

4(d):  $A = \begin{pmatrix} 0 & -3 & 1 & 2 \\ -2 & 1 & -1 & 2 \\ -2 & 1 & -1 & 2 \\ -2 & -3 & 1 & 4 \end{pmatrix}$

• Find eigenvalues:

$$|A - \lambda I| = \begin{vmatrix} -\lambda & -3 & 1 & 2 \\ -2 & 1-\lambda & -1 & 2 \\ -2 & 1 & -1-\lambda & 2 \\ -2 & -3 & 1 & 4-\lambda \end{vmatrix} = \begin{vmatrix} 0 & (-\lambda) - (-\frac{3}{2}) - 3 & \frac{\lambda}{2} + 1 & -\lambda + 2 \\ -2 & 1-\lambda & -1 & 2 \\ 0 & \lambda & -\lambda & 0 \\ 0 & \lambda - 4 & 2 & 2-\lambda \end{vmatrix}$$

$$= -(-2) \cdot \begin{vmatrix} \frac{1}{2}\lambda^2 - \frac{\lambda}{2} - 3 & \frac{1}{2}(\lambda+2) & -(\lambda-2) \\ \lambda & -\lambda & 0 \\ \lambda-4 & 2 & 2-\lambda \end{vmatrix} = 2 \cdot \lambda \cdot (\lambda-2) \begin{vmatrix} \frac{1}{2}(\lambda^2 - \lambda - 6) & \frac{1}{2}(\lambda+2) & -1 \\ 1 & -1 & 0 \\ \lambda-4 & 2 & -1 \end{vmatrix}$$

$$= 2\lambda \cdot (\lambda-2) \cdot \begin{vmatrix} \frac{1}{2}(\lambda^2 - 4) & \frac{1}{2}(\lambda+2) & -1 \\ 0 & -1 & 0 \\ \lambda-2 & 2 & -1 \end{vmatrix} = 2\lambda \cdot (\lambda-2) \cdot (-1) \cdot (\lambda-2) \cdot \begin{vmatrix} \frac{1}{2}(\lambda+2) & -1 \\ 1 & -1 \end{vmatrix}$$

$$= -2\lambda \cdot (\lambda-2)^2 \cdot \left( -\frac{1}{2}(\lambda+2) + 1 \right) = \lambda^2 \cdot (\lambda-2)^2 = 0.$$

$$\Rightarrow \lambda = 0, m_0 = 2; \lambda = 2, m_2 = 2$$

• Find Jordan blocks for each eigenvalue:

$$\lambda = 0: A - 0I \rightarrow \begin{pmatrix} 0 & -3 & 1 & 2 \\ -2 & 1 & -1 & 2 \\ 0 & 0 & 0 & 0 \\ 0 & -4 & 2 & 2 \end{pmatrix}$$

$$\rightarrow \begin{pmatrix} 2 & -1 & 1 & -2 \\ 0 & 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & 0 & -\frac{1}{2} & \frac{1}{2} \\ 0 & 0 & 0 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} 2 & -1 & 0 & -1 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\rightarrow \begin{pmatrix} 2 & 0 & 0 & -2 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\Rightarrow N(A) = \text{Span} \left\{ \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} \right\} \quad \dim E_0 = 1 < m_0 = 2.$$

$$\Rightarrow \text{dot diagram for } \lambda=0: \begin{matrix} v_1 \\ \uparrow \\ v_2 \end{matrix} \Leftrightarrow 1 \text{ Jordan block: } \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$

Find  $v_2 \in N((A-0I)^2) - N(A-0I)$ :

$$(A-0I)^2 = \begin{pmatrix} 0 & -3 & 1 & 2 \\ -2 & 1 & -1 & 2 \\ -2 & 1 & -1 & 2 \\ -2 & -3 & 1 & 4 \end{pmatrix} \begin{pmatrix} 0 & -3 & 1 & 2 \\ -2 & 1 & -1 & 2 \\ -2 & 1 & -1 & 2 \\ -2 & -3 & 1 & 4 \end{pmatrix} = \begin{pmatrix} 0 & -8 & 4 & 4 \\ -4 & 0 & 0 & 4 \\ -4 & 0 & 0 & 4 \\ -4 & -8 & 4 & 8 \end{pmatrix}$$

$$\rightarrow \begin{pmatrix} 0 & 2 & -1 & -1 \\ 1 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & -8 & 4 & 4 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 & -1 \\ 0 & 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\Rightarrow N(A^2) = \text{Span} \left\{ \begin{pmatrix} 0 \\ 1 \\ 2 \\ 0 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \\ 0 \\ 2 \end{pmatrix} \right\}$$

$$\rightarrow \text{choose } v_2 = \begin{pmatrix} 0 \\ 1 \\ 2 \\ 0 \end{pmatrix}$$

$$\Rightarrow v_1 = A \cdot v_2 = 1 \cdot \begin{pmatrix} -3 \\ 1 \\ 1 \\ -3 \end{pmatrix} + 2 \cdot \begin{pmatrix} 1 \\ -1 \\ -1 \\ 1 \end{pmatrix} = \begin{pmatrix} -1 \\ -1 \\ -1 \\ -1 \end{pmatrix} \in N(A - 0 \cdot I).$$

•  $\lambda = 2$ :

$$A - 2I = \begin{pmatrix} -2 & -3 & 1 & 2 \\ -2 & -1 & -1 & 2 \\ -2 & 1 & -3 & 2 \\ -2 & -3 & 1 & 2 \end{pmatrix} \rightarrow \begin{pmatrix} 2 & 3 & -1 & -2 \\ 0 & 2 & -2 & 0 \\ 0 & 4 & -4 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} 2 & 0 & 2 & -2 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\rightarrow \begin{pmatrix} 1 & 0 & 1 & -1 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \Rightarrow N(A - 2I) = \text{Span} \left\{ \underbrace{\begin{pmatrix} -1 \\ 1 \\ 1 \\ 0 \end{pmatrix}}_{v_3}, \underbrace{\begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}}_{v_4} \right\}$$

$\Rightarrow \dim E_2 = 2 = m_2 \Rightarrow$  dot diagram  $\begin{matrix} \bullet & \bullet \\ v_3 & v_4 \end{matrix}$

$\Leftrightarrow$  2 Jordan blocks of size 1

• The Jordan canonical form of  $A$  is

$$J = \begin{pmatrix} \boxed{0} & \boxed{1} & & \\ \boxed{0} & \boxed{0} & & \\ & & \boxed{2} & \\ & & & \boxed{2} \end{pmatrix} \quad Q = (v_1 \ v_2 \ v_3 \ v_4) = \begin{pmatrix} -1 & 0 & -1 & 1 \\ -1 & 1 & 1 & 0 \\ -1 & 2 & 1 & 0 \\ -1 & 0 & 0 & 1 \end{pmatrix}$$

satisfies  $Q^{-1} \cdot A \cdot Q = J$ .

5(c):  $T: P_3(\mathbb{R}) \rightarrow P_3(\mathbb{R})$ ,  $T(f(x)) = f''(x) + 2f(x)$ .

- Choose standard basis  $\alpha = \{1, x, x^2, x^3\}$ .

$$[T] = \begin{pmatrix} [T(1)]_{\alpha} & [T(x)]_{\alpha} & [T(x^2)]_{\alpha} & [T(x^3)]_{\alpha} \\ \parallel & \parallel & \parallel & \parallel \\ [2]_{\alpha} & [2x]_{\alpha} & [2+2x^2]_{\alpha} & [6x+2x^3]_{\alpha} \end{pmatrix}$$

$$= \begin{pmatrix} 2 & 0 & 2 & 0 \\ 0 & 2 & 0 & 6 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix} = A$$

- $\det(A - \lambda I) = (2 - \lambda)^4 = 0 \Rightarrow \lambda = 2, m_2 = 4$ .

- $A - 2I = \begin{pmatrix} 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 6 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$

$$\Rightarrow N(A - 2I) = \text{Span} \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} \right\} \quad \dim E_2 = 2 < 4 = m_2$$

- $(A - 2I)^2 = \begin{pmatrix} 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 6 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 6 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} = O_{4 \times 4}$

$$\Rightarrow N(A - 2I)^2 = \mathbb{R}^4, \quad \dim N(A - 2I)^2 = 4 = 2 + 2$$

$\Rightarrow$  dot diagram

$$\begin{matrix} v_1 \bullet & \bullet v_3 \\ v_2 \bullet & \bullet v_4 \end{matrix}$$

$\uparrow$   
# of dots on 2nd row

choose  $v_2, v_4 \in N((A-2I)^2) - N(A-2I)$ :

$$v_2 = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \quad v_4 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

$$\Rightarrow v_1 = (A-2I)v_2 = \begin{pmatrix} 2 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \quad v_3 = (A-2I)v_4 = \begin{pmatrix} 0 \\ 6 \\ 0 \\ 0 \end{pmatrix}$$

• Jordan canonical form of  $T$ :  $J = \begin{pmatrix} \boxed{2} & \boxed{1} \\ 0 & \boxed{2} & & \\ & & \boxed{2} & \boxed{1} \\ & & 0 & \boxed{2} \end{pmatrix}$

Jordan canonical basis:

$$\left\{ \begin{array}{cccc} 2 & x^2 & 6x & x^3 \\ \updownarrow & \updownarrow & \updownarrow & \updownarrow \\ v_1 & v_2 & v_3 & v_4 \end{array} \right\}$$

Verify:  $Tv_1 = T(2) = 0 + 2 \cdot 2 = 2v_1$

$$Tv_2 = T(x^2) = 2 + 2x^2 = v_1 + 2v_2$$

$$Tv_3 = T(6x) = 0 + 2 \cdot 6x = 2v_3$$

$$Tv_4 = T(x^3) = 6x + 2x^3 = 6v_3 + 2v_4 \quad \checkmark$$

$$6. \det(A - \lambda I) = \det(A^t - \lambda I)$$

$\Rightarrow A$  and  $A^t$  have the same characteristic polynomial.

$\Rightarrow A$  and  $A^t$  have the same eigenvalues with same multiplicities

• For any eigenvalue  $\lambda$ , and any positive integer  $r$

$$\text{rank}((A - \lambda I)^r) = \text{rank}((A - \lambda I)^r)^t = \text{rank}((A^t - \lambda I)^r)$$

$$\Rightarrow \text{nullity}((A - \lambda I)^r) = \text{nullity}((A^t - \lambda I)^r).$$

Assuming the characteristic polynomial splits

$\Rightarrow A$  and  $A^t$  have the same dot diagram

$\Rightarrow A$  and  $A^t$  have the same Jordan canonical form  $J$

$\Rightarrow A \sim J \sim A^t$  :  $A$  and  $A^t$  are similar  $\blacksquare$