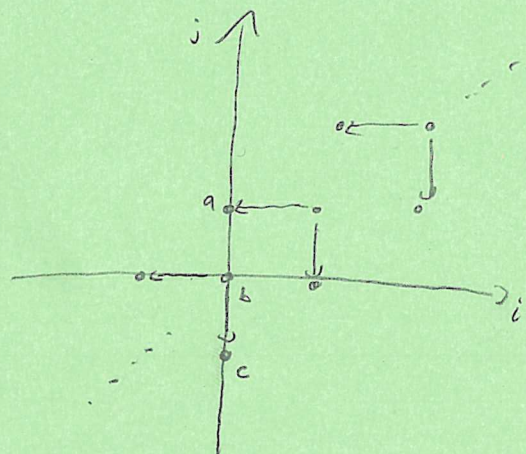


CFK[∞] and concordance invariants

①

Today / Monday A survey of various concordance invariants and their properties.

Recall we have $K \rightsquigarrow \text{CFK}^{\infty}(K)$ $\mathbb{Z} \oplus \mathbb{Z}$ Filtered, \mathbb{Z} graded complex over $\mathbb{F}[U, U^{-1}]$.



$$H_*(\{i \geq 0\}) \simeq HF^+(S^3)$$

$$H_*(\{i = 0\}) \simeq \widehat{HF}(S^3)$$

$$H_*(\{i \leq 0\}) \simeq HF^-(S^3)$$

$$H_*(F_*(\{i = 0\})) = \widehat{HFK}(S^3, K)$$

$$H_*(F_*(\{i \leq 0\})) \simeq HFK^-(S^3, K)$$

We can look at the maps ∂^{vert} and ∂^{horz} as well.

Concordance Invariants

We've already discussed τ :

$\tau(K) = \min \{s : i : \{i \leq 0, j \leq s\} \rightarrow \{i = 0\} \text{ induces a surjection on homology}$

$$\tau(K) = -\max \{s \in \mathbb{Z} : \exists \theta \in HFK^-(K, s) \text{ st for all } n \in \mathbb{N}, U^n \theta \neq 0\}$$



From the first defn. $\tau(K \# K_2) = \tau(K_1) + \tau(K_2)$

$$\tau(\overline{K}) = -\tau(K)$$

$\tau(K) = 0$ if K is slice.

Thm. $\tau: \mathcal{C} \rightarrow \mathbb{Z}$ is a surjective homomorphism.

$$\bullet |\tau(K)| \leq g_4(K)$$

\nwarrow Pongsoo's talk

$$\bullet \text{ IF } K \text{ is (quasi-)alternating, } \tau(K) = -\frac{\sigma(K)}{2}$$

$$\bullet \text{ For the } p, q \text{ torus knot, w } p, q \geq 1 \text{ coprime, } \tau(T_{p,q}) = \frac{(p-1)(q-1)}{2} = g(T_{p,q}).$$

\bullet Under crossing change $K_+ \rightarrow K_-$, we have

$$\tau(K_+) - 1 \leq \tau(K_-) \leq \tau(K_+)$$

Note τ is analogous to s from Khovanov homology. $\frac{s(K)}{2}$ also has the properties above. But they are not the same [Hedden-aring]

Other concordance invariants

From surgery maps

Recall we let $W_N(K)$ be the two handle cobordism from S^3 to $S^3_N(K)$ for $N \gg 0$, and consider the cobordism map associated to

$-W_N(K)$ from $S^3_N(K)$ to S^3 .

Recall further that the map

$$v_s^-: \mathbb{C} \{ \sum (i, j-s) \leq 0 \} \rightarrow \mathbb{C} \{ i \leq 0 \}$$

is the cobordism map associated to the spin^c -structure

\mathfrak{t} on W st $\langle \mathfrak{t}, [\hat{F}] \rangle = 2s$, as long as $|s| < N$. Here F is a

capped-off Seifert surface. $v_{s,x}^-: \widehat{HF}^-(S^3_N(K), s) \rightarrow \widehat{HF}^-(S^3) \simeq \mathbb{F}[U]$

Likewise there is a hat version

$$\hat{v}_s: \mathbb{C} \{ \sum (i, j-s) = 0 \} \rightarrow \mathbb{C} \{ i = 0 \}$$

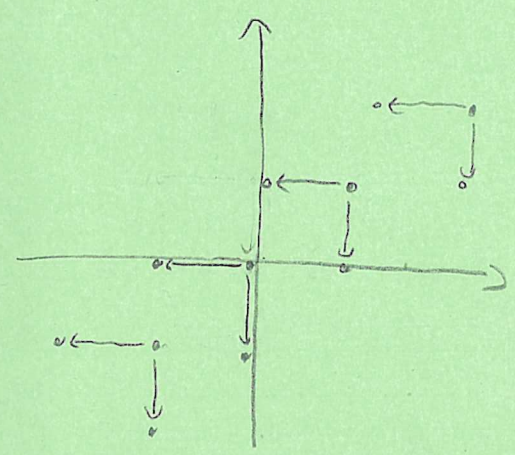
$$\hat{v}_{s,x}: \widehat{HF}(S^3_N(K), s) \rightarrow \widehat{HF}(S^3) \simeq \mathbb{F}$$

We let $r(K) = \min \{s : \hat{V}_{s,x} \text{ is surjective}\}$

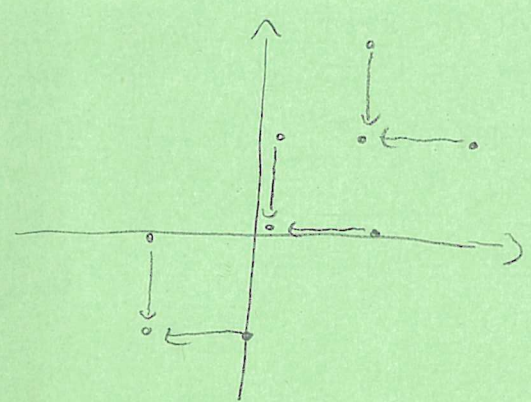
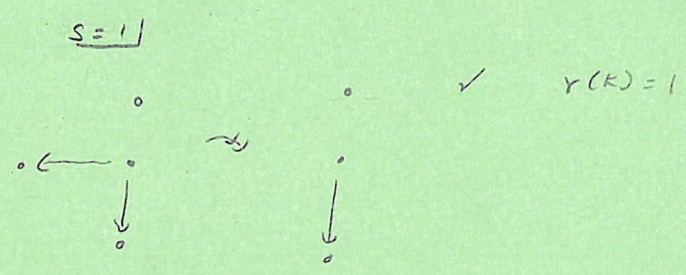
Note that $\hat{V}_{s,x}$ is trivial for $s < \tau(K)$ and nontrivial for $s \geq \tau(K)$;

so $r(K)$ is either $\tau(K)$ or $\tau(K)+1$.

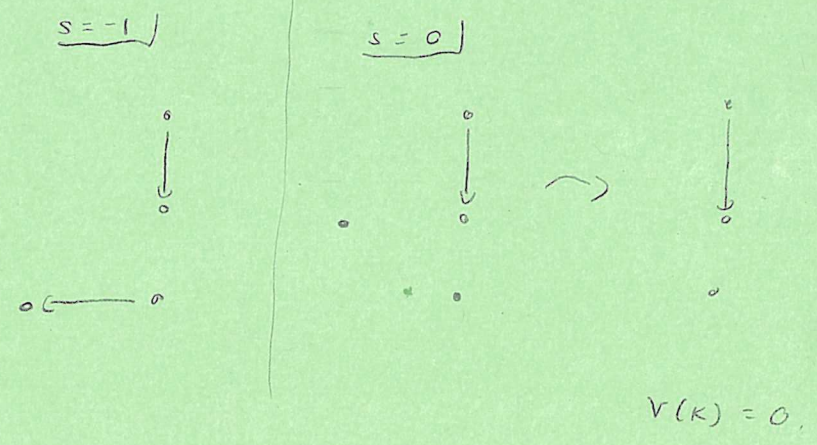
Examples



$\tau(K) = 1$



$\tau(K) = -1$



We also have $r^-(K)$, which is the $\min \{s : V_{s,x}^- \text{ is surjective}\}$

This has the relationship

$\tau(K) \leq r(K) \leq r^-(K) \leq g_4(K)$

$k = \tau_{2,5} \neq \tau_{2,3} \neq \tau_{2,3;2,5}$
 $K_{p,3p-1}$

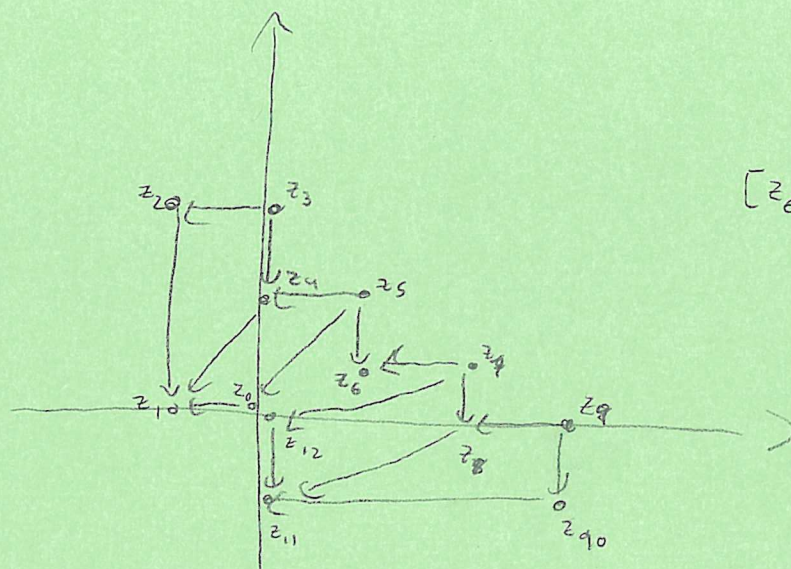
This gap can be made as large as you like.



Recall
 $v_0 = \frac{1}{2} d(s^3)$

Note that $r^- = \min \{s : V_s = 0\}$.

$V_s = \text{rank}(\text{coker } V_{s,x})$



$[z_6]$ generates
 $H_* (\mathbb{C}\{z_i \geq 0\})$

$[uz_6]$ dies

but

$[uz_6]$ persists into
 A_1^+ .

So $V_1 = 1, V_2 = 0$.



So $r^-(K) = \mathbb{Z}$

$\hat{V}(K) = ?$ Vertical homology is generated by z_0 ; it has an outgoing arrow. So we see this is 1.

Four ball genus bounds and a refinement of the Ozsváth-Szabó
 tau invt

We also have the ε -invariant, defined as follows:

Simple Defn

- IF $r(K) = \tau(K) + 1$, then $\varepsilon(K) = -1$.
- IF $r(K) = \tau(K)$ and $r(-K) = \tau(-K)$, then $\varepsilon(K) = 0$.
- IF $r(-K) = \tau(-K) + 1$, then $\varepsilon(K) = 1$.

This is exhaustive but not illuminating.

More to the point: we say a basis for CFK^∞ is vertically simplified if for each x_i exactly one of the following is true:

- $\partial^{\text{vert}} x_i = x_j$ for some x_j
- x_i is in the kernel but not the image of ∂^{vert}
- $x_i = \partial^{\text{vert}} x_j$ for a unique x_j

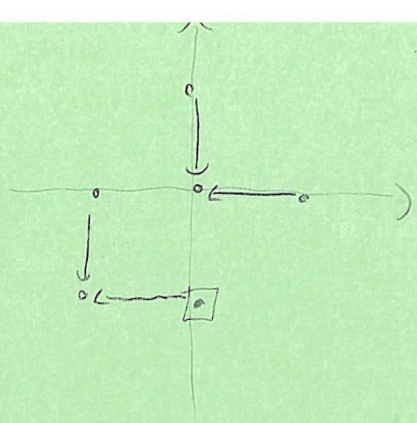
Similarly a horizontally simplified basis.

Lemma^(Hom) \exists a horizontally simplified basis for CFK^∞ s.t. some x_j is the distinguished generator of a vertically simplified basis.

• $\varepsilon(K) = -1$ if x_j is not in the kernel of the horizontal differential $\partial \leftarrow x_j$

• $\varepsilon(K) = 0$ if x_j is in the kernel but not the image of the horizontal differential $\partial \leftarrow x_j$

• $\varepsilon(K) = 1$ if x_j is in the image of the horizontal differential $\partial \leftarrow x_j$



$$\varepsilon(k) = -1.$$

Properties of ε

- ① IF k is slice, $\varepsilon(k) = 0$.
- ② IF $\varepsilon(k) = 0$, $\tau(k) = 0$.
- ③ $\varepsilon(k) = -\varepsilon(-k)$
- ④ For k homologically thin, $\varepsilon(k) = \text{sgn}(\tau(k))$.
- ⑤ IF $\gamma(k) = |\tau(k)|$, $\varepsilon(k) = \text{sgn} \tau(k)$.
- ⑥ IF $\varepsilon(k_1) = \varepsilon(k_2)$, then $\varepsilon(k_1 \# k_2) = \varepsilon(k_1)$. IF $\varepsilon(k_1) = 0$, $\varepsilon(k_1 \# k_2) = \varepsilon(k_2)$.

was used to give the first proof of

Thm (Horn) The group C_{ys} contains a direct summand isomorphic to \mathbb{Z}^∞ .

Idea of this proof: One looks at the group

$$CFX := \left(\sum_{k \in S^3} C F K^\infty(k) : k \in S^3 \right) / \sim_\varepsilon$$

where $C_1 \sim_\varepsilon C_2$ IF $\varepsilon(C_1 \otimes C_2^*) = 0$. This group is ordered, $[C_1] > [C_2] \Leftrightarrow$

$\varepsilon(C_1 \otimes C_2^*) = 1$. The proof relies on finding classes $[C_i]$ st

$[C_{i+1}] \gg [C_i]$ (this means $\nexists n$ st $n[C_i] > [C_{i+1}]$)

[Consider the dictionary order on the plane...]