words, we can deal with  $f_d$  alone. But

$$f_d(Y_1,\ldots,Y_n) = h_0(1,c_2,\ldots,c_n)Y_1^d + h_1Y_1^{d-1} + \cdots + h_d,$$

where  $h_i$  are homogeneous polynomials in  $k[Y_2, ..., Y_n]$ , with deg  $h_i = i$ , and we can view  $h_0(1, c_2, ..., c_n)$  as a nontrivial polynomial function in the  $c_i$ . Since k is infinite, we can choose the  $c_i$ , so that  $0 \neq h_0(1, c_2, ..., c_n) \in k$ .
## **Theorem (Noether Normalization)**

Let k be a field and  $B = k[x_1, ..., x_n]$  a finitely generated k-algebra; then there exist algebraically independent elements  $z_1, ..., z_d$  of B such that B is integral over the polynomial ring  $A = k[z_1, ..., z_d]$ .

**Proof.** We may assume that the  $x_i$  are algebraically dependent. From the preceding, we can find  $y_1, \ldots, y_n$  in *B* such that

$$k[y_2,\ldots,y_n] \hookrightarrow k[y_1,\ldots,y_n] = B$$

is an integral extension, and if necessary we iterate.

## Corollary

Let *k* be a field and  $\psi$  :  $A \mapsto B$  a *k*-homomorphism of finitely generated *k*-algebras. If  $\mathfrak{P}$  is a maximal ideal of *B* then  $\mathfrak{p} = \psi^{-1}(\mathfrak{P})$  is a maximal ideal of *A*.

Proof. Consider the embedding

$$A/\mathfrak{p} \hookrightarrow B/\mathfrak{P}$$

of *k*-algebras, where by the preceding  $B/\mathfrak{P}$  is a finite dimensional *k*-algebra. It follows that the integral domain  $A/\mathfrak{P}$  is also a finite dimensional *k*-vector space and therefore must be a field.

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## Assignment #12

Do Problem #2 only

- Describe [with proofs] the prime spectrum of k[x, y], k a field.
- If *M* is a maximal ideal of  $R = \mathbb{R}[x, y]$ , prove that  $\dim_{\mathbb{R}} R/M$  is 1 or 2.

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## **Invertible Ideals**

Let *R* be an integral domain of field of fractions K. The ideals of *R* are part of an important class of *R*-submodules of K:

## Definition

A submodule *L* of **K** is fractionary if there is  $0 \neq d \in R$  such that  $dL \subset R$ .

- This means that  $L = d^{-1}Q$ , where Q is an ideal of R.
- **2** K is not fractionary, unless R = K.

The sum and the product of fractionary ideals is fractionary. Another operation is

Definition

The quotient of two fractionary ideals is

$$L_1: L_2 = \{x \in \mathbf{K} : xL_2 \subset L_1\}.$$

In particular

$$R: L = \{x \in \mathbf{K} : xL \subset R\}.$$

 $L_1$  is said to be invertible if there is a fractionary ideal  $L_2$  such that  $L_1 \cdot L_2 = R$ .

# **Invertible Ideals**

## Proposition

If L is an invertible ideal of R, then L is a finitely generated R-module.

### Proof.

The equality  $L \cdot L' = R$  means that there are  $x_i \in L$ ,  $y_i \in L'$ ,  $1 \le i \le n$ , such that

$$1 = x_1 y_1 + \cdots + x_n y_n.$$

Thus for any  $x \in L$ ,

$$x = (xy_1)x_1 + \cdots + (xy_n)x_n$$

which shows that  $L_1 = (x_1, \ldots, x_n)$  since all  $xy_i \in R$ .

## Example

Let  $R = \mathbb{Z}[\sqrt{-5}]$ ,  $I = (3, 2 + \sqrt{-5})$ . We claim that *I* is an invertible ideal. We will also see that *I* is not a principal ideal.

• 9 = 3 · 3 = 
$$(2 + \sqrt{-5})(2 - \sqrt{-5})$$
  
• Set  $J = (1, \frac{3}{2 + \sqrt{-5}})$   
•  $I \cdot J = (2 + \sqrt{-5}, 3, 2 - \sqrt{-5}) = (1) = R$ 

# **Local Rings**

## Proposition

If R is a local ring, then every invertible fractionary ideal is principal.

#### Proof.

Denote by  $\mathfrak{m}$  the unique maximal ideal of R. If L is invertible,  $L \cdot L' = R$ , in the equation

$$1 = x_1 y_1 + \cdots + x_n y_n,$$

some product, say  $x_1y_1 \notin \mathfrak{m}$ . This means that it is an invertible element of R. Thus, for any  $x \in L$ ,

$$x = (x_1y_1)^{-1}(y_1x)x_1,$$

that is  $L = Rx_1$ .

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# **Dedekind Domains**

These are important rings. The interest springs from their sources:

- Number Theory: Rings of algebraic numbers: If L is a finite extension of Q, R is the ring of elements of L integral over Z.
- Algebraic Geometry: (Case of plane curve)  $R = k[x, y]/(\mathbf{f}(x, y))$ , or its integral closure.

# **Dedekind Domains**

The formal definition is:

## Definition

The integral domain  $\mathfrak{D}$  is a Dedekind domain if every ideal is invertible.

- $\mathfrak{D}$  is a nice notation for D.D.'s, but we shall use plain R...
- The inverse of a fractionary ideal *L* is denoted *L*<sup>-1</sup> (it is unique).
- Of course every fractionary ideal will be invertible as well.
- If *R* is a Dedekind domain, it is Noetherian.
- Besides PID's, what are they like?

# **Properties of D.D.'s**

## Theorem

If R is a Dedekind domain then every nonzero prime ideal is maximal.

#### Proof.

We will argue by contradiction. Let  $P \subsetneq Q$  be distinct prime ideals. We are going to form the ring of fractions  $S = R_Q$  (Recall ...). *S* is a local ring and  $P_Q$  and  $Q_Q$  are distinct prime ideals. They are both invertible. Thus

$$P_Q = Sa \subsetneq Sb = Q_Q$$

with a = cb, and therefore  $c \in P_Q$  since  $b \notin P_Q$ . Thus

$$c=ra=b^{-1}a,$$

# **Factorization**

#### Theorem

Let R be a Dedekind domain. Then any nonzero ideal I has a unique factorization

$$I=P_1^{e_1}\cdots P_n^{e_n},$$

where the  $P_i$  are distinct prime idealas.

**Proof.** Since *R* is Noetherian, *I* has a primary decomposition

$$I=Q_1\cap\cdots\cap Q_n,$$

where the  $P_i = \sqrt{Q_i}$  are distinct maximal ideals.

We want to argue that the intersection is actually a product.

#### Definition

Two ideals J and L are co-maximal if J + L = R.

#### Lemma

If J and L are co-maximal ideals, then  $JL = J \cap L$ .

## Proof.

It is clear that  $JL \subset J \cap L$ . For the converse, let  $x \in J \cap L$ . Since J + L = R, there are  $a \in J$  and  $b \in L$  such that

$$1 = a + b$$
, hence  
 $x = xa + xb$ , with  $xa, xb \in J \cap I$ 

Now we apply this to  $I = Q_1 \cap L$ ,  $L = Q_2 \cap \cdots \cap Q_n$ . To see that  $Q_1$  and L are co-maximal, deny. Then  $Q_1 + L \subseteq M$  for some maximal ideal M. This ideal would contain  $\sqrt{Q_1}$  and  $Q_2 \cdots Q_n$ . Thus M would contain two other maximal ideals, a contradiction.

# Primary ideals

## Proposition

Let R be a Dedekind domain. If Q is a P-primary ideal, then  $Q = P^e$ , for some  $e \ge 1$ .

#### Proof.

Since the radical of Q is P, some power of P is contained in Q, say  $P^e \subseteq Q$ , with e as small as possible. If the containement is proper, we have

$$P^e \cdot Q^{-1} \subsetneq Q \cdot Q^{-1} = R.$$

Therefore we must have

$$P^e \cdot Q^{-1} \subseteq P$$
 and therefore  
 $P^{e-1} \subseteq Q$  which is a contradiction.

## Corollary

If R is a Dedekind domain, the nonzero fractionary ideals form a multiplicative group **G**, with the nonzero principal fractionary forming a subgroup **P**. The quotient  $\mathbf{G}/\mathbf{P}$  is called the class group  $\mathbf{C}(R)$  of R. R is a PID if and only if  $\mathbf{C}(R)$  is trivial.

# Remarks

- Recall that if *R* ⊂ *S* are rings, an element *u* ∈ *S* is integral over *R* if it satisfies a monic equation with coefficients in *R*, *u<sup>n</sup>* + *r*<sub>1</sub>*u<sup>n-1</sup>* + · · · + *r<sub>n</sub>* = 0, *r<sub>i</sub>* ∈ *R*.
- If every element of S that is integral over R already lies in R, R is said to be integrally closed in S.
- If *R* is a domain of field of fractions **K** and **L** is a finite extension of **K**, for any  $u \in \mathbf{L}$  there is an equation  $u^n + r_1u^{n-1} + \cdots + r_n = 0$ ,  $r_i \in \mathbf{K}$ . Let  $0 \neq d \in R$  such that  $dr_i \in R$  (*d* is a **common denominator** of the  $r_i$ .) Then  $d^nu^n + dr_1d^{n-1}u^{n-1} + \cdots + d^nr_n = 0$ ,  $r_i \in \mathbf{K}$ , showing that du is integral over *R*.

# Characterization of D.D.'s

### Theorem

Let R be an integral domain of field of fractions K. The following are equivalent:

- R is a Dedekind domain.
- R is a Noetherian ring in which every nonzero prime ideal is maximal and R is integrally closed in K.
- R is Noetherian and for each prime ideal P the localization R<sub>P</sub> is a PID.

We will check the equivalences:

$$(1) \Leftrightarrow (2) \Leftrightarrow (3)$$

## Some remarks on localization

• If *R* is an integral domain then

$$R = \bigcap_{P} R_{P}, \text{ all maximal ideals } P$$

Indeed, if x is contained in each  $R_P$ ,

$$x = a/b, \quad b \notin P,$$

the set (an ideal) of all elements d (denominators) such that  $dx \in R$  is not contained in any maximal ideal of R, so must be R.

If each *R<sub>P</sub>* is integrally closed, then their intersection will also be such: If *z* ∈ K is integral over *R*, it is also integral over the larger *R<sub>P</sub>*. Thus *z* ∈ *R<sub>P</sub>*.

# Characterization of a PID with a unique maximal ideal

## Proposition

Let R be a Noetherian domain with a unique nonzero prime ideal  $\mathfrak{m}$ . R is a PID if and only if R is integrally closed.

**Proof.** ETS that if *R* is integrally closed then  $\mathfrak{m}$  is invertible.

- Let  $0 \neq x \in \mathfrak{m}$ . Then the radical  $\sqrt{(x)}$  of (x) is  $\mathfrak{m}$ .
- Let *n* be the smallest integer such that m<sup>n</sup> ⊂ (x). Consider the product

 $(1/x)\mathfrak{m}^{n-1}\mathfrak{m}\subset R$ 

• If  $(1/x)\mathfrak{m}^{n-1}\mathfrak{m} = R$ ,  $\mathfrak{m}$  is invertible.

- If not,  $(1/x)\mathfrak{m}^{n-1}\mathfrak{m} \subset \mathfrak{m}$ .
- Recall the Cayley-Hamilton for modules: If *E* is a faithful, finitely generated *R*-module and *z* is an element of a larger ring such that *z* · *M* ⊂ *M*, then *z* is integral over *R*.
- This implies that (1/x)m<sup>n-1</sup> is integral over *R*, therefore is contained in *R*, since it is integrally closed, that is m<sup>n-1</sup> ⊂ (x), which contradicts the choice of *n*.

## **Taylor expansion**

It is useful to keep in mind the formula for the Taylor expansion of a polynomial f(x, y) around the point (a, b)Use the notation

$$b_{mn} = rac{\partial^{m+n}\mathbf{f}}{\partial^m x \partial^n y}(a,b)$$

$$f(x,y) = f(a,b) + b_{10}(x-a) + b_{01}(y-b) + 1/2(b_{20}(x-a)^2 + 2b_{11}(x-a)(y-b) + b_{02}(y-b)^2) + higher powers$$

## **Elliptic curve**

Let us first consider the following example,

$$R = \mathbf{C}[x, y]/(\mathbf{f}(x, y)), \quad \mathbf{f}(x, y) = y^2 - x(x - 1)(x - 2).$$

By the Nullstellensatz its maximal ideals are of the form  $M = (x - \alpha, y - \beta)$ , where  $\beta^2 - \alpha(\alpha - 1)(\alpha - 2) = 0$ . We claim that  $R_M$  is a PID. Write the polynomial  $\mathbf{f}(x, y)$  as a combination of  $x - \alpha$  and  $y - \beta$ 

$$\begin{aligned} \mathbf{f}(x,y) &= A(x,y)(x-\alpha) + B(x,y)(y-\beta) \\ \frac{\partial \mathbf{f}}{\partial x}(\alpha,\beta) &= A(\alpha,\beta) \\ \frac{\partial \mathbf{f}}{\partial y}(\alpha,\beta) &= B(\alpha,\beta) \end{aligned}$$

# Elliptic curve cont'd

If one of the partial derivatives is not zero at  $(\alpha, \beta)$ , in the ring R $\overline{A(x, y)}$  or  $\overline{B(x, y)}$  are not in M, therefore one or the other is a unit in  $R_M$  so that the maximal ideal  $MR_M$  is generated by  $\overline{y - \beta}$ or  $\overline{x - \alpha}$ :

$$\overline{\mathbf{f}(x,y)} = \mathbf{0} = \overline{\mathbf{A}(x,y)(x-\alpha)} + \overline{\mathbf{B}(x,y)(y-\beta)}$$

It is easy to check that the conditions always holds since the partial derivatives are 2y and (x-1)(x-x) + x(x-2) + x(x-1).

## **Volunteer please**

## Need someone to sketch the graph of the curve

$$y^2 = x(x-1)(x-2)$$

## **Geometric DD's**

Let  $\mathbf{f}(x, y) \in \mathbf{R} = \mathbb{C}[x, y]$  be an irreducible polynomial. The algebraic variety

$$V(\mathbf{f}) = \{(a,b) \in \mathbb{C} : \mathbf{f}(a,b) = \mathbf{0}\}$$

is called a (plane) curve.

- We know that every maximal ideal of C[x, y] is of the form M = (x − a, y − b), for a, b ∈ C
- Thus if f ∈ M is a combination of the polynomials, x − a and y − b, f = g(x − a) + h(y − b), so f(a, b) = 0
- Conversely, if f(a, b) = 0, writing the Taylor expansion of f(x, y) at a, b) we get

$$\mathbf{f}(x,y) = \sum_{m+n\geq 0} a_{mn}(x-a)^m(y-b)^n, \quad a_{mn}\in \mathbb{C}$$

showing  $\mathbf{f} \in (x - a, y - b)$ .

• So points in  $\mathbf{f} = 0$  and maximal ideals of  $R/(\mathbf{f})$  correspond.

Let us determine when R/(f) is a Dedekind domain. For that we define the ideal (Jacobian)

$$J(\mathbf{f}) = (\mathbf{f}, \frac{\partial \mathbf{f}}{\partial x}, \frac{\partial \mathbf{f}}{\partial y})$$

#### Theorem

 $R/(\mathbf{f})$  is a Dedekind domain iff  $J(\mathbf{f}) = (1)$ .

Note what this means, if (a, b) is a point of the curve,  $\mathbf{f}(a, b) = 0$ , that is  $\mathbf{f} \in M = (x - a, y - b)$ , but because the ideal  $J(\mathbf{f}) = (1)$ , either  $\frac{\partial \mathbf{f}}{\partial x}(a, b) \neq 0$  or  $\frac{\partial \mathbf{f}}{\partial y}(a, b) \neq 0$ . This means  $\mathbf{f}(x, y) = 0$  has a tangent at (a, b).

## Proof

- We are going to prove that for every maximal ideal *M* of  $R = \mathbb{C}[x, y]/(\mathbf{f})$ ,  $R_M$  is a PID. For that, by a previous result, it will be enough to prove that the maximal ideal  $MR_M$  is principal.
- Since *M* is generated by the cosets of x a and y b for (a, b) such that  $\mathbf{f}(a, b) = 0$ , it will be enough to show that x a is a multiple of y b in  $R_M$ , or vice-versa.
- We are going to make use of the fact that one of the partial derivatives  $\frac{\partial \mathbf{f}}{\partial x}(a,b)$  or  $\frac{\partial \mathbf{f}}{\partial y}(a,b)$  is nonzero.

## Proof cont'd

- Suppose  $\frac{\partial \mathbf{f}}{\partial x}(a, b) \neq 0$ . Let us write the Taylor expansion of  $\mathbf{f}(x, y)$  at (a, b) (using that  $\mathbf{f}(a, b) = 0$ .
- We collect first the terms in which *x a* appears alone

$$(x-a)[\frac{\partial \mathbf{f}}{\partial x}(a,b) + 1/2a_{2,0}(x-a) + \text{higher powers of } (x-a)]$$

+(y - b)[polynomial expression in x - a and y - b]

- Since this is the coset of **f**(*x*, *y*), it is zero.
- Note that the coefficient of *x* − *a*

$$\frac{\partial \mathbf{f}}{\partial x}(a,b) + 1/2a_{2,0}(x-a) + \text{higher powers of } (x-a)$$

is a sum of an invertible element (the derivative) plus an element of  $MR_M$ , so it is an invertible element of  $R_M$ .

 This shows that x – a is a multiple of y – b, and therefore MR<sub>M</sub> is a principal ideal.

# Creation of new D.D.'s

#### Theorem

Let R be a Dedekind domain of field of fractions K and let L a finite extension of K. The integral closure A of R in L is a Dedekind domain.

The main burden is to show that **A** is a Noetherian ring. We will give a proof in case **L** is a separable extension, when one has that **A** is a finitely generated *R*-module. To get that we replace **L** by **M** its split closure over **K**, and show that the integral closure **B** of *R* in **M** is a finitely generated *R*-module. Note that **A** is an *R*-submodule of **B**.

## Noetherianess of the integral closure

#### Theorem

Let R be an integrally closed Noetherian domain of field of fractions **K** and let **L** a finite Galois extension of **K**. The integral closure **A** of R in **L** is a Noetherian domain.

# Proof

- Let **G** be the Galois group of **L** over **K**. The **trace** is the function  $u \in \mathbf{L} \to \mathbf{T}(u) = \sum_{\sigma \in \mathbf{G}} \sigma(u)$ . Since the extension is Galois and  $\mathbf{T}(u)$  is fixed by **G**,  $\mathbf{T}(u) \in \mathbf{K}$ .
- If *u* is integral over *R*, there is an equation  $u^m + c_1 u^{m-1} + \cdots + c_m = 0$ , with  $c_i \in R$ . Thus for any  $\sigma \in \mathbf{G}$ ,  $\sigma(u)$  is also integral over *R* and therefore  $\mathbf{T}(u)$  is in **K** and integral over *R*, thus  $\mathbf{T}(u) \in R$  since *R* is integrally closed.
- Define the quadratic form S(u, v) = T(uv) on L. S is nondegenerate: If u ≠ 0 we cannot have T(uv) = 0 for all v, by the linear independence of automorphisms.

## Proof cont'd

- Let x<sub>1</sub>,..., x<sub>n</sub> be a basis of L over K. By multiplying the x<sub>i</sub> by nonzero elements of *R* we may assume that x<sub>i</sub> ∈ A.
- Let  $y_1, \ldots, y_n$  be a basis of **L** dual to the  $x_i$ , that is  $\mathbf{T}(x_i y_j) = \delta_{ij}$ .
- For *u* ∈ A, write *u* = *r*<sub>1</sub>*y*<sub>1</sub> + ··· + *r*<sub>n</sub>*y*<sub>n</sub>. Then
   T(*ux<sub>i</sub>*) = *r<sub>i</sub>*T(*x<sub>i</sub>y<sub>i</sub>*) = *r<sub>i</sub>*. Since T(*ux<sub>i</sub>*) ∈ *R*, this shows that A is contained in the finitely generated *R*-module *Ry*<sub>1</sub> + ··· + *Ry*<sub>n</sub>, and thus A is Noetherian as an *R*-module and hence a Noetherian ring as well.
## **Examples**

- The most famous example obtained in this fashion is Z[i]: Gaussian integers. It is the integral closure of Z in Q(i).
- The more general quadratic extension Q(√m), m a squarefree integer is easy to examine. z = a + b√m, a, b ∈ Q, is integral over Z iff 2a and a<sup>2</sup> b<sup>2</sup>m are integers. Thus a is an integer (and b is integer) or a is 1/2 integer and b also a 1/2 integer, depending on the residue class of m mod 4.

• If 
$$m = 3$$
,  $\mathbf{A} = \mathbb{Z}[\sqrt{3}]$ ; if  $m = 5$ ,  $\mathbf{A} = \mathbb{Z}[1/2 + 1/2\sqrt{5}]$ ; if  $m = -5$ ,  $\mathbf{A} = \mathbb{Z}[\sqrt{-5}]$ .

## Infinitely generated modules

### Theorem

Let R be a DD. Then any submodule of a free module is a direct sum of ideals.

Done already. Recall the idea:

**Proof.** Let *F* be a free module with basis  $\{e_i, i \in I\}$ , and suppose the index set *I* is well-ordered. For each  $i \in I$  set

$$F_i = \bigoplus_{j < i} Re_j,$$

with  $F_0 = 0$  and  $F_{i+1} = \bigoplus_{j \le i} Re_j$ .

For a submodule *M* of *F* each  $x \in M \cap F_{i+1}$  has a unique expression  $x = y + re_i$ , where  $y \in F_i$  and  $r \in R$ . If  $\phi_i : M \cap F_{i+1} \to R$  is defined by  $\phi_i(x) = r$ , there is a SES

$$0 \rightarrow M \cap F_i \longrightarrow M \cap F_{i+1} \longrightarrow I_i \rightarrow 0,$$

where  $I_i = \text{image } \phi_i$ .

### To make the point clear, suppose

$$F = Re_1 \oplus \cdots \oplus Re_{n-1} \oplus Re_n = F' \oplus Re_n$$

gives  $0 \to M \cap F' \longrightarrow M \longrightarrow I_n e_n \to 0$ , and therefore  $M \simeq I_n e_n \oplus M \cap F'$ . Now use induction. Same in general case: Since  $I_i$  is projective (as *R* is a D.D.), the sequence splits:  $M \cap F_{i+1} = (M \cap F_i) \oplus C_i, C_i \simeq I_i$ . We claim  $M = \bigoplus_i C_i$ . Same proof from now on

### **Torsion and Torsionfree Modules**

• Let *R* be an integral domain and *M* an *R*-module. The torsion submodule of *M* is the set

$$T(M) = \{ x \in M : rx = 0, \quad 0 \neq r \in R \}$$

- T(M) is a submodule of M. If T(M) = M, M is said to be a torsion module. If T(M) = 0, M is called torsionfree.
- T(M/T(M)) = 0, that is M/T(M) is torsionfree.
- A set  $\{x_1, \ldots, x_n\} \subset M$  is linearly independent if  $\sum_i r_i x_i = 0, r_i \in R$ , implies  $r_i = 0$ .
- The largest cardinality of the sets of linearly independent elements of *M* is the **torsionfree rank** of *M*.
- A nonzero ideal *I* of *R* has torsionfree rank 1: If  $0 \neq x, y \in I, xy yx = 0$  is a relation.

### Proposition

If M is a finitely generated torsionfree module of rank n, then there is an embedding

$$M \hookrightarrow R^n$$
.

#### Proof.

Let  $M = (y_1, \ldots, y_m)$  and let  $\{x_1, \ldots, x_n\}$  be a linearly independent set of elements of M.

For each  $y_i$ , we have a relation

$$c_j y_j + \sum_i a_{ij} x_i = 0, \quad c_j \neq 0$$

Let  $c = \prod_j c_j$  and consider the elements  $z_i = \frac{x_i}{c}$  of the module of fractions  $c^{-1}M$ . The  $z_i$  are linearly independent over R and each generator of M is contained in the free module

## Structure of finitely generated modules

#### Theorem

Let R be a Dedekind domain and M a finitely generated R-module. Then

$$M\simeq T\oplus P$$
,

where T is the torsion submodule of M and P = M/T is a projective R-module. Moreover:



**2**  $T \simeq R/I_1 \oplus \cdots \oplus R/I_m$ ,  $I_1 \subseteq \ldots \subseteq I_m$ , where the  $I_i$  are uniquely defined.

### Proof

- In the exact sequence  $0 \rightarrow T \longrightarrow M \longrightarrow M/T \rightarrow 0$ , P = M/T is torsionfree, so embeds into a finitely generated free *R*-module (**why?**).
- *P* is projective, so the sequence splits:  $M \simeq T \oplus P$ .
- *P* we know is isomorphic to a direct of ideals. One improves this to a direct sum of a free and **one** ideal. This ideal is unique up to isomorphism. We will describe it later: it is called the **determinant** of the module *M*.
- *T* is actually a module over a PID *S* derived from *R*.

# Outline

- 1) Rings in L.A.
- 2 Assignment #11
- **3** Hilbert Nullstellensatz
- 4 Noether Normalization
- 5 Assignment #12
- Invertible Ideals
- Dedekind Domains
- 8 Homework
- 9 Assignment #13
- Commutative Artinian Rings
- Assignment #14

### Homework

### Assume R is a D.D.

- Prove that for any two nonzero ideals *I* and *J* of *R*,  $I \oplus J \simeq R \oplus IJ$ .
- 2 Prove that any ideal *I* of a Dedekind domain can be generated by 1.5 elements, that is I = (a, b), with *a* being any nonzero element.
- 3 Prove that any submodule of  $R^n$  is isomorphic to  $R^r \oplus I$ , for some ideal *I*.
- (If we recall right) Prove that if *M* is a non-finitely generated submodule of a free module, then *M* is free.

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## Assignment #13

### Do Problem #3 only

- Let *R* be a D.D. and *P*<sub>1</sub>,..., *P<sub>n</sub>* a finite set of maximal ideals and *U* the complement of ∪<sub>*i*</sub> *P<sub>i</sub>*. Note that *U* is a multiplicative set. Prove that the ring of fractions
  S = U<sup>-1</sup>R is a D.D. with a finite number of maximal ideals.
- 2 If *R* is a D.D. and *I* is an ideal such that  $P_1, \ldots, P_n$  are the prime ideals of *V*(*I*), prove that for the ring of fractions *S* above, R/I = S/IS.
- Prove that a D.D. with finitely many primes is a PID.
- Prove that  $\mathbb{R}[\cos t, \sin t]$  is a Dedekind domain.

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## **Commutative Artinian Rings**

#### Definition

The ring R is Artinian if it has the descending chain condition for ideals.

Besides fields, or finite rings, the simplest [yet not so simple] examples are algebras that are finite dimensional vector spaces over a field K.

For non-commutative rings, this chain condition can be expressed in many forms [will explain later], but in the commutative case they just turn out to be a special type of Noetherian rings.

### **Elementary Properties**

- Every prime ideal *P* of a commutative Artinian ring *R* is maximal: The quotient *R*/*P* is a domain so ETS Artinian domains are fields. If *a* ≠ 0, the chain (*a*) ⊃ (*a*<sup>2</sup>) ⊃ ··· stabilizes at (*a<sup>n</sup>*) = (*a<sup>n+1</sup>*), therefore *a<sup>n</sup>* = *ra<sup>n+1</sup>* so 1 = *ra*, since the ring is a domain.
- *R* has only a finite number of maximal ideals: Let {*P*<sub>2</sub>, *P*<sub>2</sub>, ...} be distinct maximal ideals. Form the descending chain

$$P_1 \supset P_1 \cdot P_2 \supset P_1 \cdot P_2 \cdot P_3 \supset \cdots$$

that becomes stationary at

$$P_1 \cdot P_2 \cdots P_n = P_1 \cdot P_2 \cdots P_n \cdot P_{n+1}$$

Therefore  $P_{n+1}$  contains  $P_1 \cdot P_2 \cdots P_n$ , and thus  $P_{n+1} = P_i$ ,  $i \leq n$ .

### **Jacobson Radical**

#### Theorem

Let J be the intersection of all the maximal ideals of R. Then  $J^n = 0$  for some integer n.

#### Proof.

Consider the descending chain  $J \supset J^2 \supset \cdots$  that stabilizes at  $J^n = J^{n+1}$ . We claim that  $J^n = 0$ .

We argue by contradiction. Consider the set of nonzero ideals L such that  $J^n L \neq 0$ . Note that by assumption J is one such ideal. Choose a minimum ideal L with this property. Now, let  $x \in L$  such that  $J^n x \neq 0$ . This shows L = Rx by the minimality hypothesis and x = ax,  $a \in J^n$ . This implies (1 - a)x = 0 and therefore x = 0 since 1 - a is invertible, a contradiction.

## **Partition of the Unity**

If *R* is a commutative ring, a partition of the unity is an special decomposition of the form

$$R = J_1 + \cdots + J_n$$
,  $J_i$  ideals of  $R$ 

Suppose  $I_1, \ldots, I_n$  is a set of a ideals that is pairwise co-maximal, meaning  $I_i + I_j = R$ , for  $i \neq j$ . This obviously is a partition of the unikty.

Another arises from it [check!] if we set  $J_i = \prod_{i \neq i} I_j$ 

 $R = J_1 + \cdots + J_n$ ,  $J_i$  ideals of R

## **Chinese Remainder Theorem**

#### Theorem

If  $I_i$ ,  $i \le n$ , is a family of ideals that is pairwise co-maximal, then for  $I = I_1 \cap I_2 \cap \cdots \cap I_n$  there is an isomorphism

$$R/I \approx R/I_1 \times \cdots \times R/I_n.$$

**Proof.** Set  $J_i = \prod_{j \neq I_j}$ . Note that  $I_i + J_i = R$ . Since  $J_1 + \cdots + J_n = R$ , there is an equation

$$1 = a_1 + \cdots + a_n, \quad a_i \in J_i$$

Note that for each *i*,  $a_i \cong 1 \mod I_i$ . Define a mapping **h** from *R* to  $R/I_1 \times \cdots \times R/I_n$ , by  $\mathbf{h}(x) = (\overline{xa_1}, \dots, \overline{xa_n})$ . We claim that **h** is a surjective homomorphism of kernel *I*.

## Proof Cont'd

**1** Since 
$$a_i \cong 1 \mod I_i$$
,

$$\mathbf{h}(x) = (\overline{xa_1}, \dots, \overline{xa_n}) = (\overline{x}_1, \dots, \overline{x}_n)$$

which is clearly a homomorphism.

- 2 The kernel consists of the x such that  $\overline{x}_i = 0$  for each *i*, that is  $x \in I_i$  for each *i*-that is,  $x \in I$ .
- **③** To prove **h** surjective, for  $u = (\overline{x_1}, \ldots, \overline{x_n})$ , setting

$$x = x_1 a_1 + \cdots + x_n a_n$$

gives  $\mathbf{h}(x) = u$ .

## **Structure of Artinian Rings**

#### Theorem

Let *R* be a commutative Artinian ring, let  $\{P_1, \ldots, P_n\}$  be the set of its maximal ideals, *J* its Jacobson radical and *m* an integer such that  $J^m = 0$ . Then

$$R \approx R/P_1^m \times \cdots \times R/P_n^m.$$

Moreover each  $R/P_i^m$  is Noetherian.

We apply CRT to the set of ideals  $P_1^m, \ldots, P_n^m$  to obtain the decomposition. Now we must prove that each  $R/P_i^m$  is Noetherian. Note that  $S = R/P_i^m$  has a unique maximal ideal  $M = P_i/P_i^m$ , and that  $M^m = 0$ .

## Proof Cont'd

- Consider the chain of ideals  $R \supset M \supset M^2 \supset M^{m-1} \supset M^m = 0$ . To prove that *R* is Noetherian ETS each factor module  $M^i/M^{i+1}$  is Noetherian. [See last step]
- <sup>2</sup> We examine the factors  $M^i/M^{i+1}$ . This module is Artinian and is also annihilated by M. So it is actually an Artinian R/M-vector space, so must be finite dimensional, in particular it is a Noetherian module.
- So For example, suppose  $M^3 = 0$ .  $M^2$  is annihilated by M, so it is a R/M-vector space, so it is also a Noetherian R-module.
- Consider the exact sequence  $0 \rightarrow M^2 \rightarrow M \rightarrow M/M^2 \rightarrow 0$ . Both  $M^2$  and  $M/M^2$  are Noetherian, so *M* is Noetherian as well. The general case is similar.

## **Composition series**

#### Theorem

If R is a commutative Artinian ring then there exists a tower of ideals

$$0 = M_0 \subset M_1 \subset \cdots \subset M_n = R$$

such that for all *i*,  $M_i/M_{i-1} = R/P_i$  for some prime ideal  $P_i$ .

Proof. Left to reader.

## Pop Quiz

### Prove:

#### Theorem

Let **K** be a finite extension of  $\mathbb{Q}$  and denote by **A** the integral closure of  $\mathbb{Z}$  is **K**. Then for every  $0 \neq n \in \mathbb{Z}$ , **A**/n**A** is a finite ring.

Relate  $|\mathbf{A}/n\mathbf{A}|$  to *n* and dim<sub>Q</sub> **K**.

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### Assignment #14

Let *R* be a finitely generated algebra over the field **K** (that is, *R* is a homomorphic image of a polynomial ring in finitely many variables over **K**). Prove that if *R* is Artinian, then dim<sub>K</sub> *R* < ∞.</li>