

A few preliminary remarks.

1. Follow the general instructions for homework given in:

<http://www.math.rutgers.edu/~saks/homework.html>

2. Please be on the look out for errors. If something seems not to make sense, check with me before investing a lot of time on the problem.

Problems to be handed in.

1. Fix a positive integer  $p$ . Find an exact expression for the number of permutations of  $[n]$  that have no cycle of length exactly  $p$ . (Your expression will be a sum, but it should be as simple as possible). Determine the asymptotics of your expression as  $n$  tends to  $\infty$ .
2. Let  $A_1, \dots, A_n$  be events in a probability space and for  $J \subseteq [n]$ , let  $A_J = \cap_{j \in J} A_j$ . Let  $p_J = \mathbf{Prob}[A_J]$ .
  - (a) Determine (with proof) a function  $c(n, t, j)$  (in as simple form as possible) so that the probability that exactly  $t$  events occur is equal to  $\sum_{J \subseteq [n]} c(n, t, |J|) p_J$ .
  - (b) Let  $T$  denote the random variable which is the number of  $A_j$  that occur. Prove that for any real number  $\alpha$ ,  $\mathbf{E}[\alpha^T] = \sum_{J \subseteq [n]} p_J (\alpha - 1)^{|J|}$ .
  - (c) Give a formula for the number of permutations of  $[n]$  with exactly  $t$  fixed points, and determine the asymptotics (for fixed  $t$ ) as  $n$  tends to  $\infty$ . (This uses part (a) but not (b)).
3. In class it was shown that the edge-reconstruction conjecture for graphs is true for all graphs  $G$  with  $|E(G)| > \frac{1}{2}(|V(G)|^2)$ . In this problem, this result will be extended to graphs for which  $|E(G)| > 1 + \log_2(|V(G)|!)$ .

More precisely, let  $n, m$  be fixed and consider graphs on vertex set  $V = [n]$  having  $m$  edges. Let  $G_1, G_2$  be two graphs having  $m$  edges and let  $E(G_1) = \{e_1, \dots, e_m\}$  and  $E(G_2) = \{f_1, \dots, f_m\}$ . For  $i \in \{1, 2\}$  and  $j \in [m]$ , let  $G_i^j = G_i - \{e_j\}$ . Assume that for all  $j \in [m]$ ,  $G_1^j$  is isomorphic to  $G_2^j$ . The goal is to prove: if  $2^{m-1} > n!$  then  $G_1$  is isomorphic to  $G_2$ .

Suppose  $\sigma$  is chosen uniformly at random from the permutations of  $[n]$ . Let  $A_i$  (resp.  $B_i$ ) be the event that  $\sigma$  maps the endpoints of edge  $e_i$  (resp.  $f_i$ ) to vertices that are not adjacent in  $G_1$ . (Note: the lack of symmetry in these definitions with respect to  $G_1$  and  $G_2$  is intentional.)

For  $J \subseteq [m]$ , let  $A_J = \cap_{i \in J} A_i$  and  $B_J = \cap_{i \in J} B_i$ . For  $0 \leq j \leq m$ , let  $a_j = \sum_{|J|=j} \mathbf{Prob}[A_J]$  and let  $b_j = \sum_{|J|=j} \mathbf{Prob}[B_J]$

Let  $Y$  denote the random variable that counts the number of  $i \in [m]$  such that  $A_i$  occurs and  $Z$  denote the number of  $i \in [m]$  such that  $B_i$  occurs.

- (a) Show that if  $a_j = b_j$  for all  $j \in \{0, 1, \dots, m\}$  then  $G_1$  is isomorphic to  $G_2$ .
- (b) Prove that if  $G_1^k$  is isomorphic to  $G_2^k$  for all  $k \in [m]$  then for all  $j \leq m-1$ ,  $a_j = b_j$ .
- (c) Prove that  $(b_m - a_m) = (-1/2)^m \mathbf{E}[(-1)^Y - (-1)^Z]$ .
- (d) Prove that if  $2^{m-1} \geq n!$  then  $b_m = a_m$ .
- (e) Put the parts together to prove the theorem.

Hints are given below.

4. (a) Consider a probability space  $\Omega$ , and subsets (events)  $A_1, A_2, \dots, A_n$ . As usual, for  $I \subseteq [n]$ , define  $A_I = \cap_{i \in I} A_i$  and let  $p_I = \mathbf{Prob}[A_I]$ . Let  $q_I = \mathbf{Prob}[A_I - \bigcup_{i \in [n] - [I]} A_i]$ . For each  $I$ , express  $q_I$  in terms of  $\{p_J : J \subseteq [n]\}$ .
  - (b) Consider a finite probability space  $\Omega$ , and subsets (events)  $A_1, A_2, \dots, A_n$ . As usual, for  $I \subseteq [n]$ , define  $A_I = \cap_{i \in I} A_i$  and let  $p_I = \mathbf{Prob}[A_I]$ . Let  $B_1, B_2, \dots, B_n$  be another set of events and define  $q_I = \mathbf{Prob}[B_I]$ . Suppose that  $p_I = q_I$  for all  $I \neq [n]$  but  $p_{[n]} \neq q_{[n]}$ . Prove that  $\Omega$  must have at least  $2^{n-1}$  elements. (Hint: Part (a) may be helpful.)
  - (c) Give an example to show that the bound in the first part is best possible.
5. A *Hamiltonian path* in a graph  $G = (V, E)$  is a permutation of the vertices  $v_1, \dots, v_n$  such that each pair  $v_i, v_{i+1}$  is adjacent in  $G$  for  $1 \leq i < n$ . A naive algorithm for testing whether a graph has a Hamiltonian path requires checking all possible permutations of the vertex set, and thus requires  $n! \text{poly}(n)$  number of steps, where  $\text{poly}(n)$  denotes an unspecified polynomial function of  $n$ . The purpose of this problem is to derive an algorithm that runs in  $2^n \text{poly}(n)$  steps (and also requires memory size only  $\text{poly}(n)$ ). (Without being too precise here about what a “computation step” is, we assume that adding, subtracting or multiplying two  $k$  bit numbers can be done in  $\text{poly}(k)$  computation steps.)
    - (a) A walk in a graph is a sequence  $v_1, \dots, v_k$  of vertices, possibly with repetition such that each pair  $v_i, v_{i+1}$  is adjacent in the graph. For vertices  $x, y$  and integer  $k$  let  $W_G(x, y, k)$  denote the number of  $k$  step walks from  $x$  to  $y$ . Give a method for computing  $W_G(x, y, k)$  that uses at most  $\text{poly}(n, k)$  steps.
    - (b) Let  $H_G(x, y)$  denote the number of Hamiltonian paths in  $G$ . Use the answer to the previous problem and inclusion-exclusion to derive a formula for computing  $H_G(x, y)$  that uses at most  $2^n \text{poly}(n)$  computation steps.
  6. In this problem, we'll show that the chromatic number of an  $n$ -vertex graph can be computed in time  $2^n \text{poly}(n)$ .  
 Let  $G$  be a given graph on vertex set  $V$ , with  $|V| = n$ .
    - (a) Let  $f : 2^V \rightarrow \{0, 1\}$  be the function with  $f(W) = 1$  if and only if  $W$  is independent. Describe how to construct a function table for  $f$  in time  $\text{poly}(n)2^n$ .
    - (b) For  $0 \leq i \leq n$ , define functions  $f_i : 2^V \rightarrow \mathbb{N}$  by:  $f_0 = f$  and for  $1 \leq i \leq n$ , and for  $W \subseteq V$ ,  $f_i(W) = f_{i-1}(W)$  if  $i \notin W$  and  $f_i(W) = f_{i-1}(W) + f_{i-1}(W - \{i\})$  if  $i \in W$ . Let  $g = f_n$ . Prove that  $g(W)$  is equal to the number of subsets of  $W$  that are independent sets of  $G$ . Also, show that a table for  $g$  can be constructed in time  $\text{poly}(n)2^n$ .

- (c) Let  $c_k(G)$  denote the number of sequences  $I_1, \dots, I_k$  of  $k$  independent sets whose union is  $V$ . Express  $c_k(G)$  in terms of the function values of the function  $g$  defined in the previous part.
- (d) Explain how to compute the chromatic number of  $G$  using at most  $\text{poly}(n)2^n$  arithmetic operations.

### Some hints

**Problem 3** For part (a): Use inclusion exclusion to express the probability that  $\sigma$  is an isomorphism from  $G_1$  to itself, and to express the probability that  $\sigma$  is an isomorphism from  $G_1$  to  $G_2$ .

For part (c): use the second part of problem 2.

**Problem 6** For part (c), for each vertex  $v$ , let  $A_v$  be the  $k$ -tuples  $I_1, \dots, I_k$  for which  $v$  belongs to none of the sets  $I_j$  and use inclusion-exclusion.