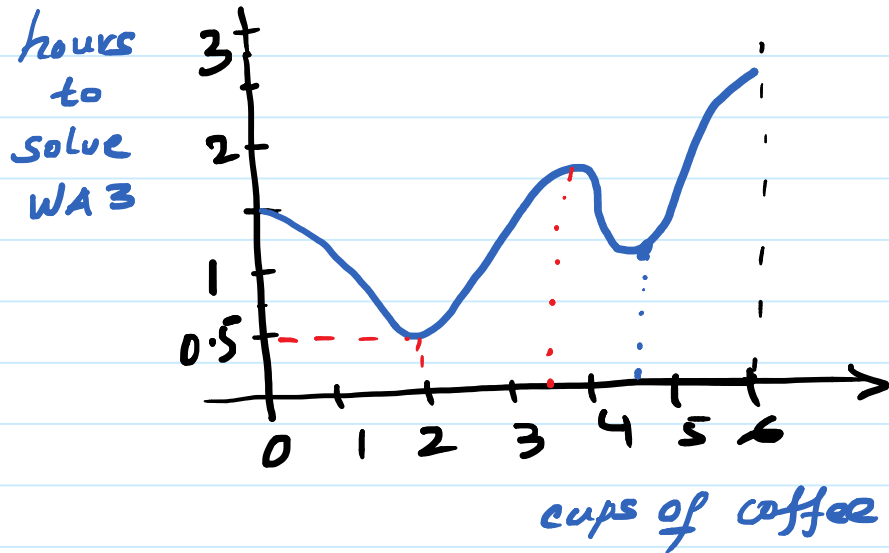


10.26.2015 Chapter 4.

- 4.1, 4.3, 4.4, 4.7, 4.9
- Class ends at 7:50 pm on Wed.
- WA 3 is up!

4.1 Maximum and minimum values



$f(2) = 0.5$ is the global minimum value of f

DEFINITIONS: Let c be a number in the domain D of $f(x)$.

* $f(c)$ is called the absolute maximum value of $f(x)$ in D if $f(c) \geq f(x)$ for any x in D

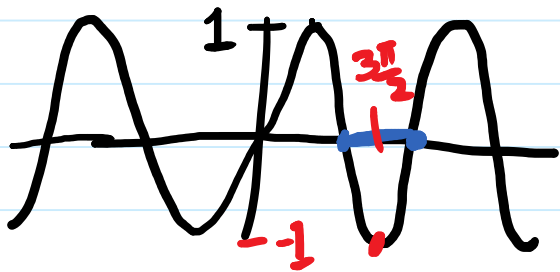
* $f(c)$ is called the absolute minimum value of $f(x)$ in D if $f(c) \leq f(x)$ for any x in D .

ABSOLUTE = GLOBAL

* $f(c)$ is called a local maximum value of $f(x)$ if $f(c) \geq f(x)$ for x near c .

* $f(c)$ is called a local minimum value if $f(c) \leq f(x)$ for x near c .

Examples: ① $y = \sin x$



$$\sin(x) \leq 1$$

1 is a global maximum value of $\sin x$ — it is attained at infinitely many x -values.

1 is the only local maximum value of $\sin x$.

$$-1 \leq \sin(x) \quad \text{for any } x \text{ in } (-\infty, \infty)$$

-1 is the global minimum value of $\sin x$ and it is attained at infinitely many x -values.

-1 is the only local minimum value

of $\sin x$.

$$f(x) = \sin x, \quad \pi \leq x \leq 2\pi$$

Maximum/minimum = Extreme

$$\sin x \leq 0 \quad \text{for } x \text{ in } [\pi, 2\pi]$$

0 is the global maximum value of $f(x)$ in $[\pi, 2\pi]$ — it is attained at π and 2π

0 is not a local maximum value for $f(x)$ — $\sin(x) \leq 0$ for $x \geq \pi$ and $\sin(x) \geq 0$ for $x \leq \pi$

$f(x)$ does not have a local max. value! near π

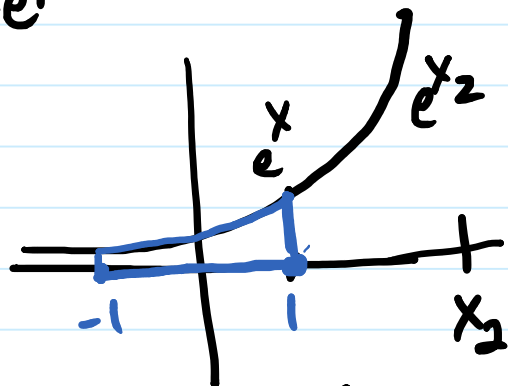
$$\sin x \geq -1$$

-1 is a global (and local) minimum value.

-1 is the only local minimum value

-1 is a global ~~min~~ value.

② $y = e^x$



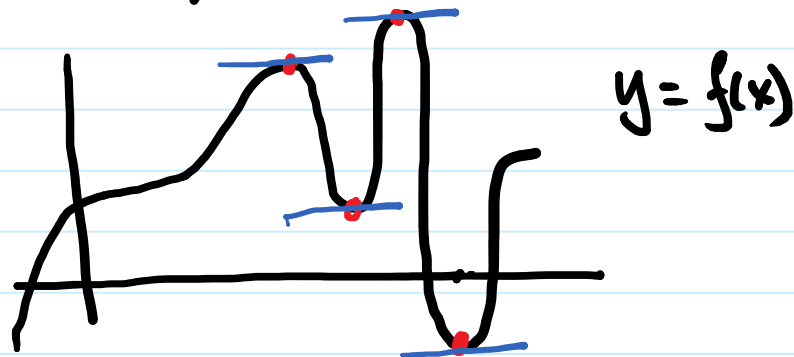
On its entire domain

e^x does not have any global/local maximum or minimum values.

Ex. Find all the extreme (global/local) values

$$f(x) = e^x \quad -1 \leq x \leq 1.$$

SPOTTING EXTREME VALUES.



1

Fermat's theorem: If f has a local extreme point at $x=c$, then if $f'(c)$ exists then

$$f'(c) = 0.$$

Back to

Example: $y = \sin x \quad \pi \leq x \leq 2\pi$

$f(\pi)$ is not a local max. value.

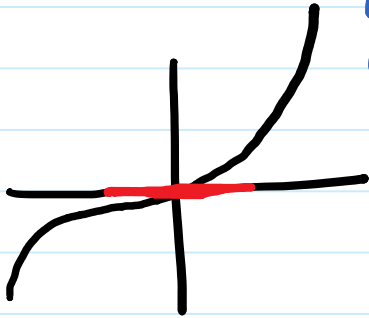
$$\frac{dy}{dx} = \cos x \quad \text{and} \quad \cos(\pi) = -1 \neq 0.$$

WARNINGS: ① Just because

$f'(c) = 0$, that does not mean

that there is a local extreme point over there.

$$y = x^3$$
$$y' = 3x^2$$
$$y'(0) = 0$$



0 is a critical point of x^3 .

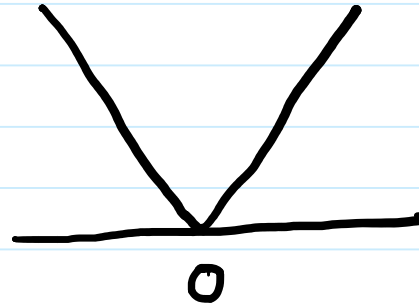
But 0 is not a local max. or min.!

$$\begin{array}{ll} x \geq 0, & x^3 \geq 0 \\ x \leq 0 & x^3 \leq 0. \end{array}$$

②

$$f(x) = |x|$$

0 is a critical point of $|x|$



$|x| \geq 0 \rightarrow 0$ is a local and global min. value.

but $f'(0)$ DNE!

CRITICAL POINT (NUMBER, VALUE)

c is called a critical point of $f(x)$

if

OR ① $f'(c)$ does not exist
② $f'(c) = 0$.

CRITICAL POINT (NUMBER, VALUE)

c is called a critical point of $f(x)$

if
OR ① $f'(c)$ does not exist
② $f'(c) = 0$.

FERMAT'S THEOREM (RESTATEMENT)

If f has a local max./min. at c , the c is a critical value of $f(x)$.

WARNING: NOT EVERY

CRITICAL VALUE GIVES RISE TO A LOCAL MAX./MIN. VALUE!

The critical numbers of $f(x)$ are $0, \frac{1}{5}, 1$

Example:

Let $f(x) = x^{1/2}(x-1)^2$. Find all the critical values of $f(x)$.

Solution: $f(x) = x^{1/2}(x-1)^2$
 $f'(x) \stackrel{P.R.}{=} \frac{1}{2}x^{-1/2}(x-1)^2 + x^{1/2} \cdot 2(x-1)$
 $= \frac{(x-1)}{2\sqrt{x}} + 2\sqrt{x}(x-1)$

at $x=0$, $f'(0)$ DNE
Set

$f'(x) = 0$ and solve for x .

$$(x-1) \left[\frac{x-1}{2\sqrt{x}} + 2\sqrt{x} \right] = 0$$

$\rightarrow \frac{x-1}{2\sqrt{x}} = -2\sqrt{x}$

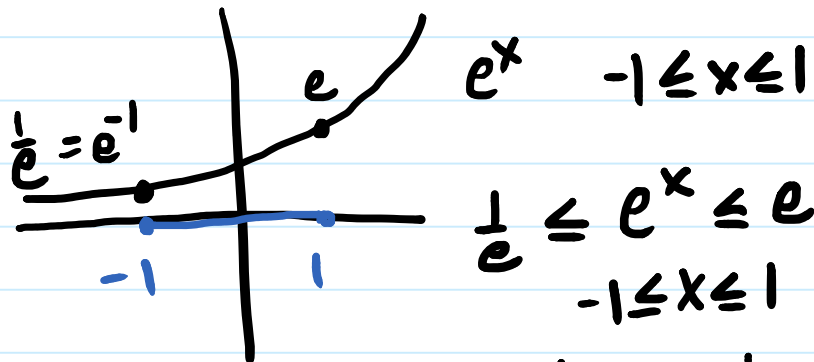
$x-1=0$ OR $x=1$

$x-1 = -4x \rightarrow x = \frac{1}{5}$

The critical numbers of $f(x)$ are $0, \frac{1}{5}, 1$ | $x-1=0$ OR $x=1$ | $x-1=-4x \Rightarrow x=\frac{1}{5}$

$\tan^{-1}(e^x)$ → Composition
 NOT
 a product
 ↓
 \tan^{-1}
 ↓ this has no meaning
 without (x)

differentiate this using the
 chain rule — not the
 product rule!



e is a global max. value and
 $\frac{1}{2}$ is " " min. " of $f(x)$.

* EXTREME VALUE THEOREM:
 Let f be a **continuous** function
 on $[a, b]$. Then, f attains a **global**
 max. value $f(c)$ and a **global**
 min. value $f(d)$ for some
 c and d in $[a, b]$.

* CLOSED INTERVAL METHOD - TECHNIQUE FOR FINDING GLOBAL EXT. VAL.

1. Confirm that f is continuous in $[a, b]$.
2. Find the critical values of f in (a, b) .
3. Compute the function at these critical values.
4. Compute $f(a)$ and $f(b)$.
5. **(lowest)** largest of the values from step 3. and 4. is the global max. of $f(x)$ in $[a, b]$ **(min.)**

e is a global max. value and
 f_e is " " min. " of $f(x)$.

$f(x)$ in $[a, b]$

(min)

WEBWORK:

$$\frac{d}{dx} \left(\underbrace{f}_{\text{out.}} \left(\underbrace{2x^2}_{\text{ins.}} \right) \right) = 3x^3$$

$f'(2x^2)$

Find $f'(x)$.

By the chain rule

$$f'(2x^2) \cdot 4x = 3x^3$$

$$\underbrace{f'(2x^2)}_+ = \frac{3x^3}{4x}$$

Re write this using t instead of x

$$f'(\underbrace{2t^2}_x) = \frac{3}{4}t^2$$

$$x = 2t^2 \rightarrow t = \sqrt{\frac{x}{2}}$$

$$f'(x) = \frac{3}{4} \left(\sqrt{\frac{x}{2}} \right)^2 = \frac{3}{4} \cdot \frac{x}{2} = \frac{3x}{8}$$

$$\boxed{f'(x) = \frac{3x}{8}}$$

Back to section 4.1.

Examples: Find the absolute maximum and minimum values of $f(x) = x^3 - 3x^2 + 1$

on $[-\frac{1}{2}, 4]$.

Solution: f is continuous in $[-\frac{1}{2}, 4]$.

① Critical numbers:

$$f'(x) = 3x^2 - 6x$$

$$\begin{aligned} \text{Set } f'(x) = 0 &\rightarrow 3x^2 - 6x = 0 \\ &\text{OR } 3x(x - 2) = 0 \\ &x = 0, \quad x = 2 \end{aligned}$$

③ Compute f at critical numbers

$$f(0) = 0^3 - 3 \cdot 0^2 + 1 = 1.$$

$$\begin{aligned} f(2) &= 2^3 - 3 \cdot 2^2 + 1 \\ &= 8 - 12 + 1 = -3. \end{aligned}$$

④ Compute f at the endpoints:

$$\begin{aligned} f(-\frac{1}{2}) &= (-\frac{1}{2})^3 - 3(-\frac{1}{2})^2 + 1 \\ &= -\frac{1}{8} - \frac{3}{4} + 1 = -\frac{1}{8} + \frac{1}{4} \\ &= \frac{1}{8} \end{aligned}$$

$$\begin{aligned} f(4) &= 4^3 - 3 \cdot 4^2 + 1 = 64 - 48 + 1 \\ &= 16 + 1 \\ &= 17. \end{aligned}$$

@ $x=4$

17 is the global max. value

@ $x=2$

-3 is the global min. value

② Find the absolute maximum and minimum values of

$$f(x) = x - 2 \tan^{-1}(x)$$

on $[0, 4]$.

Solution: f is continuous in $[0, 4]$.

Closed Interval Method:

$$\textcircled{2}. f'(x) = 1 - \frac{2}{1+x^2}$$

Set $f'(x) = 0$. Solve for x .

$$1 - \frac{2}{1+x^2} = 0$$

$$\frac{1+x^2-2}{1+x^2} = 0$$

Answer

$$x^2 - 1 = 0 \rightarrow x = \pm 1 \rightarrow \text{but } -1 \text{ is not in } [0, 4]$$

Critical numbers of f in $[0, 4]$:
 $x = 1$.

$$\textcircled{3} f(1) = 1 - 2 \tan^{-1}(1) \\ = 1 - 2 \frac{\pi}{4} = 1 - \frac{\pi}{2} < 0$$

$$\textcircled{4} f(0) = 0 - 2 \tan^{-1}(0) = \underline{0}$$

$$f(4) = \underline{4 - 2 \tan^{-1}(4)} > 0$$

$\tan^{-1}(x)$ can be at most $\frac{\pi}{2}$

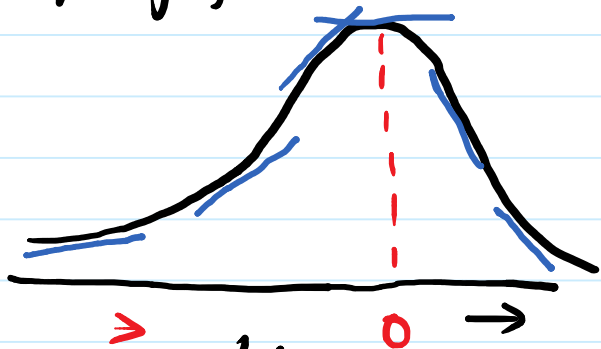
$\& 2 \tan^{-1}(4)$ can be at most π

$\textcircled{5}$ $4 - 2 \tan^{-1}(4)$ is the global max. value.
 $1 - \frac{\pi}{2}$ is the global min. value.

4.3.

What about local max./min.?

How f' affects the shape of the graph of f .



INCREASING/DECREASING TEST (I/D)
 FACT: $f'(x) > 0$ in some interval, then f is increasing in that interval.
 $(x_1 < x_2, f(x_1) < f(x_2))$ (e^x)

$f'(x) < 0$ in some interval, then $f(x)$ is decreasing in that interval.
 $x_1 < x_2 \rightarrow f(x_1) > f(x_2)$ (e^{-x})

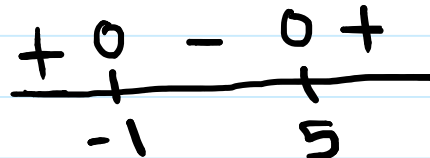
Example: In what intervals is the fn. $f(x) = \frac{1}{3}x^3 - 2x^2 - 5x + 20$ increasing and decreasing.

Solution: $f'(x) = \frac{1}{3} \cdot 3x^2 - 2 \cdot 2x - 5$

First set $f'(x) = 0 \Rightarrow x^2 - 4x - 5 = (x+1)(x-5)$

$f'(x) = 0$

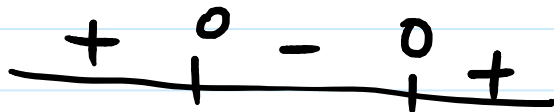
when $x = -1, x = 5$



Interval	$(x+1)$	$(x-5)$	$f'(x)$
$(-\infty, -1)$	-	-	+
$(-1, 5)$	+	-	-
$(5, \infty)$	+	+	+

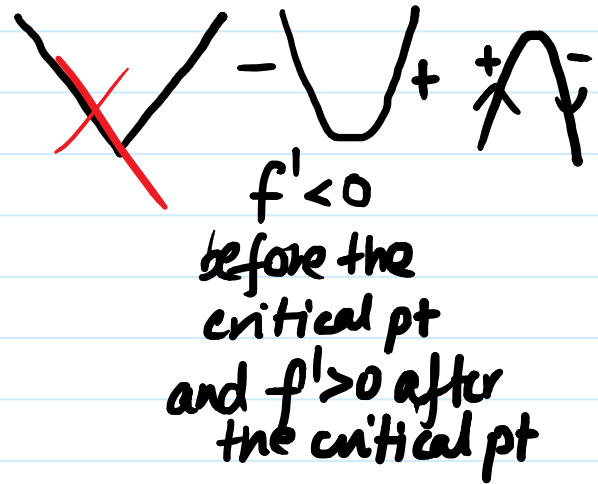
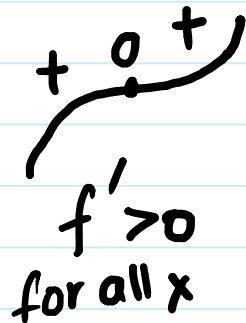
Interval	$(x+1)$	$(x-5)$	$f'(x)$
$(-\infty, -1)$	-	-	+
$(-1, 5)$	+	-	-
$(5, \infty)$	+	+	+

So



By the I/D test, -1 5

So, $f(x)$ is increasing in $(-\infty, -1)$ and $(5, \infty)$ and decreasing in $(-1, 5)$.



First Derivative Test:

If $f'(c) = 0$. then,

$f(c)$ is a local min. if f' changes from -ve to +ve as you pass through

$f(c)$ is a local max. then f' changes sign from > 0 to < 0 .

$f(c)$ is neither - if it does not change sign.