

Switching the derivatives we get  $\frac{d}{dt}(f(x(t))\gamma(u)) \cdot \gamma(-t) + f(x(t)) \cdot \frac{d}{dt}(\gamma(u)\gamma(-t))$  (6)

$$\begin{aligned} & \left. \frac{d}{du} \frac{d}{dt} (f(x(t))\gamma(u)) \right|_{t=u=0} \\ &= \frac{d}{du} \frac{d}{dt} (f(x(t))\gamma(u)) - f(x(t)) \Big|_{t=u=0} \\ &= X(Y(f)) - Y(X(f)) \\ &= [X, Y](f) \end{aligned}$$

The ultimate point is that the linearization of  $(ABA^{-1})$  at identity is  $AB - BA$ .

## Exponentiation and Submanifolds

The fundamental theorems of Lie Theory are

Theorem 1 For any real or complex Lie group, there is a bijection between connected Lie subgroups  $H \subseteq G$  and Lie subalgebras  $\mathfrak{h} \subseteq \mathfrak{g}$ , given by  $H \mapsto \mathfrak{h} = T_H$ .

Theorem 2 If  $G_1$  and  $G_2$  are Lie groups and  $G$  is connected & simply abelian, then  $\text{Hom}(G_1, G_2) = \text{Hom}(G_1, g_2)$ . (True over  $\mathbb{R}$  or  $\mathbb{C}$ )

Theorem 3 Any finite-dimensional real or complex Lie algebra is isomorphic to a Lie algebra of a Lie group.

Corollary 1 For a real or complex finite-dimensional Lie algebra  $\mathfrak{g}$ , there is a unique (up to isomorphism) connected & simply abelian Lie group  $G$  w/  $\text{Lie}(G) = \mathfrak{g}$ . Any other abelian Lie group  $G'$  w/  $\text{Lie}(G') = \mathfrak{g}$  is  $G'/\mathbb{Z}$  for  $\mathbb{Z}$  a discrete central subgroup.

Then let  $\mathcal{C}: G_1 \xrightarrow{\pi_1} H \hookrightarrow G_1 \times G_2 \xrightarrow{\pi_2} G_2$ . This is a morphism of Lie groups w/  $\mathcal{C}_*: g_1 \mapsto g_2$  given by the composition  $x \mapsto (x, f(x)) \mapsto f(x)$  ⑧

\* Lemma Let  $f: G_1 \rightarrow G_2$  be a morphism of local Lie groups s.t.  $f_*: T_e G_1 \rightarrow T_{f(e)} G_2$  is an isomorphism. Then  $f$  is a covering map, and  $\text{Ker } f$  is a discrete central subgroup. ◻

PF For a Lie group homomorphism, if  $f_*$  is an isomorphism at the identity, it is an isomorphism everywhere, so  $f$  is a local diffeo everywhere, hence a covering map. The preimage of the identity is necessarily discrete. And it is also certainly normal, and discrete normal subgroups of connected Lie groups are central via considering that for fixed  $h \in N$ ,  $G \rightarrow N$

$$g \mapsto gh^{-1}$$

Sends  $e \mapsto h$  and therefore  $g \mapsto h$  for all  $g \in G$ .

Thm 1 Relies on the theory of distributions.

Defn Let  $M$  be a smooth mfd;  $TM$  its tangent bundle. A d-dim'l distribution on  $M$  (also called a family) is a  $d$ -dimensional subbundle of  $TM$  - that is, a choice of a  $d$ -dimensional subspace  $D_p \subseteq T_p M$  at every  $p$  s.t. this choice is made smoothly. (I.e., for every  $p \in M$  there is a nbhd  $U$  and smooth vector fields  $x_1, \dots, x_d$  <sup>on  $U$</sup>  s.t. for  $u \in U$  the vectors  $x_i(u) \in T_u M$  span  $D_u$ .)

$X$  is subordinate to  $D$  if  $X(p) \in D_p$  for all  $p \in M$

$D$  is involutive if whenever  $X, Y$  are subordinate to  $D$ , then  $[X, Y]$  is subordinate to  $D$ .

Defn An integral manifold of  $D$  is a  $d$ -dim'l submanifold  $N \subseteq M$  s.t.  $\forall p \in N, T_p N = D_p$ .

Question When is it possible to produce an integral manifold for  $D$  locally/globally?

Observation If we can always choose an integral mfld locally, then  $D$  is involutory.

M]



Let  $U$  be an open set small enough that through each pt there is an integral submanifold  $N$ .

Let  $J \in C^\infty(U)$  be the space of fns constraint on each  $N_p$ . Then  $X|_{N_p}$  is subordinate to  $D$  ( $\subset$ )

$X(j) = 0 \quad \forall j \in J$ . Certainly if  $X_j = 0, Y_j = 0$ ,  $[X, Y]_j = 0$  as well. So  $[X, Y]$  subordinate to  $D$ .

Thm (The Local Frobenius Thm) Let  $D$  be a smooth  $d$ -dimensional involutory distribution. Then For each  $p \in M$   $\exists$  a nbhd  $U$  of  $x$  and an integral mfld  $N$  of  $D$  through  $x$  in  $U$ . If  $N'$  is another integral mfld through  $x$ , then  $N$  and  $N'$  coincide near  $x$ . That is, there is a nbhd  $V$  of  $x$  s.t.  $V \cap N = V \cap N'$ .

PF suffices to check the statement locally. Let  $M = \mathbb{R}^n$ ,  $p=0$ .

Let  $X$  be a vector field nonvanishing at the origin. To prove the theorem for  $d=1$ , it suffices to check that  $\exists$  coordinates  $(y_1, \dots, y_n)$  wrt which  $X = \frac{\partial}{\partial y_n}$ .

PF of claim since  $X$  nonvanishing at 0,  $X(x_i) \neq 0$  at 0 for some coordinate  $x_i$ . After possible permutation,  $X(x_n) \neq 0$ . So if we write

$$X = \sum a_i(x_1, \dots, x_n) \frac{\partial}{\partial x_i},$$

we have  $a_n(0) \neq 0$ . We switch to coordinates  $\tilde{Y}$  st  $(y_1, \dots, y_{n-1}, 0) = (x_1, \dots, x_{n-1}, 0)$ . For  $y_n \neq 0$ , consider the integral curve in the direction of  $X$ ,  $t \mapsto (x_1(t), \dots, x_n(t))$  satisfying

$$\begin{aligned} x'_i(t) &= a_i(x_1(t), \dots, x_n(t)) \\ (x_1(t), \dots, x_n(t)) &= (u_1, \dots, u_{n-1}, 0) \end{aligned}$$

for small  $u_1, \dots, u_{n-1}$ . For small enough  $u_1, \dots, u_{n-1}$ ,  $a_n(u_1, \dots, u_{n-1}, 0) \neq 0$  so this integral curve points away from (is transverse to) the plane  $x_n=0$ . Choose  $(y_1, \dots, y_n)$  st

$$y'_i(x_1(t), \dots, x_n(t)) = u_i \quad i=1, 2, 3, \dots, n-1$$

$$y_n(x_1(t), \dots, x_n(t)) = t$$

$$\text{Now } \frac{\partial x_i}{\partial y_n} = a'_i, \text{ so } \frac{\partial}{\partial y_n} = \sum_i \frac{\partial x_i}{\partial y_n} \frac{\partial}{\partial x'_i} = \sum_i a'_i \frac{\partial}{\partial x'_i} = X.$$

For  $d=1$  this finishes the result, just take lines in the  $n$ th coordinate direction.

For  $d>1$ , suppose we know that  $(d-1)$ -dim involutory distributions have local submfd's. Let  $x_1, \dots, x_d$  be smooth vector fields st  $x_i(u)$  span  $\mathcal{D}_u$  near 0. We can assume  $x_d = \frac{\partial}{\partial y_n}$ . By assumption  $[x_d, x_i] = \sum_j g_{ij} x_j$  ( $i < d$ )

We claim that in fact we can arrange for  $g_{id} = 0$  for  $i \neq d$ .

$$[x_d, x_i] = \sum_{j=1}^{d-1} g_{ij} x_j$$

Indeed, if  $x_i = \sum_{k=1}^n h_{ik} \frac{\partial}{\partial y_k}$  for  $i=1, \dots, d-1$  we may subtract  $h_{id}$  from  $x_i$  and still have a spanning set. So we may take  $x_i = \sum_{k=1}^{n-1} h_{ik} \frac{\partial}{\partial y_k}$   $i=1, \dots, d-1$ . Then  $[x_d, x_i] = \sum_{k=1}^{n-1} \frac{\partial h_{ik}}{\partial y_n} \cdot \frac{\partial}{\partial y_j}$ ,

$$\text{But also } [x_d, x_i] = \sum_{j=1}^{d-1} g_{ij} x_i + g_{id} x_d = \sum_{j=1}^{d-1} \sum_{k=1}^{n-1} g_{ij} h_{ik} \frac{\partial}{\partial y_k} + g_{id} \frac{\partial}{\partial y_n}$$

This implies  $g_{id} = 0$ .

Now we want to replace the  $x_1, \dots, x_{n-1}$  w/ linear combinations thereof s.t.  $[x_i, x_d] = 0$ .

Claim Given  $(c_1, \dots, c_{d-1})$  real constants, can choose smooth functions  $f_i$  s.t. for  $y_1, \dots, y_{n-1}$  small,  $f_i(y_1, y_2, \dots, y_{n-1}, 0) = c_i$  and  $[x_d, \sum_{i=1}^{d-1} f_i x_i] = 0$ . This is sole again; consider that

$$[x_d, \sum_{i=1}^{d-1} f_i x_i] = \sum_{i=1}^{d-1} \frac{\partial f_i}{\partial y_n} x_i + \sum_{i,j=1}^{d-1} f_i g_{ij} x_j$$

To make this zero, we want the  $f_i$  to solve the first-order system.

$$\frac{\partial f_j}{\partial y_n} + \sum_{i=1}^{d-1} g_{ij} f_i = 0 \quad j=1, \dots, d-1$$

w/ the initial condition above, which is always doable.

Choosing  $(c_1, \dots, c_{d-1}) = (1, 0, \dots, 0)$ , we get a vector field  $\frac{\partial}{\partial x_i}$  that agrees w/  $x_i$  on  $y_n = 0$ . Replacing  $x_i$  by  $\sum f_i x_i$  we can assume  $[x_d, x_i] = 0$ ; iterating we can assume  $[x_d, x_i] = 0$  for  $i \neq d$ .

Then if  $x_i = \sum_{k=1}^{n-1} h_{ik} \frac{\partial}{\partial y_k}$ ,  $\frac{\partial h_{ij}}{\partial y_n} = 0$ , so these fns do not depend on  $y_n$ .

Hence the  $x_i$  can be interpreted as a  $(d-1)$ -dim'l involutory distribution in  $\mathbb{R}^{n-1}$ . By induction  $\exists$  an integral mfd for this vector field,  $N_0 \subset \mathbb{R}^{n-1}$ . We may then take  $N = N_0 \times \mathbb{R}$  is an integral mfd for  $D$ .

For local uniqueness, iterating the process by which we selected  $y_1, \dots, y_m$ , we can eventually produce coordinates in which  $D$  is spanned by  $\frac{\partial}{\partial y_{n-d+1}}, \dots, \frac{\partial}{\partial y_n}$  and the integral mfd is given by  $y_1 = \dots = y_{m-d} = 0$ .  $\square$

Defn If  $G$  is a Lie group, a local subgroup of  $G$  consists of an open nbhd  $U$  of the identity and a closed subset  $K$  of  $U$  st  $e \in K$ , and if  $x, y \in K$  and  $x^{-1}y \in U$ , then  $xy \in K$ , and if  $x \in K$  st  $x^{-1} \in U$ , then  $x^{-1} \in K$ .

Propn Let  $G$  be a Lie group and  $h \in \text{Lie}(G) = \mathfrak{g}$  a Lie subalgebra. There is a local subgroup  $K$  of  $G$  w/  $T_e K = h$ , st  $\exp$  sends a nbhd of  $0$  in  $\mathfrak{g}$  to a nbhd of  $K$ .

Pf  $\mathfrak{h}$  is involutory, so there is certainly a unique integral mfd  $\mathcal{D}$  for  $\mathfrak{h}$  at the identity. Let  $x, y \in \mathcal{D}$  so that  $xy \in U$ . The image of  $K$  under left-translation by  $x$  is also an integral mfd of  $\mathcal{D}$  through  $x$ ,  $\in$   $KxK$  near  $x$ . Since  $y \in K$ , its left translate  $xy$  is also in  $K$ .

How does one extend to a global subgroup?

Thm Let  $D$  be an involutory distribution on  $M$ . Then for every point  $p \in M$ ,  $\exists$  a unique connected immersed integral submanifold  $N \subset M$  of  $D$  which contains  $p$  and is maximal, i.e. contains any other closed immersed integral submanifolds containing  $p$ .

Pf Uses theory of flows; see for example Lee's differential Geometry book.

Some arguments w/ translation show that  $H$  is a subgroup.

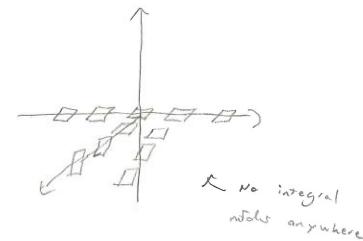
Important

Example  $M = \mathbb{R}^3$   $X = \frac{\partial}{\partial x}$   $Y = \frac{\partial}{\partial y} + x \frac{\partial}{\partial z}$   $V = \text{Span}(X, Y)$ , i.e.

$$V(x, y, z) = \text{Span}((1, 0, 0), (0, 1, x))$$

$$[X, Y]F = \frac{\partial}{\partial x} \left( \frac{\partial}{\partial y} + x \frac{\partial}{\partial z} \right) f - \left( \frac{\partial}{\partial y} + x \frac{\partial}{\partial z} \right) \frac{\partial}{\partial x} f = \frac{\partial F}{\partial z}$$

$$\Rightarrow [X, Y] = \frac{\partial}{\partial z}$$



Question: What are the connected abelian Lie groups?

First question What are the simply-connected abelian Lie groups?

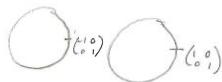
$$g = (\mathbb{R}^n, [ , ]) \leftrightarrow (\mathbb{R}^n, +)$$

Second question What are their quotients by discrete central subgroups?

$$\mathbb{R}^n / \Lambda \cong (\mathbb{S}^1)^k \times \mathbb{R}^{n-k}$$

↑  
lattice

Remark Connected is quite important for all this; remember  $O(2)$  exists.



Propn For  $G$  any Lie group, and  $X$  and  $Y$  s.t.  $[X, Y] = 0$ ,  $\exp(x)\exp(y) = \exp(x+y) = \exp(y)\exp(x)$ .

PF We saw this previously for matrices, but we can use our computations w/ the adjoint rep to prove it in general. We have  $\text{Ad}(\exp(tx))(y) = \text{ad}(tx)(y)$

$$= [x, y] = 0 \quad \forall t \in \mathbb{R}. \quad \text{So conjugation takes } u \rightarrow \exp(uY) \text{ to itself}$$

implying that  $\exp(tx)\exp(uY)\exp(-tx) = \exp(uY)$ , so  $\exp(tx)$  and  $\exp(uY)$  commute for all  $t, u$ .

Now let  $\gamma(u) = \exp(uY)$ ,  $\alpha(t) = \exp(tx)$ , so that for  $f \in C^\infty(\mathbb{G})$ , we have

$$\frac{d}{du} F(\gamma(u)) = YF(\gamma(u)) \quad \text{and} \quad \frac{d}{dt} F(\alpha(t)) = XF(\alpha(t)). \quad \text{Consider the product}$$

$g(u, t) = \alpha(t)\gamma(u)$ , since  $Y$  is invt under left-translation,  $\frac{d}{du} F(g(u, t)) = YF(\alpha(t)\gamma(u))$

Since  $\alpha(t)\gamma(u) = \gamma(u)\alpha(t)$ ,  $\frac{d}{dt} F(g(u, t)) = XF(\alpha(t)\gamma(u))$ . So

$$\frac{d}{dt} (F(g(v, t))) = \left. \frac{\partial}{\partial t} F(g(t, u)) \right|_{t=u=v} + \left. \frac{\partial}{\partial u} F(g(t, u)) \right|_{t=u=v} = (YF + XF)(g(v, v)).$$

This implies that  $\delta(v)dv$  is an integral curve. For  $y+x \rightsquigarrow$   
 $\exp(x)\exp(y) = \exp(x+y)$ .

Corollary For an abelian Lie group the exponential map is a surjective Lie group homomorphism. (Indeed, a covering map  $\mathbb{R}^n \rightarrow \mathbb{R}^{k_n}(\mathbb{R}/\mathbb{Z})^{n-k_n}$ ).

More generally, a torus is any cpt connected abelian Lie group.

Propn Let  $T = (\mathbb{R}/\mathbb{Z})^n$ . Every homomorphism of  $T$  is of the form  
 $t \mapsto M t \pmod{\mathbb{Z}^n}$  where  $M \in GL(r, \mathbb{Z})$ . Hence,  $\text{Aut}(T) \cong GL(r, \mathbb{Z})$  is discrete.

PF  $\phi: T \rightarrow T$  induces an invertible linear transformation  $M$  of  $\text{Lie}(T) = \mathfrak{t}$  commuting w/ the exponential map. Since  $\exp$  is a homomorphism,  $\phi$  must preserve its kernel  $\lambda = \mathbb{Z}^r$ . So  $M \in GL(r, \mathbb{Z})$ .

Remark Any  $G$  a Lie group contains tori; for example it contains  $\mathbb{S}^1$ . An ascending chain of tori  $T_1 \subset T_2 \subset T_3$  is bounded above by  $\dim G$ . Hence  $G$  contains (possibly multiple) maximal tori.

Example  $G = U(n)$  has a maximal torus

$$T = \left\{ \begin{pmatrix} e_1 & & & \\ & \ddots & & \\ & & \ddots & 0 \\ 0 & & & t_n \end{pmatrix} : |e_1| = \dots = |e_n| = 1 \right\}$$

We will come back to understanding maximal tori when we understand something about representations.