

Geodesic rays and stability in the cscK problem

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Uniformization Theorem for Riemann Surfaces

Riemann surface: surface with a complex structure:

Topology	Metric	Curvature
$\mathbb{S}^2 = \mathbb{C}\mathbb{P}^1$	spherical	1
$\mathbb{T}^2 = \mathbb{C}/\mathbb{Z}^2$	flat	0
$\Sigma_g = \mathbb{B}^1/\pi_1(\Sigma_g)$	hyperbolic	-1

Σ_g closed oriented surface of genus $g \geq 2$.

$$\mathbb{B}^1 = \{z \in \mathbb{C}; |z| < 1\}.$$

Generalization for higher dimensional complex projective manifolds?

Kähler manifolds and Kähler metrics

X : complex manifold, $\{(U_\alpha, z_1, \dots, z_n)\}$.

Kähler form: a smooth closed positive $(1, 1)$ -form:

$$\omega = \frac{\sqrt{-1}}{2\pi} \sum_{i,j=1}^n g_{i\bar{j}} dz^i \wedge d\bar{z}^j, \quad (g_{i\bar{j}}) > 0.$$

$d\omega = 0 \implies$ Kähler class $[\omega] \in H^2(X, \mathbb{R}) \cap H_{\bar{\partial}}^{1,1}(X, \mathbb{C})$.

Local $\partial\bar{\partial}$ -Lemma: \exists local potentials $\varphi_0 = \{(\varphi_0)_\alpha \in C^\infty(U_\alpha)\}$

$$\omega_0 = \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\varphi_0 =: \frac{\sqrt{-1}}{2\pi} \frac{\partial^2 \varphi_0}{\partial z_i \partial \bar{z}_j} dz_i \wedge d\bar{z}_j = dd^c \varphi_0.$$

Global $\partial\bar{\partial}$ -Lemma: any Kähler form in $[\omega]$ can be written as

$$dd^c \varphi := \omega_0 + \sqrt{-1} \partial\bar{\partial} u = \sqrt{-1} \sum_{i,j} \left((\varphi_0)_{i\bar{j}} + u_{i\bar{j}} \right) dz^i \wedge d\bar{z}^j$$

where $\varphi = \varphi_0 + u$ is locally defined, while $u = \varphi - \varphi_0$ and $dd^c \varphi$ are globally defined.

Constant scalar curvature Kähler (cscK) metrics

Ricci curvature:

$$R_{i\bar{j}} := Ric(dd^c\varphi)_{i\bar{j}} = -\frac{\partial^2}{\partial z_i \partial \bar{z}_j} \log \det(\varphi_{k\bar{l}}).$$

Scalar curvature:

$$\begin{aligned} S(dd^c\varphi) &= g^{i\bar{j}} R_{i\bar{j}} \\ &= -g^{i\bar{j}} \frac{\partial}{\partial z_i \partial \bar{z}_j} \log \det(\varphi_{k\bar{l}}). \end{aligned}$$

cscK equation is a 4-th order highly nonlinear equation:

$$S(dd^c\varphi) = \underline{S}.$$

\underline{S} is a topological constant:

$$\underline{S} = \frac{n \langle c_1(X) \wedge [\omega]^{n-1}, X \rangle}{\langle [\omega]^n, X \rangle}.$$

Kähler metric as curvature forms

If $[\omega] \in H^{1,1}(X, \mathbb{C}) \cap H^2(X, \mathbb{Z})$, then $[\omega] = c_1(L)$ for an ample holomorphic line bundle L over X and $\omega = dd^c\varphi$ for a Hermitian metric $e^{-\varphi}$ on L .

Holomorphic line bundle: transition functions $f_{\alpha\beta} \in \mathcal{O}(U_\alpha \cap U_\beta)$.

$$L = \left(\bigsqcup_{\alpha} U_{\alpha} \times \mathbb{C} \right) / \{s_{\alpha} = f_{\alpha\beta}s_{\beta}\}.$$

Hermitian metrics: $e^{-\varphi} := \{e^{-\varphi_{\alpha}}\}$ Hermitian metric on L :

$$e^{-\varphi_{\alpha}} = |f_{\alpha\beta}|^2 e^{-\varphi_{\beta}}.$$

$\partial\bar{\partial}$ -lemma: Fix any reference metric $e^{-\varphi_0}$, then $\exists u \in C^{\infty}(X)$ s.t.

$$e^{-\varphi} = e^{-\varphi_0} e^{-u}.$$

Chern curvature

$$dd^c\varphi = \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\varphi_{\alpha}.$$

Yau-Tian-Donaldson (YTD) conjecture

Conjecture (YTD conjecture)

(X, L) admits a cscK metric if and only if (X, L) is $\text{Aut}(X, L)_0$ -uniformly K-stable for test configurations.

The only if direction of this Conjecture is known to be true.

Example:

If $L = -K_X$ ample, then X is Fano and cscK=Kähler-Einstein.

In this case the above YTD conjecture is equivalent to the results of Tian, Chen-Donaldson-Sun, Berman. The existence part depends on Cheeger-Colding-Tian theory and partial C^0 -estimates.

Different variational approach, based on pluripotential theory and non-Archimedean geometry, works also for singular Fano varieties and has been successfully carried out by

Berman-Boucksom-Jonsson, L. -Tian-Wang, Hisamoto and L. .

Moreover the K-stability condition for Fano varieties are in many cases checkable.

Theorem (L. '20)

Let \mathbb{G} be a reductive subgroup of $\text{Aut}(X, L)_0$. If (X, L) is \mathbb{G} -uniformly K-stable for models (or for filtrations), then (X, L) admits a cscK metric.

We have implications and conjecture they are all equivalent:

$\text{Aut}(X, L)_0$ -uniformly K-stable for models \implies cscK
 $\implies \text{Aut}(X, L)_0$ -uniformly K-stable for test configurations

Applications: reproving the toric YTD conjecture (without Donaldson's toric analysis):

Theorem (Donaldson, Zhou-Zhu, Chen-Li-Sheng, Hisamoto, Chen-Cheng, L.)

A polarized toric manifold (X, L) admits a cscK metric if and only if (X, L) is $(\mathbb{C}^)^r$ -uniformly K-stable.*

Mabuchi functional (K-energy): Chen-Tian's formula:

$$\begin{aligned}\mathbf{M}(\varphi) &= - \int_0^1 dt \int_X \dot{\varphi} \cdot (S(\varphi(t)) - \underline{S})(dd^c \varphi(t))^n \\ &= \mathbf{H}(\varphi) - \mathbf{H}(\varphi_0) + \mathbf{E}^{-\text{Ric}(\Omega)}(\varphi) + \frac{\underline{S}}{n+1} \mathbf{E}(\varphi).\end{aligned}$$

Entropy, twisted energy and Monge-Ampère energy:

$$\begin{aligned}\mathbf{H}(\varphi) &= \int_X \log \frac{(dd^c \varphi)^n}{\Omega} (dd^c \varphi)^n. \\ \frac{d}{dt} \mathbf{E}^{-\text{Ric}(\Omega)}(\varphi) &= -n \int_X \dot{\varphi} \text{Ric}(\Omega) \wedge (dd^c \varphi)^{n-1}. \\ \frac{d}{dt} \mathbf{E}(\varphi) &= \int_X \dot{\varphi} (dd^c \varphi)^n.\end{aligned}$$

Space of smooth Kähler metrics:

$$\mathcal{H} = \{\varphi = \varphi_0 + u; u \in C^\infty(X), \omega_0 + dd^c u > 0\}.$$

Finite energy metrics as Completion of \mathcal{H} (Cegrell, Guedj-Zeriahi)

$$\begin{aligned} \mathcal{E}^1 &= \{\varphi \in \text{PSH}(X, [\omega]); \\ &\quad \mathbf{E}(\varphi) := \inf\{\mathbf{E}(\tilde{\varphi}); \tilde{\varphi} \geq \varphi, \tilde{\varphi} \in \mathcal{H}\} > -\infty\}. \end{aligned}$$

Strong topology on \mathcal{E}^1 : $\varphi_m \rightarrow \varphi$ strongly if $\varphi_m \rightarrow \varphi$ in $L^1(\omega^n)$ and $\mathbf{E}(\varphi_m) \rightarrow \mathbf{E}(\varphi)$.

All 3-parts in \mathbf{M} are defined on \mathcal{E}^1 . There is a norm-like energy:

$$\begin{aligned} \mathbf{J}(\varphi) &= \int_X (\varphi - \varphi_0)(dd^c \varphi)^n - \mathbf{E}(\varphi) \\ &= \sum_{i=0}^{n-1} \frac{n-i}{n+1} \frac{\sqrt{-1}}{2\pi} \int_X \partial u \wedge \bar{\partial} u \wedge \omega_u^i \wedge \omega^{n-1-i} \geq 0. \end{aligned}$$

Definition

Given $\varphi_1, \varphi_2 \in \mathcal{E}^1$, a geodesic segment joining φ_1, φ_2 is:

$$\Phi = \sup\{\tilde{\Phi} \in \text{PSH}(X \times [s_1, s_2] \times S^1, p_1^*L); \tilde{\Phi}(\cdot, s_i) \leq \varphi_i, i = 1, 2\}.$$

A geodesic ray emanating from φ_0 is a map $\Phi : \mathbb{R}_{\geq 0} \rightarrow \mathcal{E}^1$ s.t.
 $\forall s_1, s_2 \in \mathbb{R}_{\geq 0}$, $\Phi|_{[s_1, s_2]}$ is the geodesic segment joining $\varphi(s_1)$ and $\varphi(s_2)$, and $\Phi(\cdot, 0) = \varphi_0$.

- Geodesics originates from Mabuchi's L^2 -metric on \mathcal{H} and satisfies the Homogeneous Complex Monge-Ampère (HCMA) equation in pluripotential sense (Semmes, Donaldson):

$$(\sqrt{-1}\partial\bar{\partial}\Phi)^{n+1} = 0.$$

- $\mathbf{E}(\varphi(s))$ is linear with respect to s .
- $\sup(\varphi(s) - \varphi_0)$ is linear with respect to s .

Csck metrics are minimizers of Mabuchi functional

Theorem (Chen-Tian, Berman-Berndtsson, Berman-Darvas-Lu)

\mathbf{M} is convex along geodesics in \mathcal{E}^1 . It is linear if and only if the geodesic is generated by holomorphic vector fields.

Consequences of convexity:

Theorem (Berman-Berndtsson, Berman-Darvas-Lu)

Csck metrics obtain the minimum of \mathbf{M} over \mathcal{E}^1 . Moreover (smooth) csck metrics are unique up to $\text{Aut}(X, [\omega])_0$.

This reproves and generalizes previous results of Chen-Tian, Donaldson and Mabuchi.

Variational criterion

\mathbb{G} : a reductive Lie group, $\mathbb{G} = \mathbb{K}^{\mathbb{C}}$ and $\mathbb{T} \cong (\mathbb{C}^*)^r$ the center of \mathbb{G} .

Definition (Tian, refined by Darvas-Rubinstein and Hisamoto)

\mathbf{M} is \mathbb{G} -coercive if there exists $\gamma > 0$ such that for any $\varphi \in \mathcal{H}^{\mathbb{K}}$,

$$\mathbf{M}(\varphi) \geq \gamma \cdot \mathbf{J}_{\mathbb{T}}(\varphi),$$

where $\mathbf{J}_{\mathbb{T}}(\varphi) := \inf_{\sigma \in \mathbb{T}} \mathbf{J}(\sigma^* \varphi)$.

We have hard results:

Theorem (Chen-Cheng, Darvas-Rubinstein, Berman-Darvas-Lu)

Tian's properness conjecture is true: there exists a cscK metric in $(X, [\omega])$ if and only if \mathbf{M} is $\text{Aut}(X, [\omega])_0$ -coercive.

Hisamoto, **L.** : $\text{Aut}(X, [\omega])_0$ can be replaced by any reductive \mathbb{G} that contains a maximal torus of $\text{Aut}(X, [\omega])_0$.

Criterion via destabilizing geodesic rays

For a geodesic ray Φ and a functional \mathbf{F} defined over \mathcal{E}^1 , set:

$$\mathbf{F}'^\infty(\Phi) = \lim_{s \rightarrow +\infty} \frac{\mathbf{F}(\varphi(s))}{s}.$$

The limit exists for all $\mathbf{F} \in \{\mathbf{E}, \mathbf{E}^{-Ric(\Omega)}, \mathbf{H}, \mathbf{M}, \mathbf{J}, \mathbf{J}_T\}$.

Based on compactness result about strong topology in Berman-Boucksom-Eyssidieux-Guedj-Zeriahi (BBEGZ), destabilizing sequence produces destabilizing a geodesic ray:

Theorem (Darvas-He, Chen-Cheng, Berman-Boucksom-Jonsson)

\mathbf{M} is \mathbb{G} -coercive iff there exists $\gamma > 0$ s.t. for any geodesic ray Φ ,

$$\mathbf{M}'^\infty(\Phi) \geq \gamma \cdot \mathbf{J}_T'^\infty(\Phi).$$

Contact with algebraic geometry: Test configurations (Tian, Donaldson)

A test configuration (TC) $(\mathcal{X}, \mathcal{L})$ is a \mathbb{C}^* -equivariant degeneration of (X, L) :

- 1 $\pi : \mathcal{X} \rightarrow \mathbb{C}$: a \mathbb{C}^* -equivariant family of projective varieties;
- 2 $\mathcal{L} \rightarrow \mathcal{X}$: a \mathbb{C}^* -equiv. semiample holomorphic \mathbb{Q} -line bundle;
- 3 $\eta : (\mathcal{X}, \mathcal{L}) \times_{\mathbb{C}} \mathbb{C}^* \cong (X, L) \times \mathbb{C}^*$.

Trivial test configuration: $(X_{\mathbb{C}}, L_{\mathbb{C}}) := (X, L) \times \mathbb{C}$.

$(\mathcal{X}, \mathcal{L})$ is **dominating** if there is a \mathbb{C}^* -equivariant birational morphism $\rho : \mathcal{X} \rightarrow X \times \mathbb{C}$.

Under the isomorphism η , psh metrics on $\mathcal{L}|_{\pi^{-1}(\mathbb{C}^*)}$ are considered as *subgeodesic rays* on (X, L) .

Geodesic rays from test configurations

For any TC $(\mathcal{X}, \mathcal{L})$, there are many smooth subgeodesic ray which extend to be a smooth psh metrics on \mathcal{L} .

Theorem (Phong-Sturm)

For any test configuration, there exists a unique geodesic ray Φ emanating from φ_0 s.t. Φ extends to a bounded psh metric on \mathcal{L} .

Φ is obtained by solving the HCMA on a resolution of \mathcal{X} :

$$(\mu^*(dd^c \tilde{\Phi}) + U)^{n+1} = 0; \quad U|_{X \times S^1} = 0,$$

where $\tilde{\Phi}$ is any smooth positively curved Hermitian metric on \mathcal{L} . In general the solution $\Phi := \tilde{\Phi} + U$ is at most $C^{1,1}$ (Phong-Sturm, Chu-Tosatti-Weinkove).

Mabuchi slopes along (sub)geodesic rays on TCs

For any TC $(\mathcal{X}, \mathcal{L})$, set:

$$\mathbf{M}^{\text{NA}}(\mathcal{X}, \mathcal{L}) = K_{\bar{\mathcal{X}}/\mathbb{P}^1}^{\log} \cdot \bar{\mathcal{L}} \cdot n + \frac{S}{n+1} \bar{\mathcal{L}} \cdot n+1$$

$$\mathbf{J}^{\text{NA}}(\mathcal{X}, \mathcal{L}) = \bar{\mathcal{L}} \cdot L_{\mathbb{P}^1} \cdot n - \frac{\bar{\mathcal{L}} \cdot n+1}{n+1}.$$

Theorem (Tian, Boucksom-Hisamoto-Jonsson)

For any smooth psh metric Φ on \mathcal{L} , we have the slope formula:

$$\mathbf{M}'^{\infty}(\Phi) = \mathbf{M}^{\text{NA}}(\mathcal{X}, \mathcal{L}) = \frac{1}{d} \text{CM}((\mathcal{X}, \mathcal{L}) \times_{\mathbb{C}, t \mapsto t^d} \mathbb{C}).$$

Theorem (L. '20 (Xia proved \leq))

If Φ is the geodesic ray associated to $(\mathcal{X}, \mathcal{L})$, then:

$$\mathbf{M}'^{\infty}(\Phi) = \mathbf{M}^{\text{NA}}(\mathcal{X}, \mathcal{L}).$$

Proposition (Hisamoto)

For any \mathbb{G} -equivariant test configuration $(\mathcal{X}, \mathcal{L})$,

$$\mathbf{J}_{\mathbb{T}}^{\infty}(\Phi) = \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\mathcal{X}, \mathcal{L}) := \inf_{\xi \in \mathbb{N}_{\mathbb{R}}} \mathbf{J}^{\text{NA}}(\mathcal{X}_{\xi}, \mathcal{L}_{\xi}).$$

Definition (Tian, Donaldson, Székelyhidi, Dervan, BHJ, Hisamoto)

(X, L) is \mathbb{G} -uniformly K-stable if there exists $\gamma > 0$ such that for any \mathbb{G} -equivariant test configuration $(\mathcal{X}, \mathcal{L})$,

$$\mathbf{M}^{\text{NA}}(\mathcal{X}, \mathcal{L}) \geq \gamma \cdot \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\mathcal{X}, \mathcal{L}). \quad (1)$$

Proposition (Hisamoto for $\text{Aut}(X, L)_0$, L. for general \mathbb{G})

Assume that (X, L) admits a cscK metric. If \mathbb{G} contains a maximal torus of $\text{Aut}(X, L)_0$, then (X, L) is \mathbb{G} -uniformly K-stable.

Berkovich's analytic space

Let X be a projective variety defined over \mathbb{C} .

- If \mathbb{C} is endowed with the standard (Archimedean) absolute valuation, then X^{an} is the usual complex analytic manifold.
- If \mathbb{C} is given the trivial valuation, then $(X^{\text{an}}, L^{\text{an}})$ is the non-Archimedean Berkovich space. The set of divisorial valuations $X_{\mathbb{Q}}^{\text{div}}$ is dense in $X^{\text{NA}} := X^{\text{an}}$. A metric ϕ on $L^{\text{NA}} := L^{\text{an}}$ is represented by the function $\phi - \phi_{\text{triv}}$ on $X_{\mathbb{Q}}^{\text{div}}$.

Each (dominating) TC $(\mathcal{X}, \mathcal{L})$ defines a **smooth NA metric**:

$\forall v \in X_{\mathbb{Q}}^{\text{div}}$, if $G(v) \in (X \times \mathbb{C})_{\mathbb{Q}}^{\text{div}}$ is the Gauss extension (i.e. $G(v)$ is \mathbb{C}^* -invariant extension of v satisfying $G(v)(t) = 1$), we have

$$f_{\mathcal{L}}(v) := f_{(\mathcal{X}, \mathcal{L})}(v) = G(v)(\mathcal{L} - \rho^* L_{\mathbb{C}}).$$

Smooth NA psh metrics \Leftrightarrow equivalence class of test configurations

$$\mathcal{H}^{\text{NA}}(L) = \{\phi_{(\mathcal{X}, \mathcal{L})} := \phi_{\text{triv}} + f_{\mathcal{L}}; (\mathcal{X}, \mathcal{L}) \text{ is a test configuration}\}.$$

Non-Archimedean $\mathcal{E}^{1,NA}$ (by Boucksom-Favre-Jonsson)

For any $\phi = \phi(\mathcal{X}, \mathcal{L}) \in \mathcal{H}^{NA}$, set:

$$\mathbf{E}^{NA}(\phi) := \frac{\bar{\mathcal{L}} \cdot n + 1}{n + 1}.$$

Non-Archimedean version of PSH/finite energy metrics:

$$\text{PSH}^{NA}(L) = \{ \phi : X_{\mathbb{Q}}^{\text{div}} \rightarrow \mathbb{R} \cup \{-\infty\}; \exists \text{ a decreasing sequence } \phi(\mathcal{X}_m, \mathcal{L}_m) \in \mathcal{H}^{NA} \text{ such that } \phi = \lim_{m \rightarrow +\infty} \phi(\mathcal{X}_m, \mathcal{L}_m) \},$$

$$\mathcal{E}^{1,NA} = \{ \phi \in \text{PSH}^{NA}; \mathbf{E}^{NA}(\phi) := \inf \{ \mathbf{E}^{NA}(\tilde{\phi}); \tilde{\phi} \geq \phi \} > -\infty \}.$$

Strong topology: $\phi_m \rightarrow \phi$ strongly if converges pointwise and $\mathbf{E}^{NA}(\phi_m) \rightarrow \mathbf{E}^{NA}(\phi)$.

All Archimedean functionals before can be defined on $\mathcal{E}^{1,NA}$.

Theorem (Boucksom-Favre-Jonsson, Boucksom-Jonsson)

\exists operator $\text{MA}^{\text{NA}} : \mathcal{E}^1 \rightarrow \mathcal{M}^{1,\text{NA}}$ (finite energy radon measures):

- 1 For any TC $(\mathcal{X}, \mathcal{L})$, one recovers Chambert-Loir's measure:

$$\text{MA}^{\text{NA}}(\phi_{(\mathcal{X}, \mathcal{L})}) = \sum_j b_j (\mathcal{L}|_{E_j})^{\cdot n} \delta_{x_j}, \quad (2)$$

where $x_j = b_j^{-1} r(\text{ord}_{E_j}) \in X_{\mathbb{Q}}^{\text{div}}$ with $\mathcal{X}_0 = \sum_j b_j E_j$.

- 2 The Monge-Ampère operator defines a homeomorphism

$$\text{MA}^{\text{NA}} : \mathcal{E}^{1,\text{NA}}(L)/\mathbb{R} \rightarrow \mathcal{M}^{1,\text{NA}} \quad (3)$$

w.r.t. the strong topology. Moreover, if ν is a Radon measure supported on a dual complex $\Delta_{\mathcal{X}}$ for a SNC model \mathcal{X} , then $(\text{MA}^{\text{NA}})^{-1}(\nu)$ is continuous.

Non-Archimedean metrics from geodesic rays

A subgeodesic ray $\Phi = \{\varphi(s)\}_{s \geq 0}$ is of linear growth if

$$\sup_{s>0} \frac{\sup(\varphi(s) - \varphi_0)}{s} < +\infty.$$

Subgeodesic rays of linear growth define non-Archimedean metrics:

$$\phi^{\text{NA}}(v) = -G(v)(\Phi), \quad \forall v \in X_{\mathbb{Q}}^{\text{div}}.$$

$\phi^{\text{NA}} \in \mathcal{E}^{1,\text{NA}}$ as a decreasing limit of $\phi_m \in \mathcal{H}^{\text{NA}}$:

- 1 Consider the multiplier ideal sheaf (MIS) over $X \times \mathbb{C}$:

$$\mathcal{I}(m\Phi)(U) = \left\{ f \in \mathcal{O}(U); \int_U |f|^2 e^{-m\Phi} < +\infty \right\}.$$

- 2 $\mu_m : \mathcal{X}_m = \text{Bl}_{\mathcal{I}(m\Phi)} X_{\mathbb{C}} \rightarrow X_{\mathbb{C}}$, $\mathcal{L}_m = \mu_m^* L_{\mathbb{C}} - \frac{1}{m+m_0} E_m$.
 - Using the Nadel vanishing and global generation property of MIS, $(\mathcal{X}_m, \mathcal{L}_m)$ is a test configuration of (X, L)
 - Using valuative description of MIS (Boucksom-Favre-Jonsson), $\phi_m := \phi(\mathcal{X}_m, \mathcal{L}_m)$ decreases to ϕ .

Definition (Berman-Boucksom-Jonsson (BBJ))

A geodesic ray Φ is maximal if for any subgeodesic ray $\tilde{\Phi}$ satisfying $\tilde{\Phi}_{\text{NA}} \leq \Phi_{\text{NA}}$, we have $\tilde{\Phi} \leq \Phi$.

Theorem (Berman-Boucksom-Jonsson)

There is a one-to-one correspondence between $\mathcal{E}^{1,\text{NA}}$ and the set of maximal geodesic rays. For any maximal geodesic ray Φ , we have:

$$\mathbf{E}'^{\infty}(\Phi) = \mathbf{E}^{\text{NA}}(\Phi_{\text{NA}}).$$

- Not every geodesic ray is maximal (examples of Darvas, BBJ).
- Maximal geodesic rays are exactly those that are algebraically approximable, i.e. approximable by geodesic rays associated to test configurations. Moreover for such approximations:

$$\lim_{m \rightarrow +\infty} \mathbf{E}'^{\infty}(\Phi_m) = \mathbf{E}'^{\infty}(\Phi).$$

Non-Archimedean metrics from Models

In the definition of a test configuration $(\mathcal{X}, \mathcal{L})$, if we don't require \mathcal{L} to be semiample, then we say that $(\mathcal{X}, \mathcal{L})$ is a **model** of (X, L) . Let \mathfrak{b}_m be the relative base ideal of $m\mathcal{L}$ and set

$$\mathcal{X}_m = \mathrm{Bl}_{\mathfrak{b}_m} \mathcal{X} \xrightarrow{\mu_m} \mathcal{X}, \quad \mathcal{L}_m = \mu_m^* \mathcal{L} - \frac{1}{m} E_m.$$

We associate a **model psh metric**:

$$\phi_{\mathcal{L}} := \phi_{(\mathcal{X}, \mathcal{L})} := \lim_{m \rightarrow +\infty} \phi_{(\mathcal{X}_m, \mathcal{L}_m)}.$$

Theorem-Definition (Movable Intersection Formula, L. '20)

For $\phi = \phi_{(\mathcal{X}, \mathcal{L})}$, with $\mathcal{L}_c = \mathcal{L} + c\mathcal{X}_0$, $c \gg 1$,

$$\mathbf{M}^{\mathrm{NA}}(\phi) := \langle \bar{\mathcal{L}}_c^n \rangle \cdot \left(K_{\bar{\mathcal{X}}/\mathbb{P}^1}^{\log} + \frac{\mathcal{S}}{n+1} \bar{\mathcal{L}}_c \right)$$

where $\langle \cdot \rangle$ is the movable intersection product of big line bundles studied in Boucksom-Demailly-Păun-Peternell.

K-stability for models

Model psh metric by using associated filtration $\mathcal{F}R_\bullet = \{\mathcal{F}^\lambda R_m\}$:

$$\mathcal{F}^\lambda H^0(X, mL) = \{s \in H^0(X, mL); t^{-\lceil \lambda \rceil} \bar{s} \in H^0(\mathcal{X}, m\mathcal{L})\}.$$

To any filtration $\mathcal{F}R_\bullet$, one can associate a maximal geodesic ray (Ross-WittNyström) and a lower regularizable NA psh metric (Boucksom-Jonsson, Székelyhidi).

$\phi_{\mathcal{L}}$ is also a non-Archimedean envelope which is always continuous:

$$\phi_{\mathcal{L}} = \sup\{\phi \in \text{PSH}^{\text{NA}}(L); \phi - \phi_{\text{triv}} \leq f_{\mathcal{L}}\}.$$

Definition (L.)

(X, L) is \mathbb{G} -uniformly K-stable for models if $\exists \gamma > 0$ such that for any model $(\mathcal{X}, \mathcal{L})$,

$$\mathbf{M}^{\text{NA}}(\phi_{(\mathcal{X}, \mathcal{L})}) \geq \gamma \cdot \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi_{(\mathcal{X}, \mathcal{L})}).$$

Key result: destabilizing geodesic rays are maximal

Theorem (Thm A, L. , '20)

A geodesic ray Φ satisfies $\mathbf{M}'^\infty(\Phi) < +\infty$ is necessarily maximal.

The proof uses two key ingredients: equisingularity of multiplier approximation (via valuative description of MIS) and Jensen's inequality (motivated by Tian's α -type estimate): for any $\alpha > 0$,

$$\begin{aligned} C(\alpha) &> \log \int_{X \times \mathbb{D}} e^{\alpha(\hat{\Phi} - \Phi)} \Omega \sqrt{-1} dt \wedge d\bar{t} \\ &\geq \alpha \int_X (\hat{\varphi}(s) - \varphi(s)) (dd^c \varphi(s))^n - \mathbf{H}_\Omega(\varphi(s)) - s \\ &\geq C\alpha \cdot (\mathbf{E}(\hat{\varphi}(s)) - \mathbf{E}(\varphi(s))) - \mathbf{H}(\varphi(s)) - s. \end{aligned}$$

Divide both sides by s and letting $s \rightarrow +\infty$ to get

$\mathbf{E}'^\infty(\hat{\Phi}) = \mathbf{E}'^\infty(\Phi)$, which by linearity of \mathbf{E} implies $\mathbf{E}(\hat{\varphi}(s)) \equiv \mathbf{E}(\varphi)$ and consequently by Dinew's domination principle gives $\hat{\varphi} \equiv \varphi$.

Theorem (Thm B, L., Berman-Boucksom-Jonsson)

If a maximal geodesic ray Φ is approximated by $\{\Phi_m\}$ associated to test configurations, then

$$\lim_{m \rightarrow +\infty} (\mathbf{E}^{-\text{Ric}(\Omega)})^{I\infty}(\Phi_m) = (\mathbf{E}^{-\text{Ric}})^{I\infty}(\Phi).$$

As a consequence, we have:

$$(\mathbf{E}^{-\text{Ric}(\Omega)})^{I\infty}(\Phi) = (\mathbf{E}^{K_X})^{\text{NA}}(\Phi_{\text{NA}}).$$

The same statement holds for \mathbf{J} and $\mathbf{J}_{\mathbb{T}}$.

The proof uses the following estimate from BBEGZ:

$$\begin{aligned} & \int_X (\varphi_2 - \varphi_1) ((dd^c \varphi_3)^n - (dd^c \varphi_4)^n) \\ & \leq \mathbf{I}(\varphi_1, \varphi_2)^{1/2^n} \cdot \mathbf{I}(\varphi_3, \varphi_4)^{1/2^n} \max\{\mathbf{I}(\varphi_i)\}^{1-2^{1-n}}. \end{aligned}$$

Slopes of entropy

For any $\phi \in \mathcal{E}^{1, \text{NA}}$, define:

$$\mathbf{H}^{\text{NA}}(\phi) = \int_{X^{\text{NA}}} A_X(v) \text{MA}^{\text{NA}}(\phi).$$

If $\phi = \phi(x, \mathcal{L})$, then $\mathbf{H}^{\text{NA}}(\phi) = K_{x/X_C}^{\log} \cdot \bar{\mathcal{L}} \cdot n$.

Theorem (Thm C, L., '20)

For any (maximal) geodesic ray Φ , we have:

$$\mathbf{H}'^{\infty}(\Phi) \geq \mathbf{H}^{\text{NA}}(\Phi_{\text{NA}}), \quad \mathbf{M}'^{\infty}(\Phi) \geq \mathbf{M}^{\text{NA}}(\Phi_{\text{NA}}).$$

The key is to use the non-Archimedean identity for entropy:

$$\mathbf{H}^{\text{NA}}(\phi) = \sup \left\{ \int_{X^{\text{NA}}} f_{K_{\mathcal{Y}/X_C}^{\log}} \text{MA}^{\text{NA}}(\phi); \mathcal{Y} \text{ an SNC model} \right\},$$

Jensen's inequality and an asymptotic lemma of Boucksom-Hisamoto-Jonsson.

Two conjectures

Conjecture (L. , '20)

If ϕ is maximal, then $\mathbf{H}'^\infty(\phi) = \mathbf{H}^{\text{NA}}(\phi_{\text{NA}})$.

This is implied by

Conjecture (Boucksom-Jonsson)

For any $\phi \in \mathcal{E}^{1,\text{NA}}$, there exist $\phi_m \in \mathcal{H}^{\text{NA}}$ s.t. ϕ_m converges to ϕ in the strong topology and

$$\mathbf{H}^{\text{NA}}(\phi) = \lim_{m \rightarrow +\infty} \mathbf{H}^{\text{NA}}(\phi_m).$$

Difficulty: As in the Archimedean case, \mathbf{H}^{NA} is only lower-semi-continuous, not continuous, under the strong convergence. One needs some nice smoothing process that preserves the non-Archimedean entropy. We give some partial smoothing in the following theorem.

Approximation of non-Archimedean entropy

Theorem (Thm D, L.)

For any $\phi \in \mathcal{E}^{1, \text{NA}}$, there exist models $(\mathcal{X}_m, \mathcal{L}_m)$ such that $\phi_m = \phi(\mathcal{X}_m, \mathcal{L}_m)$ converges to ϕ in the strong topology and

$$\mathbf{M}^{\text{NA}}(\phi) = \lim_{m \rightarrow +\infty} \mathbf{M}^{\text{NA}}(\phi_m).$$

Step 1: $\forall \phi \in \mathcal{E}^{1, \text{NA}}$, $\exists \phi_m \in \mathcal{E}^{1, \text{NA}} \cap C^0(L^{\text{NA}})$ s.t. $\phi_m \xrightarrow{\text{strongly}} \phi$, $\mathbf{M}^{\text{NA}}(\phi_m) \rightarrow \mathbf{M}^{\text{NA}}(\phi)$ and $\text{MA}^{\text{NA}}(\phi_m)$ is supported on a dual complex $\Delta_{\mathcal{Y}}$ of an SNC model $(\mathcal{Y}, \mathcal{L}_{\mathcal{Y}})$ of (X, L) .

Step 2: $\forall \phi \in \mathcal{E}^{1, \text{NA}}$ with $\text{MA}^{\text{NA}}(\phi)$ supported on $\Delta_{\mathcal{Y}}$, $\exists \phi_k \in \mathcal{E}^{1, \text{NA}} \cap C^0(L^{\text{NA}})$ s.t. $\phi_k \xrightarrow{\text{strongly}} \phi$, $\mathbf{M}^{\text{NA}}(\phi_k) \rightarrow \mathbf{M}^{\text{NA}}(\phi)$ and $\mathbf{M}^{\text{NA}}(\phi_k)$ is a Dirac-type measure supported on $\Delta_{\mathcal{Y}}$.

Step 3: Boucksom-Favre-Jonsson showed that solution $(\text{MA}^{\text{NA}})^{-1}(\nu)$ for Dirac type ν is $\phi(\mathcal{Y}, \mathcal{L}_{\mathcal{Y}})$ for some \mathbb{R} -line bundle $\mathcal{L}_{\mathcal{Y}}$. A perturbation makes $\mathcal{L}_{\mathcal{Y}}$ a \mathbb{Q} -line bundle.

Synthesis: proof of existence result

Proof by contradiction.

Step 1: If \mathbf{M} is not \mathbb{G} -coercive, then \exists destabilizing ray Φ s.t.

$$\mathbf{M}'^\infty(\Phi) \leq 0, \quad \mathbf{J}_{\mathbb{T}}'^\infty(\Phi) = 1.$$

Step 2: By **Thm A**, Φ is maximal. By **Thm B**, with $\phi = \Phi_{\text{NA}}$,

$$\mathbf{E}'^\infty(\Phi) = \mathbf{E}^{\text{NA}}(\phi), \quad (\mathbf{E}^{-\text{Ric}(\Omega)})'^\infty(\Phi) = (\mathbf{E}^{K_X})^{\text{NA}}(\phi),$$

Step 3: By **Thm C**, $\mathbf{H}'^\infty(\Phi) \geq \mathbf{H}^{\text{NA}}(\phi)$.

Step 4: By **Thm D**, there exist **models** $(\mathcal{X}_m, \mathcal{L}_m)$:

$$\lim_{m \rightarrow +\infty} \mathbf{M}^{\text{NA}}(\phi_m) = \mathbf{M}^{\text{NA}}(\phi), \quad \text{with } \phi_m = \phi(\mathcal{X}_m, \mathcal{L}_m).$$

Step 5: Contradiction:

$$\begin{aligned} 0 &\geq \mathbf{M}'^\infty(\Phi) \geq \mathbf{M}^{\text{NA}}(\phi) = \lim_{m \rightarrow +\infty} \mathbf{M}^{\text{NA}}(\phi_m) \\ &\stackrel{\geq \text{stability}}{\geq} \lim_{m \rightarrow +\infty} \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi_m) = \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi) = 1. \end{aligned}$$

A toric manifold X^n is a projective manifold with an effective $\mathbb{T} \cong (\mathbb{C}^*)^r$ action with an open dense orbit.

Ample toric line bundle \iff lattice (moment) polytope $\Delta \subset \mathbb{Z}^n$.

$(\mathbb{C}^*)^r$ -equivariant test configurations \iff convex piecewise linear rational functions on Δ .

$(\mathbb{C}^*)^r$ -equivariant models \iff piecewise linear rational functions $f_{\mathcal{L}}$ on Δ , and

$\phi_{\mathcal{L}}$ = lower convex envelope of $f_{\mathcal{L}}$, and is convex piecewise linear rational and hence comes from a test configuration.

This corresponds to the algebraic fact: toric divisors on toric varieties admit Zariski decomposition.

So we get the toric YTD conjecture for all polarized toric manifolds.

YTD in Kähler-Einstein case: use of $\mathbf{D} = -\mathbf{E} + \mathbf{L}$

Proof by contradiction.

Step 1: If \mathbf{M} and \mathbf{D} are not \mathbb{G} -coercive, then \exists geodesic Φ s.t.

$$\mathbf{D}'^\infty(\Phi) \leq 0, \quad \mathbf{J}_{\mathbb{T}}'^\infty(\Phi) = 1.$$

Step 2: By **Thm A**, Φ is maximal and hence with $\phi = \Phi_{\text{NA}}$,

$$\mathbf{E}'^\infty(\Phi) = \mathbf{E}^{\text{NA}}(\phi).$$

Step 3: Berman-Boucksom-Jonsson showed $\mathbf{L}'^\infty(\Phi) = \mathbf{L}^{\text{NA}}(\phi)$.

Step 4: By Multiplier Approximation, there exist **TCs** $(\mathcal{X}_m, \mathcal{L}_m)$:

$$\lim_{m \rightarrow +\infty} \mathbf{D}^{\text{NA}}(\phi_m) = \mathbf{D}^{\text{NA}}(\phi), \quad \text{with } \phi_m = \phi(\mathcal{X}_m, \mathcal{L}_m).$$

Step 5: Contradiction:

$$\begin{aligned} 0 &\geq \mathbf{D}'^\infty(\Phi) = \mathbf{D}^{\text{NA}}(\phi) = \lim_{m \rightarrow +\infty} \mathbf{D}^{\text{NA}}(\phi_m) \\ &\stackrel{\geq \text{stability}}{\geq} \lim_{m \rightarrow +\infty} \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi_m) = \mathbf{J}_{\mathbb{T}}^{\text{NA}}(\phi) = 1. \end{aligned}$$

Thanks for your attention!