

# Constant Scalar Curvature Kähler Metric Obtains the Minimum of K-energy

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Based on Donaldson's method, we prove that, for an integral Kähler class, when there is a Kähler metric of constant scalar curvature, then it minimizes the K-energy. We do not assume that the automorphism group is discrete.

## 1 Introduction

Let  $X$  be a compact Kähler manifold of dimension  $n$  and fix a Kähler class  $[\omega]$  on  $X$ . Define the Kähler potential space

$$\mathcal{K}(\omega) := \{\phi \in C^\infty(X, \mathbb{R}) ; \omega + \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\phi > 0\} \quad (1)$$

We will write  $\mathcal{K}$  for  $\mathcal{K}(\omega)$  if the reference metric  $\omega$  is clear.  $\bar{\mathcal{K}} := \mathcal{K}/\mathbb{R}$  is the space of Kähler metrics in  $[\omega]$ . The K-energy functional was defined by Mabuchi [10].

**Definition 1.1.** For any  $\phi \in \mathcal{K}$ , let  $\omega_\phi = \omega + (\sqrt{-1}/2\pi)\partial\bar{\partial}\phi \in \bar{\mathcal{K}}$ , define

$$v_\omega(\omega_\phi) = -\frac{1}{V} \int_0^1 dt \int_X (S(\omega_{\phi_t}) - \underline{S}) \frac{d\phi_t}{dt} \omega_{\phi_t}^n$$

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$\phi_t$  is any path connecting 0 and  $\phi$  in  $\mathcal{K}$ .  $S(\omega_\phi)$  denotes the scalar curvature of Kähler metric  $\omega_\phi$ , and

$$\underline{S} = \frac{1}{V} \int_X S(\omega) \omega^n = \frac{nc_1(X) \cdot [\omega]^{n-1}}{[\omega]^n}, \quad V = \int_X \omega^n$$

is the average of scalar curvature, which is independent of chosen Kähler metric in  $[\omega]$ .  $\square$

The K-energy is well defined, that is, it does not depend on the path connecting 0 and  $\phi$ . In particular, we can take  $\phi(t) = t\phi$ . A Kähler metric of constant scalar curvature is a critical point of K-energy and it is a local minimizer.

Now assume the Kähler class is  $c_1(L) \in H^2(X, \mathbb{Z}) \cap H^{1,1}(X, \mathbb{R})$  for some ample line bundle  $L$  over  $X$ .

In this note, we prove the following theorem:

**Theorem 1.2.** Suppose that there is a metric  $\omega_\infty$  of constant scalar curvature in the Kähler class  $c_1(L)$ . Then  $\omega_\infty$  minimizes the K-energy in this Kähler class.  $\square$

In the case of Kähler–Einstein metrics, this result was proved by Bando and Mabuchi in [1] and [2]. In [7], Donaldson proved the above theorem under the assumption that the automorphism group  $\text{Aut}(X, L)$  is discrete. Here  $\text{Aut}(X, L)$  denotes the group of automorphisms of the pair of  $(X, L)$  modulo the trivial automorphism  $\mathbb{C}^*$  (acting by constant scalar multiplication on the fibres). The theorem was proved for more general extremal Kähler metrics on any compact Kähler manifolds by Chen–Tian in [5], where the authors used geodesics in infinite dimensional space of Kähler metrics in  $[\omega]$ . It can also be proved by recent result of Chen–Sun [4], where they use geodesic approximation to prove weak convexity of K-energy.

In this note, we use Donaldson’s method to prove the theorem for every integral class case.

The strategy to prove the theorem is finite dimensional approximation. We sketch the idea here.

Tian’s approximation theorem (Proposition 2.2 and Corollary 2.3) says that  $\mathcal{K}$  can be approximated by a sequence of finite dimensional symmetric spaces  $\mathcal{H}_k$ . Here  $\mathcal{H}_k \cong GL(N_k, \mathbb{C})/U(N_k)$  is the space of Hermitian metrics on the complex vector space  $H^0(X, L^k)$ .

In [7], Donaldson defined a sequence of functional  $\mathcal{L}_k$  on  $\mathcal{K}$ , which approximate K-energy as  $k \rightarrow \infty$ . When restricted to  $\mathcal{H}_k$ ,  $\mathcal{L}_k$  is bounded below by the logarithmic of Chow norm. It was known that balanced metric obtains the minimum of Chow norm [16, 23]. In [6], Donaldson already proved, in the case of discrete automorphism group, the existence of balanced metrics, which approximate Kähler metric of constant scalar curvature. Putting these together, he can prove the theorem.

Mabuchi [12–14] extended many results of [6] to the case where the varieties have infinitesimal automorphisms. As Mabuchi [11] showed, if the automorphism group is not discrete, in general there will be no balanced metrics. Instead, Mabuchi defined T-balanced metrics and T-stability with respect to some torus group contained in  $\text{Aut}(X)$ . Donaldson claimed [7] one can use these new techniques to prove the above theorem without assuming that the automorphism group is discrete.

In this note, we use the same quantization strategy. But we don't need existence of balanced metrics nor T-balanced metrics. Instead we use simpler Bergman metrics constructed directly from  $\omega_\infty$ . Using asymptotic expansion of Bergman kernel, we show that Bergman metrics are almost balanced in an asymptotical sense (Proposition 2.4), and they can help us to prove the theorem. In this way, we don't need the restriction on the automorphism group; moreover, our argument is more direct.

As can be seen from the following, the argument follows [7] closely. The idea of using almost balanced metrics is inspired by works of Mabuchi. In particular, the proof of Lemma 3.3 is inspired by the argument of [15], page 13. See Remark 3.1. As the above work shows, the convexity of various functionals is the essential property behind the argument.

## 2 Notations and Preliminaries

### 2.1 Maps between $\mathcal{K}_k$ and $\mathcal{H}_k$

We will use some definitions and notations from [7]. The set  $\mathcal{K}$  defined in (1) depends on reference Kähler metric  $\omega$ . However in the following, we will omit writing down this dependence, because it's clear that  $\mathcal{K}$  is also the set of metrics  $h$  on  $L$  whose curvature form

$$c_1(L, h) := -\frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log h$$

is a positive (1,1) form on  $X$ . Let  $\mathcal{K}_k$  denote the set of Hermitian metrics on  $L^k$  with positive curvature form, then  $\mathcal{K}_k \simeq \mathcal{K} = \mathcal{K}_1$ . Let  $N_k = \dim H^0(X, L^k)$ ,  $V = \int_X c_1(L)^n$ . We have maps between  $\mathcal{K}_k$  and  $\mathcal{H}_k$ .

**Definition 2.1.**

$$\begin{aligned} \text{Hilb} : \mathcal{K}_k &\longrightarrow \mathcal{H}_k \\ h_k &\mapsto \|s\|_{\text{Hilb}(h_k)}^2 = \frac{N_k}{Vk^n} \int_X |s|_{h_k}^2 c_1(L^k, h_k)^n, \quad \forall s \in H^0(X, L^k) \\ \text{FS} : \mathcal{H}_k &\longrightarrow \mathcal{K}_k \\ H_k &\mapsto |s|_{\text{FS}(H_k)}^2 = \frac{|s|^2}{\sum_{\alpha=1}^{N_k} |s_\alpha^{(k)}|^2}, \quad \forall s \in L^k. \end{aligned}$$

In the above definition,  $\{s_\alpha^{(k)}; 1 \leq \alpha \leq N_k\}$  is an orthonormal basis of the Hermitian complex vector space  $(H^0(X, L^k), H_k)$ . □

**2.2 Bergman metrics, expansions of Bergman kernels**

For any fixed Kähler metric  $\omega \in c_1(L)$ , take a Hermitian metric  $h$  on  $L$  such that  $c_1(L, h) = \omega$ , the  $k$ th Bergman metric of  $h$  is

$$h_k = \text{FS}(\text{Hilb}(h^{\otimes k})) \in \mathcal{K}_k.$$

Let  $\{s_\alpha^{(k)}, 1 \leq \alpha \leq N_k\}$  be an orthonormal basis of  $\text{Hilb}(h^{\otimes k})$ . Define the  $k$ th (suitably normalized) Bergman kernel of  $\omega$

$$\rho_k(\omega) = \frac{N_k n!}{Vk^n} \sum_{\alpha=1}^{N_k} |s_\alpha^{(k)}|_{h^{\otimes k}}^2.$$

Note that  $h$  is determined by  $\omega$  up to a constant, but  $\rho_k(\omega)$  doesn't depend on the chosen  $h$ .

The following proposition is now well known.

**Proposition 2.2 ([3, 9, 19, 20, 22]).**

- (i) For fixed  $\omega$ , there is an asymptotic expansion as  $k \rightarrow +\infty$

$$\rho_k(\omega) = A_0(\omega) + A_1(\omega)k^{-1} + \dots$$

where  $A_i(\omega)$  are smooth functions on  $X$  defined locally by  $\omega$ .

(ii) In particular

$$A_0(\omega) = 1, \quad A_1(\omega) = \frac{1}{2}S(\omega).$$

(iii) The expansion holds in  $C^\infty$  in that for any  $r, N \geq 0$

$$\left\| \rho_k(\omega) - \sum_{i=0}^N A_i(\omega)k^{-i} \right\|_{C^r(X)} \leq K_{r,N,\omega}k^{-N-1}$$

for some constants  $K_{r,N,\omega}$ . Moreover the expansion is uniform in that for any  $r, N$ , there is an integer  $s$  such that if  $\omega$  runs over a set of metrics, which are bounded in  $C^s$ , and with  $\omega$  bounded below, the constants  $K_{r,N,\omega}$  are bounded by some  $K_{r,N}$  independent of  $\omega$ . □

**Remark 2.1.** We choose a particular normalization of Bergman kernel, so that the expansion starts with order 0, other than order  $n$  as it appeared in [6, Proposition 6]. □

The following approximation result is a corollary of Proposition 2.2.(i)–(ii).

**Corollary 2.3 ([20]).** Using the notation at the beginning of this subsection, we have, as  $k \rightarrow +\infty$ ,  $(h_k)^{1/k} \rightarrow h$ , and  $(1/k)c_1(L^k, h_k) \rightarrow \omega$ , the convergence in  $C^\infty$  sense. More precisely, for any  $r > 0$ , there exists a constant  $C_{r,\omega}$  such that

$$\left\| \log \frac{h_k^{1/k}}{h} \right\|_{C^r} \leq C_{r,\omega}k^{-2}, \quad \left\| \frac{1}{k}c_1(L^k, h_k) - \omega \right\|_{C^r} \leq C_{r,\omega}k^{-2}. \tag{2}$$
□

**Proof.** It’s easy to see that

$$(h_k)^{\frac{1}{k}} = h \cdot \left( \sum_{\alpha} |s_{\alpha}^{(k)}|_{h^{\otimes k}}^2 \right)^{-\frac{1}{k}} =: h e^{-\phi_k}.$$

Note that by the expansion in Proposition 2.2.(i)–(ii), we have

$$\begin{aligned} \sum_{\alpha} |s_{\alpha}|_{h^{\otimes k}}^2 &= \frac{(N_k n! / V k^n) \sum_{\alpha=1}^{N_k} |s_{\alpha}^{(k)}|_{h^{\otimes k}}^2}{N_k n! / V k^n} = \frac{1 + \frac{1}{2} S(\omega) k^{-1} + O(k^{-2})}{1 + \frac{1}{2} \underline{S} k^{-1} + O(k^{-2})} \\ &= 1 + O(k^{-1}). \end{aligned}$$

So

$$\phi_k = \frac{1}{k} \log \left( \sum_{\alpha} |s_{\alpha}^{(k)}|_{h^{\otimes k}}^2 \right) = O(k^{-2}).$$

The error term is in  $C^{\infty}$  sense. So the first inequality in (2) holds. The second inequality in (2) follows because

$$\frac{1}{k} c_1(L^k, h_k) - \omega = \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \phi_k. \quad \blacksquare$$

Now assume we have a Kähler metric of constant scalar curvature  $\omega_{\infty}$  in the Kähler class  $c_1(L)$ . Take a  $h_{\infty} \in \mathcal{K}_1$  such that

$$\omega_{\infty} = c_1(L, h_{\infty}).$$

We will make extensive use of the  $k$ th Bergman metric of  $h_{\infty}$  and its associated objects, so for the rest of this note, we denote

$$H_k^* = \text{Hilb}(h_{\infty}^{\otimes k}), \quad h_k^* = \text{FS}(H_k^*) = \text{FS}(\text{Hilb}(h_{\infty}^{\otimes k})), \quad \omega_k^* = c_1(L^k, h_k^*) = \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log \left( \sum_{\alpha=1}^{N_k} |\tau_{\alpha}^{(k)}|^2 \right). \quad (*)$$

Hereafter, we fix an orthonormal basis  $\{\tau_{\alpha}^{(k)}, 1 \leq \alpha \leq N_k\}$  of  $H_k^* = \text{Hilb}(h_{\infty}^{\otimes k})$ .

The next proposition says we can improve the convergence rate in corollary 2.3 for  $h_{\infty}$ . This will be important for us. (Compare [15], (3.8)–(3.10))

**Proposition 2.4.** For any  $r > 0$ , there exists some constant  $C_{r, \omega_{\infty}}$  such that

$$\left\| \sum_{\alpha=1}^{N_k} |\tau_{\alpha}^{(k)}|_{h_{\infty}^{\otimes k}}^2 - 1 \right\|_{C^r} \leq C_{r, \omega_{\infty}} k^{-2} \quad (3)$$

So in particular,

$$\frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log \left( \sum_{\alpha=1}^{N_k} |\tau_\alpha^{(k)}|^2 \right) - k \omega_\infty = O(k^{-2}) \tag{4}$$

□

**Proof.** Since  $S(\omega_\infty) \equiv \underline{S}$ , by proposition 2.2, we have

$$\begin{aligned} \sum_{\alpha=1}^{N_k} |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2 - 1 &= \frac{(N_k n! / V k^n) \sum_{\alpha=1}^{N_k} |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2}{N_k n! / V k^n} - 1 = \frac{1 + \frac{1}{2} S(\omega_\infty) k^{-1} + O(k^{-2})}{1 + \frac{1}{2} \underline{S} k^{-1} + O(k^{-2})} - 1 \\ &= O(k^{-2}) \end{aligned}$$

(4) follows because the left hand side of it is equal to  $\frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log \left( \sum_{\alpha=1}^{N_k} |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2 \right)$  ■

### 2.3 Aubin–Yau functional and Chow norm

We define the Aubin–Yau functional with respect to  $(L^k, h_k^*)$  by

$$I_k(h_k^* e^{-\phi}) = - \int_0^1 dt \int_X \frac{d\phi(t)}{dt} c_1(L^k, h_k^* e^{-\phi(t)})^n.$$

Here  $\phi \in \mathcal{K}_k$ , that is,  $(1/k)\phi \in \mathcal{K}$ .  $\phi(t)$  is a path connecting 0 and  $\phi$  in  $\mathcal{K}_k$ .

Under the orthonormal basis  $\{\tau_\alpha^{(k)}, 1 \leq \alpha \leq N_k\}$  of  $H_k^*$ ,  $H^0(X, L^k) \cong \mathbb{C}^{N_k}$  and  $\mathbb{P}(H^0(X, L^k)^*) \cong \mathbb{C}P^{N_k-1}$ .

For any  $H_k \in \mathcal{H}_k$ , take an orthonormal basis  $\{s_\alpha, 1 \leq \alpha \leq N_k\}$  of  $H_k$ . Let  $\det H_k$  denote the determinant of matrix  $(H_k)_{\alpha\beta} = (H_k^*(s_\alpha, s_\beta))$ .  $\{s_\alpha\}$  determines a projective embedding into  $\mathbb{C}P^{N_k-1}$ . (Note that the fixed isomorphism  $\mathbb{P}(H^0(X, L^k)^*) \cong \mathbb{C}P^{N_k-1}$  is determined by the basis  $\{\tau_\alpha^{(k)}\}$ .) The image of this embedding is denoted by  $X_k(H_k) \subset \mathbb{C}P^{N_k-1}$  and has degree  $d_k = V k^n$ .  $X_k(H_k)$  has a Chow point [16, 23]

$$\hat{X}_k(H_k) \in \mathcal{W}_k := H^0(Gr(N_k - n - 2, \mathbb{P}^{N_k-1}), \mathcal{O}(d_k))$$

such that the corresponding divisor

$$Zero(\hat{X}_k(H_k)) = \{L \in Gr(N_k - n - 2, \mathbb{P}^{N_k-1}); L \cap X_k(H_k) \neq \emptyset\}.$$

**Proposition 2.5 ([16, 23]).**  $\mathcal{W}_k$  has a Chow norm  $\|\cdot\|_{\text{CH}(H_k^*)}$ , such that for all  $H_k \in \mathcal{H}_k$  we have

$$\frac{1}{N_k} \log \det H_k - \frac{1}{V k^n} I_k(\text{FS}(H_k)) = \frac{1}{V k^n} \log \|\hat{X}_k(H_k)\|_{\text{CH}(H_k^*)}^2 \quad \square$$

$SL(N_k, \mathbb{C})$  acts on  $\mathcal{H}_k$  and  $\mathcal{W}_k$ . Note that  $X_k(\sigma \cdot H_k^*) = \sigma \cdot X_k(H_k^*)$ . Define

$$f_k(\sigma) = \log \left( \|\hat{X}_k(\sigma \cdot H_k^*)\|_{\text{CH}(H_k^*)}^2 \right) \quad \forall \sigma \in SL(N_k, \mathbb{C})$$

It's easy to see that  $f_k(\sigma \cdot \sigma_1) = f_k(\sigma)$  for any  $\sigma_1 \in SU(N_k)$ , so  $f_k$  is a function on the symmetric space  $SL(N_k, \mathbb{C})/SU(N_k)$ . We have

**Proposition 2.6 ([7, 8, 18, 23]).**  $f_k(\sigma)$  is convex on  $SL(N_k, \mathbb{C})/SU(N_k)$ . □

To relate  $\mathcal{K}_k$  and  $\mathcal{H}_k$ , following Donaldson [7], we change  $FS(H_k)$  in the above formula into general  $h_k \in \mathcal{K}_k$  and define:

**Definition 2.7.** For all  $h_k \in \mathcal{K}_k$  and  $H_k \in \mathcal{H}_k$ ,

$$\tilde{P}_k(h_k, H_k) = \frac{1}{N_k} \log \det H_k - \frac{1}{V k^n} I_k(h_k). \quad \square$$

Note that, for any  $c \in \mathbb{R}$ ,  $I_k(e^c h_k) = c V k^n + I_k(h_k)$ , so

$$\tilde{P}_k(e^c h_k, e^c H_k) = \tilde{P}_k(h_k, H_k). \quad (5)$$

**Remark 2.2.** This definition differs from Donaldson's definition by omitting two extra terms, since we find no use for these terms in the following argument. □

### 3 Proof of Theorem 1.2

**Lemma 3.1.** For any  $h_k, h'_k \in \mathcal{K}_k$ , with  $h'_k = h_k e^{-\phi}$ , we have

$$-\int_X \phi c_1(L^k, h_k)^n \leq I_k(h'_k) - I_k(h_k) \leq -\int_X \phi c_1(L^k, h'_k)^n. \quad \square$$

This is [7, Lemma 1].



**Proof.** This lemma just says  $I_k$  is a convex function on  $\mathcal{K}_k$ , regarded as an open subset of  $C^\infty(X)$ . We only need to calculate its second derivative along the path  $h_k(t) = h_k e^{-t\phi}$ :

$$\frac{d^2}{dt^2} I_k(h_k) = - \int_X \phi \Delta_t \phi c_1(L^k, h_k(t))^n = \int_X |\nabla_t \phi|^2 c_1(L^k, h_k(t))^n \geq 0$$

$\Delta_t$  and  $\nabla_t$  are the Laplace and gradient operators of Kähler metric  $c_1(L^k, h_k(t))$ . ■

From now on, fix a  $\omega \in c_1(L)$ , take a Hermitian metric  $h \in \mathcal{K}$  such that  $\omega = c_1(L, h)$ . We have the  $k$ -th Bergman metric  $h_k = \text{FS}(\text{Hilb}(h^{\otimes k}))$  and corresponding Kähler metric  $\omega_k = c_1(L^k, h_k)$ . By Corollary 2.3

$$(h_k)^{\frac{1}{k}} \rightarrow h, \quad \frac{1}{k} \omega_k \rightarrow \omega, \quad \text{in } C^\infty.$$

**Lemma 3.2.**

$$\tilde{P}_k(h_k, \text{Hilb}(h_k)) \geq \tilde{P}_k(\text{FS}(\text{Hilb}(h_k)), \text{Hilb}(h_k)). \quad \square$$

This is a corollary of [7, Lemma 4]. Since the definition of  $\tilde{P}$  is a little different from that in [7], we give a direct proof here.

**Proof.** Let  $h'_k = \text{FS}(\text{Hilb}(h_k))$ . Then

$$\tilde{P}_k(h_k, \text{Hilb}(h_k)) - \tilde{P}_k(\text{FS}(\text{Hilb}(h_k)), \text{Hilb}(h_k)) = \frac{1}{Vk^n} (I(h'_k) - I(h_k)).$$

Let  $\{s_\alpha^{(k)}, 1 \leq \alpha \leq N_k\}$  be an orthonormal basis of  $\text{Hilb}(h_k)$ . Then  $\log(h'_k/h_k) = -\log(\sum_{\alpha=1}^{N_k} |s_\alpha^{(k)}|_{h_k}^2)$ . By Lemma 3.1 and concavity of the function  $\log$ ,

$$\begin{aligned} \frac{1}{Vk^n} (I(h'_k) - I(h_k)) &\geq -\frac{1}{Vk^n} \int_X \log \left( \sum_{\alpha} |s_\alpha^{(k)}|_{h_k}^2 \right) c_1(L^k, h_k)^n \\ &\geq -\log \left( \frac{1}{N_k} \frac{N_k}{Vk^n} \int_X \sum_{\alpha} |s_\alpha^{(k)}|_{h_k}^2 c_1(L^k, h_k)^n \right) \\ &= -\log \left( \frac{1}{N_k} \sum_{\alpha} \|s_\alpha^{(k)}\|_{\text{Hilb}(h_k)}^2 \right) = 0. \end{aligned}$$

■

**Lemma 3.3.** There exists a constant  $C > 0$ , depending only on  $h$  and  $h_\infty$ , such that

$$\tilde{P}_k(\text{FS}(\text{Hilb}(h_k)), \text{Hilb}(h_k)) - \tilde{P}_k(\text{FS}(H_k^*), H_k^*) \geq -Ck^{-1}. \quad \square$$

**Proof.** Recall that  $H_k^* = \text{Hilb}(h_\infty^{\otimes k})$  and  $\{\tau_\alpha^{(k)}; 1 \leq \alpha \leq N_k\}$  is an orthonormal basis of  $H_k^*$  (see (\*)). Let  $H_k = \text{Hilb}(h_k)$  and  $\{s_\alpha^{(k)}; 1 \leq \alpha \leq N_k\}$  be an orthonormal basis of  $H_k$ . Transforming by a matrix in  $SU(N_k)$ , we can assume

$$s_\alpha^{(k)} = e^{\lambda_\alpha^{(k)}} \tau_\alpha^{(k)}$$

Evaluating the norm  $\text{Hilb}(h_k)$  on both sides, we see that

$$e^{-2\lambda_\alpha^{(k)}} = \frac{N_k}{Vk^n} \int_X |\tau_\alpha^{(k)}|_{h_k}^2 \omega_k^n. \quad (6)$$

Since by Corollary 2.3 we have the following uniform convergence in  $C^\infty$ :  $(h_k)^{\frac{1}{k}} \rightarrow h$ ,  $\frac{1}{k}\omega_k \rightarrow \omega$ . There exists a constant  $C_1 > 0, C_2 > 0$ , depending only on  $h$  and  $h_\infty$ , such that  $C_1^{-k} \leq \frac{h_k}{h_\infty^{\otimes k}} \leq C_1^k, C_2^{-1}\omega_\infty \leq \frac{1}{k}\omega_k \leq C_2\omega_\infty$ , so we see from (6) that  $|\lambda_\alpha^{(k)}| \leq Ck$ .

Let  $\underline{\lambda} = (1/N_k) \sum_{\beta=1}^{N_k} \lambda_\beta^{(k)}$ ,  $H'_k = e^{2\underline{\lambda}} H_k$ ,  $\hat{\lambda}_\alpha^{(k)} = \lambda_\alpha^{(k)} - \underline{\lambda}$ . Then  $\{\hat{s}_\alpha^{(k)} = e^{\hat{\lambda}_\alpha^{(k)}} \tau_\alpha^{(k)}\}$  is an orthonormal basis of  $H'_k$ . Note that  $\hat{\lambda}_\alpha^{(k)}$  satisfies the same estimate as  $\lambda_\alpha^{(k)}$ :

$$|\hat{\lambda}_\alpha^{(k)}| \leq Ck. \quad (7)$$

$(e^{\hat{\Lambda}})_{\alpha\beta} = e^{\hat{\lambda}_\alpha^{(k)}} \delta_{\alpha\beta}$  is a diagonal matrix in  $SL(N_k, \mathbb{C})$ . By scaling invariance of  $\tilde{P}_k$  (5) and Proposition 2.5, we have

$$\tilde{P}_k(\text{FS}(H_k), H_k) = \tilde{P}_k(\text{FS}(H'_k), H'_k) = \frac{1}{Vk^n} \log \|\hat{X}_k(H'_k)\|_{\text{CH}(H'_k)}^2 \quad (8)$$

$$\tilde{P}_k(\text{FS}(H_k^*), H_k^*) = \frac{1}{Vk^n} \log \|\hat{X}_k(H_k^*)\|_{\text{CH}(H_k^*)}^2. \quad (9)$$

As in Section 2.3, let

$$X_k(s) = e^{s\hat{\Lambda}} \cdot X_k(H_k^*)$$

$$f_k(s) = \log \|\hat{X}_k(s)\|_{\text{CH}(H_k^*)}^2.$$

Then  $X_k(0) = X_k(H_k^*)$  and  $X_k(1) = X_k(H'_k) = X_k(H_k)$ . By Proposition 2.6,  $f_k(s)$  is a convex function of  $s$ , so

$$f_k(1) - f_k(0) \geq f'_k(0).$$

We can estimate  $f'_k(0)$  by the estimates in Proposition 2.4:

$$\begin{aligned} f'_k(0) &= \int_X \frac{\sum_\alpha \hat{\lambda}_\alpha^{(k)} |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2}{\sum_\alpha |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2} \left( \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log \sum_{\alpha=1}^{N_k} |\tau_\alpha^{(k)}|^2 \right)^n \\ &= \int_X \frac{\sum_\alpha \hat{\lambda}_\alpha^{(k)} |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2}{1 + O(k^{-2})} (1 + O(k^{-2})) (k\omega_\infty)^n \\ &= \int_X O(k^{-2}) \left( \sum_{\alpha=1}^{N_k} \hat{\lambda}_\alpha^{(k)} |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2 \right) (k\omega_\infty)^n \end{aligned}$$

where the last equality is because of

$$\int_X \sum_{\alpha=1}^{N_k} \hat{\lambda}_\alpha^{(k)} |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2 (k\omega_\infty)^n = \frac{Vk^n}{N_k} \sum_{\alpha=1}^{N_k} \hat{\lambda}_\alpha^{(k)} = 0$$

By the estimate for  $\hat{\lambda}_\alpha^{(k)}$  (7), we get

$$|f'_k(0)| \leq Ck^{-2}kN_k \leq Ck^{n-1}.$$

So  $f_k(1) - f_k(0) \geq f'_k(0) \geq -Ck^{n-1}$ , and

$$\frac{1}{Vk^n} (\log \|\hat{X}_k(H'_k)\|_{\text{GH}}^2 - \frac{1}{Vk^n} \log \|\hat{X}_k(H_k^*)\|_{\text{GH}}^2) = \frac{1}{Vk^n} (f_k(1) - f_k(0)) \geq -C \frac{1}{Vk^n} k^{n-1} \geq -Ck^{-1}.$$

So the lemma follows from identities (8) and (9). ■

**Remark 3.1.** The proof of this lemma is similar to the argument in the beginning part of [15, Section 5] where Mabuchi proved K-semistability of varieties with constant scalar curvature metrics. Roughly speaking, here we consider geodesic segment connecting  $H_k^*$  and  $H_k$  in  $\mathcal{H}_k$ , while Mabuchi [15, Section 5] considered geodesic ray in  $\mathcal{H}_k$  defined by a test configuration. The estimates in Proposition 2.4 show that, to prove the K-semistability as in Mabuchi’s argument [15, Section 5], we only need Bergman metrics of  $h_\infty$  instead of Mabuchi’s T-balanced metrics. □

**Remark 3.2.** The referee pointed out that the similar argument also appears in the proof of Theorem 2 in [17]. □

**Remark 3.3.** In [7, Corollary 2],  $H_k^*$  is taken to be balance metric, that is,  $H_k^*$  is a fixed point of the mapping  $\text{Hilb}(\text{FS}(\cdot))$ . Then the difference in Lemma 3.3 is nonnegative, instead of bounded below by error term  $-Ck^{-1}$ . □

**Lemma 3.4.** There exists a constant  $C > 0$ , which only depends on  $h_\infty$ , such that

$$\left| \tilde{P}_k(\text{FS}(H_k^*), H_k^*) - \tilde{P}_k(h_\infty^{\otimes k}, \text{Hilb}(h_\infty^{\otimes k})) \right| \leq Ck^{-2} \quad \square$$

**Proof.** Recall from (\*) that:  $\text{Hilb}(h_\infty^{\otimes k}) = H_k^*$ ,  $h_k^* = \text{FS}(H_k^*) = \text{FS}(\text{Hilb}(h_\infty^{\otimes k}))$ , so it's easy to see that

$$\tilde{P}_k(\text{FS}(H_k^*), H_k^*) - \tilde{P}_k(h_\infty^{\otimes k}, \text{Hilb}(h_\infty^{\otimes k})) = \frac{1}{V k^n} (I_k(h_\infty^{\otimes k}) - I_k(h_k^*))$$

For any section  $s$  of  $L^k$ ,  $|s|_{h_k^*}^2 = \frac{|s|_{h_\infty^{\otimes k}}^2}{\sum_\alpha |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2}$ . So

$$\frac{h_\infty^{\otimes k}}{h_k^*} = \sum_{\alpha=1}^{N_k} |\tau_\alpha^{(k)}|_{h_\infty^{\otimes k}}^2$$

By proposition 2.4.  $\left| \log \frac{h_k^*}{h_\infty^{\otimes k}} \right| = |\log(1 + O(k^{-2}))| = O(k^{-2})$ . So by Lemma 3.1, we get

$$\left| \frac{1}{V k^n} (I_k(h_\infty^{\otimes k}) - I_k(h_k^*)) \right| \leq Ck^{-2} \quad \blacksquare$$

**Definition 3.5.** For any Kähler metric  $\omega_\phi = c_1(L, h) + (\sqrt{-1}/2\pi)\partial\bar{\partial}\phi \in [\omega]$ , let  $h_k(\phi) = h^{\otimes k} e^{-k\phi}$ . Define

$$\mathcal{L}_k(\omega_\phi) = \tilde{P}_k(h_k(\phi), \text{Hilb}(h_k(\phi))) \quad \square$$

**Lemma 3.6 ([7]).** There exist constants  $\mu_k$ , such that

$$\mathcal{L}_k(\omega_\phi) + \mu_k = \frac{1}{2}v_\omega(\omega_\phi) + O(k^{-1}).$$

Here  $O(k^{-1})$  depends on  $\omega$  and  $\omega_\phi$ . □

**Proof.** Let  $\psi(t) = t\phi \in \mathcal{K}$  connecting 0 and  $\phi$ ,  $h_k(t) = h_k e^{-tk\phi}$ ,  $\omega_t = \omega + t(\sqrt{-1}/2\pi)\partial\bar{\partial}\phi$ ,  $\Delta_t$  be the Laplace operator of metric  $\omega_t$ . Plugging in expansions for Bergman kernels  $\rho_k$  in Proposition 2.2, we get

$$\begin{aligned} \frac{d}{dt} \tilde{P}_k(h_k(t), \text{Hilb}(h_k(t))) &= \frac{1}{N_k n!} \int_X \frac{N_k n!}{V k^n} \sum_\alpha |s_\alpha^{(k)}|_{h_k(t)}^2 (-k\phi + \Delta_t \phi) k^n \omega_t^n + \frac{1}{V k^n} \int_X k\phi k^n \omega_t^n \\ &= \frac{1}{V} \frac{k^n}{k^n + \frac{1}{2} \underline{S} k^{n-1} + \dots} \int_X (-k\rho_k(\omega_t) + \Delta_t \rho_k(\omega_t)) \phi \omega_t^n + \frac{k}{V} \int_X \phi \omega_t^n \\ &= -\frac{1}{2V} \int_X (S(\omega_t) - \underline{S}) \phi \omega_t^n + O(k^{-1}) \end{aligned}$$

$\{\omega_t, 0 \leq t \leq 1\}$  have uniformly bounded geometry, so by Proposition 2.2.(3), the expansions above are uniform. So the lemma follows after integrating the above equation. ■

**Proof of Theorem 1.2.** Let  $\omega_\phi = c_1(L, h) + \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\phi \in [\omega]$ ,  $h_k = h_k(\phi) = h^{\otimes k} e^{-k\phi}$

By Lemma 3.2, Lemma 3.3, Lemma 3.4

$$\begin{aligned} \tilde{P}_k(h_k, \text{Hilb}(h_k)) &\geq \tilde{P}_k(\text{FS}(\text{Hilb}(h_k)), \text{Hilb}(h_k)) \\ &\geq \tilde{P}_k(\text{FS}(H_k^*), H_k^*) + O(k^{-1}) \\ &= \tilde{P}_k(h_\infty^{\otimes k}, \text{Hilb}(h_\infty^{\otimes k})) + O(k^{-1}) \end{aligned}$$

So by Lemma 3.6

$$\begin{aligned} v_\omega(\omega_\phi) &= 2\mathcal{L}_k(\omega_\phi) + 2\mu_k + O(k^{-1}) = 2\tilde{P}_k(h_k, \text{Hilb}(h_k)) + 2\mu_k + O(k^{-1}) \\ &\geq 2\tilde{P}_k(h_\infty^{\otimes k}, \text{Hilb}(h_\infty^{\otimes k})) + 2\mu_k + O(k^{-1}) = 2\mathcal{L}_k(\omega_\infty) + 2\mu_k + O(k^{-1}) \\ &= v_\omega(\omega_\infty) + O(k^{-1}) \end{aligned}$$

The Theorem follows by letting  $k \rightarrow +\infty$ . ■

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