

Szemerédi's Thm & density thms

- stronger than vDW (if true): density version:

$\forall k, r \exists N_0$ s.t. $\forall N > N_0$

$$A \subseteq [N], |A| > N/r \Rightarrow A \geq k-t, AP$$

- in hypergraph language \oplus :

$$\mathcal{H}_N = \{k\text{-t. AP's in } [N] \quad (\vee(\mathcal{H}_N) = [N])$$

$$\underline{\text{vdW}}: \chi(\mathcal{H}_N) \rightarrow \infty$$

$$\underline{\text{density}}: \alpha(\mathcal{H}_N) = o(N) \quad (?)$$

\oplus (α : ind. #: ind: \nexists edge)

- analogue for Ramsey: $\vee(\mathcal{H}_n) = \binom{[n]}{2}$ (say) \rightarrow
 $\mathcal{H}_n = \{\text{triangles}\}$

$$\text{Ramsey: } \chi(\mathcal{H}_n) \rightarrow \infty$$

$$\underline{\text{density}}: \alpha(\mathcal{H}_n) = o(n^2) \quad (?)$$

— nonsense: $\alpha(\mathcal{H}_n) = \lceil n^2/4 \rceil$

... But density vdW is true:

another form:

$$\text{upper density of } A \subseteq \mathbb{N} := \lim \frac{|A \cap [N]|}{N}$$

► Sz's thm ('74):

if $A \subseteq \mathbb{N}$ has pos. upper density (p.u.d.)

then $A \supseteq k$ -term AP $\forall k$.

[why equiv? $\begin{cases} \Rightarrow : \dots \\ \Leftarrow : \text{Ex} \end{cases}$]

some history:

- Conj'd: Erdős-Turán '36 (\rightarrow vDW)
- Roth '52: $k=3$ (Fourier, see GRS)
- Szemerédi '69: $k=4$
- Furstenberg '77:
ergodic theory pf of Sz. (hint in GRS)
- Green-Tao '04: long AP's in $\{\text{primes}\}$

BTW:

$$r_k(N) := \max \{ |A| : A \subseteq [N], A \neq k\text{-t. AP} \} \rightarrow$$

Szemerédi: $r_k(N) = o(N)$ (Roth: $r_3(N) = o(N)$)

- Gowers '01: $r_k(N) < (\log \log N)^c N$ [$c = 2^{-2^{k+9}}$]

► much work on $r_3(N)$; e.g. (skipping some constants):

- Roth '52: $r_3(N) \approx \frac{N}{\log \log N}$

- Bloom-Sisask '20: $O\left(\frac{N}{(\log N)^{1+c}}\right)$ (!)

(breaks the "logarithmic barrier")

- Kelly-Meka '23: $N \exp[-\Omega(\log^c N)]$ (!!!)

vs. Behrend '46: $r_3(N) \geq N \exp[-\sqrt{\log N}]$



Szemerédi's (beautiful) pf of Roth

prelim's (briefly)

① " k -cube": $M(a; d_1, \dots, d_k) = \{a + \sum_{i \in I} d_i : I \subseteq [k]\}$ $[a, d_i \in \mathbb{R}]$

Cube Lemma $A \subseteq [n], |A| > cn \Rightarrow$

$A \supseteq k\text{-cube}$ $\bar{w} k > \log(\log n - f(c))$ $[\log = \log_2 \text{ but unimp.}]$

semif/Ex: (i) choose $d_i \in \mathbb{R}$ s.t.

$$|A_1| := |\{x \in A : x + d_1 \in A\}| \text{ max'm } \rightarrow |A_1| \geq c^2 n / 2$$

(ii) iterate ($\leftarrow A_1, A_2, \dots$) until $|A_i| = 1$

• k' -cube in A_1 gives k -cube in A etc.

• # of iterations $\approx \log(\log n - \log(\log(2/c)))$

↗

② $S(n) := \max \{ |A| : A \subseteq [n], A \not\models 3\text{-t. AP} \}$

($= r_3(n)$; for this step 3 could be k)

Ex: S is subadditive, i.e. $S(m+n) \leq S(m) + S(n)$

► Fekete's Lemma $S : \mathbb{N} \rightarrow \mathbb{R}^+$ subadditive \Rightarrow

• $\alpha := \lim \frac{S(n)}{n}$ exists and

• $\alpha \leq \frac{S(n)}{n} \quad \forall n$

Pf: Ex (not hard \nRightarrow a basic fact)

(now Roth) setting up:

- To show: $\frac{S(n)}{n} \rightarrow 0$
- $c := \lim S(n)/n$ (Fekete: c exists)
assume ($\Rightarrow \Leftarrow$) that $c > 0$. Then:
 $\forall \varepsilon > 0 \exists n_\varepsilon : n > n_\varepsilon \Rightarrow cn \leq S(n) < (c + \varepsilon)n$
- choose:
 - ε very small (e.g. $\varepsilon < 0.01c^2$)
 - n very large: $0.01c^2 \log \log n > n_\varepsilon$
- $\lceil \log \log \rceil \leftrightarrow$ Cube Lemma; don't worry about values: it will be clear \exists val's that work
 \nmid we'll see what's needed]
- Let $A \subseteq [n]$, $|A| \geq cn$, $A \not\approx$ 3-t. AP
 - $\underbrace{A \not\approx 3\text{-t. AP}}$ for $\Rightarrow \Leftarrow$

MAIN IDEA \heartsuit : if $[n] \approx \bigcup$ (long AP's) then
 $\nearrow > n_\varepsilon$

$|A| \geq cn$ and AP densities (in A) $< c + \varepsilon \Rightarrow$
can't lose much

\heartsuit first use at ① but main use at end; see PLAN below

$$\textcircled{1} \quad I := (.49n, .5n) \rightarrow \frac{|A \cap I|}{.01n} > \frac{c}{2}$$

[ingredients highlighted thus as they appear]

Pf Otherwise $|A| < (c + \varepsilon) \cdot .99n + \frac{c}{2} (.01n) < cn \quad (\Rightarrow \Leftarrow)$

(triv.) \implies

$$\textcircled{2} \quad \exists \text{ interval } T \subseteq I, |T| = \sqrt{n} \quad (\text{really } |T| \approx \sqrt{n}) \text{ s.t.}$$

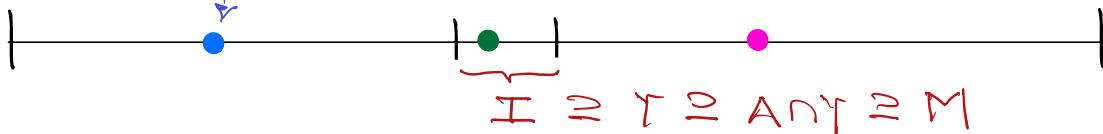
$$|A \cap T| > \frac{c}{2} |T|$$

(Cube b.)

$$\textcircled{3} \quad A \cap T \supseteq k\text{-cube} \quad M = M(a; d_1, \dots, d_k) \\ (= \{a + \sum_{i \in I} d_i : I \subseteq [k]\})$$

$$\bar{\omega} \quad k = \log \log n - O(1)$$

$$\textcircled{4} \quad X := A \cap [1, .49n]$$



PLAN: {3rd terms (•) of AP's $\bar{\omega}$ }

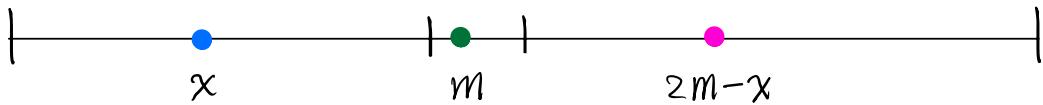
{first term (•) in X & second term (•) in $M\}$ is a

large subset of $(.49n, n] \setminus A$ with structure

→ part'n rest of this into (mostly) long AP's
↗ use "MAIN IDEA"

$$(5) \quad M_n := M(a; d_1, \dots, d_n) \quad (M_0 := \{a\})$$

$$N_n := \{z \in X : x \in X, m \in M_n\}$$



- $A \cap N_n = \emptyset$ (or $A \supseteq 3\text{-t. AP}$)
- $N_n = N_{n-1} \cup (N_{n-1} + 2d_n)$
- $|N_0| = |x| > cn/4$ (say; actually $|x| \approx cn/2$)
- $|N_k| < n \rightarrow \exists i \quad |N_i \setminus N_{i-1}| < n/k \rightarrow$

$$(6) \quad Z := (.49n, n] \setminus N_{n-1}$$

- block := AP w diff. $2d_i$
- partition N_{n-1} into max'l blocks:
 - $\# \leftarrow |N_i \setminus N_{i-1}| < n/k$
 - ↗ EX [easier: $|N_i \setminus N_{i-1}| + 2d_i$]
- partition Z into max'l blocks:
 - $\# \leftarrow n/k + 2d_i < n/k + 2\sqrt{n}$
 - ↘ why?
 - ignore

→ done:

Rough: $(A \cap N_{i-1} \neq \emptyset \rightarrow)$ lost $|N_{i-1}| = \Omega(n)$ \nexists
 rest partitioned into mostly long AP's
 (which have densities $\approx c$) \rightarrow can't catch up.

Precise: block is $\begin{cases} \text{short} & \text{if size} \leq n_\varepsilon \\ \text{long} & \text{otherwise} \end{cases}$

A has density:

- 0 on N_{i-1}
- $< c + \varepsilon$ on $[1, .49n) \cup \{ \text{long blocks} \}$
- $(\leq 1 \text{ on short blocks})$



$$cn < |A|$$

$$< (c + \varepsilon) \left[.49n + (.51n - \frac{c}{4}n) \right] + \left(\frac{n}{k} + 2\sqrt{n} \right) n_\varepsilon$$

$$< cn$$

