**4.1.** First express  $\mathbf{v} = s\mathbf{v}_1 + t\mathbf{v}_2$ , which gives s = 2, t = -5. Then

$$\mathbf{v} \bullet \nabla f(\mathbf{x}_0) = (2\mathbf{v}_1 - 5\mathbf{v}_2) \bullet \nabla f(\mathbf{x}_0) = 2\mathbf{v}_1 \bullet \nabla f(\mathbf{x}_0) - 5\mathbf{v}_2 \bullet \nabla f(\mathbf{x}_0) = 2(2) - 5(-2) = 14.$$

**4.3.** First express  $\mathbf{v} = s\mathbf{v}_1 + t\mathbf{v}_2 + u\mathbf{v}_3$ , which gives s = t = u = 1. Then

$$\mathbf{v} \bullet \nabla f(\mathbf{x}_0) = (\mathbf{v}_1 + \mathbf{v}_2 + \mathbf{v}_3) \bullet \nabla f(\mathbf{x}_0) = \mathbf{v}_1 \bullet \nabla f(\mathbf{x}_0) + \mathbf{v}_2 \bullet \nabla f(\mathbf{x}_0) + \mathbf{v}_3 \bullet \nabla f(\mathbf{x}_0) = 5 + 3 + 2 = 10.$$

4.5. (a) First,

$$\frac{\partial f}{\partial x} = \frac{y(1+x^2+y^2)^2 - xy \cdot 2(1+x^2+y^2) \cdot 2x}{(1+x^2+y^2)^4} = \frac{y(1+x^2+y^2-4x^2)}{(1+x^2+y^2)^3} = \frac{y(1-3x^2+y^2)}{(1+x^2+y^2)^3},$$
  
and by symmetry,  $\frac{\partial f}{\partial y} = \frac{x(1+x^2-3y^2)}{(1+x^2+y^2)^3}.$ 

Then  $\frac{\partial f}{\partial x} = 0$  if and only if y = 0 or  $3x^2 - y^2 = 1$ ; and  $\frac{\partial f}{\partial y} = 0$  if and only if x = 0 or  $3y^2 - x^2 = 1$ . There are four combinations:

x = y = 0: critical point (0,0), f(0,0) = 0

 $3y^2 - x^2 = 1, y = 0$ : no solution

 $3x^2 - y^2 = 1, x = 0$ : no solution

$$3x^2 - y^2 = 1 = 3y^2 - x^2$$
:  $x^2 = y^2 = 1/2$ , four critical points  $(\pm \sqrt{1/2}, \pm \sqrt{1/2})$ .  
 $f(\sqrt{1/2}, \sqrt{1/2}) = 1/8 = f(-\sqrt{1/2}, -\sqrt{1/2})$  and  $f(-\sqrt{1/2}, \sqrt{1/2}) = -1/8 = f(\sqrt{1/2}, -\sqrt{1/2})$ .

(b) The 5 critical points in (a) are the only possible maximizers and minimizers. (0,0) is obviously out as a maximizer or minimizer, so the only possible maximizers are  $\pm(\sqrt{1/2},\sqrt{1/2})$ , and the maximum value of f, if it exists, is 1/8.

Here is an argument why the points  $P = (\sqrt{1/2}, \sqrt{1/2})$  and  $Q = (-\sqrt{1/2}, -\sqrt{1/2})$  are both actually maximizers. Consider first the region A defined by  $x^2 + y^2 \ge 9$ , the closed exterior of a circle of radius 3. Using the inequality  $|xy| \le 2|xy| \le x^2 + y^2$ , we find that on A,

$$|f(x,y)| = \frac{|xy|}{(1+x^2+y^2)^2} \le \frac{(x^2+y^2)}{(x^2+y^2)^2} = \frac{1}{x^2+y^2} \le \frac{1}{9} < \frac{1}{8}$$

So f(P) and f(Q) "beat" f(x,y) for any  $(x,y) \in A$ .

On the set B defined by  $x^2 + y^2 \le 9$ , f has maximizers because f is continuous and B is compact. The maximizers can't be on the boundary of B, because we have already seen that P and Q beat such boundary points. Therefore the maximizers must occur at critical points. Therefore P and Q are maximizers of f with respect to B. Since they also beat every point outside B, they are genuine maximizers.

(c) A similar argument shows that  $(-\sqrt{1/2}, \sqrt{1/2})$  and  $(\sqrt{1/2}, -\sqrt{1/2})$  are minimizers, and the minimum value of f is -1/8.

**4.7.** A normal vector to the tangent plane of f at  $\mathbf{x}_0$  is given by  $\mathbf{n}(\mathbf{x}_0) = \left(\frac{\partial f}{\partial x}(\mathbf{x}_0), \frac{\partial f}{\partial y}(\mathbf{x}_0), -1\right)$ . At an arbitrary point  $\mathbf{x}_0 = (x, y)$ ,

$$\mathbf{n} = (3y - 3x^2, 3x - 3y^2, -1).$$

For this normal vector to be parallel to (3,3,1), **n** must equal (-3,-3,-1). This leads to the equations

$$3y - 3x^2 = -3$$
$$3x - 3y^2 = -3$$

Thus  $y = x^2 - 1$ ,  $x = y^2 - 1 = (x^2 - 1)^2 - 1 = x^4 - 2x^2$ ,  $x^4 - 2x^2 - x = 0$ . One solution is x = 0, corresponding to y = -1; another is x = -1, corresponding to y = 0. Thus x(x + 1) is a factor of  $x^4 - 2x^2 - x$ , and we find

$$x^4 - 2x^2 - x = x(x+1)(x^2 - x - 1)$$
, with roots  $x = 0, -1, \frac{1 \pm \sqrt{5}}{2}$ .

The corresponding values of y are given by  $y = x^2 - 1$ , and we have four points in all:

$$(0,-1), (-1,0), \left(\frac{1+\sqrt{5}}{2},\frac{1+\sqrt{5}}{2}\right), \left(\frac{1-\sqrt{5}}{2},\frac{1-\sqrt{5}}{2}\right).$$

**4.9.** Let  $\nabla f(\mathbf{x}_0) = (a, b, c)$ . We first find a, b, and c. The three given equations are

$$a+b+c=5, b+c=3, c=2, so (a, b, c) = (2, 1, 2)$$

We take  $\mathbf{u}_1 = (1/3)(2, 1, 2)$ . There are many choices for  $\mathbf{u}_2$ , which need only be a unit vector orthogonal to  $\mathbf{u}_1$ ; then  $\mathbf{u}_3$  must be  $\mathbf{u}_1 \times \mathbf{u}_2$ . For example,  $\mathbf{u}_2 = (1/3)(1, 2, -2)$  and  $\mathbf{u}_3 = (1/3)(-2, 2, 1)$ .