

Nilpotent orbits in semisimple Lie algebras

Gautam Gopal Krishnan

Rutgers University

October 31, 2022

- $GL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc \neq 0 \right\}$

- $GL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc \neq 0 \right\}$
- $SL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc = 1 \right\}$

- $GL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc \neq 0 \right\}$
- $SL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc = 1 \right\}$
- $\mathfrak{sl}_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, a + d = 0 \right\}$

- $GL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc \neq 0 \right\}$
- $SL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc = 1 \right\}$
- $\mathfrak{sl}_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, a + d = 0 \right\}$
- Consider the conjugation action of $GL_2(\mathbb{C})$ on $\mathfrak{sl}_2(\mathbb{C})$.

- $GL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc \neq 0 \right\}$
- $SL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc = 1 \right\}$
- $\mathfrak{sl}_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, a + d = 0 \right\}$
- Consider the conjugation action of $GL_2(\mathbb{C})$ on $\mathfrak{sl}_2(\mathbb{C})$.

Lemma

Any $X \in \mathfrak{sl}_2(\mathbb{C})$ is similar to either $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ or $\begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}$ for some $\lambda \in \mathbb{C}$.

- $GL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc \neq 0 \right\}$
- $SL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, ad - bc = 1 \right\}$
- $\mathfrak{sl}_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{C}, a + d = 0 \right\}$
- Consider the conjugation action of $GL_2(\mathbb{C})$ on $\mathfrak{sl}_2(\mathbb{C})$.

Lemma

Any $X \in \mathfrak{sl}_2(\mathbb{C})$ is similar to either $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ or $\begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}$ for some $\lambda \in \mathbb{C}$.

- The proof follows from the Jordan normal forms of X .

- $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ is nilpotent.

- $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ is nilpotent.
- $\begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}$ is semisimple.

- $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ is nilpotent.
- $\begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}$ is semisimple.

Definition

Let V be a finite-dimensional complex vector space and let $X \in \text{End}(V)$.

- X is nilpotent if $X^r = 0$ for some $r > 0$.
- X is semisimple if X is diagonalizable.

- $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ is nilpotent.
- $\begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}$ is semisimple.

Definition

Let V be a finite-dimensional complex vector space and let $X \in \text{End}(V)$.

- X is nilpotent if $X^r = 0$ for some $r > 0$.
- X is semisimple if X is diagonalizable.
- For $\mathfrak{sl}_2(\mathbb{C})$, the lemma gives a complete description of conjugacy classes of nilpotent and semisimple elements.

- $PSL_2(\mathbb{C}) = SL_2(\mathbb{C})/Z$ where $Z = \{I, -I\}$ is the center of $SL_2(\mathbb{C})$.

- $PSL_2(\mathbb{C}) = SL_2(\mathbb{C})/Z$ where $Z = \{I, -I\}$ is the center of $SL_2(\mathbb{C})$.
- $GL_2(\mathbb{C})$, $SL_2(\mathbb{C})$ and $PSL_2(\mathbb{C})$ -conjugacy classes of $\mathfrak{sl}_2(\mathbb{C})$ coincide because $AXA^{-1} = \left(\frac{A}{\sqrt{\det(A)}}\right) X \left(\frac{A}{\sqrt{\det(A)}}\right)^{-1}$.

- $PSL_2(\mathbb{C}) = SL_2(\mathbb{C})/Z$ where $Z = \{I, -I\}$ is the center of $SL_2(\mathbb{C})$.
- $GL_2(\mathbb{C})$, $SL_2(\mathbb{C})$ and $PSL_2(\mathbb{C})$ -conjugacy classes of $\mathfrak{sl}_2(\mathbb{C})$ coincide because $AXA^{-1} = \left(\frac{A}{\sqrt{\det(A)}}\right) X \left(\frac{A}{\sqrt{\det(A)}}\right)^{-1}$.
- More generally, the conjugacy classes of $\mathfrak{sl}_n(\mathbb{C})$ under the actions of $GL_n(\mathbb{C})$, $SL_n(\mathbb{C})$ and $PSL_n(\mathbb{C})$ coincide, which can be seen by replacing $\sqrt{\det(A)}$ by n^{th} root of $\det(A)$.

- $\mathfrak{sl}_n(\mathbb{C})$ is the set of $n \times n$ matrices with trace 0.

- $\mathfrak{sl}_n(\mathbb{C})$ is the set of $n \times n$ matrices with trace 0.
- $PSL_n(\mathbb{C})$ is the adjoint group given by $SL_n(\mathbb{C})/Z$ where Z is the center of $SL_n(\mathbb{C})$.

- $\mathfrak{sl}_n(\mathbb{C})$ is the set of $n \times n$ matrices with trace 0.
- $PSL_n(\mathbb{C})$ is the adjoint group given by $SL_n(\mathbb{C})/Z$ where Z is the center of $SL_n(\mathbb{C})$.
- Let G be any connected semisimple Lie group with Lie algebra \mathfrak{g} . Then the adjoint group G_{ad} (defined by $Aut(\mathfrak{g})^o$) is isomorphic to G/Z where Z is the (finite) center of G .

- $\mathfrak{sl}_n(\mathbb{C})$ is the set of $n \times n$ matrices with trace 0.
- $PSL_n(\mathbb{C})$ is the adjoint group given by $SL_n(\mathbb{C})/Z$ where Z is the center of $SL_n(\mathbb{C})$.
- Let G be any connected semisimple Lie group with Lie algebra \mathfrak{g} . Then the adjoint group G_{ad} (defined by $Aut(\mathfrak{g})^0$) is isomorphic to G/Z where Z is the (finite) center of G .

Problem

What are the conjugacy classes of nilpotent (and semisimple) elements of \mathfrak{g} under the adjoint action of G_{ad} ?

- The set of semisimple orbits in $\mathfrak{sl}_2(\mathbb{C})$ is in bijective correspondence with $\left\{ \begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix} : \lambda \in \mathbb{C} \right\} / S_2$.

- The set of semisimple orbits in $\mathfrak{sl}_2(\mathbb{C})$ is in bijective correspondence with $\left\{ \begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix} : \lambda \in \mathbb{C} \right\} / S_2$.
- The set of semisimple orbits in $\mathfrak{sl}_n(\mathbb{C})$ is in bijective correspondence with \mathfrak{h} / S_n where \mathfrak{h} is the set of diagonal matrices in $\mathfrak{sl}_n(\mathbb{C})$.

- The set of semisimple orbits in $\mathfrak{sl}_2(\mathbb{C})$ is in bijective correspondence with $\left\{ \begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix} : \lambda \in \mathbb{C} \right\} / S_2$.
- The set of semisimple orbits in $\mathfrak{sl}_n(\mathbb{C})$ is in bijective correspondence with \mathfrak{h} / S_n where \mathfrak{h} is the set of diagonal matrices in $\mathfrak{sl}_n(\mathbb{C})$.

Theorem

Let \mathfrak{g} be a semisimple Lie algebra, \mathfrak{h} a Cartan subalgebra and W the associated Weyl group. Then the set of semisimple orbits is in bijective correspondence with \mathfrak{h} / W .



- In $\mathfrak{sl}_n(\mathbb{C})$, there is a one-to-one correspondence between the set of nilpotent orbits and the set of partitions of n .

- In $\mathfrak{sl}_n(\mathbb{C})$, there is a one-to-one correspondence between the set of nilpotent orbits and the set of partitions of n .
- The correspondence sends a nilpotent element to the partition determined by the block sizes in its Jordan normal form.

- In $\mathfrak{sl}_n(\mathbb{C})$, there is a one-to-one correspondence between the set of nilpotent orbits and the set of partitions of n .
- The correspondence sends a nilpotent element to the partition determined by the block sizes in its Jordan normal form.

Example

In $\mathfrak{sl}_2(\mathbb{C})$, there are two nilpotent orbits given by

-  corresponding to $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$
-  corresponding to $\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$

Classical complex groups:

$$SL_n(\mathbb{C}), SO_{2n+1}(\mathbb{C}), Sp_{2n}(\mathbb{C}), SO_{2n}(\mathbb{C})$$

Classical complex groups:

$$SL_n(\mathbb{C}), SO_{2n+1}(\mathbb{C}), Sp_{2n}(\mathbb{C}), SO_{2n}(\mathbb{C})$$

Type	\mathfrak{g}	G_{ad}	W
A_n	$\mathfrak{sl}_{n+1}(\mathbb{C})$	$PSL_{n+1}(\mathbb{C})$	S_{n+1}
B_n	$\mathfrak{so}_{2n+1}(\mathbb{C})$	$SO_{2n+1}(\mathbb{C})$	$(\mathbb{Z}/2\mathbb{Z})^n \rtimes S_n$
C_n	$\mathfrak{sp}_{2n}(\mathbb{C})$	$PSp_{2n}(\mathbb{C})$	$(\mathbb{Z}/2\mathbb{Z})^n \rtimes S_n$
D_n	$\mathfrak{so}_{2n}(\mathbb{C})$	$PSO_{2n}(\mathbb{C})$	$(\mathbb{Z}/2\mathbb{Z})^{n-1} \rtimes S_n$

- Nilpotent orbits in $\mathfrak{so}_{2n+1}(\mathbb{C})$ are in one-to-one correspondence with the set of partitions of $2n+1$ in which even parts occur with even multiplicity

- Nilpotent orbits in $\mathfrak{so}_{2n+1}(\mathbb{C})$ are in one-to-one correspondence with the set of partitions of $2n+1$ in which even parts occur with even multiplicity (example: $[2, 2, 1]$).

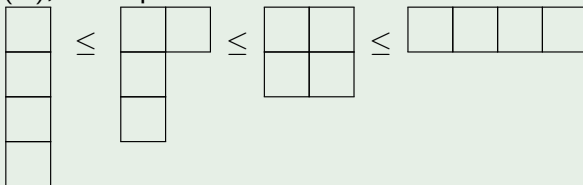
- Nilpotent orbits in $\mathfrak{so}_{2n+1}(\mathbb{C})$ are in one-to-one correspondence with the set of partitions of $2n+1$ in which even parts occur with even multiplicity (example: $[2, 2, 1]$).
- Nilpotent orbits in $\mathfrak{sp}_{2n}(\mathbb{C})$ are in one-to-one correspondence with the set of partitions of $2n$ in which odd parts occur with even multiplicity

- Nilpotent orbits in $\mathfrak{so}_{2n+1}(\mathbb{C})$ are in one-to-one correspondence with the set of partitions of $2n+1$ in which even parts occur with even multiplicity (example: $[2, 2, 1]$).
- Nilpotent orbits in $\mathfrak{sp}_{2n}(\mathbb{C})$ are in one-to-one correspondence with the set of partitions of $2n$ in which odd parts occur with even multiplicity (example: $[2, 1, 1]$).

- Nilpotent orbits in $\mathfrak{so}_{2n+1}(\mathbb{C})$ are in one-to-one correspondence with the set of partitions of $2n+1$ in which even parts occur with even multiplicity (example: $[2, 2, 1]$).
- Nilpotent orbits in $\mathfrak{sp}_{2n}(\mathbb{C})$ are in one-to-one correspondence with the set of partitions of $2n$ in which odd parts occur with even multiplicity (example: $[2, 1, 1]$).
- Nilpotent orbits in $\mathfrak{so}_{2n}(\mathbb{C})$ are in one-to-one correspondence with the set of partitions of $2n$ in which even parts occur with even multiplicity except that “very even” partitions correspond to two nilpotent orbits.

Example

In $\mathfrak{sp}_4(\mathbb{C})$, the nilpotent orbits are ordered as follows:



$[1, 1, 1, 1]$

$[2, 1, 1]$

$[2, 2]$

$[4]$

$\dim = 0$

4

6

8

- Nilpotent orbits in $\mathfrak{sl}_n(\mathbb{R})$ are parametrized by partitions of n except that “even” partitions having only even terms correspond to two orbits.

- Nilpotent orbits in $\mathfrak{sl}_n(\mathbb{R})$ are parametrized by partitions of n except that “even” partitions having only even terms correspond to two orbits.

Example

The real nilpotent orbits of $\mathfrak{sl}_2(\mathbb{R})$ are parametrized by the following partitions of 2:

- $[2; I]$ and $[2; II]$ corresponding to $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ and $\begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix}$
- $[1, 1]$ corresponding to $\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$

- Nilpotent orbits in $\mathfrak{sp}_{2n}(\mathbb{R})$ are parametrized by signed Young diagrams of size $2n$ (up to equivalence) in which the number of boxes labelled $+$ is equal to the number of boxes labelled $-$.

- Nilpotent orbits in $\mathfrak{sp}_{2n}(\mathbb{R})$ are parametrized by signed Young diagrams of size $2n$ (up to equivalence) in which the number of boxes labelled $+$ is equal to the number of boxes labelled $-$.

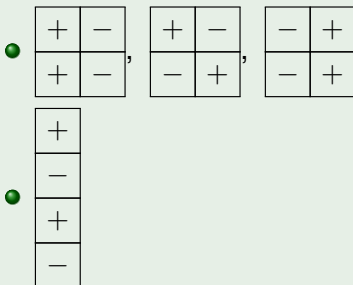
Example

The real nilpotent orbits of $\mathfrak{sl}_2(\mathbb{R})$ are parametrized by the following signed Young diagrams:

- $\begin{array}{|c|c|} \hline + & - \\ \hline \end{array}, \begin{array}{|c|c|} \hline - & + \\ \hline \end{array}$ corresponding to $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ and $\begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix}$
- $\begin{array}{|c|} \hline + \\ \hline - \\ \hline \end{array}$ corresponding to $\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$

Example

Here are some signed Young diagrams that parametrize some real nilpotent orbits of $\mathfrak{sp}_4(\mathbb{R})$:



- Let G be a reductive Lie group with Lie algebra \mathfrak{g} .

- Let G be a reductive Lie group with Lie algebra \mathfrak{g} .
- Let ρ be a (unitary) representation of G on Hilbert space H .

- Let G be a reductive Lie group with Lie algebra \mathfrak{g} .
- Let ρ be a (unitary) representation of G on Hilbert space H .
- For any trace class operator T , we get a distribution from $tr_\rho(T)$ by integration.

- Let G be a reductive Lie group with Lie algebra \mathfrak{g} .
- Let ρ be a (unitary) representation of G on Hilbert space H .
- For any trace class operator T , we get a distribution from $tr_\rho(T)$ by integration.
- $WF(tr_\rho(T))$ is the usual wave front set of $(tr_\rho(T))$ in $T^*(G)$.

- Let G be a reductive Lie group with Lie algebra \mathfrak{g} .
- Let ρ be a (unitary) representation of G on Hilbert space H .
- For any trace class operator T , we get a distribution from $\text{tr}_\rho(T)$ by integration.
- $\text{WF}(\text{tr}_\rho(T))$ is the usual wave front set of $(\text{tr}_\rho(T))$ in $T^*(G)$.
- By identifying $T^*(G)$ with $G \times \mathfrak{g}^*$, and projecting to \mathfrak{g}^* , we get a subset of \mathfrak{g}^* .

- Let G be a reductive Lie group with Lie algebra \mathfrak{g} .
- Let ρ be a (unitary) representation of G on Hilbert space H .
- For any trace class operator T , we get a distribution from $tr_\rho(T)$ by integration.
- $WF(tr_\rho(T))$ is the usual wave front set of $(tr_\rho(T))$ in $T^*(G)$.
- By identifying $T^*(G)$ with $G \times \mathfrak{g}^*$, and projecting to \mathfrak{g}^* , we get a subset of \mathfrak{g}^* .
- \mathfrak{g} can be identified with \mathfrak{g}^* .

- Given an irreducible (\mathfrak{g}, K) –module, the wave front set of the representation is a nilpotent orbit in \mathfrak{g} .

- Given an irreducible (\mathfrak{g}, K) –module, the wave front set of the representation is a nilpotent orbit in \mathfrak{g} .
- The description of the wave front set as a nilpotent orbit is a combinatorial invariant.

- Given an irreducible (\mathfrak{g}, K) –module, the wave front set of the representation is a nilpotent orbit in \mathfrak{g} .
- The description of the wave front set as a nilpotent orbit is a combinatorial invariant.
- Application: For an induced module for G (from a parabolic subgroup P) with a fixed infinitesimal character, the wave front set imposes a constraint on the factors in the composition series.

Example

$G = GL_{2n}(\mathbb{R})$, P is the maximal parabolic subgroup whose Levi factor is $GL_n(\mathbb{R}) \times GL_n(\mathbb{R})$. The wave front set of the induced module $Ind_P^G(\chi)$ from one dimensional character χ corresponds to the closure of the nilpotent orbit $[2, 2, \dots, 2]$.

- D. Barbasch, S. Sahi, and B. Speh. Degenerate series representations for $GL(2n, \mathbb{R})$ and Fourier analysis. In *Symposia Mathematica, Vol. XXXI (Rome, 1988)*, Sympos. Math., XXXI, pages 45–69. Academic Press, London, 1990.
- D. Collingwood and W. McGovern. *Nilpotent orbits in semisimple Lie algebras*. Van Nostrand Reinhold Mathematics Series. Van Nostrand Reinhold Co., New York, 1993.
- R. Howe. Wave front sets of representations of Lie groups. In *Automorphic forms, representation theory and arithmetic (Bombay, 1979)*, volume 10 of *Tata Inst. Fund. Res. Studies in Math.*, pages 117–140. Tata Inst. Fundamental Res., Bombay, 1981.