# Combinatorial Atlas for Log-concave Inequalities

**Swee Hong Chan** 

joint with Igor Pak

#### What is log-concavity?

A sequence  $a_1,\ldots,a_n\in\mathbb{R}_{\geq 0}$  is log-concave if

$$a_k^2 \geq a_{k+1} a_{k-1}$$
  $(1 < k < n).$ 

Equivalently,

$$\log a_k \geq \frac{\log a_{k+1} + \log a_{k-1}}{2} \qquad (1 < k < n).$$

#### Example: binomial coefficients

$$a_k = \binom{n}{k}$$
  $k = 0, 1, \ldots, n$ .

This sequence is log-concave because

$$\frac{a_k^2}{a_{k+1} a_{k-1}} = \frac{\binom{n}{k}^2}{\binom{n}{k+1} \binom{n}{k-1}} = \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{n-k}\right),$$

which is greater than 1.

#### Example: permutations with k inversions

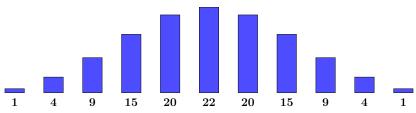
 $a_k = \text{number of } \pi \in S_n \text{ with } k \text{ inversions},$ 

where inversion of  $\pi$  is pair i < j s.t.  $\pi_i > \pi_j$ .

This sequence is log-concave because

$$\sum_{0 \leq k \leq \binom{n}{2}} a_k \, q^k \, = \, [n]_q! \, = \, (1+q) \, \ldots \, (1+q\ldots+q^{n-1})$$

is a product of log-concave polynomials.



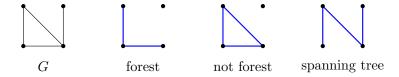
## Log-concavity appears in different objects for different reasons.

Today we focus on reason for matroids.

#### Warmup: graphs and forests

Let G = (V, E) be a graph.

A (spanning) forest F = (V, E') with  $E' \subseteq E$  is a subset of edges without cycles.



#### Log-concavity for forests

#### Theorem (Huh '15)

For every graph and  $k \ge 1$ ,

$$I_k^2 \geq I_{k+1} I_{k-1},$$

where  $I_k$  is the number of forests with k edges.

Proof used Hodge theory from algebraic geometry.

In fact, stronger inequalities for more general objects are true.

#### Object: Matroids

Matroid  $\mathcal{M} = (X, \mathcal{I})$  is ground set X with collection of independent sets  $\mathcal{I} \subseteq 2^X$ .

#### Graphical matroids

- X = edges of a graph G,
- $\mathcal{I}$  = forests in G.

#### Realizable matroids

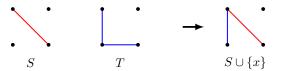
- $X = \text{ finite set of vectors over field } \mathbb{F},$
- $\bullet$   $\mathcal{I}$  = sets of linearly independent vectors.

#### Matroids: Conditions

•  $S \subseteq T$  and  $T \in \mathcal{I}$  implies  $S \in \mathcal{I}$ .



• If  $S, T \in \mathcal{I}$  and |S| < |T|, then there is  $x \in T \setminus S$  such that  $S \cup \{x\} \in \mathcal{I}$ .



**Note:** These are natural properties of sets of linearly independent vectors.

### Mason's Conjecture (1972)

For every matroid and  $k \geq 1$ ,

$$(1) I_k^2 \geq I_{k+1} I_{k-1};$$

(2) 
$$I_k^2 \geq \left(1 + \frac{1}{k}\right) I_{k+1} I_{k-1};$$

(3) 
$$I_k^2 \geq \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{n-k}\right) I_{k+1} I_{k-1}.$$

 $I_k$  is number of ind. sets of size k, and n = |X|.

Note: 
$$(3) \Rightarrow (2) \Rightarrow (1)$$
.

Why 
$$(1+\frac{1}{k})(1+\frac{1}{n-k})$$
?

Mason (3) is equivalent to ultra/binomial log-concavity,

$$\frac{{I_k}^2}{\binom{n}{k}^2} \geq \frac{I_{k+1}}{\binom{n}{k+1}} \frac{I_{k-1}}{\binom{n}{k-1}}.$$

Equality occurs **if** every (k+1)-subset is independent.

#### Solution to Mason (1)

#### Theorem (Adiprasito-Huh-Katz '18)

For every matroid and  $k \ge 1$ ,

$$I_k^2 \geq I_{k+1} I_{k-1}.$$

Proof used combinatorial Hodge theory for matroids.

#### Solution to Mason (2)

#### Theorem (Huh-Schröter-Wang '18)

For every matroid and  $k \geq 1$ ,

$$I_k^2 \geq \left(1 + \frac{1}{k}\right) I_{k+1} I_{k-1}.$$

Proof used combinatorial Hodge theory for correlation inequality on matroids.

### Solution to Mason (3)

#### **Theorem**

(Anari-Liu-Oveis Gharan-Vinzant, Brändén-Huh '20)

For every matroid and  $k \ge 1$ ,

$$I_k^2 \geq \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{n-k}\right) I_{k+1} I_{k-1}.$$

Proof used theory of strong log-concave polynomials / Lorentzian polynomials.

## Solution to Mason (3)

#### **Theorem**

(Anari-Liu-Oveis Gharan-Vinzant, Brändén-Huh '20)

For every matroid and  $k \geq 1$ ,

$$I_k^2 \geq \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{n-k}\right) I_{k+1} I_{k-1}.$$

## Theorem (Murai-Nagaoka-Yazawa '21)

Equality occurs if and only if every (k + 1)-subset is independent.



Method: Combinatorial atlas

**Results:** Log-concave inequalities, and if and only if conditions for equality

- Matroids (refined);
- Morphism of matroids (refined);
- Discrete polymatroids;
- Stanley's poset inequality (refined);
- Poset antimatroids;
- Branching greedoid (log-convex);
- Interval greedoids.

Method: Combinatorial atlas

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## Combinatorial atlas application:

**Matroids** 

#### Warmup: graphical matroids refinement

#### Corollary (C.-Pak)

For graphical matroid of simple connected graph G = (V, E), and k = |V| - 2,

$$(I_k)^2 \geq \frac{3}{2} \left(1 + \frac{1}{k}\right) I_{k+1} I_{k-1},$$

with equality if and only if G is cycle graph.

Numerically better than Mason (3), because

$$\frac{3}{2} \geq 1 + \frac{1}{n-k} = 1 + \frac{1}{|E|-|V|+2}$$

for *G* that is not tree.

## Comparison with Mason (3)

Our bound gives

$$\frac{(I_k)^2}{I_{k+1} I_{k-1}} \geq \frac{3}{2}$$
 when  $|E| - |V| \to \infty$ ,

Meanwhile, Mason (3) bound only gives

$$\frac{(I_k)^2}{I_{k+1}\,I_{k-1}} \geq 1$$
 when  $|E|-|V| \to \infty$ .

Our bound is better numerically and asymptotically.

### Refinement for Mason (3)

#### Theorem 1 (C.-Pak)

For every matroid and k > 1,

$${I_k}^2 \geq \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{\mathsf{prl}_{\mathcal{M}}(k-1) - 1}\right) I_{k+1} I_{k-1}.$$

This refines Mason (3),

$$I_k^2 \geq \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{n-k}\right) I_{k+1} I_{k-1},$$

since

$$\operatorname{prl}_{\mathcal{M}}(k-1) \leq n-k+1.$$

#### Refinement for different matroids

• For all matroids,

$$I_k^2 \geq (1 + \frac{1}{k}) (1 + \frac{1}{n-k}) I_{k+1} I_{k-1}.$$

• Graphical matroids and k = |V| - 2,

$$I_k^2 \geq (1 + \frac{1}{k}) \frac{3}{2} I_{k+1} I_{k-1}.$$

ullet Realizable matroids over  $\mathbb{F}_q$ ,

$$I_k^2 \geq (1 + \frac{1}{k}) \left(1 + \frac{1}{a^{m-k+1}-2}\right) I_{k+1} I_{k-1}.$$

• (k, m, n)-Steiner system matroid,

$$I_k^2 > (1 + \frac{1}{L}) \frac{n-k+1}{n-m} I_{k+1} I_{k-1}.$$

### Refinement for Mason (3)

#### Theorem 2 (C.-Pak)

For every matroid and k > 1,

$${I_k}^2 \geq \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{\mathsf{prl}_{\mathcal{M}}(k-1) - 1}\right) I_{k+1} I_{k-1}.$$

This refines Mason (3),

$$I_k^2 \geq \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{n-k}\right) I_{k+1} I_{k-1},$$

since

$$\operatorname{prl}_{\mathcal{M}}(k-1) < n-k+1.$$

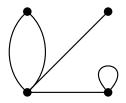
#### Parallel classes of matroid $\mathfrak{M}$

Loop is  $x \in X$  such that  $\{x\} \notin \mathcal{I}$ .

Non-loops x, y are parallel if  $\{x, y\} \notin \mathcal{I}$ .

Parallelship equiv. relation:  $x \sim y$  if  $\{x, y\} \notin \mathcal{I}$ .

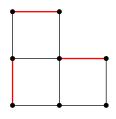
Parallel class = equivalence class of  $\sim$ .

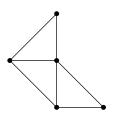


#### Matroid contraction

Contraction of  $S \in \mathcal{I}$  is matroid  $\mathcal{M}_S$  with

$$X_S = X \setminus S, \qquad \mathcal{I}_S = \{T \setminus S : S \subseteq T\}.$$



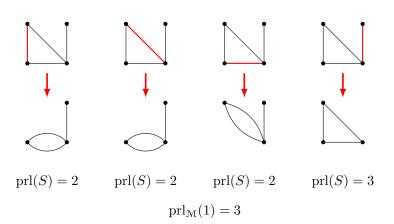


 $\operatorname{prl}(S) := \operatorname{number} \operatorname{of} \operatorname{parallel} \operatorname{classes} \operatorname{of} \mathfrak{M}_S$ 

#### Parallel number

The k-parallel number is

$$\operatorname{prl}_{\mathfrak{M}}(k) := \max\{\operatorname{prl}(S) \mid S \in \mathcal{I} \text{ with } |S| = k\}.$$



## Refinement for Mason (3)

#### Theorem 3 (C.-Pak)

For every matroid and k > 1,

$${I_k}^2 \geq \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{\mathsf{prl}_{\mathfrak{M}}(k-1) - 1}\right) I_{k+1} I_{k-1}.$$

This refines Mason (3),

$$I_k^2 \geq \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{n-k}\right) I_{k+1} I_{k-1},$$

since

$$\operatorname{prl}_{\mathcal{M}}(k-1) < n-k+1.$$

#### When is equality achieved?

- When every (k+1)-subset is independent,  $\operatorname{prl}_{\mathfrak{M}}(k-1) = n-k+1.$
- Graphical matroid when G is a cycle,  $\operatorname{prl}_{\mathcal{M}}(k-1) = 3$ .
- ullet Realizable matroids of every m-vectors over  $\mathbb{F}_q$ ,  $\operatorname{prl}_{\mathbb{M}}(k-1) = q^{m-k+1}-1.$
- (k, m, n)-Steiner system matroid,  $\operatorname{prl}_{\mathfrak{M}}(k-1) = \frac{n-k+1}{m-k+1}$ .

#### **Equality conditions**

#### Theorem 4 (C.-Pak)

For every matroid and  $k \ge 1$ ,

$$I_k^2 = \left(1 + \frac{1}{k}\right) \left(1 + \frac{1}{\operatorname{prl}_{\mathcal{M}}(k-1) - 1}\right) I_{k+1} I_{k-1}$$
if and only if

for every 
$$S \in \mathcal{I}$$
 with  $|S| = k - 1$ ,

- ullet  $\mathcal{M}_{\mathcal{S}}$  has  $\mathsf{prl}_{\mathcal{M}}(k-1)$  parallel classes; and
- Every parallel class of  $M_S$  has same size.

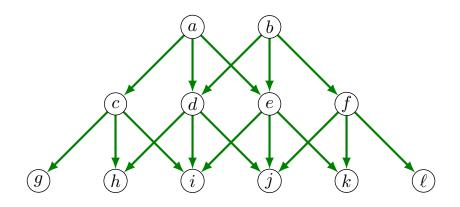
Combinatorial atlas: the method

#### Combinatorial atlas

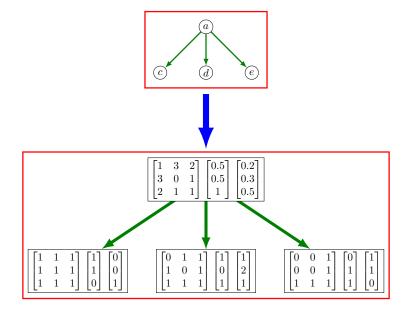
**Input**: Acyclic digraph A, where each vertex v is associated with

- Symmetric matrix M with nonnegative entries;
- Vector g, h with nonnegative entries.

## Atlas: example

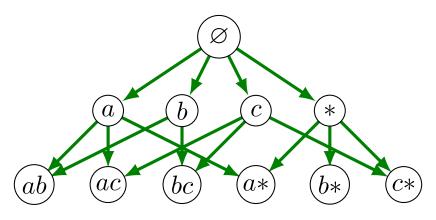


## Atlas: example (zoomed in)



#### Atlas example: matroid (simplified)

For matroid with  $X = \{a, b, c\}$ , the atlas for k = 2 is



#### Atlas example: matroid (simplified)

The matrix for the top vertex is

$$m{M}_{a,b} = (k+1)! imes ext{number of independent sets}$$
of size  $k+1$  containing  $a,b$ 
 $m{M}_{a,*} = k! imes ext{number of independent sets}$ 
of size  $k$  containing  $a$ 
 $m{M}_{*,*} = (k-1)! imes ext{number of independent sets}$ 
of size  $k-1$ 

#### Combinatorial atlas

**Input**: Acyclic digraph A, where each vertex v is associated with

- Symmetric matrix M with nonnegative entries;
- Vector **g**, **h** with nonnegative entries.

**Goal**: Show every *M* has hyperbolic inequality.

# Hyperbolic inequality

**M** has hyperbolic inequality property if

$$\langle x, My \rangle^2 \geq \langle x, Mx \rangle \langle y, My \rangle$$

for every  $\mathbf{x} \in \mathbb{R}^r$ ,  $\mathbf{y} \in \mathbb{R}^r_{\geq 0}$ .

This condition is equivalent to

**M** has at most one positive eigenvalue.

**Note**: Already known to be important in Lorentzian polynomials and Bochner's method proof of Aleksandrov-Fenchel inequality.

# How to get log-concave inequalities?

Assume  $a_{k-1}$ ,  $a_k$ ,  $a_{k+1}$  can be computed by

$$a_k = \langle \mathbf{g}, \mathbf{M} \mathbf{h} \rangle, \ a_{k+1} = \langle \mathbf{g}, \mathbf{M} \mathbf{g} \rangle, \ a_{k-1} = \langle \mathbf{h}, \mathbf{M} \mathbf{h} \rangle,$$

for M, g, h from a top vertex of the atlas.

$$\langle m{g}, m{M}m{h} 
angle^2 \geq \langle m{g}, m{M}m{g} 
angle \langle m{h}, m{M}m{h} 
angle \quad ext{(hyperbolic ineq.)}$$
 then implies

$$a_k^2 \ge a_{k+1}a_{k-1}$$
 (log-concave ineq.)

#### Combinatorial atlas

**Input**: Acyclic digraph A, where each vertex v is associated with

- Symmetric matrix M with nonnegative entries;
- Vector **g**, **h** with nonnegative entries.

**Goal**: Show every M has hyperbolic inequality.

Method: Verify three conditions:

- Irreducibility condition;
- Inheritance condition;
- Subdivergence condition.

## Irreducibility condition

- Matrix *M* associated to *v* is irreducible when restricted to its support;
- Vector h is associated to v is a positive vector.

**Note:** For matroids, this means that the base-exchange graph is connected.

**Note:** Similar tools were used to prove rapid mixing for base-exchange graph.

## Irreducibility condition

- Matrix *M* associated to *v* is irreducible when restricted to its support;
- Vector h is associated to v is a positive vector.

For matroids, this means that the base exchange graph is connected.

This is a consequence of the exchange property.

#### Inheritance condition

i=1

Edge  $e = (v, v_i)$  of v is associated with linear map  $T_i : \mathbb{R}^r \to \mathbb{R}^r$  such that, for every  $\mathbf{x} \in \mathbb{R}^r$ ,

*i*-th coordinate of 
$$Mx = \langle T_i x, M_i T_i h \rangle$$
,

where M and h are associated to v, and  $M_i$  is associated to  $v_i$ .

For matroids with  $X = \{e_1, \ldots, e_n\}$ , this means

 $k \times$  number of independent k-sets

 $=\sum$  number of independent k-sets containing  $e_i$ .

## Subdivergence condition

For every  $\mathbf{x} \in \mathbb{R}^r$ ,

$$\sum_{i=1}^r h_i \langle T_i \mathbf{x}, \mathbf{M}_i T_i \mathbf{x} \rangle \geq \langle \mathbf{x}, \mathbf{M} \mathbf{x} \rangle,$$

where  $h_i = i$ -th coordinate of h.

**Note:** Equality occurs for Lorentzian polynomials and for matroids.

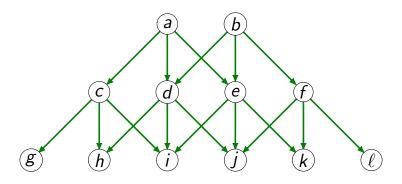
For matroids, this is consequence of hereditary property.

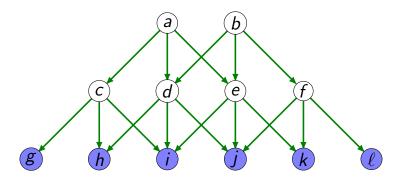
## Bottom-to-top principle for hyperbolic inequalities

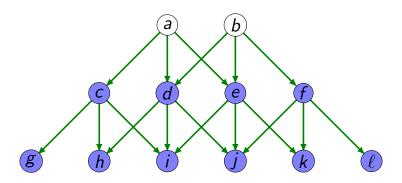
## Proposition

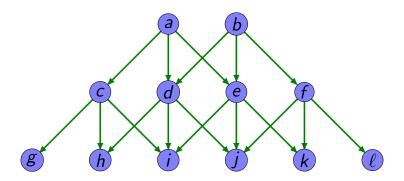
Assume irreducibility, inheritance, subdivergence. If every child vertex has hyperbolic inequality property, then so does the parent vertex.

Bottom-to-top principle reduces **Goal** to checking hyperbolic inequality only for sink vertices.









# How about equalities?

## Combinatorial atlas equality

#### Input:

- An acyclic digraph  $\mathcal{A} := (\mathcal{V}, \mathcal{E})$  satisfying previous conditions;
- Vectors  $oldsymbol{g}, oldsymbol{h} \in \mathbb{R}_{>0}$ ;

Goal: Show "every" M has hyperbolic equality,

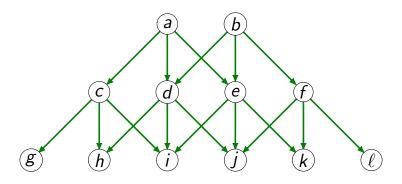
$$\langle \mathbf{g}, \mathbf{M} \mathbf{h} \rangle^2 = \langle \mathbf{g}, \mathbf{M} \mathbf{g} \rangle \langle \mathbf{h}, \mathbf{M} \mathbf{h} \rangle.$$

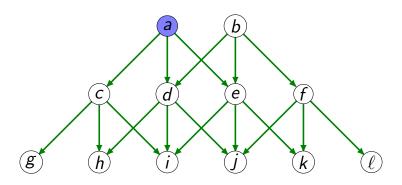
## Top-to-bottom principle for equalities

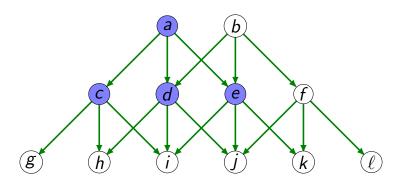
## **Proposition**

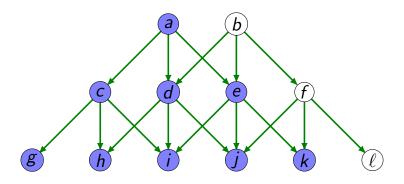
Assume regularity condition. If parent vertex has hyperbolic equality property, then so do children vertices.

Top-to-bottom principle expands hyperbolic equality to sink vertices, and gives combinatorial characterizations.









# Other applications

**Full version:** 2110.10740 (71 pages)

Expository version: 2203.01533 (28 pages)

Results: Log-concave inequalities and equalities for

- Matroids (refined);
- Morphism of matroids (refined);
- Discrete polymatroids;
- Stanley's poset inequality (refined);
- Poset antimatroids;
- Branching greedoid (log-convex);
- Interval greedoids.

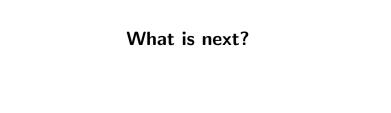
# THANK YOU!

Preprint: www.arxiv.org/abs/2110.10740

www.arxiv.org/abs/2203.01533

Webpage: www.math.rutgers.edu/~sc2518/

Email: sc2518@rutgers.edu



# Log-concavity for chromatic polynomials

## Theorem (Huh '12)

For every graph G and  $k \ge 1$ ,

$$C_k^2 \geq C_{k+1} C_{k-1},$$

where  $C_0, C_1, \ldots$  are absolute coefficients of the chromatic polynomial of G.

#### Comparison to Mason (1):

- $(I_k)_{k\geq 0}$  is f-vector of independence complex;
- $(C_k)_{k>0}$  is f-vector of broken circuit complex.

# Stronger log-concavity for chromatic polynomials

# Conjecture (Brylawski '82)

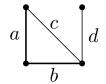
For every connected graph G = (V, E) and  $k \ge 1$ ,

$$C_k^2 \ge \left(1 + \frac{1}{|V| - k}\right) \left(1 + \frac{1}{|E| - |V| + k}\right) C_{k+1} C_{k-1},$$

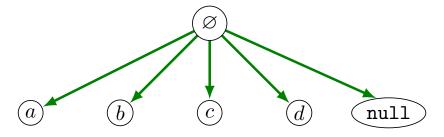
**Note**: Brylawski conjectured the inequality for characteristic polynomial of all matroids.

# Atlas example: matroid (simplified)

Consider the graphical matroid for



The corresponding combinatorial atlas is



# Atlas example: matroid (simplified)

$$\begin{bmatrix} a & b & c & d & \text{null} \\ 0 & \frac{3}{2} \times 1 & \frac{3}{2} \times 1 & \frac{3}{2} \times 2 & 3 \\ \frac{3}{2} \times 1 & 0 & \frac{3}{2} \times 1 & \frac{3}{2} \times 2 & 3 \\ \frac{3}{2} \times 1 & \frac{3}{2} \times 1 & 0 & \frac{3}{2} \times 2 & 3 \\ \frac{3}{2} \times 2 & \frac{3}{2} \times 2 & \frac{3}{2} \times 2 & 0 & 3 \\ 3 & 3 & 3 & 3 & 4 \end{bmatrix} \quad \begin{matrix} a \\ b \\ c \\ d \\ \text{null} \end{matrix}$$

$$M_{a,b} = \frac{3}{2} \times \text{numbers of 3-forests containing } a, b$$
 $M_{a,\text{null}} = \text{number of 2-forests containing } a$ 

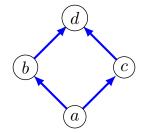
 $M_{\text{null,null}} = \text{number of 1-forests}$ 

Here  $\frac{3}{2}$  is the contribution from  $1 + \frac{1}{\operatorname{prl}_{\mathcal{M}}(k-1)-1}$ .

Combinatorial atlas application: Stanley's poset inequality

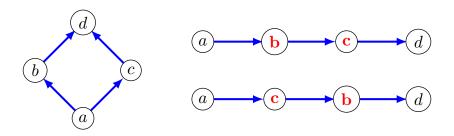
# Partially ordered sets

A poset P is a set X with a partial order  $\prec$  on X.



#### Linear extension

A linear extension L is a complete order of  $\prec$ .



We write L(x) = k if x is k-th smallest in L.

# Stanley's inequality

Fix  $z \in P$ .

 $N_k$  is number of linear extensions with L(z) = k.

# Theorem (Stanley '81)

For every poset and  $k \ge 1$ ,

$$N_k^2 \geq N_{k+1} N_{k-1}$$

Proof used Aleksandrov-Fenchel inequality for mixed volumes.

## When is equality achieved?

# Theorem (Shenfeld-van Handel)

Suppose  $N_k > 0$ . Then

$$N_k^2 = N_{k+1} N_{k-1}$$

if and only if

$$N_k = N_{k+1} = N_{k-1}.$$

Proof used classifications of extremals of Aleksandrov-Fenchel inequality for convex polytopes.

#### Our contribution

# Open Problem (Folklore)

Give a combinatorial proof to Stanley's inequality.

# Answer (C.–Pak)

We give new combinatorial proof for Stanley's ineq. and extend to weighted version.

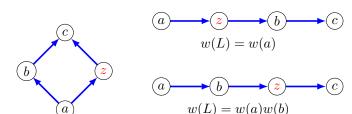
## Order-reversing weight

A weight  $w: X \to \mathbb{R}_{>0}$  is order-reversing if

$$w(x) \ge w(y)$$
 whenever  $x \prec y$ .

Weight of linear extension L is

$$w(L) := \prod_{L(x) < L(z)} w(x).$$



# Weighted Stanley's inequality

Fix  $z \in P$ .

 $N_{w,k}$  is w-weight of linear extensions with L(z) = k.

# Theorem 5 (C. Pak)

For every poset and  $k \ge 1$ ,

$$N_{w,k}^2 \geq N_{w,k+1} N_{w,k-1}$$
.

# When is equality achieved?

# Theorem 6 (C.-Pak)

Suppose  $N_{w,k} > 0$ . Then

$$N_{w,k}^2 = N_{w,k+1} N_{w,k-1}$$

if and only if

for every linear extension L with L(z) = k,

$$w(L^{-1}(k+1)) = w(L^{-1}(k-1)) =: s,$$

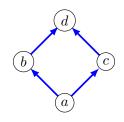
and

$$\frac{N_{w,k}}{r^k} = \frac{N_{w,k+1}}{r^{k+1}} = \frac{N_{w,k-1}}{r^{k-1}}.$$

Combinatorial atlas application: Poset antimatroids

# Feasible words of a poset

A word  $\alpha \in X^*$  is feasible if no repeating elements, and y occurs in  $\alpha$  and  $x \prec y \Rightarrow x$  occurs in  $\alpha$  before y.



Feasible:  $\emptyset$ , a, ab, ac, abc, acb, abcd, acbd.

Not feasible: aa, bc, ba.

# Chain weight

For  $x \in P$ , chain weight is  $\omega(x) = \text{number of maximal chains that starts with } x$ .

$$\omega(a) = 2$$

$$\omega(b) = 1$$

$$\omega(c) = 1$$

$$\omega(d) = 1$$

$$\omega(d) = 1$$

$$\omega(d) = 1$$

Weight of word  $\alpha$  is  $\omega(\alpha) := \omega(\alpha_1) \dots \omega(\alpha_\ell)$ .

## Log-concave inequality for poset antimatroids

 $F_{\omega,k}$  is sum of  $\omega$ -weight of feasible words of length k.

# Theorem 7 (C.-Pak)

For every poset and  $k \ge 1$ ,

$$|F_{\omega,k}|^2 \geq |F_{\omega,k+1}|F_{\omega,k-1}|$$

## When is equality achieved?

Theorem 8 (C.-Pak)

Equality occurs for k = 1, ..., height(P) - 1if and only if

Hasse diagram of P is a forest where every leaf is of the same level.

