## **Math 300–03**

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Set 5

Fall 2008

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# **Outline**

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### <span id="page-1-0"></span>**[Final Orientation](#page-60-0)**

Let us begin by introducing a method to size sets. If *A* and *B* are two sets we will use functions

$$
f: A \rightarrow B
$$

to compare their sizes.

#### **Definition**

For pair of sets  $(A, B)$  we write  $A \approx B$  if there is a function  $f : A \rightarrow B$ that is both **one-to-one** and **onto**.

## **Recall**

- **• f** one-to-one: If  $x \neq y \Rightarrow f(x) \neq f(y)$
- **•** In particular if **f** :  $A \rightarrow B$  and **g** :  $B \rightarrow C$  are one-to-one

$$
x \neq y \Rightarrow f(x) \neq f(y) \Rightarrow g(f(x)) \neq g(f(y)),
$$

so **g** ◦ **f** is one-to-one.

- **f onto**: ∀*b* ∈ *B* ∃*x* ∈ *A* : **f**(*x*) = *b*
- **•** In particular if **f** :  $A \rightarrow B$  and **g** :  $B \rightarrow C$  are onto

 $∀c ∈ C ⊂b ∈ B : g(b) = c ⊂a ∈ A : f(a) = b.$ 

Thus  $\mathbf{q}(\mathbf{f}(a)) = \mathbf{q}(b) = c$  and so  $\mathbf{q} \circ \mathbf{f}$  is onto.

### **Proposition**

≈ *is an equivalence relation.*

**Proof.** Let us verify the requirements:

- **1** (reflexivity)  $A \approx A$ : because  $I_A : A \rightarrow A$  is one-to-one onto.
- **2** (symmetry)  $A \approx B \Rightarrow B \approx A$ : because if  $f : A \rightarrow B$  is one-to-one onto then  $\mathbf{f}^{-1}:B\to A$  is one-to-one onto.
- **3** (transitivity) If  $A \approx B$  and  $B \approx C$  then  $A \approx C$ : because if **f** :  $A \rightarrow B$ is one-to-one onto and  $\mathbf{q} : B \to C$  is one-to-one onto then **g** ◦ **f** : *A* → *C* is one-to-one onto.

#### **Definition**

The **equivalence class** of *A* is called the **cardinality** of *A*, card (*A*).

Let *E* be the set of even numbers,

$$
E=\{2,4,\ldots,2n,\ldots\}
$$

The function **f** :  $\mathbb{N} \to E$ , given by **f**(*n*) = 2*n*, gives a one-to-one & onto correspondence between the sets N and *E*.

We write this as card  $(E) = \text{card}(\mathbb{N})$ : There are as many even numbers as natural numbers...

### **Definition**

Two sets *A* and *B* are **equivalent** iff there exists a one-to-one function from A onto B, and denote  $A \approx B$ .

**Example:** The set *E* of even numbers is equivalent to the set *O* of odd numbers:

$$
f:E\to O,\quad f(2n)=2n-1,\quad n\in\mathbb{N}.
$$

#### **Theorem**

*For a, b, c, d*  $\in$  *N, with a*  $\lt$  *b and c*  $\lt$  *d, the open intervals* (*a, b*) *and* (*c*, *d*) *are equivalent.*

**Proof.** Let **f** be the linear function

$$
f(x) = \frac{d-b}{c-a}(x-a)+c.
$$

We must show that  $f : (a, b) \rightarrow (c, d)$  is one-to-one and onto.

In some cases, [the case above included], it is possible to build **f** <sup>−</sup><sup>1</sup> by solving the equation for *x*

$$
f(x) = y
$$
,  $x = f^{-1}(y)$ .

$$
y=\frac{d-b}{c-a}(x-a)+c,
$$

gives

$$
x-a=\frac{c-a}{d-b}(y-c)
$$

$$
x = f^{-1}(y) = \frac{c-a}{d-b}(y-c) + a
$$

 $(0,\infty) \approx [0,\infty)$ 

Split  $(0, \infty)$  and  $[0, \infty)$  as follows

$$
(0, \infty) = (0, 1) \cup \{1\} \cup (1, 2) \cup \{2\} \cup (2, 3) \cup \{3\} \cup \cdots
$$
  

$$
\{0\} \cup (0, \infty) = \{0\} \cup (0, 1) \cup \{1\} \cup (1, 2) \cup \{2\} \cup (2, 3) \cup \cdots
$$

Define the function

$$
f(n) = n-1
$$
  

$$
f(x) = x
$$

for all other *x*.

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As a challenge, prove

**Theorem**

*For a, b*  $\in \mathbb{R}$ *, with a*  $<$  *b, the intervals* (*a, b*) *and* [*a, b*] *are equivalent.* 

**Claim:** Let  $F$  be the set of functions from  $\mathbb N$  to the set of two elements  $\{0, 1\}$ . Then  $\mathcal{F} \approx \mathcal{P}(\mathbb{N})$ , the power set of N. Define the correspondence

$$
\textbf{F}: \mathcal{F} \rightarrow \mathcal{P}(\mathbb{N}), \quad \textbf{F}(\textbf{g}) = \{x \in \mathbb{N} : \textbf{g}(x) = 1\}.
$$

- **<sup>1</sup>** One-to-one: If **f** and **g** are different functions, then there is *x* ∈ N so that  $f(x) \neq g(x)$ . This means one of these values is 1, the other is 0. Thus the sets **F**(**f**) and **F**(**g**) different.
- **2** Onto: Let *A* be a subset of N. Let  $\chi_A$  be the characteristic function of *A* (someone recalls?)  $\chi_A(x) = 1$  if  $x \in A$  and 0 otherwise. Note that  $\mathbf{F}(\chi_{\mathbf{A}}) = \mathbf{A}$ .

### **Theorem**

*Suppose A, B, C and D are sets and A*  $\approx$  *C and B*  $\approx$  *D. Then* 

**1**  $A \times B \approx C \times D$ .

**<sup>2</sup>** *If A and B are disjoint and C and D are disjoint, then*  $A \cup B \approx C \cup D$ .

**Proof.** Let  $f : A \to C$  and  $g : B \to D$  be one-to-one and onto functions.

- **1** Let **h** :  $A \times B \rightarrow C \times D$  be given by **h** $(a, b) = (f(a), g(b))$ . It is easy to verify that **h** is one-to-one and onto.
- **2** We can glue the functions **f** and **g**:  $f \cup g : A \cup B \rightarrow C \cup D$ , so that if *a* ∈ *A*,  $(f ∪ g)(a) = f(a)$ , while if *b* ∈ *B*,  $(f ∪ g)(b) = g(b)$ . Again, it is clear that **f** ∪ **g** is one-to-one and onto.

Those rules extend to other products and sums:

#### **Theorem**

*Suppose*  $A_1, A_2, \ldots, A_n$  *and*  $C_1, C_2, \ldots, C_n$  *are two families of sets and for all i,*  $A_i \approx C_i$ *. Then* 

$$
A_1 \times A_2 \times \cdots \times A_n \approx C_1 \times C_2 \times \cdots \times C_n.
$$

**<sup>2</sup>** *If the A<sup>i</sup> are disjoint and the C<sup>i</sup> are disjoint, then*  $A_1$  ∪  $A_2$  ∪ · · · ∪  $A_n \approx C_1$  ∪  $C_2$  ∪ · · · ∪  $C_n$ .

The proof earlier will work. Even works for arbitrary collections of sets.

## **Finite and Countable Sets**

We use the following notation:

$$
\mathbb{N}_n = \{1,2,\ldots,n\} \subset \mathbb{N}
$$

and the following terminology

### **Definition**

A set *S* is **finite** if  $S = \emptyset$  or  $S \approx \mathbb{N}_k$  for some natural number  $k$ . A set  $S$ is **infinite** if *S* is not finite.

The attending class today is finite, since we can set a correspondence between it and some  $\mathbb{N}_k$  ( $k \leq 18$ ).

### **Definition**

Let *S* be a finite set. If *S* ≈ N*<sup>k</sup>* , *k* ∈ N, we say that *S* has **cardinal number** *k* (or **cardinality** *k*), and write  $\overline{S} = k$ . If  $S = \emptyset$  we say that *S* has **cardinal number** 0 (or **cardinality** 0) and write  $\overline{\emptyset} = 0$ .

### **Definition**

### A set *A* is said to be **countable**, or **denumerable**, if  $A \approx \mathbb{N}$ :

 $f \cdot \mathbb{N} \rightarrow A$ 

 $A = \{f(1), f(2), \ldots, \}$ .

We write that card  $(A) = \text{card}(\mathbb{N}) = \aleph_0$ , and say that A has **cardinal number**  $\aleph_0$  and write  $\overline{A} = \aleph_0$ .

**Warning about Terminology:** The correct usage is to call a set **countable** if it is equivalent to N or finite. We abuse this often by the definition above.

**Exercise:** If card  $(A)$  is countable and  $B \subset A$ , then *B* is countable or finite.

It is obviously a tricky thing to determine the cardinality of sets, particularly of infinite sets. Let us get our hands busy!

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## **Pre-Exercise, i.e. a Warm-up**

**Question:** Why/How can we list a subset *A* of the natural numbers N?

- **1** If  $A = \emptyset$ , there is nothing to do.
- **<sup>2</sup>** If *A* is not empty, let *a*<sup>1</sup> be the smallest element of *A*. (someone: why can we do this?)
- **3** Let  $A_1 = A \setminus \{a_1\}$ . If  $A_1 = \emptyset$  we are done; otherwise let  $a_2$  be its smallest element.
- **4** Let  $A_2 = A \setminus \{a_1, a_2\}$ . If  $A_2 = \emptyset$  we are done; otherwise let  $a_3$  be its smallest element.
- **<sup>5</sup>** In this manner we list the elements of *A*:

 $a_1$ ,  $a_2$ ,  $a_3$ ,  $\cdots$ 

**<sup>6</sup>** If the list stops at *an*, we have a one-to-one correspondence **f** : {1,2, . . . , n}  $\to$  A, **f**(*i*) =  $a_i$ , *i*  $\leq$  n.

**<sup>7</sup>** Otherwise we have a one-to-one correspondence **f** : N → *A*, **f**(*i*) =  $a_i$ , *i*  $\in \mathbb{N}$ .

### The set  $N \times N$  is countable: Let define a one-one function

$$
f:\mathbb{N}\times\mathbb{N}\to\mathbb{N}
$$

Define

$$
f(m,n)=2^m3^n
$$

By the unique factorization on integers,

$$
2^m 3^n = 2^p 3^q \Rightarrow m = p \quad n = q,
$$

which proves the claim that **f** is injective.

**Exercise:** Use the infinity of prime numbers to show that the set **X** of all infinite tuples  $(x_1, x_2, x_3, \ldots), x_i \in \mathbb{N}$ , such that all  $x_i = 0$  except for finitely many exceptions is countable.

Let *P* be the set of primes,  $P = \{p_1, p_2, p_3, \ldots, p_n, \ldots\}.$ 

Now define the function **f** :  $X \rightarrow \mathbb{N}$  by the rule

$$
f(x_1, x_2, \ldots, x_n, \ldots) = p_1^{x_1} p_2^{x_2} \cdots p_n^{x_n} \cdots
$$

**f** is well-defined because almost all *x<sup>i</sup>* are 0. **f** is one-to-one by the unique factorization of integers by primes.

### In one of our examples weeks back, we considered the function

 $f: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N},$ 

given by

$$
f(m,n)=2^{m-1}(2n-1).
$$

We proved that **f** is one-to-one & onto.

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## **<sup>2</sup>** 5.1: 3(a, i, n), 6(b), 17(a,b), 20(b)

#### **Theorem**

*Let n, r*  $\in$  N*. If* **f** : N<sub>*n*</sub>  $\rightarrow$  N<sub>*r*</sub> *and n*  $>$  *r then* **f** *is not one-to-one.* 

We prove this by induction on *n*.

- **1** If  $n = 2$ , since  $r < n$ ,  $r = 1$ . In this case **f** is a constant function,  $f(1) = f(2) = 1$ , so **f** is not one-to-one.
- **<sup>2</sup>** Suppose the Pigeonhole Principle holds for all *r* < *n*. We argue by contradiction. Suppose  $r < n+1$  and  $\textbf{h} : \mathbb{N}_{n+1} \rightarrow \mathbb{N}_r$  is one-to-one. The restriction  $h_0$  of **h** to  $N_n$  is one-to-one. Furthermore the range of this function does not contain **h**(*n* + 1) **Why Someone?**
- **3** There is a one-to-one function  $\mathbf{g} : \mathbb{N}_r \setminus \{\mathbf{h}(n+1)\} \to \mathbb{N}_{r-1}$ . Let **f** = **g** ∘ **h**<sub>0</sub>. Thus **f** :  $\mathbb{N}_n \rightarrow \mathbb{N}_{r-1}$  is one-to-one since it is the composite of one-to-one functions. Thus is a contradiction of the induction hypothesis.
- **<sup>4</sup>** By the **PMI**, for every *n* ∈ N if *r* < *n* there is no one-to-one function from  $\mathbb{N}_n$  to  $\mathbb{N}_r$ .

**5.1, 20(a)**: Prove that if five points are in or on a square with sides of **3. I, 20(a)**. Frove that if live points are in or on a square with slue<br>length 1, then at least two points are no farther apart than  $\sqrt{2}/2$ .

For instance, if 4 points are chosen at the vertices then the fifth point must be chosen in one of the 4 triangles determined by the center. The distance of that point to one of the corner points is at most *sqrt*2/2.

**Solution:** To use the Pigeonhole Principle, split the square into 4 squares of sides of length 1/2. According to the Pigeonhole Principle, we would have to put at least two points in the same little square: they we would have to put at least two poir<br>could not be further apart than  $\sqrt{2}/2$ .

• Let *A* be a finite set, that is  $A \approx \mathbb{N}_n$  for some *n*. If  $A \approx \mathbb{N}_m$  then  $m = n$ .

**Proof:** The first hypothesis means: There is  $f : \mathbb{N}_n \to A$  that is one-to-one. The second hypothesis means: There is  $h : A \rightarrow \mathbb{N}_m$ that is one-to-one. It follows that

 $h \circ f : \mathbb{N}_n \to \mathbb{N}_m$ 

is one-to-one. Therefore *n* ≥ *m*. Reverse the roles of *m* and *n* to get  $m > n$ . Thus  $m = n$ .

### **Corollary**

*A finite set is not equivalent to any of its proper subsets.*

**Proof:** We first show that the set  $\mathbb{N}_k$  is not equivalent to any of its proper subsets.

If  $k = 1$ , the only proper subset of  $\mathbb{N}_k$  is  $\emptyset$  and  $\{1\}$  is not equivalent to ∅. Assume *k* > 1 and *A* is a proper subset of N*<sup>k</sup>* and **f** : N*<sup>k</sup>* ≈ *A* is one-to-one onto.

There are two cases to consider:

**•** If  $k \notin A$ , then  $A \subset \mathbb{N}_{k-1}$ , and the inclusion function  $i : A \to \mathbb{N}_{k-1}$  is one-to-one. But then the composite  $i \circ f : \mathbb{N}_k \to \mathbb{N}_{k-1}$  would be one-to-one, violating the Pigeonhole Principle.

 $\mathsf{Suppose}\; \mathsf{k} \in \mathsf{A}.$  Choose  $\mathsf{y} \in \mathbb{N}_{\mathsf{k}} \setminus \mathsf{A}.$  Let  $\mathsf{A}' = (\mathsf{A} \setminus \{\mathsf{k}\}) \cup \{\mathsf{y}\}.$ Then  $A \approx A'$  as we simply exchanged  $k$  by  $y$  in  $A$ . Thus  $A' \approx \mathbb{N}_k$ . From the previous case we get a contradiction.

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### **Theorem**

*The sets* Z *and* Q *are countable.*

We must establish one-one & onto correspondences between  $\mathbb N$  and each of these sets. In other words, we must describe  $\mathbb Z$  and  $\mathbb Q$  as long lists

 ${f(1), f(2), \ldots,}.$ 

For  $\mathbb Z$ , this is very easy

$$
\mathbb{Z}=\{0,\pm 1,\pm 2,\ldots,\pm n,\ldots\}
$$

for example,  $0 = f(1)$ ,  $23 = f(46)$ ,  $-55 = f(111)$ . If we cared, **f** can even be made explicit.

A list description of  $\mathbb Q$  is not much different. Each  $x \in \mathbb Q$ , can be written uniquely as

$$
x=\pm\frac{p}{q}\quad|\quad p\geq 0, q>0
$$

 $gcd(p, q) = 1$  when  $q \neq 0$ . Define the finite subsets of  $\mathbb{Q}, A_0 = \{0\},$  for *n* ≥ 1

$$
A_n=\left\{\pm \frac{p}{q} \quad | \quad p+q=n\right\}.
$$

$$
A_{10} = \{\pm 1/9, \pm 3/7\}
$$

$$
\mathbb{Q}=A_0\cup A_1\cup A_2\cup\cdots\cup A_n\cup\cdots
$$

is a disjoint union of finite sets. Listing the elements of each *A<sup>n</sup>* gives a desired listing for  $\mathbb Q$ . A more general argument is the following:

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#### **Theorem**

# *If the sets A<sub>i</sub>,*  $i \geq 1$ *, are countable, then*  $A = \bigcup_{i=1}^{\infty} A_i$  *is countable.*

**Proof.** Here is a way to list the elements of *A*. Since the *A<sup>i</sup>* are countable, each comes with an injective mapping  $\mathbf{f}_i: \mathcal{A}_i \rightarrow \mathbb{N}.$ We are going to define an injective mapping from A into the set  $N \times N$ . (By a previous exercise  $\mathbb{N} \times \mathbb{N}$  is countable.) If  $x \in A$ , *x* belongs to some *A<sup>i</sup>* and thus there exists an integer *m* such that

$$
x\in A_m, \quad x\notin A_i, \quad i
$$

Define  $f : A \to \mathbb{N} \times \mathbb{N}$  by the rule:

$$
\mathbf{f}(x)=(m,\mathbf{f}_m(x)).
$$

To verify that **f** is one-one we check:

$$
f(x)=f(y)
$$

means

 $(m, \mathbf{f}_m(x)) = (n, \mathbf{f}_n(y))$ 

and thus

 $x \& y \in A_m = A_n$ 

and therefore

$$
\mathbf{f}_m(x)=\mathbf{f}_m(y)
$$

implies that

*x* = *y*

since  $f_m$  is one-one.  $\Box$ 

#### **Theorem**

# *If the sets*  $A_i$ *,*  $i \geq 1$ *, are countable, then*  $A = \bigcup_{i=1}^{\infty} A_i$  *is countable.*

 $A_1$  : - $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\bullet \longrightarrow \bullet$ - $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\bullet \longrightarrow \bullet$ - $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$ · · ·  $A_2$  : , • - $\frac{1}{2}$  $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{a}$ • <sup>V</sup>  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$ • A -- $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\overline{a}$ • <sup>V</sup>  $\overline{\mathcal{L}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{a}$  $\bullet$   $\cdots$  $A_3$  :  $\overline{\Lambda}$ 7  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$ • <sup>V</sup>  $\frac{1}{2}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$ •  $\overline{\Lambda}$ ľ  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$ • <sup>V</sup>  $\frac{1}{2}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$ • • · · ·  $A_4$ : , •  $\overline{\Lambda}$ ľ  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$ • - $\frac{1}{2}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{a}$  $\bullet$   $\bullet$   $\bullet$   $\bullet$   $\cdots$  $A_5$ :  $\overline{\phantom{a}}$ - $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  $\overline{a}$  $\overline{\phantom{a}}$  $\bullet$   $\bullet$   $\bullet$   $\bullet$   $\bullet$   $\bullet$   $\bullet$   $\cdots$ 

**Proof.** Here is a beautiful way to list the elements of *A*:

**Exercise:** Prove that the set **A** of finite subsets of N is countable.

**Solution:** Let **A***<sup>n</sup>* be the subset of **A** made up of subsets of N with *n* elements. Note that  $A_0 = \{ \emptyset \}$  is not the empty set! and that

$$
\mathbf{A} = \bigcup_{n \geq 0} \mathbf{A}_n.
$$

To apply the theorem above, we prove that each **A***<sup>n</sup>* is countable. There are various ways to do it.

• The set of *n*-tuples of natural numbers

$$
\mathbb{N}^n = \{ (a_1, \ldots, a_n) \mid a_i \in \mathbb{N} \}
$$

is countable, by the theorem.

The set **A***<sup>n</sup>* is on a 1-1 correspondence with the *n*-tuples

$$
\{(a_1,\ldots,a_n) \mid a_1 < a_2 < \cdots < a_n\}
$$

so **A***<sup>n</sup>* is countable.

### **Definition**

A set *S* is **uncountable** if it is neither finite nor denumerable.

**Question:** Are there such sets?

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### <span id="page-37-0"></span>**[Final Orientation](#page-60-0)**

Let us visit, if briefly, the garden universe that Cantor created for us. It was the first great theory of **infinities**, and has had a profound influence on Mathematics.

It helped that his constructions and proofs [sometimes the same thing] were often beautiful, if not even great fun.

We will touch on two of them.

### **Theorem (Cantor's Proof)**

*The interval* (0, 1) *is not countable.*

**Proof.** It will suffice to show that the open interval  $(0, 1)$  is not countable. We are going to represent its elements as infinite decimals  $x = 0.a_1a_2a_3 \cdots a_n \cdots$  We are going to assume, by way of contradiction, that we can list them:

> $x_1 = 0.\mathbf{a}_{11}a_{12}a_{13}a_{14}\cdots$  $x_2 = 0.a_{21}a_{22}a_{23}a_{24} \cdots$  $x_3 = 0.a_{31}a_{32}a_{33}a_{34} \cdots$  $x_4 = 0. a_{41} a_{42} a_{43} a_{44} \cdots$ . . . . . .

We are going, by focusing on the diagonal entries *ann*, give an element  $x \in (0, 1)$  that is not listed.

Define the integer

$$
b_n = \left\{ \begin{array}{ll} 2 & \text{if } a_{nn} \neq 2 \\ 3 & \text{if } a_{nn} = 2 \end{array} \right.
$$

Set  $x = 0.b_1b_2b_3b_4 \cdots b_n \cdots$ . Note that *x* differs from  $x_n$  at the *n* decimal position. So *x* is not listed.

### **Definition**

A set *S* has **cardinality** *c* iff *S* is equivalent to the open interval (0, 1); we write card  $(S) = c$ .

#### **Theorem**

*The set*  $\mathbb R$  *is uncountable and has cardinality* **c***.* 

#### **Proof.**

Define **f** : (0, 1)  $\rightarrow \mathbb{R}$  by **f**(*x*) = tan( $\pi x - \pi/2$ ). Look at the graph:

# tan( $\pi x - \pi/2$ ) : (0, 1)  $\approx \mathbb{R}$



## **Exercise**

**Claim:**  $(0, 1) \times (0, 1) \approx (0, 1)$ , that is the interior of the unit square is equivalent to  $(0, 1)$ . Another form;  $\mathbb{R} \times \mathbb{R} \approx \mathbb{R}$ . An element  $(a, b) \in (0, 1) \times (0, 1)$  can be described as

> $a = 0.a_1 a_2 a_3 ... a_n ...$  $b = 0.b_1b_2b_3...b_n...$

Define the function  $f(a, b) = c \in (0, 1)$  by

$$
c = 0.a_1b_1a_2b_2\ldots a_nb_n\ldots
$$

**f** is one-to-one and onto.

If **X** is a set, the collection of its subsets is called the **power set** of **X**: notation *P*(**A**). If  $X = \{0, 1\}$ , its subsets are

$$
P(\mathbf{X}) = \{\emptyset, \{0\}, \{1\}, \{0, 1\}\}.
$$

One way to represent a subset  $A \subset \mathbf{X}$  is as a function

$$
\bm{f}_A: \bm{X} \rightarrow \{0,1\}
$$

$$
f_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}
$$

This leads to the notation  $P(X) = 2^X$ .

If  $X = \{x_1, \ldots, x_n\}$ , we can also represent its subsets by ordered strings of 0's and 1's as follows:

$$
A \leftrightarrow (a_1, a_2, \ldots, a_n)
$$

$$
a_i = \left\{ \begin{array}{ll} 1 & \text{if } x_i \in A \\ 0 & \text{if } x_i \notin A \end{array} \right.
$$

This shows that

$$
\mathrm{card}\left(P(\bm{X})\right)=2^{\mathrm{card}\left(\bm{X}\right)}=2^n
$$

Prove the following statements:

- All circles of positive radius are equivalent.
- The circle  $(x^2 + (y 1/2)^2 = 1/4$  is equivalent to R.



# **Outline**

## **[Cardinality](#page-1-0)**

- **[Homework #12](#page-20-0)**
- **[Infinite Sets](#page-28-0)**
- **[Cantor's Universe](#page-37-0)**
- **[Homework #13](#page-48-0)**
- **[The Ordering of Cardinal Numbers](#page-50-0)**

### <span id="page-48-0"></span>**[Final Orientation](#page-60-0)**

## **<sup>1</sup>** 5.1: 3(a, i, n), 6(b), 17(a,b), 20(a) **<sup>2</sup>** 5.2: 1(g), 5(a, d, e), 10

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# **Outline**

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### <span id="page-50-0"></span>**[Final Orientation](#page-60-0)**

# **The Ordering of Cardinal Numbers**

The following shows how to build larger infinities from given ones.

#### **Theorem**

*Given a set* **X** *there is no function*  $f : X \rightarrow P(X)$  *that is onto.* 

**Proof.** Suppose **f** is such a function: For each *a* ∈ **X**, **f**(*a*) is a subset of **X** and any subset is a target. Let us build a subset that is not a target.

For each  $a \in \mathbf{X}$ ,  $a \in \mathbf{f}(a)$  or  $a \notin \mathbf{f}(a)$ . Define the subset

$$
B = \{a \in \mathbf{X} \mid a \notin f(a)\}
$$

By assumption,  $B = f(x)$  for some  $x \in \mathbf{X}$ .

Now look how cool:

 $x \in f(x) = B$ , contradicts the definition of *B*, while  $x \notin f(x) = B$ , would make  $x \in B$ , by the definition of *B*.

### A consequence of Cantor's Theorem is to provide chains of increasing cardinals:

$$
\aleph_0=\overline{\overline{\mathbb{N}}}<\overline{\overline{\mathcal{P}(\mathbb{N})}}<\overline{\overline{\mathcal{P}(\mathcal{P}(\mathbb{N}))}}<\overline{\overline{\mathcal{P}(\mathcal{P}(\mathbb{N})))}}<\cdots
$$

The cardinality of  $\mathbb N$  is  $\aleph_0$ , while we have just proved that

$$
\aleph_1 = \operatorname{card}(\mathcal{P}(\mathbb{N})) \neq \operatorname{card}(\mathbb{N})
$$

We have two infinite sets with well-understood cardinalities larger that  $\aleph_0$ :  $\mathcal{P}(\mathbb{N})$  and  $\mathbb R$  which has cardinality **c**. One of the most famous unsolved problems of Mathematics is: True or False

Continuum Hypothesis:  $\mathcal{P}(\mathbb{N}) \approx \mathbb{R}$ 

### **Theorem**

If 
$$
\overline{\overline{A}} \le \overline{\overline{B}}
$$
 and  $\overline{\overline{B}} \le \overline{\overline{A}}$ , then  $\overline{\overline{A}} = \overline{\overline{B}}$ .







# **Outline**

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### <span id="page-60-0"></span>**[Final Orientation](#page-60-0)**

Final will be comprehensive but topics will be emphasized according to the folliowing classification:

- **VITs: Very Important Topics**
- **BITs: Basic Important Topics**
- **LITs: Basic but Less Important Topics**
- **•** Propositions, Truth tables
- **Basic Methods of Proof**
- Mathematical Induction (PMI, PCI, Well-Ordering)
- Relations, Equivalence Relations, Classes of
- Functions: Ingridients and Important Types (1-1, onto)
- **•** Cardinality
- **•** Finite, Countable and Uncountable Sets
- Logical connectives, quantifiers
- Set Theory/Operations
- **Principles of Counting**
- More relations, Partitions
- Constructions of Functions
- **Functions from Calculus**
- **•** Review homework
- **•** Graphs
- Names to recall: Venn, Fibonacci, Cantor
- **•** Examples in slides
- $\bullet$
- $\bullet$
- <span id="page-64-0"></span> $\bullet$  $\bullet$