

Last Time

• $\mathcal{H} = (\Sigma, \vec{a}, \vec{B}, z)$ a Heegaard diagram for Y an oriented ^{closed} 3-mfd

or

• $\mathcal{H} = (\Sigma, \vec{a}, \vec{B}, z, w)$ a Heegaard diagram for (Y, K) an oriented closed ^{3-mfd} w/ a nullhomologous knot.

We consider $Sym^g(\Sigma)$ } n -dim'l complex mfd.

$$\pi_1(Sym^g(\Sigma)) \cong H_1(Sym^g(\Sigma)) \cong H_1(\Sigma)$$

Contains

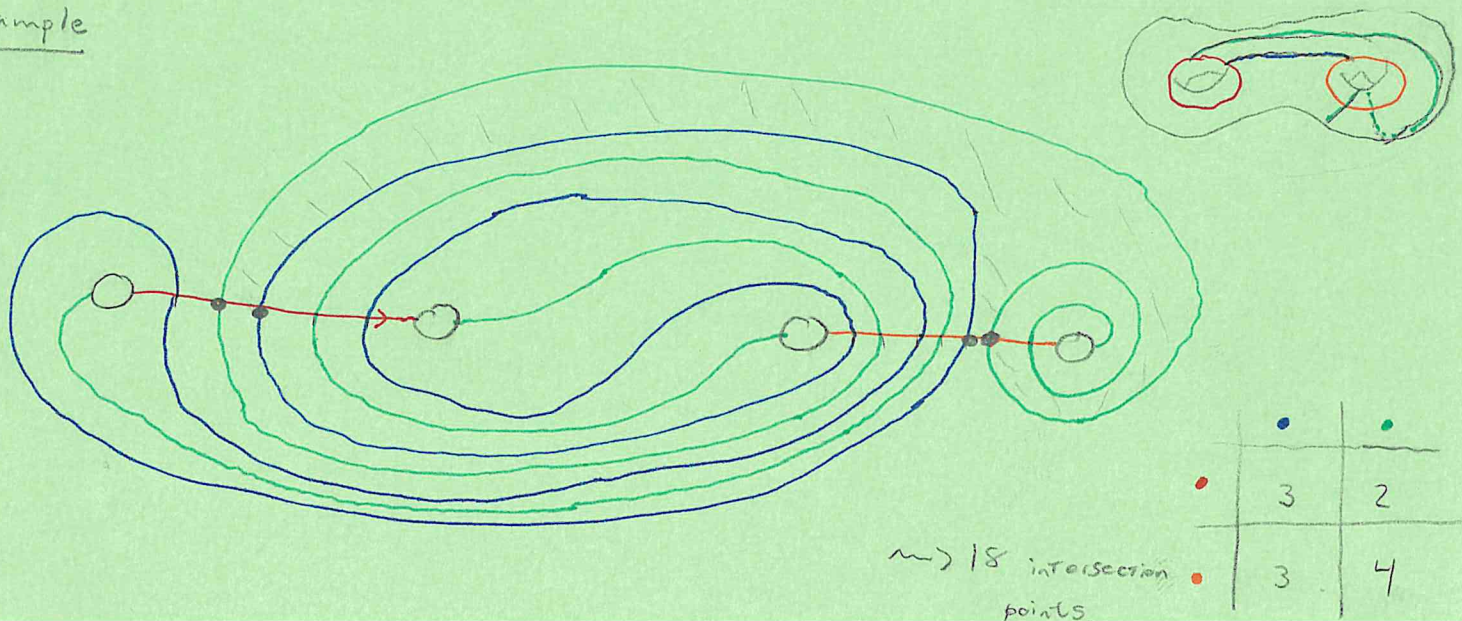
$$\left. \begin{aligned} \cdot \Pi_{\vec{a}} &= a_1 \times \dots \times a_g \\ \cdot \Pi_{\vec{B}} &= B_1 \times \dots \times B_g \end{aligned} \right\} \text{totally real, } \Pi_{\vec{a}} \pitchfork \Pi_{\vec{B}}$$

$$\frac{H_1(Sym^g(\Sigma))}{H_1(\Pi_{\vec{a}}) \oplus H_1(\Pi_{\vec{B}})} \cong \frac{H_1(\Sigma)}{[a_i], [B_j]} \cong H_1(Y)$$

$$\cdot V_z = \{z\} \times Sym^{g-1}(\Sigma), \text{ likewise } V_w \text{ if relevant}$$

We look at intersection points between $\Pi_{\vec{a}}$ and $\Pi_{\vec{B}}$ \leadsto finitely many because the mfds are cpt and transverse.

Example



Defn $CF^-(Y) = \bigoplus_{x \in \pi_{\alpha}^{-1} \cap \pi_{\beta}^{-1}} \mathbb{F}[U] \langle x \rangle$

More generally

$\widehat{CF}(Y) = \bigoplus_{x \in \pi_{\alpha}^{-1} \cap \pi_{\beta}^{-1}} \mathbb{F} \langle x \rangle$

$CF^{\infty}(Y) = \bigoplus \mathbb{F}[U, U^{-1}] \langle x \rangle$

}

$CF^-(Y)$ is the submodule for which $U^k x$ $k \geq 0$

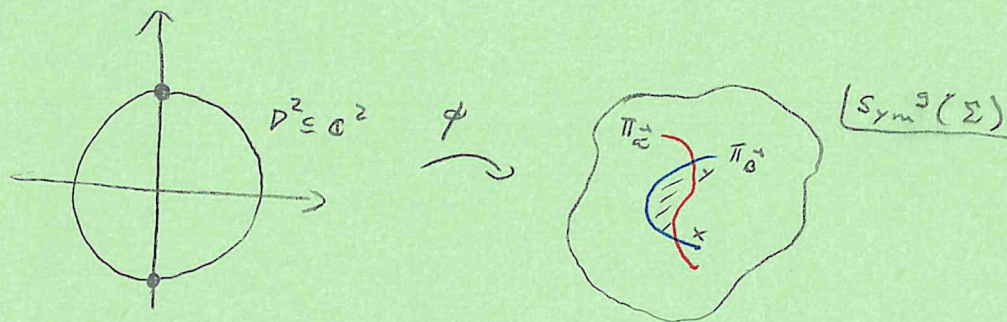
$CF^+(Y) = CF^{\infty}(Y) / CF^-(Y)$

$\widehat{CF}(Y) = CF^-(Y) / \langle u=0 \rangle$

Boundary operator

Whitney disk

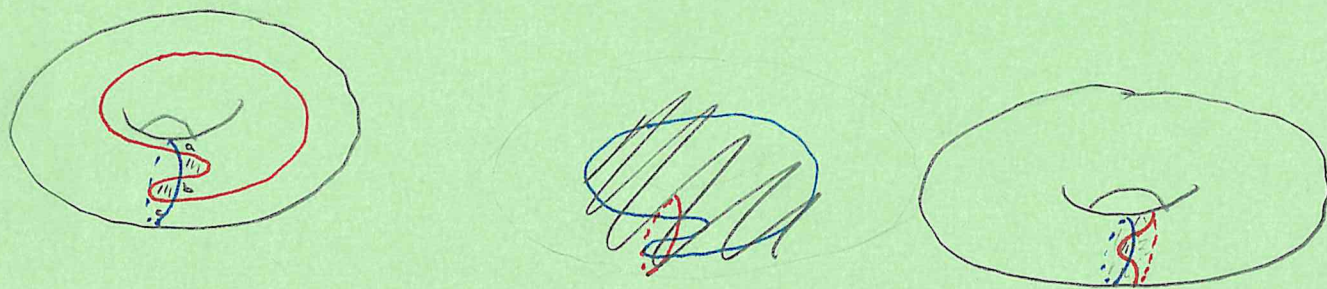
A map $\phi: D^2 \rightarrow \text{Sym}^2(\Sigma)$ w/ the following properties.



(Note this is so far just topological.)

$\pi_2(x, y)$: Set of homotopy classes of such disks.

Example



Disks come w/ a natural multiplicative structure

$\pi_2(x, y) * \pi_2(y, z) \rightarrow \pi_2(x, z)$



and a splicing

$$\pi_2'(\text{Sym}^g(\Sigma)) * \pi_2(x, y) \rightarrow \pi_2(x, y)$$

\uparrow basepoint-free



We can also study a disk via studying its shadow.

Defn Let P_1, \dots, P_m be the closures of the cpts of $\Sigma = \{\alpha_1, \dots, \alpha_g\} \cup \{\beta_1, \dots, \beta_g\}$. Given $\phi \in \pi_2(x, y)$ the shadow of ϕ , or the domain associated to ϕ , is a formal linear combination of the regions $\{P_i\}_{i=1}^m$

$$D(\phi) = \sum_{i=1}^m n_{P_i}(\phi) P_i$$

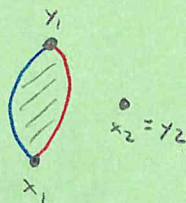
i.e. we project $D^2 \hookrightarrow \text{Sym}^g(\Sigma)$. Can also think of this as $S \hookrightarrow \Sigma \times \text{Sym}^{g-1}(\Sigma)$

$$\begin{array}{ccc} D^2 & \hookrightarrow & \text{Sym}^g(\Sigma) \\ & \searrow & \downarrow \\ & & \Sigma \end{array}$$

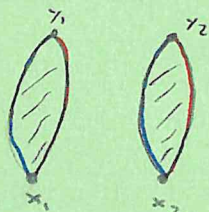
$$\begin{array}{ccc} S & \hookrightarrow & \Sigma \times \text{Sym}^{g-1}(\Sigma) \\ \downarrow & & \downarrow \\ D^2 & \hookrightarrow & \text{Sym}^g(\Sigma) \end{array}$$

a multiply branched cover of D

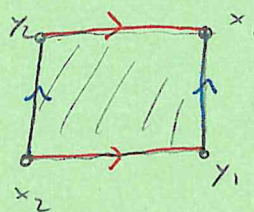
Things that are disks on a diagram of $g=2$ (or greater).



1

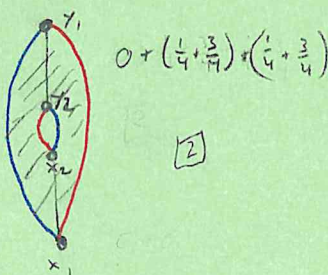


2



1

$$0 + 2(\frac{1}{4}) + 2(\frac{1}{4}) = 1$$



[2]

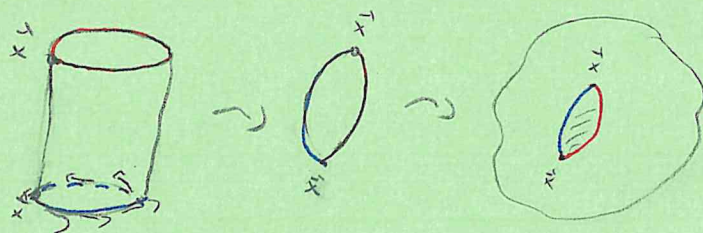


$$-1 + (\frac{1}{4}) + (\frac{1}{4}) + (\frac{1}{4}) + (\frac{1}{4})$$

[0]

A disk has an associated number called the Maslov index:

- In principle this is a relative Chern class of $(\phi^*(TM), \phi^*(T(\pi_0)) \oplus \phi^*(T(\pi_1)))$.
- Makes sense if $\vec{x} = \vec{y}$.



For $g=1$ Needs some modification for $\vec{x} \neq \vec{y}$.

For $g=1$ counts # of times $\vec{T}(T_\infty)$ is parallel to $T(T_\infty)$.

More generally, we have a formula

$$\text{If } P(\phi) = \sum n_i P_i, \text{ then}$$

$$\mu(\phi) = \sum_i a_i e(P_i) + p_x^+(\phi) + p_y^+(\phi)$$

Euler measure
of domain;

$$1 - \frac{k}{2} \text{ if}$$

D a convex
 $2k$ -gon.

Sums of average

multiplicities of \vec{x} , resp \vec{y} at each
corner.

$$\left[\text{Also } \chi(s) = \frac{k}{4} + \frac{e}{4} \right]$$

Acute
corners

Obtuse corners

So returning to our examples...

Q Is there a disk connecting any two points of $\pi_{\alpha}^{-1} \cap \pi_{\beta}^{-1}$?

No, there is an obstruction.

Let \vec{x}, \vec{y} be a pair of intersection points. Let $a: [0,1] \rightarrow \pi_{\alpha}^{-1}$, $b: [0,1] \rightarrow \pi_{\beta}^{-1}$ From \vec{x} to \vec{y} . Then $a \cdot b$ is a loop in $Sym^g(\Sigma)$.

We can consider $\pi_1(Sym^g(\Sigma)) \xrightarrow{\sim} H_1(Sym^g(\Sigma)) \xrightarrow{\sim} H_1(\Sigma)$

$$a \cdot b \longmapsto \varepsilon(\vec{x}, \vec{y})$$

If $\varepsilon(\vec{x}, \vec{y}) \neq 0$ then $\pi_2(\vec{x}, \vec{y})$ is empty. Also we can calculate $\varepsilon(\vec{x}, \vec{y})$ in the surface itself: $a: [0,1] \rightarrow \pi_{\alpha}^{-1}$ is a collection of arcs on the α curves, $b: [0,1] \rightarrow \pi_{\beta}^{-1}$ is a collection of arcs on the β curves, and the invariant doesn't care about which choice of arc you take.

Example

• There are two equivalence classes of intersection points on the diagram I drew at the beginning of today.

This links back to our disks:

Let $\vec{x} = \{x_1, \dots, x_g\}$ w/ $x_i \in \alpha_i \cap \beta_i$ and $\vec{y} = \{y_1, \dots, y_g\}$ w/ $y_i \in \alpha_i \cap \beta_{\sigma^{-1}(i)}$

For σ some suitable permutation. Then given $\phi \in \pi_2(\vec{x}, \vec{y})$, show that

- The restriction of $\partial P(\phi)$ to α_i is a one-chain w/ bdy $x_i - x_i$
- The restriction of $\partial P(\phi)$ to β_i is a one-chain w/ bdy $x_i - y_{\sigma(i)}$.
- $\partial(P(\phi))$ connects \vec{x} to \vec{y} on Σ

6) IF we have \vec{x} and \vec{y} in $\pi_{\alpha}^{-1} \cap \pi_{\beta}^{-1}$ and $A = \sum_{i=1}^n a_i P_i$ satisfies that ∂A connects \vec{x} to \vec{y} along α curves and \vec{y} to \vec{x} along β curves, then ∂A connects \vec{x} to \vec{y} .

Propn For $g > 1$, and $\vec{x}, \vec{y} \in \pi_{\alpha}^{-1} \cap \pi_{\beta}^{-1}$, if A connects \vec{x} to \vec{y} then there is a class $\phi \in \pi_2(x, y)$ whose shadow is A . IF $g > 2$ ϕ is uniquely determined by A .

Propn For $g > 2$, and $\vec{x}, \vec{y} \in \pi_{\alpha}^{-1} \cap \pi_{\beta}^{-1}$. Then if $c(\vec{x}, \vec{y}) \neq 0$, $\pi_2(x, y)$ is empty, otherwise $\pi_2(\vec{x}, \vec{y}) \cong \mathbb{Z} \oplus H^1(Y, \mathbb{Z})$.

[The difference here is that $\pi_2(\text{Sym}^2(\Sigma))$ is big and complicated.]

Spin^c-structures

Y closed-oriented 3-mfd.

Defn Let v_1, v_2 nowhere-vanishing vector fields. We say v_1 and v_2 are homologous if there is a ball B in Y st $v_1|_{Y-B}$ is homotopic to $v_2|_{Y-B}$. $\text{Spin}^c(Y)$ is the set of nowhere-vanishing vector fields modulo this relation.

IF we fix a trivialization of TY , there is a one-to-one correspondence $\{\text{vector fields } v \text{ over } Y\} \longleftrightarrow \{\text{maps } f_v: Y \rightarrow S^2\}$

If u generates $H^2(S^2)$, let $\hat{f}(v) = F_v^*(u)$. (7)

Exercise If v_1 and v_2 are nowhere-vanishing vector fields over Y , then $\hat{f}(v_1, v_2) = \hat{f}(v_1) - \hat{f}(v_2) \in H^2(Y; \mathbb{Z})$ is independent of the trivialization we chose.

\leadsto This gives an action of $H^2(Y)$ on $\text{Spin}^c(Y)$ via letting av be the vector field st $\hat{f}(av, v) = a$.

$\leadsto \text{Spin}^c(Y)$ comes w/ an involution $s \mapsto \bar{s}$, by taking v to $-v$.

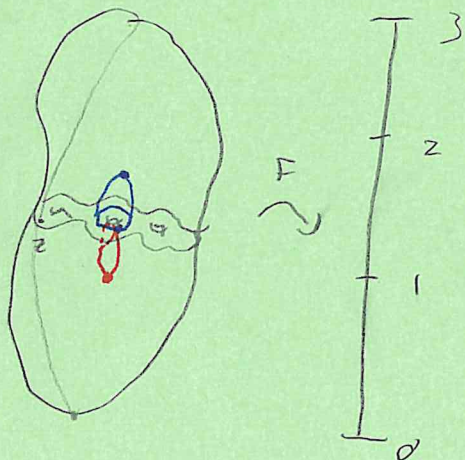
\leadsto we also have the first Chern class $c_1: \text{Spin}^c(Y) \rightarrow H^2(Y; \mathbb{Z})$
 $s \mapsto s - \bar{s}$.

[In particular, this is the first Chern class of the cpx bundle u^+]

Back to Heegaard diagrams

We have a map $s_\varepsilon: \pi_{\vec{\alpha}} \cap \pi_{\vec{\beta}} \rightarrow \text{Spin}^c(Y)$ which refines ε .

Let,



Picking \vec{x} and \vec{y} picks a set of 3 trajectories from index one critical pts to index 2 critical pts. We also have a trajectory from the index 0 to index 3 critical pt from the basepoint. Delete nbhds \leadsto get a complement of disjoint unions on which ∇F does not vanish.

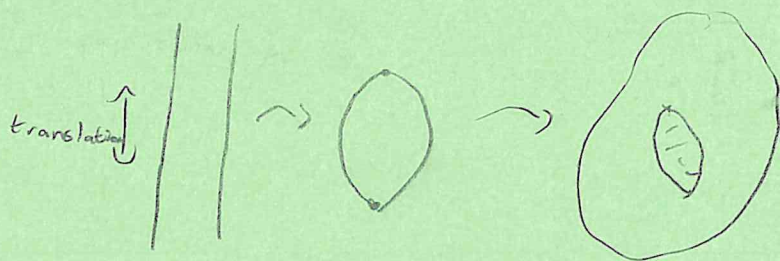
Gradient vector field has index zero and can be extended to a nowhere-vanishing field over Y . This gives a spin^c -structure

Indeed $s_z(y) - s_z(x) = \text{PD}[\epsilon(\vec{x}, \vec{y})]$

i.e. $s_z(y) = s_z(x) \Leftrightarrow \pi_2(\vec{x}, \vec{y}) \text{ nonempty.}$

Boundary Operator

- We consider disks for which $n(\phi) = 1$.
- For a given homotopy class of disks $\phi \in \pi_2(x, y)$, we let $\mathcal{M}(\phi)$ be the moduli space of (pseudo)-holomorphic representatives of ϕ .
- This comes w/ an action of \mathbb{R}



We quotient out to get rigid pseudohol'ic disks $\hat{\mathcal{M}}(\phi) = \frac{\mathcal{M}(\phi)}{\mathbb{R}}$

The boundary operator is

(9)

$$\partial^-_x = \sum_{\gamma \in \pi_\alpha \cap \pi_\beta} \left(\sum_{\substack{\phi \in \pi_2(\gamma) \\ u(\phi)=1}} \# \hat{M}(\phi)_\gamma \cdot u n_\alpha(\phi)_\gamma \right)$$

$$\hat{\partial}_x = \sum_{\gamma \in \pi_\alpha \cap \pi_\beta} \left(\sum_{\substack{\phi \in \pi_2(\gamma) \\ u(\phi)=1 \\ n_\beta(\phi)=0}} \# \hat{M}(\phi)_\gamma \right) \left. \vphantom{\sum_{\gamma \in \pi_\alpha \cap \pi_\beta}} \right\} \text{This is the same as working in } \text{Sym}^g(\Sigma \setminus \{z\}).$$