

**$W^{1,1}$ -Maps with Values into  $S^1$** Haïm Brezis<sup>(1),(2)</sup>, Petru Mironescu<sup>(3)</sup> and Augusto C. Ponce<sup>(1),(2)</sup>*Dedicated to François Trèves with esteem and friendship***1. Introduction**

Let  $G \subset \mathbb{R}^3$  be a smooth bounded domain with  $\Omega = \partial G$  simply connected. In [BBM2] we studied properties of

$$H^{1/2}(\Omega; S^1) = \{g \in H^{1/2}(\Omega; \mathbb{R}^2) ; |g| = 1 \text{ a.e. on } \Omega\}.$$

(In what follows, we identify  $\mathbb{R}^2$  with  $\mathbb{C}$ .)

The space  $W^{1,1} \cap L^\infty$  shares some properties with  $H^{1/2}$  and it is natural to investigate

$$W^{1,1}(\Omega; S^1) = \{g \in W^{1,1}(\Omega; \mathbb{R}^2) ; |g| = 1 \text{ a.e. on } \Omega\}.$$

One of the issues that we shall discuss is the question of existence of a lifting and, more precisely, “optimal” liftings. If  $g \in W^{1,1}(\Omega; S^1) \cap C^0(\Omega; S^1)$ , then  $g$  admits a “canonical” lifting  $\varphi \in W^{1,1}(\Omega; \mathbb{R}) \cap C^0(\Omega; \mathbb{R})$  satisfying

$$(1.1) \quad \int_{\Omega} |\nabla \varphi| = \int_{\Omega} |\nabla g|.$$

(Since  $g \in C^0$  and  $\Omega$  is simply connected, there exists a  $\varphi \in C^0$  such that  $g = e^{i\varphi}$  and (1.1) holds for this  $\varphi$ .) However, if one removes the continuity assumption, then a general  $g \in W^{1,1}(\Omega; S^1)$  need not have a lifting  $\varphi$  in  $W^{1,1}(\Omega; \mathbb{R})$ . This obstruction phenomenon — which also holds for other Sobolev spaces — is due to topological singularities of  $g$  and has been extensively studied in [BBM1] ; see also earlier results of Schoen-Uhlenbeck [SU] and Bethuel [B2].

It has been established by Giaquinta-Modica-Souček [GMS2] that every map  $g \in W^{1,1}(\Omega; S^1)$  admits a lifting in  $BV(\Omega; \mathbb{R})$ . However, as we shall see below, for some maps  $g$  in  $W^{1,1}$  we may have

$$\text{Min} \left\{ \int_{\Omega} |D\varphi| ; \varphi \in BV(\Omega; \mathbb{R}) \text{ and } g = e^{i\varphi} \text{ a.e.} \right\} > \int_{\Omega} |\nabla g|,$$

where the measure  $D\varphi$  is the distributional derivative of  $\varphi$ .

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As we shall prove (see Corollary 6 below), there is always a  $\varphi \in BV(\Omega; \mathbb{R})$  such that  $g = e^{i\varphi}$  and

$$(1.2) \quad \int_{\Omega} |D\varphi| \leq 2 \int_{\Omega} |\nabla g|.$$

The constant 2 in (1.2) is optimal (see Remark 3 below). Inequality (1.2) has been extended by Dávila-Ignat [DI] to maps  $g \in BV(\Omega; S^1)$  (here,  $\Omega$  can be an arbitrary domain in  $\mathbb{R}^N$ ); the striking fact is that (1.2), with constant 2, holds in any dimension.

It is natural to study, for a given  $g \in W^{1,1}(\Omega; S^1)$ , the quantity

$$(1.3) \quad E(g) = \text{Min} \left\{ \int_{\Omega} |D\varphi| ; \varphi \in BV(\Omega; \mathbb{R}) \text{ and } g = e^{i\varphi} \text{ a.e.} \right\}.$$

Another quantity which is commonly studied in the framework of Sobolev maps with values into manifolds (see [BBC], and also [GMS2]) is the relaxed energy

$$(1.4) \quad E_{\text{rel}}(g) = \text{Inf} \left\{ \liminf_{n \rightarrow \infty} \int |\nabla g_n| ; g_n \in C^\infty(\Omega; S^1) \text{ and } g_n \rightarrow g \text{ a.e.} \right\}.$$

It is not difficult to prove (see Proposition 2) that

$$E_{\text{rel}}(g) = E(g), \quad \forall g \in W^{1,1}(\Omega; S^1).$$

As we shall establish in Section 3, the gap

$$(1.5) \quad E(g) - \int_{\Omega} |\nabla g|$$

can be easily computed in terms of the minimal connection  $L(g)$  of the topological singularities of  $g$ . For example, if  $g \in C^\infty(\Omega \setminus \{P, N\}; S^1) \cap W^{1,1}$ ,  $\deg(g, P) = +1$  and  $\deg(g, N) = -1$ , then  $L(g)$  is the geodesic distance in  $\Omega$  between  $N$  and  $P$ , and the gap (1.5) equals  $2\pi L(g)$ . For the definition of  $L(g)$  when  $g$  is an arbitrary element of  $W^{1,1}(\Omega; S^1)$ , see (1.9) below. The concept of a minimal connection connecting the topological singularities has its source in [BCL].

One of our main results is

**THEOREM 1.** *Let  $g \in W^{1,1}(\Omega; S^1)$ . We have*

$$(1.6) \quad E(g) - \int_{\Omega} |\nabla g| = 2\pi L(g).$$

The first result of this kind (see [BBC]) concerned the Dirichlet integral  $\int |\nabla g|^2$  and maps  $g$  from a 3-d domain into  $S^2$ . Inequality  $\leq$  in (1.6) has been known for some time (see [DH] and [GMS2]); it relies on the dipole construction introduced in [BCL]. More generally, the [BCL] dipole construction has been adapted to a large variety of problems involving singularities (points and beyond); see e.g. [ABO]. The exact lower bound for the relaxed energy is always a more delicate issue. For  $W^{1,2}(S^3; S^2)$  the corresponding lower bound obtained in [BBC] asserts that

$$E_{\text{rel}}(g) \geq \int_{S^3} |\nabla g|^2 + 8\pi L(g).$$

The same argument applies to  $W^{1,N}(S^{N+1}; S^N)$ ,  $N \geq 3$ , and yields

$$E_{\text{rel}}(g) \geq \int_{S^{N+1}} |\nabla g|^N + c_N L(g), \quad c_N > 0.$$

The properties of  $L^p$ ,  $1 < p < \infty$ , are heavily used in these arguments. However, the space  $L^1$  is different and it is not possible to adapt the proof of [BBC] to obtain a lower bound of the form

$$E_{\text{rel}}(g) \geq \int_{\Omega} |\nabla g| + \alpha L(g),$$

for some  $\alpha > 0$ . Such a lower bound can presumably be proved using the theory of Cartesian currents of [GMS2]; however, the precise relationship between the formalism of [GMS2] and (1.6) is yet to be clarified.

We call the attention of the reader to the fact that, in the  $H^{1/2}$ -setting studied in [BBM2], the analog of Theorem 1 is open; we only have

$$E_{\text{rel}}(g) - |g|_{H^{1/2}}^2 \sim L(g).$$

A useful quantity which plays a central role in our analysis is  $g \wedge \nabla g$ . More precisely, given  $g \in W^{1,1}(\Omega; \mathbb{R}^2)$ , consider the vector field  $g \wedge \nabla g$  defined in a local frame by

$$g \wedge \nabla g = (g \wedge g_x, g \wedge g_y).$$

[This is the 2-d analog of the vector field  $D$  associated to  $W^{1,2}(B^3; S^2)$  maps, originally introduced in [BCL]; there is a natural analog of  $D$  in the  $W^{1,N}(S^{N+1}; S^N)$  context, for each  $N$ .]

When  $g$  is smooth with values into  $S^1$ ,  $g \wedge \nabla g$  is a gradient map since we may always write  $g = e^{i\varphi}$ , so that  $g \wedge \nabla g = \nabla \varphi$ . However, if  $g \in W^{1,1}(\Omega; S^1)$ , then  $g \wedge \nabla g$  is an  $L^1$ -vector field which need not be a gradient map, e.g., when  $g(x) \sim (x-a)/|x-a|$  near a point  $a \in \Omega$ , then  $g \wedge \nabla g$  is not a gradient map since

$$(g \wedge g_x)_y \neq (g \wedge g_y)_x \quad \text{in } \mathcal{D}'(\Omega).$$

The following result gives an interpretation of  $L(g)$  as the “ $L^1$ -distance” of  $g \wedge \nabla g$  to the class of gradient maps :

**THEOREM 2.** *For every  $g \in W^{1,1}(\Omega; S^1)$ , we have*

$$(1.7) \quad L(g) = \frac{1}{2\pi} \inf_{\psi \in C^\infty(\Omega; \mathbb{R})} \int_{\Omega} |g \wedge \nabla g - \nabla \psi| = \frac{1}{2\pi} \min_{\psi \in BV(\Omega; \mathbb{R})} \int_{\Omega} |g \wedge \nabla g - D\psi|.$$

*There are many minimizers  $\psi$  in (1.7); however, at least one of them satisfies  $g = e^{i\psi}$  a.e. in  $\Omega$ .*

Let  $g \in W^{1,1}(\Omega; \mathbb{R}^2) \cap L^\infty$ . Following the ideas of [BCL] (or, more specifically, [DH] for this particular setting), we introduce the distribution  $T(g) \in \mathcal{D}'(\Omega; \mathbb{R})$ , defined by its action on  $\text{Lip}(\Omega; \mathbb{R})$  through the formula

$$(1.8) \quad \langle T(g), \zeta \rangle = \int (g \wedge \nabla g) \cdot \nabla^\perp \zeta,$$

where  $\nabla^\perp \zeta = (\zeta_y, -\zeta_x)$ . In other words,

$$T(g) = -(g \wedge g_x)_y + (g \wedge g_y)_x = 2 \text{Det}(\nabla g),$$

where  $\text{Det}(\nabla g)$  denotes the distributional Jacobian of  $g$ . We then set

$$(1.9) \quad L(g) = \frac{1}{2\pi \|\nabla \zeta\|_{L^\infty} \leq 1} \text{Max} \langle T(g), \zeta \rangle.$$

We first state some analogs of the results in [BBM2] :

**THEOREM 3.** *Assume  $g \in W^{1,1}(\Omega; S^1)$ . There exist two sequences  $(P_i), (N_i)$  in  $\Omega$  such that  $\sum_i |P_i - N_i| < \infty$  and*

$$(1.10) \quad T(g) = 2\pi \sum (\delta_{P_i} - \delta_{N_i}).$$

Moreover,

$$(1.11) \quad L(g) = \text{Inf} \sum_j d(\tilde{P}_j, \tilde{N}_j) \quad \left( \leq \frac{1}{2\pi} \int_\Omega |\nabla g| \right),$$

where  $d$  denotes the geodesic distance in  $\Omega$ , and the infimum is taken over all possible sequences  $(\tilde{P}_j), (\tilde{N}_j)$  satisfying

$$\sum (\delta_{\tilde{P}_j} - \delta_{\tilde{N}_j}) = \sum (\delta_{P_i} - \delta_{N_i}) \quad \text{in } (W^{1,\infty})^*.$$

Conversely, given two sequences  $(P_i), (N_i)$  in  $\Omega$  such that  $\sum_i |P_i - N_i| < \infty$ , there is always a map  $g \in W^{1,1}(\Omega; S^1)$  such that (1.10) holds ; this is the “generalized dipole” construction (see [BBM2, Lemma 15] and Lemma 4 below). Furthermore (see Theorem 10) the length of the minimal connection (as given by the right-hand side of (1.11)) equals  $\text{Inf} \left\{ \frac{1}{2\pi} \int |\nabla g| \right\}$ , where the infimum is taken over all maps  $g$  such that (1.10) holds.

**REMARK 1.** When  $g \in BV(\Omega; S^1)$  the analysis of singularities is much more delicate because there are, roughly speaking, two types of singularities : the point singularities (carrying a degree) and the jump singularities (along “lines”). The analog of (1.10) for  $BV(\Omega; S^1)$  involves these two types of singularities. Here is a nice formula due to R. Ignat [I2]. Let  $g \in BV(\Omega; S^1)$  and write

$$Dg = (Dg)_{ac} + (Dg)_C + (Dg)_J,$$

where  $ac$ ,  $C$  and  $J$  stand respectively for the absolutely continuous, Cantor and jump part. Recall Vol’pert’s decomposition (see [V] and also [AFP])

$$(Dg)_J = (g^+ - g^-) \nu_g \mathcal{H}^1 \llcorner_{J(g)}.$$

Set

$$\langle T(g), \zeta \rangle = \int_\Omega g \wedge [(Dg)_{ac} + (Dg)_C] \cdot \nabla^\perp \zeta + \int_{J(g)} \text{Arg} \left( \frac{g^+}{g^-} \right) \nu_g \cdot \nabla^\perp \zeta d\mathcal{H}^1,$$

where  $\text{Arg}(g^+/g^-) \in (-\pi, \pi]$  denotes the argument of  $g^+/g^-$ . Then there exist sequences  $(P_i), (N_i)$  in  $\Omega$  such that  $\sum_i |P_i - N_i| < \infty$  and (1.10) holds. We warn the reader that, in this formula, some of the Dirac masses located on the jump set  $J(g)$  do not arise from topological point singularities of  $g$ .

As was already pointed out in [BBM2, Lemma 20], we have

$$\langle T(g), \zeta \rangle = 2\pi \int_{\mathbb{R}} \deg(g, \Gamma_\lambda) d\lambda,$$

where  $\Gamma_\lambda = \{x \in \Omega ; \zeta(x) = \lambda\}$  is equipped with the appropriate orientation (Lemma 20 in [BBM2] is stated for  $g \in H^{1/2}$ , but the proof also covers the case where  $g \in W^{1,1}$ ). Here is a new property

**THEOREM 4.** *Assume  $g \in W^{1,1}(\Omega; S^1)$ , and let  $\zeta \in \text{Lip}(\Omega; \mathbb{R})$  be such that  $\|\nabla \zeta\|_{L^\infty} \leq 1$ . Then*

$$(1.12) \quad \int_{\mathbb{R}} |\deg(g, \Gamma_\lambda)| d\lambda \leq L(g).$$

*In particular, if  $\zeta$  is a maximizer in (1.9), then*

$$(1.13) \quad \deg(g, \Gamma_\lambda) \geq 0 \quad \text{for a.e. } \lambda.$$

Finally, we study a notion of relaxed Jacobian determinants in the spirit of Fonseca-Fusco-Marcellini [FFM], and also Giaquinta-Modica-Souček [GMS1]. Given  $g \in W^{1,1}(\Omega; S^1)$ , we set (using the same notation as in [FFM])

$$(1.14) \quad TV(g) = \inf \left\{ \liminf_{n \rightarrow \infty} \int_{\Omega} |g_{nx} \wedge g_{ny}| ; g_n \in C^\infty(\Omega; \mathbb{R}^2) \text{ and } g_n \rightarrow g \text{ in } W^{1,1} \right\}.$$

Of course this number is possibly infinite. The following is a far-reaching extension of some results in [FFM]

**THEOREM 5.** *Let  $g \in W^{1,1}(\Omega; S^1)$ . Then*

$$TV(g) < \infty \quad \Longleftrightarrow \quad \text{Det}(\nabla g) \quad \text{is a measure.}$$

*In this case, we have*

$$\text{Det}(\nabla g) = \pi \sum_{\text{finite}} (\delta_{P_i} - \delta_{N_i})$$

*and*

$$TV(g) = |\text{Det}(\nabla g)|_{\mathcal{M}}.$$

*In particular,  $\frac{1}{\pi} TV(g)$  is an integer which equals the number of topological singularities of  $g$  (counting their multiplicities).*

Here, for any Radon measure  $\mu$ ,

$$|\mu|_{\mathcal{M}} = \sup \{ \langle \mu, \varphi \rangle ; \varphi \in C(\Omega; \mathbb{R}), \|\varphi\|_{L^\infty} \leq 1 \}.$$

**REMARK 2.** The conclusion of Theorem 5 still holds if one replaces the strong  $W^{1,1}$ -convergence in (1.14) by the weak  $W^{1,1}$ -convergence. There are numerous variants and extensions of Theorem 5 in Sections 4 and 5.

The paper is organized as follows :

1. Introduction
2. Properties of  $W^{1,1}(S^1; S^1)$
3. Properties of  $W^{1,1}(\Omega; S^1)$ . Proofs of Theorems 1–4
4.  $W^{1,1}(\Omega; S^1)$  and Relaxed Jacobians
5. Further Directions and Open Problems

- 5.1. Some examples of  $BV$ -functions with jumps
- 5.2. Some analogs of Theorems 1, 3, and 5 for bounded domains in  $\mathbb{R}^2$
- 5.3. Extensions of Theorems 1, 2, and 3 to higher dimensions
- 5.4. Extension of  $TV$  to higher dimensions and to fractional Sobolev spaces
- 5.5. Extension of Theorem 3 to maps with values into a curve

## 2. Properties of $W^{1,1}(S^1; S^1)$

Even though the core of the paper deals with maps from a two dimensional manifold  $\Omega$  with values into  $S^1$ , it is illuminating to start with the study of  $W^{1,1}$ -maps from  $S^1$  into itself.

Let  $g \in W^{1,1}(S^1; S^1)$ . There are two natural quantities associated with  $g$ ; namely,

$$(2.1) \quad E(g) = \text{Min} \{ |\varphi|_{BV} ; \varphi \in BV(S^1; \mathbb{R}), g = e^{i\varphi} \text{ a.e.} \}$$

and

$$(2.2) \quad E_{\text{rel}}(g) = \text{Inf} \left\{ \liminf_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n| ; g_n \in C^\infty(S^1; S^1), \deg g_n = 0, g_n \rightarrow g \text{ a.e.} \right\}.$$

It turns out that the two quantities are equal and that they can be easily computed in terms of  $g$ :

**THEOREM 6.** *Let  $g \in W^{1,1}(S^1; S^1)$ . Then*

$$(2.3) \quad E_{\text{rel}}(g) = E(g) = \int_{S^1} |\dot{g}| + 2\pi |\deg g|.$$

**PROOF.** First equality in (2.3): “ $\geq$ ” Let  $(g_n) \subset C^\infty(S^1; S^1)$  be such that  $\deg g_n = 0$  and  $g_n \rightarrow g$  a.e. Then we may write  $g_n = e^{i\psi_n}$ , with  $\psi_n \in C^\infty(S^1; \mathbb{R})$  and  $\int_{S^1} |\dot{\psi}_n| = \int_{S^1} |\dot{g}_n|$ . Subtracting a suitable integer multiple of  $2\pi$ , we may assume  $(\psi_n)$  bounded in  $W^{1,1}(S^1; \mathbb{R})$ . After passing to a subsequence, we may further assume that  $\psi_n \rightarrow \psi$  a.e. for some  $\psi \in BV(S^1; \mathbb{R})$ . Therefore,

$$\liminf_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n| = \liminf_{n \rightarrow \infty} \int_{S^1} |\dot{\psi}_n| \geq \int_{S^1} |\dot{\psi}|$$

and, clearly,  $e^{i\psi} = g$  a.e.

“ $\leq$ ” Let  $\psi \in BV(S^1; \mathbb{R})$  be such that

$$|\psi|_{BV} = \text{Min} \{ |\varphi|_{BV} ; g = e^{i\varphi} \text{ a.e.} \}.$$

Consider a sequence  $(\psi_n) \subset C^\infty(S^1; \mathbb{R})$  such that  $\psi_n \rightarrow \psi$  a.e. and  $\int_{S^1} |\dot{\psi}_n| \rightarrow |\psi|_{BV}$ . If we set  $g_n = e^{i\psi_n}$ , then clearly  $g_n \in C^\infty(S^1; S^1)$ ,  $\deg g_n = 0$  and  $g_n \rightarrow g$  a.e. Moreover,

$$\lim_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n| = \lim_{n \rightarrow \infty} \int_{S^1} |\dot{\psi}_n| = |\psi|_{BV}.$$

Second equality in (2.3) : “ $\geq$ ” This assertion has been established under slightly more general assumptions in [BBM2, Section 4.3]. Here is an alternative approach. Let  $g \in W^{1,1}(S^1; S^1)$ . We prove that, if  $\varphi \in BV(S^1; \mathbb{R})$  satisfies  $g = e^{i\varphi}$  a.e., then

$$(2.4) \quad |\varphi|_{BV} \geq \int_{S^1} |\dot{g}| + 2\pi |\deg g|.$$

The main ingredient is the chain rule formula for BV-maps, due to Vol’pert ; see [V], and also [AFP].

**CHAIN RULE.** Let  $\varphi \in BV(S^1; \mathbb{R})$ . Recall that there is a representative  $\varphi_0$  of  $\varphi$  which is continuous except at (at most) countably many points  $a_n \in S^1$  ; in the sequel, we take  $\varphi$  to be  $\varphi_0$  itself. Moreover, at the points  $a_n$ ,  $\varphi$  admits limits from the “right” and from the “left”, say  $\varphi(a_n+)$  and  $\varphi(a_n-)$ .

Let  $\dot{\varphi}$  be the distributional derivative of  $\varphi$ , which is a Borel measure. The diffuse part of  $\dot{\varphi}$  is

$$\dot{\varphi}_d = \dot{\varphi} - \sum_n (\varphi(a_n+) - \varphi(a_n-)) \delta_{a_n}.$$

Vol’pert’s chain rule for BV-maps on a bounded interval (or a closed curve) asserts that, if  $F \in C^1(\mathbb{R}; \mathbb{R})$ , then

$$\overline{F \circ \varphi} = F'(\varphi) \dot{\varphi}_d + \sum_n (F(\varphi(a_n+)) - F(\varphi(a_n-))) \delta_{a_n}.$$

A more general version of the chain rule, which is valid in  $\mathbb{R}^N$ , is stated and explained in the proof of Lemma 5 in Section 3 below.

We now return to the proof of (2.4). By the chain rule formula, we have

$$\dot{g} = ie^{i\varphi} \dot{\varphi}_d + \sum_n \left( e^{i\varphi(a_n+)} - e^{i\varphi(a_n-)} \right) \delta_{a_n}.$$

Using the continuity of  $g$ , we have  $g(a_n) = e^{i\varphi(a_n+)} = e^{i\varphi(a_n-)}$  for each  $n$ . Hence,

$$\dot{g} = ie^{i\varphi} \dot{\varphi}_d.$$

Since  $\dot{g} \in L^1$  and  $e^{i\varphi} = g$  a.e., we thus find that

$$g \wedge \dot{g} = \frac{1}{ig} \dot{g} = \dot{\varphi}_d.$$

Consequently,

$$(2.5) \quad |\dot{\varphi}|_{\mathcal{M}} = |\dot{\varphi}_d|_{\mathcal{M}} + |\dot{\varphi} - \dot{\varphi}_d|_{\mathcal{M}} = |g \wedge \dot{g}|_{\mathcal{M}} + |g \wedge \dot{g} - \dot{\varphi}|_{\mathcal{M}} = \int_{S^1} |\dot{g}| + |g \wedge \dot{g} - \dot{\varphi}|_{\mathcal{M}}.$$

On the other hand,

$$(2.6) \quad |g \wedge \dot{g} - \dot{\varphi}|_{\mathcal{M}} \geq |\langle g \wedge \dot{g} - \dot{\varphi}, 1 \rangle| = |\langle g \wedge \dot{g}, 1 \rangle| = 2\pi |\deg g|.$$

(The last equality is clear when  $g$  is smooth ; the case of a general  $W^{1,1}$ -map follows by approximation.) Finally, by combining (2.5) and (2.6) we find that

$$|\varphi|_{BV} \geq \int_{S^1} |\dot{g}| + 2\pi |\deg g|,$$

as claimed.

Second equality in (2.3) : “ $\leq$ ” Since  $S^1 \setminus \{1\}$  is simply connected, we may write  $g = e^{i\varphi}$  on  $S^1 \setminus \{1\}$ , for some  $\varphi \in W^{1,1}(S^1 \setminus \{1\}; \mathbb{R})$  such that  $|\dot{\varphi}| = |\dot{g}|$  in  $S^1 \setminus \{1\}$ . Since  $\varphi$  is continuous, we have

$$\varphi(1-) - \varphi(1+) = 2\pi \deg g.$$

Passing to the full  $S^1$ , we have

$$|\varphi|_{BV} = \int_{S^1 \setminus \{1\}} |\dot{\varphi}| + |\varphi(1-) - \varphi(1+)| = \int_{S^1} |\dot{g}| + 2\pi |\deg g|.$$

As a consequence of Theorem 6, we have

COROLLARY 1. *For every  $g \in W^{1,1}(S^1; S^1)$ ,*

$$(2.7) \quad E(g) \leq 2|g|_{W^{1,1}}.$$

REMARK 3. The constant 2 in (2.7) is optimal. Indeed, for  $g = \text{Id}$ , we have  $|g|_{W^{1,1}} = 2\pi$ , while  $E(g) = 4\pi$  by Theorem 6.

It is easy to see from the definition of the relaxed energy that  $E_{\text{rel}}$  is lower semicontinuous with respect to the pointwise a.e. convergence in  $S^1$ . In view of Theorem 6, we have the following :

COROLLARY 2. *Let  $(g_n) \subset W^{1,1}(S^1; S^1)$  be such that  $g_n \rightarrow g$  a.e. for some  $g \in W^{1,1}(S^1; S^1)$ . Then*

$$(2.8) \quad \int_{S^1} |\dot{g}| + 2\pi |\deg g| \leq \liminf_{n \rightarrow \infty} \left( \int_{S^1} |\dot{g}_n| + 2\pi |\deg g_n| \right).$$

REMARK 4. The constant  $2\pi$  in (2.8) cannot be improved. In fact, assume that (2.8) holds with  $2\pi$  replaced by some  $C$ . In particular, for any sequence  $(g_n) \subset C^\infty(S^1; S^1)$  such that  $\deg g_n = 0$  and  $g_n \rightarrow \text{Id}$  a.e., we have

$$(2.9) \quad 2\pi + C = \int_{S^1} |\dot{g}| + C |\deg g| \leq \liminf_{n \rightarrow \infty} \left( \int_{S^1} |\dot{g}_n| + C |\deg g_n| \right) = \liminf_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n|.$$

On the other hand, according to Theorem 6, the sequence  $(g_n)$  can be chosen so that

$$(2.10) \quad \lim_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n| = \int_{S^1} |\dot{g}| + 2\pi |\deg g| = 4\pi.$$

A comparison between (2.9) and (2.10) implies  $C \leq 2\pi$ .

Inequality (2.8) still holds if one replaces  $|\deg g|$  and  $|\deg g_n|$  by  $\deg g$  and  $\deg g_n$ , under the additional assumption that the sequence  $(g_n)$  is **bounded** in  $W^{1,1}$ . This assumption is essential ; see Remark 5 below. More precisely, we have

PROPOSITION 1 ([BBM2]). *Let  $g_n, g \in W^{1,1}(S^1; S^1)$  be such that  $g_n \rightarrow g$  a.e and*

$$\sup_n |g_n|_{BV} < \infty.$$

*Then*

$$(2.11) \quad \int_{S^1} |\dot{g}| + 2\pi \deg g \leq \liminf_{n \rightarrow \infty} \left( \int_{S^1} |\dot{g}_n| + 2\pi \deg g_n \right).$$



We present here an alternative proof based on Corollary 2.

PROOF. Assume  $|g_n|_{BV} \leq C, \forall n$ . In particular,

$$|\deg g_n| \leq \frac{1}{2\pi} \int_{S^1} |\dot{g}_n| \leq \frac{C}{2\pi}.$$

Since  $\deg g_n$  takes only integer values, after passing to a subsequence, we can assume that  $d = \deg g_n, \forall n$ . Given  $\varepsilon > 0$ , let  $h \in C^\infty(S^1; S^1)$  be such that  $\deg h = -d$  and  $h(x) = 1, \forall x \in S^1 \setminus B_\varepsilon(1)$ . Clearly,

$$hg_n \rightarrow hg \quad \text{a.e. in } S^1 \quad \text{and} \quad \deg hg_n = 0, \quad \forall n.$$

It follows from Corollary 2 that

$$(2.12) \quad \int_{S^1} |\dot{g}h + g\dot{h}| + 2\pi(\deg g - d) \leq \liminf_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n h + g_n \dot{h}| \leq \liminf_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n| + \int_{S^1} |\dot{h}|.$$

On the other hand, since  $h(x) = 1$  for  $x \in S^1 \setminus B_\varepsilon(1)$ , we have

$$(2.13) \quad \begin{aligned} \int_{S^1} |\dot{g}h + g\dot{h}| &= \int_{S^1 \setminus B_\varepsilon(1)} |\dot{g}| + \int_{S^1 \cap B_\varepsilon(1)} |\dot{g}h + g\dot{h}| \\ &\geq \int_{S^1 \setminus B_\varepsilon(1)} |\dot{g}| - \int_{S^1 \cap B_\varepsilon(1)} |\dot{g}| + \int_{S^1 \cap B_\varepsilon(1)} |\dot{h}| \\ &= \int_{S^1} |\dot{g}| - 2 \int_{S^1 \cap B_\varepsilon(1)} |\dot{g}| + \int_{S^1} |\dot{h}|. \end{aligned}$$

Comparison between (2.12) and (2.13) yields

$$\int_{S^1} |\dot{g}| - 2 \int_{S^1 \cap B_\varepsilon(1)} |\dot{g}| + 2\pi(\deg g - d) \leq \liminf_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n|.$$

Taking  $\varepsilon \rightarrow 0$ , we obtain (2.11).

An immediate consequence of Proposition 1 is

COROLLARY 3. *Under the assumptions of Proposition 1, we have*

$$\int_{S^1} |\dot{g}| \leq \liminf_{n \rightarrow \infty} \left( \int_{S^1} |\dot{g}_n| - 2\pi |\deg g_n - \deg g| \right).$$

REMARK 5. Proposition 1 (or, equivalently, Corollary 3) is **false** without the assumption  $\sup_n |g_n|_{BV} < \infty$ . Here is an example. Let  $n \geq 1$  be a fixed integer. Given  $0 \leq j \leq n-1$ , let  $a_{j,n} = \frac{2\pi j}{n}$  and  $I_{j,n} = [a_{j,n}, a_{j+1,n} - \frac{1}{2n}] \subset \mathbb{R}$ . On each interval  $I_{j,n}$ , we define  $f_n(t) = 2\pi j - a_{j,n}$ . We then extend  $f_n$  continuously to  $[0, 2\pi]$ , so that  $f_n$  is affine linear outside the set  $\bigcup_j I_{j,n}$ , and  $f_n(2\pi) = 2\pi(n-1)$ . By construction,  $f_n$  is Lipschitz, nondecreasing, and  $f_n(2\pi) - f_n(0) \in 2\pi\mathbb{Z}$ . Note that

$$\begin{aligned} d(f_n(t), -t + 2\pi\mathbb{Z}) &\leq |a_{j+1,n} - a_{j,n}| = \frac{2\pi}{n} \quad \forall t \in \bigcup_j I_{j,n}; \\ |[0, 2\pi] \setminus \bigcup_j I_{j,n}| &= \frac{n}{2n}. \end{aligned}$$

Set  $g_n(\theta) = e^{-if_n(\theta)}$ . Then, we have  $g_n \rightarrow g$  a.e., where  $g = \text{Id}$ ; however,

$$\int_{S^1} |\dot{g}| + 2\pi \deg g = 4\pi,$$

while

$$\int_{S^1} |\dot{g}_n| + 2\pi \deg g_n = 0, \quad \forall n.$$

### 3. Properties of $W^{1,1}(\Omega; S^1)$

We start with the rigorous definitions of  $T(g)$  and of the class  $\text{Lip}$  mentioned in the Introduction. If  $g \in W^{1,1}(\Omega; \mathbb{R}^2)$ , we set

$$|\nabla g| = \left[ \left( \frac{\partial g_1}{\partial x} \right)^2 + \left( \frac{\partial g_1}{\partial y} \right)^2 + \left( \frac{\partial g_2}{\partial x} \right)^2 + \left( \frac{\partial g_2}{\partial y} \right)^2 \right]^{1/2},$$

where  $(x, y)$  is any orthonormal frame at some point on  $\Omega$ , and we let

$$|g|_{W^{1,1}} = \int_{\Omega} |\nabla g|.$$

Recall that we defined  $T(g)$  by

$$\langle T(g), \zeta \rangle = \int_{\Omega} ((g \wedge g_x) \zeta_y - (g \wedge g_y) \zeta_x), \quad \forall \zeta \in \text{Lip}(\Omega; \mathbb{R}).$$

Here,  $\begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \wedge \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = u_1 v_2 - u_2 v_1$ , and the integrand is computed in any orthonormal frame  $(x, y)$  such that  $(x, y, n)$  is direct, where  $n$  is the outward normal to  $G$ . (This integrand is frame invariant.) The class of testing functions,  $\text{Lip}(\Omega; \mathbb{R})$ , is the set of functions which are Lipschitz with respect to the geodesic distance  $d$  in  $\Omega$ . For such a map, we set

$$|\zeta|_{\text{Lip}} = \sup_{x \neq y} \frac{|\zeta(x) - \zeta(y)|}{d(x, y)} = \|\nabla \zeta\|_{L^\infty}.$$

We next collect some straightforward properties of  $T(g)$  and  $L(g)$ :

LEMMA 1. *We have*

$$a) \ T(\bar{g}) = -T(g), \quad \forall g \in W^{1,1}(\Omega; \mathbb{R}^2) \cap L^\infty;$$

$$b) \ T(gh) = T(g) + T(h), \quad \forall g, h \in W^{1,1}(\Omega; S^1);$$

$$c) \ L(g) \leq \frac{1}{2\pi} |g|_{W^{1,1}} \|g\|_\infty, \quad \forall g \in W^{1,1}(\Omega; \mathbb{R}^2) \cap L^\infty;$$

d) *If  $g_n, g \in W^{1,1}(\Omega; \mathbb{R}^2) \cap L^\infty$  are such that  $g_n \rightarrow g$  in  $W^{1,1}$  and  $\|g_n\|_{L^\infty} \leq C$ , then  $L(g_n) \rightarrow L(g)$ .*

PROOF. The only property that requires a proof is d). Since

$$|\langle T(g_n), \zeta \rangle - \langle T(g), \zeta \rangle| \leq \int_{\Omega} |g_n| |\nabla(g_n - g)| |\nabla \zeta| + \int_{\Omega} |g_n - g| |\nabla g| |\nabla \zeta|,$$

we have

$$|L(g_n) - L(g)| \leq C|g_n - g|_{W^{1,1}} + \|(g_n - g)\nabla g\|_{L^1}$$

and d) follows by dominated convergence.

Recall the following density result of Bethuel-Zheng [BZ] :

LEMMA 2. *The class*

$$\mathcal{R} = \{g \in W^{1,1}(\Omega; S^1) ; g \in C^\infty(\Omega \setminus A; S^1), \text{ where } A \text{ is some finite set}\}$$

is dense in  $W^{1,1}(\Omega; S^1)$ .

When  $g \in \mathcal{R}$ , a straightforward adaptation of the proof of Lemma 2 in [BBM2] yields the following :

LEMMA 3. *If  $g \in W^{1,1}(\Omega; S^1)$ ,  $g \in C^\infty(\Omega \setminus \{a_1, \dots, a_k\}; S^1)$ , then*

$$T(g) = 2\pi \sum_{j=1}^k d_j \delta_{a_j}.$$

Here,  $d_j = \deg(g, a_j)$  is the topological degree of  $g$  restricted to any small circle around  $a_j$ , positively oriented with respect to the outward normal. Moreover,  $L(g)$  is the length of the minimal connection associated to the configuration  $(a_j, d_j)$  and to the geodesic distance on  $\Omega$  (see Remark 6 below).

REMARK 6. By the definition of  $T(g)$ , we have  $\langle T(g), 1 \rangle = 0$ . Thus,  $\sum_{j=1}^k d_j = 0$ , by Lemma 3. Therefore, we may write the collection of points  $(a_j)$  (repeated with multiplicity  $|d_j|$ ) as  $(P_1, \dots, P_\ell, N_1, \dots, N_\ell)$ , where  $\ell = \frac{1}{2} \sum_{j=1}^k |d_j|$ ; the points of degree 0 do not appear in this list,  $a_j$  is counted among the points  $P_i$  if  $d_j > 0$ , and among the points  $N_i$  otherwise. Then

$$L(g) = \min_{\sigma \in S_\ell} \sum_{j=1}^{\ell} d(P_j, N_{\sigma(j)}).$$

This formula first appeared in the context of  $S^2$ -valued maps ; see [BCL].

Using the density of  $\mathcal{R}$  in  $W^{1,1}(\Omega; S^1)$ , one can easily obtain Theorem 3 from Lemma 3. The analog of Theorem 3 for  $H^{1/2}(\Omega; S^1)$  was proved in [BBM2], and the arguments there also apply to our case.

A converse to Theorem 3 is also true. Namely, for any sequences  $(P_i)$ ,  $(N_i)$  in  $\Omega$  satisfying  $\sum_i |P_i - N_i| < \infty$ , one can find  $g \in W^{1,1}(\Omega; S^1)$  such that (1.10) holds ; see [BBM2]. Motivated by this, we state the following :

OPEN PROBLEM 1. Let  $1 < p < 2$ . Given  $g \in W^{1,p}(\Omega; S^1)$ , can one find  $(P_i)$ ,  $(N_i)$  such that  $\sum_i |P_i - N_i|^{2/p-1} < \infty$  and (1.10) holds ?

OPEN PROBLEM 2. Given two sequences of points  $(P_i)$ ,  $(N_i)$  in  $\Omega$  such that  $\sum_i |P_i - N_i|^{2/p-1} < \infty$  for some  $1 < p < 2$ , does there exist some  $g \in W^{1,p}(\Omega; S^1)$  such that (1.10) holds ? If the answer is negative (as we suspect), what is the right condition on the points  $P_i$ ,  $N_i$  (in terms of capacity ?) which guarantees the existence of  $g$  ?

We now consider the following class

$$Y = \overline{C^\infty(\Omega; S^1)}^{W^{1,1}};$$

this class is properly contained in  $W^{1,1}(\Omega; S^1)$  (see Remark 8 below).

It turns out that maps in  $Y$  can be characterized in terms of their distribution  $T(g)$  :

THEOREM 7. *Let  $g \in W^{1,1}(\Omega; S^1)$ . Then the following properties are equivalent :*

- a)  $g \in Y$  ;
- b)  $T(g) = 0$  ;
- c) *there exists  $\varphi \in W^{1,1}(\Omega; \mathbb{R})$  such that  $g = e^{i\varphi}$ .*

REMARK 7. When  $\Omega$  is a smooth bounded open set in  $\mathbb{R}^2$ , the equivalence a)  $\Leftrightarrow$  b) was established by Demengel [D]. We could adapt the argument in [D] to our case, but we present below a different approach, based on an idea of Carbou [C].

REMARK 8. Using Theorem 7, it is easy to construct maps in  $W^{1,1}(\Omega; S^1) \setminus Y$ . Assume, e.g., that  $\Omega = S^2$ , and let  $g(x, y, z) = \frac{(x, y)}{|(x, y)|}$ . By Lemma 3, we have  $T(g) = 2\pi(\delta_N - \delta_S)$ , where  $N, S$  are the North and South pole of  $S^2$ . By Theorem 7, this implies that  $g \notin Y$ .

PROOF OF THEOREM 7.

a)  $\Rightarrow$  b) By Lemma 3, we have  $T(g) = 0$  if  $g \in C^\infty(\Omega; S^1)$ . By Lemma 1,  $g \mapsto T(g)$  is continuous with respect to  $W^{1,1}$ -convergence, and thus  $T(g) = 0$ ,  $\forall g \in Y$ .

b)  $\Rightarrow$  c) We argue as in [C] ; see also [BBM1]. Let  $x_0 \in \Omega$  and assume that  $\Omega \subset \mathbb{R}^2$  near  $x_0$ . Since  $T(g) = 0$ , the  $L^1$ -vector field

$$F = \begin{pmatrix} F_1 \\ F_2 \end{pmatrix} = \begin{pmatrix} g \wedge g_x \\ g \wedge g_y \end{pmatrix}$$

satisfies, near  $x_0$ ,  $\frac{\partial F_1}{\partial y} = \frac{\partial F_2}{\partial x}$  in the sense of distributions. By a variant of the Poincaré Lemma (see [BBM1]), we may find a neighborhood  $\omega$  of  $x_0$  and a function  $\psi \in W^{1,1}(\omega; \mathbb{R})$  such that  $g = e^{i(\psi+C)}$  in  $\omega$ , for some constant  $C$  (see [BBM1]).

Consider a finite covering of  $\Omega$  with open sets  $\omega_j$  such that

- (i) in each  $\omega_j$  we may write  $g = e^{i\varphi_j}$  for some  $\varphi_j \in W^{1,1}(\omega_j; \mathbb{R})$  ;
- (ii)  $\omega_j \cap \omega_k$  is connected,  $\forall j, \forall k$ .

In  $\omega_j \cap \omega_k$ , the map  $\varphi_j - \varphi_k$  belongs to  $W^{1,1}$  and is  $2\pi\mathbb{Z}$ -valued ; thus, it has to be constant a.e. Since  $\Omega$  is simply connected, we may therefore find a map  $\varphi$  in  $W^{1,1}(\Omega; \mathbb{R})$  such that  $\varphi - \varphi_j$  is, a.e. in  $\omega_j$ , a constant integer multiple of  $2\pi$ . In particular,  $g = e^{i\varphi}$  in  $\Omega$ .

c)  $\Rightarrow$  a) Let  $(\varphi_n) \subset C^\infty(\Omega; \mathbb{R})$  be such that  $\varphi_n \rightarrow \varphi$  in  $W^{1,1}$ . Set  $g_n = e^{i\varphi_n}$ . Then, clearly,  $g_n \in C^\infty(\Omega; S^1)$  and  $g_n \rightarrow g$  in  $W^{1,1}$ .

REMARK 9. It follows from Theorem 7 that, given a map  $g \in W^{1,1}(\Omega; S^1)$ , in general we may **not** write  $g = e^{i\varphi}$  for some  $\varphi \in W^{1,1}(\Omega; \mathbb{R})$ ; consider, for example, the map  $g$  in Remark 8. However, it follows from Theorem 2 that we may write  $g = e^{i\varphi}$  for some  $\varphi \in BV(\Omega; \mathbb{R})$ . This conclusion still holds for maps  $g \in BV(\Omega; S^1)$ ; see [GMS2] and [DI].

Before starting the proof of Theorem 2, we recall the “generalized dipole” construction presented in [BBM2]:

LEMMA 4. *Let  $g \in W^{1,1}(\Omega; S^1)$ . Then, for each  $\varepsilon > 0$ , there is some  $h = h_\varepsilon \in W^{1,1}(\Omega; S^1)$  such that*

$$(i) \quad |h|_{W^{1,1}} \leq 2\pi L(g) + \varepsilon;$$

$$(ii) \quad T(h) = T(g);$$

$$(iii) \quad \text{there is a function } \psi = \psi_\varepsilon \in BV(\Omega; \mathbb{R}) \text{ such that } h = e^{i\psi} \text{ a.e. and } |\psi|_{BV} \leq 4\pi L(g) + \varepsilon;$$

$$(iv) \quad \text{meas}(\text{Supp } \psi) = \text{meas}(\text{Supp}(h - 1)) < \varepsilon.$$

PROOF OF THEOREM 2. Let  $\psi \in BV(\Omega; \mathbb{R})$  and  $\zeta \in C^\infty(\Omega; \mathbb{R})$  be such that  $|\nabla \zeta| \leq 1$ . Then

$$|g \wedge \nabla g - D\psi|_{\mathcal{M}(\Omega)} \geq \int_{\Omega} (g \wedge \nabla g) \cdot \nabla^\perp \zeta - \int_{\Omega} D\psi \cdot \nabla^\perp \zeta = \langle T(g), \zeta \rangle,$$

so that

$$\frac{1}{2\pi} |g \wedge \nabla g - D\psi|_{\mathcal{M}(\Omega)} \geq L(g),$$

by taking the supremum over  $\zeta$ .

It thus remains to construct, for each  $\varepsilon > 0$ , a map  $\psi \in C^\infty(\Omega; \mathbb{R})$  such that

$$\int_{\Omega} |g \wedge \nabla g - \nabla \psi| \leq 2\pi L(g) + \varepsilon.$$

Recall that, by Lemma 4, we may find some  $h \in W^{1,1}(\Omega; S^1)$  such that  $T(h) = T(g)$  and

$$\int_{\Omega} |\nabla h| \leq 2\pi L(g) + \varepsilon/2.$$

Set  $k = g\bar{h}$ , so that  $k \in Y$ , by Lemma 1 and Theorem 7. Write  $k = e^{i\varphi}$  for some  $\varphi \in W^{1,1}$  and let  $\psi \in C^\infty(\Omega; \mathbb{R})$  be such that  $\int_{\Omega} |\nabla \varphi - \nabla \psi| < \frac{\varepsilon}{2}$ .

Then

$$\begin{aligned} \int_{\Omega} |g \wedge \nabla g - \nabla \psi| &= \int_{\Omega} |(hk) \wedge \nabla(hk) - \nabla \psi| = \int_{\Omega} |h \wedge \nabla h + k \wedge \nabla k - \nabla \psi| \\ &= \int_{\Omega} |h \wedge \nabla h + \nabla \varphi - \nabla \psi| \leq \int_{\Omega} |h \wedge \nabla h| + \int_{\Omega} |\nabla \varphi - \nabla \psi| \\ &\leq \int_{\Omega} |\nabla h| + \frac{\varepsilon}{2} \leq 2\pi L(g) + \varepsilon. \end{aligned}$$

In order to complete the proof of Theorem 2, it suffices to prove the following

CLAIM. Given  $g \in W^{1,1}(\Omega; S^1)$ , there exists some  $\varphi \in BV(\Omega; \mathbb{R})$  such that

$$(3.1) \quad g = e^{i\varphi} \quad \text{a.e. in } \Omega$$

and

$$(3.2) \quad |g \wedge \nabla g - D\varphi|_{\mathcal{M}(\Omega)} = 2\pi L(g).$$

In other words, in (1.7), one may restrict the minimization to the class of functions  $\psi \in BV(\Omega; \mathbb{R})$  such that  $g = e^{i\psi}$ .

Using the same argument as above, we can write  $g$  as

$$(3.3) \quad g = h_n e^{i\varphi_n} \quad \text{in } \Omega,$$

where  $\varphi_n \in W^{1,1}(\Omega; \mathbb{R})$ ,  $h_n \in W^{1,1}(\Omega; S^1)$  and

$$|h_n|_{W^{1,1}} \leq 2\pi L(g) + \frac{1}{n}.$$

Moreover, in view of (iv) in Lemma 4, we can also assume that  $h_n \rightarrow 1$  a.e.

Note that

$$(3.4) \quad \int_{\Omega} |g \wedge \nabla g - \nabla \varphi_n| = \int_{\Omega} |h_n \wedge \nabla h_n| = \int_{\Omega} |\nabla h_n| \leq 2\pi L(g) + \frac{1}{n}.$$

Subtracting a suitable integer multiple of  $2\pi$  from  $\varphi_n$ , we may assume that  $(\varphi_n)$  is bounded in  $W^{1,1}(\Omega; \mathbb{R})$ . After passing to a subsequence if necessary, we can find  $\varphi \in BV(\Omega; \mathbb{R})$  such that

$$\varphi_n \rightarrow \varphi \quad \text{a.e. in } \Omega \quad \text{and} \quad \nabla \varphi_n \xrightarrow{*} D\varphi \quad \text{in } \mathcal{M}(\Omega).$$

Since  $h_n \rightarrow 1$  a.e. in  $\Omega$ , it follows from (3.3) that  $g = e^{i\varphi}$  a.e. in  $\Omega$ . Letting  $n \rightarrow \infty$  in (3.4), we obtain

$$\int_{\Omega} |g \wedge \nabla g - D\varphi| \leq \liminf_{n \rightarrow \infty} \int_{\Omega} |g \wedge \nabla g - \nabla \varphi_n| \leq 2\pi L(g).$$

This establishes “ $\leq$ ” in (3.2). The reverse inequality follows trivially from (1.7).

REMARK 10. Here is an example which shows that a minimizing function  $\psi$  in (1.7) is not necessarily a lifting of  $g$  (modulo constants). Assume for simplicity  $\Omega$  is flat and consider a map  $g$  having four singular points in  $\Omega$ , say  $P_1 = (0, 0)$ ,  $P_2 = (1, 1)$ ,  $N_1 = (1, 0)$  and  $N_2 = (0, 1)$ . Then  $S = P_1 N_1 P_2 N_2$  is a square. We may write  $g = e^{i\psi_1} = e^{i\psi_2}$ , where

$$\psi_1 \in C^\infty(\Omega \setminus ([P_1, N_1] \cup [P_2, N_2])) \quad \text{and} \quad \psi_2 \in C^\infty(\Omega \setminus ([P_1, N_2] \cup [P_2, N_1])).$$

Then  $|g \wedge \nabla g - D\psi_1| = 2\pi\nu_1$  (resp.  $|g \wedge \nabla g - D\psi_2| = 2\pi\nu_2$ ), where  $\nu_1$  (resp.  $\nu_2$ ) denotes the 1-dimensional Hausdorff measure on  $[P_1, N_1] \cup [P_2, N_2]$  (resp.  $[P_1, N_2] \cup [P_2, N_1]$ ).

It follows from Theorem 2 that  $\psi_1, \psi_2$  are minimizers in (1.7). Moreover, we may assume that  $\psi_1 = \psi_2$  in the square  $S$ . By convexity, the function  $\psi = (\psi_1 + \psi_2)/2$  is also a minimizer. Outside  $\bar{S}$ ,  $\psi$  is smooth and, clearly,  $g = \alpha e^{i\psi}$  in  $\Omega \setminus \bar{S}$  for some  $\alpha \in S^1$ . One may check that  $\alpha = -1$ , and thus

$$e^{i\psi} = \begin{cases} g, & \text{in } S \\ -g, & \text{in } \Omega \setminus \bar{S} \end{cases}$$

so that  $\psi$  is not a lifting of  $g$ .

Going back to the general situation, let  $K$  be the set of minimizers of the problem

$$\min_{\psi \in BV} \int |g \wedge \nabla g - D\psi|$$

satisfying  $\int \psi = 0$ . Clearly,  $K$  is convex and compact in  $L^1(\Omega; \mathbb{R})$ .

OPEN PROBLEM 3. Is it true that

$$\psi \text{ is an extreme point of } K \iff g = e^{i(\psi+C)} \text{ for some constant } C ?$$

Another result, closely related to Theorem 1, is the following :

THEOREM 8. Let  $g \in W^{1,1}(\Omega; S^1)$ . Then,

$$(3.5) \quad \inf \left\{ |\varphi_2|_{BV} ; g = e^{i(\varphi_1 + \varphi_2)}, \varphi_1 \in W^{1,1}(\Omega; \mathbb{R}), \varphi_2 \in BV(\Omega; \mathbb{R}) \right\} = 4\pi L(g).$$

The analog of Theorem 8 for the space  $H^{1/2}(\Omega; S^1)$  was established in [BBM2], and the arguments there can be adapted to our case. The proof we present below for “ $\geq$ ” in (3.5) is however different.

PROOF OF THEOREM 8.

PROOF OF “ $\leq$ ” IN (3.5). With  $\varepsilon > 0$  fixed and  $h$  given by Lemma 4, we write  $g = hk$ , where  $k = g\bar{h}$ . By Lemma 1 a), b), we have  $T(k) = 0$ . Therefore, by Theorem 7 we may write  $k = e^{i\varphi}$  for some  $\varphi \in W^{1,1}(\Omega; \mathbb{R})$ . It follows that  $g = e^{i(\varphi + \psi)}$ , with  $\psi$  given by Lemma 4. Inequality “ $\leq$ ” in (3.5) follows from (iii) in Lemma 4.

PROOF OF “ $\geq$ ” IN (3.5). We rely on the following

LEMMA 5. Let  $\varphi \in BV(\Omega; \mathbb{R})$  be such that  $g = e^{i\varphi} \in W^{1,1}(\Omega; S^1)$ . Then

$$|D\varphi|_{\mathcal{M}(\Omega)} = |g|_{W^{1,1}} + |g \wedge \nabla g - D\varphi|_{\mathcal{M}(\Omega)}.$$

PROOF. We split the measure  $D\varphi$  as

$$(3.6) \quad D\varphi = (D\varphi)_{ac} + (D\varphi)_C + (D\varphi)_J,$$

where  $ac, C, J$  stand respectively for the absolutely continuous, Cantor and jump part. Applying Volpert’s chain rule to the composition  $f(\varphi)$ , where  $f(t) = e^{it}$ , we obtain

$$(3.7) \quad Dg = D(f \circ \varphi) = f'(\varphi)(D\varphi)_{ac} + f'(\varphi)(D\varphi)_C + \frac{f(\varphi^+) - f(\varphi^-)}{\varphi^+ - \varphi^-} (D\varphi)_J.$$

The meaning of this identity is the following : recall that, for every function  $\varphi \in BV(\Omega)$ , the Lebesgue set of  $\varphi$  is the complement of a set of  $\sigma$ -finite  $\mathcal{H}^1$ -measure. We may assume that  $\varphi$  coincides with its precise representative on the Lebesgue set of  $\varphi$ . Since  $|(D\varphi)_{ac}|(A) = |(D\varphi)_C|(A) = 0$  whenever  $\mathcal{H}^1(A) < \infty$ , the first two terms in the right-hand side of (3.7) are well-defined (i.e., independently of the choice of the representative of  $\varphi$ ). The last term in (3.7) is to be understood as follows : the jump set  $J$  of  $\varphi$  is a countable union of Lipschitz curves  $\mathcal{C}_i$  and, at

$\mathcal{H}^1$ -a.e. point  $x$  of  $\mathcal{C}_i$ ,  $\mathcal{C}_i$  has a normal vector and  $\varphi$  has one-sided limits at  $x$  along the normal direction ; the quantities  $\varphi^+$  and  $\varphi^-$  stand for the two one-sided limits. See [AFP] for a proof of (3.7).

Since  $g \in W^{1,1}$ , it follows that  $(Dg)_C = (Dg)_J = 0$ , so that  $(D\varphi)_C = 0$  and

$$(3.8) \quad \nabla g = f'(\varphi)(D\varphi)_{ac} = ig(D\varphi)_{ac}.$$

From (3.8), we obtain that

$$(3.9) \quad g \wedge \nabla g = -i\bar{g} \nabla g = (D\varphi)_{ac}.$$

Thus

$$(D\varphi)_J = D\varphi - g \wedge \nabla g.$$

Since the decomposition (3.6) consists of mutually orthogonal measures, we have

$$\begin{aligned} |D\varphi| &= |(D\varphi)_{ac}| + |(D\varphi)_J| = |i\bar{g} \nabla g|_{\mathcal{M}(\Omega)} + |g \wedge \nabla g - D\varphi|_{\mathcal{M}(\Omega)} \\ &= |g|_{W^{1,1}} + |g \wedge \nabla g - D\varphi|_{\mathcal{M}(\Omega)}. \end{aligned}$$

PROOF OF THEOREM 8 COMPLETED. Write  $g = e^{i(\varphi_1 + \varphi_2)}$ , with  $\varphi_1 \in W^{1,1}$ ,  $\varphi_2 \in BV$ . Then, with  $h = ge^{-i\varphi_1}$ , we have  $h = e^{i\varphi_2}$ ,  $h \in W^{1,1}$  and  $T(h) = T(g)$ . Theorem 2 and Lemma 5 yield

$$\begin{aligned} |D\varphi_2|_{\mathcal{M}(\Omega)} &= |h|_{W^{1,1}} + |h \wedge \nabla h - D\varphi_2|_{\mathcal{M}(\Omega)} \\ &\geq |h|_{W^{1,1}} + 2\pi L(h) \geq 4\pi L(h) = 4\pi L(g), \end{aligned}$$

since  $2\pi L(h) \leq |h|_{W^{1,1}}$ , by Lemma 1.

Maps in  $W^{1,1}(\Omega; S^1)$  need not belong to  $H^{1/2}(\Omega; S^1)$ . However, we have the following link between  $W^{1,1}$  and  $H^{1/2}$  :

**THEOREM 9.** *Let  $g \in W^{1,1}(\Omega; S^1)$ . Then there exist  $h \in W^{1,1}(\Omega; S^1) \cap H^{1/2}(\Omega; S^1)$  and  $\varphi \in W^{1,1}(\Omega; \mathbb{R})$  such that  $g = e^{i\varphi}h$ .*

The analog of Theorem 9 for  $H^{1/2}(\Omega; S^1)$  was established in [BBM2].

**PROOF.** We rely on the following additional property of the maps  $h = h_\varepsilon$  constructed in Lemma 4 (see [BBM2]) :

$$(v) \quad h \in H^{1/2}(\Omega; S^1).$$

Pick any of the maps  $h$  as in Lemma 4. Then  $T(g\bar{h}) = 0$ , so that, by Theorem 7, we may write  $g\bar{h} = e^{i\varphi}$  for some  $\varphi \in W^{1,1}(\Omega; \mathbb{R})$ . The decomposition  $g = e^{i\varphi}h$  has all the required properties.

From Theorem 2, we have

**COROLLARY 4.** *Each  $g \in W^{1,1}(\Omega; S^1)$  may be written as  $g = e^{i\varphi}$  for some  $\varphi \in BV(\Omega; \mathbb{R})$ .*

**COROLLARY 5 ([GMS2]).** *For each  $g \in W^{1,1}(\Omega; S^1)$ , one can find a sequence  $(g_n) \subset C^\infty(\Omega; S^1)$ , bounded in  $W^{1,1}$ , such that  $g_n \rightarrow g$  a.e.*

We now establish



PROPOSITION 2. For each  $g \in W^{1,1}(\Omega; S^1)$ , we have

$$E_{\text{rel}}(g) = E(g).$$

PROOF. “ $\leq$ ” Let  $\varphi \in BV(\Omega; \mathbb{R})$  be such that  $g = e^{i\varphi}$ . Let  $(\varphi_n) \subset C^\infty(\Omega; \mathbb{R})$  be such that  $\varphi_n \rightarrow \varphi$  a.e. and  $\int_\Omega |\nabla \varphi_n| \rightarrow \int_\Omega |\nabla \varphi|$ . We define  $g_n = e^{i\varphi_n} \in C^\infty(\Omega; S^1)$ . Then  $g_n \rightarrow g$  a.e. and  $\int_\Omega |\nabla g_n| = \int_\Omega |\nabla \varphi_n| \rightarrow \int_\Omega |\nabla \varphi|$ , so that “ $\leq$ ” follows.

“ $\geq$ ” Let  $(g_n) \subset C^\infty(\Omega; S^1)$  be such that  $g_n \rightarrow g$  a.e. and  $\int_\Omega |\nabla g_n| \rightarrow E_{\text{rel}}(g)$ . Since  $\Omega$  is simply connected, we may write  $g_n = e^{i\varphi_n}$ , with  $\varphi_n \in C^\infty(\Omega; \mathbb{R})$ . Since  $\int_\Omega |\nabla g_n| = \int_\Omega |\nabla \varphi_n|$ , we may find some  $\varphi \in BV(\Omega; \mathbb{R})$  such that, after subtracting an integer multiple of  $2\pi$  from  $\varphi_n$  and up to some subsequence,  $\varphi_n \rightarrow \varphi$  a.e. ; we then conclude that  $|\varphi|_{BV} \leq \liminf_{n \rightarrow \infty} \int_\Omega |\nabla \varphi_n| = E_{\text{rel}}(g)$ .

The relaxed energy is also related to the minimal connection  $L(g)$ . This is the content of Theorem 1 :

$$(3.10) \quad E_{\text{rel}}(g) = \int_\Omega |\nabla g| + 2\pi L(g), \quad \forall g \in W^{1,1}(\Omega; S^1).$$

PROOF OF THEOREM 1. Inequality “ $\leq$ ” in (3.10) was proved in [DH] when  $\Omega$  is a smooth bounded open set in  $\mathbb{R}^2$ , and their argument could be easily adapted to our situation. Here is another way. By Theorem 2, we may find some  $\varphi_1 \in BV$  such that  $g = e^{i\varphi_1}$  and

$$|g \wedge \nabla g - D\varphi_1|_{\mathcal{M}} = 2\pi L(g).$$

Combining with Lemma 5 yields

$$|D\varphi_1|_{\mathcal{M}} = |g|_{W^{1,1}} + |g \wedge \nabla g - D\varphi_1|_{\mathcal{M}} = |g|_{W^{1,1}} + 2\pi L(g).$$

By Proposition 2, we finally get

$$E_{\text{rel}}(g) \leq |D\varphi_1|_{\mathcal{M}} = |g|_{W^{1,1}} + 2\pi L(g).$$

For the reverse inequality “ $\geq$ ” in (3.10), we argue as follows. By Proposition 2, we know that

$$E_{\text{rel}}(g) = |D\varphi_0|_{\mathcal{M}}$$

for some  $\varphi_0 \in BV(\Omega; \mathbb{R})$  such that  $g = e^{i\varphi_0}$ . By Lemma 5 and Theorem 2, we have

$$|D\varphi_0|_{\mathcal{M}} = |g|_{W^{1,1}} + |g \wedge \nabla g - D\varphi_0|_{\mathcal{M}} \geq |g|_{W^{1,1}} + 2\pi L(g).$$

COROLLARY 6. For each  $g \in W^{1,1}(\Omega; S^1)$ , there is some  $\varphi \in BV(\Omega; \mathbb{R})$  such that  $g = e^{i\varphi}$  a.e. and  $|\varphi|_{BV} \leq 2|g|_{W^{1,1}}$ .

Corollary 6 is a special case of a much more general result of Dávila and Ignat [DI] which asserts that the same conclusion holds for maps  $g \in BV(\Omega; S^1)$ .

PROOF. The corollary follows from Proposition 2, Theorem 1 and the inequality  $L(g) \leq \frac{1}{2\pi} |g|_{W^{1,1}}, \forall g \in W^{1,1}(\Omega; S^1)$  (this last estimate is an immediate consequence of the definition (1.9) of  $L(g)$ ).

We now present a coarea type formula proved in [BBM2], which relates the quantity  $\langle T(g), \zeta \rangle$  and the degree of  $g \in H^{1/2}(\Omega; S^1)$  with respect to the level sets of  $\zeta$  (in [BBM2] the result is stated for  $H^{1/2}$ -maps, but it is actually proved for  $W^{1,1}$ ). More precisely, let  $\zeta \in C^\infty(\Omega; \mathbb{R})$ . If  $\lambda \in \mathbb{R}$  is a regular value of  $\zeta$ , let

$$\Gamma_\lambda = \{x \in \Omega; \zeta(x) = \lambda\}.$$

We orient  $\Gamma_\lambda$  such that, for each  $x \in \Gamma_\lambda$ , the basis  $(\tau(x), \nabla \zeta(x), n(x))$  is direct, where  $n(x)$  denotes the outward normal to  $\Omega$  at  $x$ .

Given  $g \in H^{1/2}(\Omega; S^1)$ , the restriction of  $g$  to the level set  $\Gamma_\lambda$  belongs to  $W^{1,1} \subset C^0$  for a.e.  $\lambda$ ; this follows from the coarea formula. Therefore,  $\deg(g; \Gamma_\lambda)$  makes sense for a.e.  $\lambda$ , and  $\Gamma_\lambda$  is a union of simple curves, say  $\Gamma_\lambda = \bigcup \gamma_j$ ; then we set

$$\deg(g; \Gamma_\lambda) = \sum \deg(g; \gamma_j).$$

In [BBM2], the authors proved that for every  $g \in W^{1,1}(\Omega; S^1)$  we have

$$(3.11) \quad \langle T(g), \zeta \rangle = 2\pi \int_{\mathbb{R}} \deg(g; \Gamma_\lambda) d\lambda.$$

We point out that this formula still holds if  $\zeta \in \text{Lip}(\Omega; \mathbb{R})$ . If we assume in addition that  $|\zeta|_{\text{Lip}} \leq 1$ , then a simple corollary of (3.11) is the inequality :

$$(3.12) \quad \left| \int_{\mathbb{R}} \deg(g; \Gamma_\lambda) d\lambda \right| \leq L(g).$$

The main novelty in Theorem 4 is that this estimate remains true if one replaces  $\deg(g; \Gamma_\lambda)$  by its absolute value inside the integral in (3.12).

PROOF OF THEOREM 4. We shall first establish (1.12) for functions  $g$  in the class  $\mathcal{R}$ , and then we argue by density.

Let  $g \in \mathcal{R}$  and  $\zeta \in \text{Lip}(\Omega; \mathbb{R})$ , with  $|\zeta|_{\text{Lip}} \leq 1$ . By Lemma 3, we can find finitely many points  $P_i, N_i$  such that

$$T(g) = 2\pi \sum_{i=1}^k (\delta_{P_i} - \delta_{N_i}).$$

Let  $\lambda \in \mathbb{R}$  be a regular value of  $\zeta$  such that  $\lambda \neq \zeta(P_i), \zeta(N_i)$  for any  $i \in \{1, \dots, k\}$ . Then, we have

$$\deg(g; \Gamma_\lambda) = \text{card} \{i; \zeta(P_i) > \lambda\} - \text{card} \{i; \zeta(N_i) > \lambda\},$$

so that

$$\deg(g; \Gamma_\lambda) = \frac{1}{2} \sum_{i=1}^k \left\{ \text{sgn} [\zeta(P_i) - \lambda] - \text{sgn} [\zeta(N_i) - \lambda] \right\}.$$

After relabeling the negative points  $N_i$  if necessary, we can assume that  $L(g) = \sum_{i=1}^k d(P_i, N_i)$ . Let  $\gamma_i$  be a geodesic arc in  $\Omega$  connecting  $P_i$  to  $N_i$ . Clearly,

$$\frac{1}{2} \left| \operatorname{sgn} [\zeta(P_i) - \zeta] - \operatorname{sgn} [\zeta(N_i) - \zeta] \right| \leq \operatorname{card} \{x \in \gamma_i ; \zeta(x) = \lambda\}.$$

Using the area formula, we obtain

$$\int_{\mathbb{R}} |\deg(g; \Gamma_\lambda)| d\lambda \leq \sum_{i=1}^k \int_{\mathbb{R}} \operatorname{card} \{x \in \gamma_i ; \zeta(x) = \lambda\} d\lambda = \sum_{i=1}^k \int_{\gamma_i} \left| \frac{\partial \zeta}{\partial \tau} \right| \leq L(g).$$

This establishes (1.12) for maps  $g \in \mathcal{R}$ .

For a general  $g \in W^{1,1}(\Omega; S^1)$ , it follows from Lemma 2 that we can find a sequence  $(g_n) \subset \mathcal{R}$  such that  $g_n \rightarrow g$  strongly in  $W^{1,1}$ . In particular, by Lemma 1 d) we have

$$L(g_n) \rightarrow L(g).$$

Passing to a subsequence, we may assume that  $u_{n|\Gamma_\lambda}$  converges to  $u_{|\Gamma_\lambda}$  in  $W^{1,1}$ , and hence uniformly, for a.e.  $\lambda$ . Thus,

$$\deg(g_n; \Gamma_\lambda) \rightarrow \deg(g; \Gamma_\lambda) \quad \text{for a.e. } \lambda.$$

Applying Fatou's lemma, we find

$$\int_{\mathbb{R}} |\deg(g; \Gamma_\lambda)| d\lambda \leq \liminf_{n \rightarrow \infty} \int_{\mathbb{R}} |\deg(g_n; \Gamma_\lambda)| d\lambda \leq \lim_{n \rightarrow \infty} L(g_n) = L(g).$$

This proves (1.12). Note that (1.13) follows immediately from (1.12). In fact, if  $\zeta$  maximizes (1.9), then

$$L(g) = \int_{\mathbb{R}} \deg(g; \Gamma_\lambda) d\lambda \leq \int_{\mathbb{R}} |\deg(g; \Gamma_\lambda)| d\lambda \leq L(g).$$

Therefore,  $\deg(g; \Gamma_\lambda) = |\deg(g; \Gamma_\lambda)| \geq 0$  for a.e.  $\lambda$ .

Given two (infinite) sequences of points  $(P_i)$  and  $(N_i)$  in  $\Omega$  such that

$$(3.13) \quad \sum_{i=1}^{\infty} d(P_i, N_i) < \infty,$$

we may introduce the distribution

$$(3.14) \quad T = 2\pi \sum_{i=1}^{\infty} (\delta_{P_i} - \delta_{N_i}) \quad \text{in } (W^{1,\infty})^*,$$

and the number

$$(3.15) \quad L = \frac{1}{2\pi} \operatorname{Max}_{|\zeta|_{\operatorname{Lip}} \leq 1} \langle T, \zeta \rangle,$$

where the best Lipschitz constant  $|\zeta|_{\operatorname{Lip}}$  refers to the geodesic distance  $d$  in  $\Omega$ . The distribution  $T$  admits many representations, and it has been proved in [BBM2, Lemma 12'] (see also [P]) that

$$L = \operatorname{Inf} \left\{ \sum_j d(\tilde{P}_j, \tilde{N}_j) ; \sum_j (\delta_{\tilde{P}_j} - \delta_{\tilde{N}_j}) = \sum_i (\delta_{P_i} - \delta_{N_i}) \text{ in } (W^{1,\infty})^* \right\}.$$

We also recall that if the sequences  $(P_i), (N_i)$  consist of a **finite** number of points  $P_1, P_2, \dots, P_k, N_1, N_2, \dots, N_k$ , then

$$(3.16) \quad L = \min_{\sigma} \sum_{i=1}^k d(P_i, N_{\sigma(i)}),$$

where the minimum in (3.16) is taken over all permutations of  $\{1, 2, \dots, k\}$ .

In our next result, we are **given** points  $(P_i), (N_i)$  satisfying (3.13), and we ask what is the least “ $W^{1,1}$ -energy” needed to produce singularities of degree +1 at the points  $P_i$ , and degree –1 at the points  $N_i$ ; more precisely, we consider the class of all maps  $g$  in  $W^{1,1}(\Omega; S^1)$  such that

$$(3.17) \quad T(g) = 2\pi \sum_i (\delta_{P_i} - \delta_{N_i}).$$

[We know (see Lemma 16 in [BBM2]) that such class of maps  $g$  is not empty.]

The answer is given by

THEOREM 10. *Let  $P_i, N_i \in \Omega$  be such that  $\sum_i d(P_i, N_i) < \infty$ . Then*

$$(3.18) \quad \inf \left\{ \int_{\Omega} |\nabla g| ; g \in W^{1,1}(\Omega; S^1) \text{ satisfying (3.17)} \right\} = 2\pi L.$$

In particular,

$$(3.19) \quad \begin{aligned} d(P, N) &= \frac{1}{2\pi} \inf \left\{ \int_{\Omega} |\nabla g| ; g \in W^{1,1}(\Omega; S^1), T(g) = 2\pi(\delta_P - \delta_N) \right\} \\ &= \frac{1}{2\pi} \inf \left\{ \int_{\Omega} |\nabla g| \left| \begin{array}{l} g \in W_{\text{loc}}^{1,\infty}(\Omega \setminus \{P, N\}; S^1), \\ \deg(g, P) = +1 \text{ and } \deg(g, N) = -1 \end{array} \right. \right\}. \end{aligned}$$

PROOF. Given  $P_i, N_i$  as above, we fix some  $g_0 \in W^{1,1}(\Omega; S^1)$  such that

$$T(g_0) = T = 2\pi \sum_i (\delta_{P_i} - \delta_{N_i}).$$

By Lemma 4, for each  $\varepsilon > 0$  we may find a map  $h \in W^{1,1}(\Omega; S^1)$  such that  $T(h) = T(g_0) = T$  and

$$\int_{\Omega} |\nabla h| \leq 2\pi L(g_0) + \varepsilon = 2\pi L + \varepsilon,$$

which implies “ $\leq$ ” in (3.18). Inequality “ $\geq$ ” in (3.18) follows from Lemma 1 c).

To prove the second equality in (3.19), it suffices to apply Lemma 15 in [BBM2].

In view of Theorem 10, it is natural to define, for every  $P, N \in \Omega$ ,

$$\rho(P, N) = \frac{1}{2\pi} \inf \left\{ [g]_{W^{1,1}} ; g \in W^{1,1}(\Omega; S^1), T(g) = 2\pi(\delta_P - \delta_N) \right\}.$$

Here,  $[ \ ]_{W^{1,1}}$  is a general given semi-norm on  $W^{1,1}(\Omega; \mathbb{C})$  equivalent to  $| \cdot |_{W^{1,1}}$ . Of course,  $\rho$  depends on the choice of  $[ \ ]_{W^{1,1}}$ . We require from  $[ \ ]_{W^{1,1}}$  some structural properties :

$$(P1) \quad [\alpha g]_{W^{1,1}} = [g]_{W^{1,1}}, \quad \forall g \in W^{1,1}(\Omega; \mathbb{C}), \quad \forall \alpha \in S^1 ;$$

$$(P2) \quad [\bar{g}]_{W^{1,1}} = [g]_{W^{1,1}}, \quad \forall g \in W^{1,1}(\Omega; \mathbb{C});$$

$$(P3) \quad [gh]_{W^{1,1}} \leq \|g\|_{L^\infty} [h]_{W^{1,1}} + \|h\|_{L^\infty} [g]_{W^{1,1}}, \quad \forall g, h \in W^{1,1}(\Omega; \mathbb{C}) \cap L^\infty.$$

It follows easily from (P3) that  $\rho$  is a distance.

EXAMPLE 1. The semi-norm

$$[g]_{W^{1,1}} = \int_{\Omega} |\nabla g| w,$$

where  $w$  is a positive smooth function defined on  $\Omega$ , satisfies (P1), (P2) and (P3).

EXERCISE. Compute  $\rho$  in this case.

One may define a new relaxed energy associated to  $[\cdot]_{W^{1,1}}$  by setting, for every  $g \in W^{1,1}(\Omega; S^1)$ ,

$$\tilde{E}_{\text{rel}}(g) = \text{Inf} \left\{ \liminf_{n \rightarrow \infty} [g_n]_{W^{1,1}} ; g_n \in C^\infty(\Omega; S^1), g_n \rightarrow g \text{ a.e.} \right\},$$

and also

$$\tilde{L}(g) = \frac{1}{2\pi} \text{Sup} \left\{ \langle T(g), \zeta \rangle ; |\zeta(x) - \zeta(y)| \leq \rho(x, y), \quad \forall x, y \in \Omega \right\}.$$

We end this section with the following

OPEN PROBLEM 4. Is it true that, for every  $g \in W^{1,1}(\Omega; S^1)$ ,

$$\tilde{E}_{\text{rel}}(g) = [g]_{W^{1,1}} + 2\pi \tilde{L}(g) ?$$

#### 4. $W^{1,1}(\Omega; S^1)$ and Relaxed Jacobians

Given any function  $g \in W^{1,p}(\Omega; \mathbb{R}^2)$ , with  $p \geq 1$ , a natural concept associated to  $g$  is the following

$$TV_\tau(g) = \text{Inf} \left\{ \liminf_{n \rightarrow \infty} \int_{\Omega} |g_{nx} \wedge g_{ny}| ; g_n \in C^\infty(\Omega; \mathbb{R}^2), g_n \rightarrow g \text{ with respect to } \tau \right\},$$

for some topology  $\tau$ .

There are several topologies  $\tau$  of interest. For example, given  $1 \leq p < 2$  and  $g \in W^{1,p}(\Omega; \mathbb{R}^2)$ , we consider

$$TV_{p,s}(g) = TV \text{ computed with respect to the strong } W^{1,p}\text{-topology,}$$

$$TV_{p,w}(g) = TV \text{ computed with respect to the weak } W^{1,p}\text{-topology.}$$

In the case  $p = 1$ , for every  $g \in W^{1,1}(\Omega; \mathbb{R}^2)$ , we also define

$$TV_{1,w^*}(g) = TV \text{ computed with respect to the weak}^* W^{1,1}\text{-topology.}$$

In what follows, we are going to work with the weak  $W^{1,1}$ -topology and simply write  $TV$  for the total variation  $TV_{1,w}$ . But we will also state results for  $TV_{p,w}$  and  $TV_{p,s}$  for every  $1 \leq p < 2$ , and for  $TV_{1,w^*}$ ; see Remarks 11 and 13 below.

Let us start with a simple

PROPOSITION 3. Assume  $g \in W^{1,1}(\Omega; \mathbb{R}^2) \cap L^\infty$  and  $TV(g) < \infty$ . Then  $\text{Det}(\nabla g) \in \mathcal{M}(\Omega)$  and

$$(4.1) \quad |\text{Det}(\nabla g)|_{\mathcal{M}} \leq TV(g).$$

Recall that  $\text{Det}(\nabla g)$  is the distributional Jacobian of  $g$  and that  $T(g) = 2\text{Det}(\nabla g)$  (see (1.8)).

PROOF. Given  $\varepsilon > 0$ , there exists a sequence  $(g_n) \subset C^\infty(\Omega; \mathbb{R}^2)$  such that

$$(4.2) \quad g_n \rightharpoonup g \quad \text{weakly in } W^{1,1},$$

$$(4.3) \quad \int_{\Omega} |g_{nx} \wedge g_{ny}| \leq TV(g) + \varepsilon, \quad \forall n.$$

Let  $M = \|g\|_{L^\infty}$  and let  $P : \mathbb{R}^2 \rightarrow B_M$  be the orthogonal projection onto  $B_M$ . Set  $\tilde{g}_n = Pg_n$ . It is easy to see (using Dunford-Pettis' theorem) that  $\tilde{g}_n$  satisfies (4.2) and (4.3). Moreover, by a standard regularization argument, we may assume that the functions  $\tilde{g}_n$  are smooth. In what follows, we will denote  $\tilde{g}_n$  by  $g_n$ , and so we also have

$$(4.4) \quad \|g_n\|_{L^\infty} \leq \|g\|_{L^\infty}.$$

We claim that

$$g_n \wedge \nabla g_n \rightharpoonup g \wedge \nabla g \quad \text{weakly in } L^1.$$

In fact, it suffices to notice that

$$\int_{\Omega} |g_n - g| |\nabla g_n| \rightarrow 0,$$

which follows from Egorov's and Dunford-Pettis' theorems. Hence

$$g_{nx} \wedge g_{ny} = \frac{1}{2} \left[ (g_n \wedge g_{ny})_x + (g_{nx} \wedge g_n)_y \right]$$

converges to  $\text{Det}(\nabla g)$  in the sense of distributions. We deduce from (4.3) that  $\text{Det}(\nabla g) \in \mathcal{M}(\Omega)$  and that (4.1) holds.

REMARK 11. The conclusion of Proposition 3 is no longer true if we compute the total variation of  $g$  with respect to the weak\*-topology of  $W^{1,1}$ ,  $TV_{1,w^*}(g)$ . In fact, assume  $g \in W^{1,1}(\Omega; S^1)$ . It follows from Corollary 5 that there exists  $(g_n) \subset C^\infty(\Omega; S^1)$  such that  $g_n \xrightarrow{*} g$  in  $W^{1,1}$ . Since  $g_{nx} \wedge g_{ny} = 0$  for each  $n$ , we conclude that  $TV_{1,w^*}(g) = 0$ . On the other hand, for some maps  $g$  in  $W^{1,1}(\Omega; S^1)$  we have  $\text{Det}(\nabla g) = \frac{1}{2}T(g) \neq 0$ ; see Theorem 11 below. A fortiori, the conclusion of Proposition 3 fails if  $\tau$  is the strong  $L^1$ -topology (or the convergence pointwise a.e.).

In general, the inequality in (4.1) is strict. This fact was pointed out by an example in [M]; see also [GMS1]. There, the map  $g \in W^{1,1}(\Omega; \mathbb{R}^2)$  takes its values in an eight-shaped curve and satisfies  $\text{Deg}(\nabla g) = 0$  in the sense of distributions, while  $TV(g) > 0$ . It is therefore remarkable that equality in (4.1) holds whenever the map  $g$  takes its values in  $S^1$ . This is the content of our next result, which is stronger than Theorem 5 :

THEOREM 11. Assume  $g \in W^{1,p}(\Omega; S^1)$ ,  $1 \leq p < 2$ , is such that  $\text{Det}(\nabla g) \in \mathcal{M}$ . Then there exists a sequence  $(g_n) \subset C^\infty(\Omega; \mathbb{R}^2)$  such that

$$g_n \rightarrow g \quad \text{strongly in } W^{1,p}$$

and

$$TV(g) = \lim_{n \rightarrow \infty} \int_{\Omega} |g_{nx} \wedge g_{ny}| = |\text{Det}(\nabla g)|_{\mathcal{M}}.$$

Moreover, in this case,

$$\text{Det}(\nabla g) = \pi \sum_{\text{finite}} (\delta_{P_i} - \delta_{N_i}).$$

In particular,  $\frac{1}{\pi} |\text{Det}(\nabla g)|_{\mathcal{M}}$  equals the number of topological singularities of  $g$ , taking into account their multiplicities.

REMARK 12. Theorem 11 extends and clarifies some of the results of [FFM]. Although in their case  $\Omega$  is a smooth bounded domain in  $\mathbb{R}^2$ , the above results, stated for  $\Omega = \partial G$ , adapt easily to bounded domains ; see Section 5.2 below.

PROOF OF THEOREM 11. The fact that

$$\text{Det}(\nabla g) \text{ measure} \implies \text{Det}(\nabla g) = \pi \sum_{\text{finite}} (\delta_{P_i} - \delta_{N_i})$$

is a consequence of Theorem 3 and a result of Smets [S] ; see also [P]. Let us assume, for simplicity, that  $\text{Det}(\nabla g) = \pi(\delta_P - \delta_N)$  ; the argument below still applies to the general case. Suppose, in addition, that  $\Omega$  is flat and horizontal near  $P$  and  $N$ . We start by defining, near  $P$  and  $N$ , a map  $h$  by setting

$$h(x) = \left( \frac{x - P}{|x - P|} \right)^{\pm 1} \quad \text{near } P, \quad h(x) = \left( \frac{x - N}{|x - N|} \right)^{\mp 1} \quad \text{near } N.$$

For appropriate choices of  $\pm$ , we have  $\deg(h, P) = +1$  and  $\deg(h, N) = -1$ . Then  $h$  extends to a map in  $C^\infty(\Omega \setminus \{P, N\}; S^1) \cap W^{1,p}(\Omega; S^1)$ ,  $1 \leq p < 2$ . Set

$$h_n(x) = \begin{cases} h(x), & \text{if } d(x, P) \geq 1/n \text{ and } d(x, N) \geq 1/n \\ n d(x, P) h(x), & \text{if } d(x, P) < 1/n \\ n d(x, N) h(x), & \text{if } d(x, N) < 1/n \end{cases}.$$

Clearly,  $h_n \rightarrow h$  in  $W^{1,p}$  and

$$\int_{\Omega} |h_{nx} \wedge h_{ny}| = 2\pi.$$

Let  $k = g\bar{h}$ . Since  $T(k) = 0$ , we may write  $k = e^{i\varphi}$  for some  $\varphi \in W^{1,1}$  (see Theorem 7). Moreover,  $g, h \in W^{1,p} \cap L^\infty$  implies  $k \in W^{1,p}$ . From this, we easily conclude that  $\varphi \in W^{1,p}$ .

Let  $(\varphi_n) \subset C^\infty(\Omega; \mathbb{R})$  be such that  $\varphi_n \rightarrow \varphi$  in  $W^{1,p}$ . Since a point has zero  $W^{1,2}$ -capacity, we may also assume that  $\varphi_n(x) = 0$  if  $d(x, P) \leq 1/n$  or  $d(x, N) \leq 1/n$ . Clearly,  $g_n = h_n e^{i\varphi_n}$  belongs to  $C^\infty(\Omega; \mathbb{R}^2)$  and  $g_n \rightarrow g$  in  $W^{1,p}$ . Since  $g_{nx} \wedge g_{ny} = h_{nx} \wedge h_{ny}$ , we obtain

$$\int_{\Omega} |g_{nx} \wedge g_{ny}| = 2\pi = |\text{Det}(\nabla g)|_{\mathcal{M}},$$

which shows that

$$TV(g) \leq |\text{Det}(\nabla g)|_{\mathcal{M}}.$$

The reverse inequality follows from Proposition 3.

REMARK 13. Theorem 11 and Proposition 3 imply that, for every  $p \in [1, 2)$ ,

$$TV_{p,w}(g) = TV_{p,s}(g) = TV(g), \quad \forall g \in W^{1,p}(\Omega; S^1).$$

We do not know whether the same holds without assuming that  $g$  is  $S^1$ -valued :

OPEN PROBLEM 5. Let  $g \in W^{1,1}(\Omega; \mathbb{R}^2)$ . Is it true that

$$TV_{1,w}(g) = TV_{1,s}(g) ?$$

Assume in addition that  $g \in W^{1,p}(\Omega; \mathbb{R}^2)$  for some  $1 < p < 2$ . Does one have

$$TV_{1,w}(g) = TV_{1,s}(g) = TV_{p,w}(g) = TV_{p,s}(g) ?$$

REMARK 14. The analog of Remark 13 for  $p \geq 2$  is true, but uninteresting. Indeed, every  $g \in W^{1,p}(\Omega; S^1)$ , with  $p \geq 2$ , is a strong limit in  $W^{1,p}$  of a sequence  $(g_n)$  in  $C^\infty(\Omega; S^1)$  (see, e.g., [BZ]). Thus,  $TV(g) = 0$  and  $TV_{p,w}(g) = TV_{p,s}(g) = 0$  for every  $g \in W^{1,p}(\Omega; S^1)$ .

## 5. Further Directions and Open Problems

### 5.1. Some examples of BV-functions with jumps.

It is natural to try to extend the above (or part of the above) results to the class of maps  $g$  in  $BV(\Omega; S^1)$ , where  $\Omega = \partial G$ ,  $G \subset \mathbb{R}^3$  as in the Introduction. Every  $g \in BV(\Omega; S^1)$  admits a lifting  $\varphi \in BV(\Omega; \mathbb{R})$  (see [GMS2] and also [DI]). Hence, we may define the two quantities  $E(g)$  and  $E_{\text{rel}}(g)$  as in (1.3) and (1.4), and we always have  $E(g) = E_{\text{rel}}(g)$ . The difficulty starts when we try to find a simple formula for  $E$  as in Theorem 1. To illustrate the heart of the difficulty, it is worthwhile to start, as in Section 2, with the simpler case  $BV(S^1; S^1)$ .

Clearly, every  $g \in BV(S^1; S^1)$  admits a lifting  $\varphi \in BV(S^1; \mathbb{R})$ . Hence we may define the two quantities  $E(g)$  and  $E_{\text{rel}}(g)$  as in (2.1) and (2.2), and we always have  $E(g) = E_{\text{rel}}(g)$ . It is natural to ask for an explicit formula for  $E(g)$ . For  $S^1$ -valued maps, there are two natural ways of defining the BV-norm of  $g$  :

$$|g|_{BV} = \int_{S^1} |\dot{g}|$$

and

$$|g|_{BVS^1} = \int_{S^1} (|\dot{g}_{ac}| + |\dot{g}_C|) + \sum_n d_{S^1}(g(a_n+), g(a_n-)),$$

where  $d_{S^1}$  denotes the geodesic distance on  $S^1$ . It is easy to see that

$$\begin{aligned} |g|_{BV} &= \inf \left\{ \liminf_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n| ; g_n \in C^\infty(S^1; \mathbb{R}^2) \text{ and } g_n \rightarrow g \text{ a.e.} \right\}, \\ |g|_{BVS^1} &= \inf \left\{ \liminf_{n \rightarrow \infty} \int_{S^1} |\dot{g}_n| ; g_n \in C^\infty(S^1; S^1) \text{ and } g_n \rightarrow g \text{ a.e.} \right\}. \end{aligned}$$

We also have, for every  $g \in BV(S^1; S^1)$ ,

$$E(g) \geq |g|_{BVS^1} \geq |g|_{BV}.$$



Moreover  $E(g) - |g|_{BV} = 0 \iff g \in C^0$  and  $\deg g = 0$ . R. Ignat [I1] has recently obtained an explicit formula for  $E(g) - |g|_{BV S^1}$  involving the jumps of  $g \in BV$  and a kind of degree in the sense of Definition 2 below.

An interesting estimate for  $E(g)$  when  $g \in BV$  is the following

**THEOREM 12.** *For every  $g \in BV(S^1; S^1)$ , we have*

$$(5.1) \quad E(g) \leq 2|g|_{BV}.$$

The above result is a variant of a nice theorem of [DI] which asserts that if  $u \in BV(U; S^1)$ , where  $U$  is a domain in  $\mathbb{R}^N$ , then  $u = e^{i\varphi}$  for some  $\varphi \in BV(U; \mathbb{R})$  with  $|\varphi|_{BV} \leq 2|g|_{BV}$ . The proof of Theorem 12 is a straightforward adaptation of the ingenious method in [DI]. Surprisingly, the natural proof of (5.1) — via the explicit formula [I1] for  $E(g)$  — turns out to be quite involved (see [I1]) !

As we have already pointed out in Remark 3, the constant 2 in Theorem 12 is optimal in  $W^{1,1}$ . A less intuitive fact is that the constant 2 is also optimal for piecewise constant functions. Here is an example :

**EXAMPLE 2.** Fix an integer  $k \geq 1$  and set

$$g(\theta) = e^{i2\pi j/k} \quad \text{for } \frac{2\pi j}{k} < \theta < \frac{2\pi(j+1)}{k}, \quad j = 0, 1, \dots, k-1.$$

Then

$$|g|_{BV} = 2k \sin \frac{\pi}{k} \quad \text{and} \quad E(g) = 4\pi - \frac{4\pi}{k}.$$

The inequality

$$E(g) \leq 4\pi - \frac{4\pi}{k}$$

is straightforward ; however, the reverse inequality is more delicate and relies on the following lemma whose proof is left to the reader

**LEMMA 6.** *For every choice of  $\alpha_1, \dots, \alpha_k \in \mathbb{Z}$  with  $\sum_j \alpha_j = 1$ , we have*

$$\sum_{j=1}^k \left| \frac{1}{k} - \alpha_j \right| \geq 2 - \frac{2}{k}.$$

A striking difference with formula (2.3) is that neither  $\frac{1}{2\pi}(E(g) - |g|_{BV})$  nor  $\frac{1}{2\pi}(E(g) - |g|_{BV S^1})$  is necessarily an integer. Here is an example :

**EXAMPLE 3.** Let

$$g(\theta) = \begin{cases} 1, & \text{for } 0 < \theta < 2\pi/3 \\ e^{i2\pi/3}, & \text{for } 2\pi/3 < \theta < 4\pi/3 \\ e^{i4\pi/3}, & \text{for } 4\pi/3 < \theta < 2\pi \end{cases}.$$

An easy computation shows that

$$E(g) = \frac{8\pi}{3}, \quad |g|_{BV} = 3\sqrt{3} \quad \text{and} \quad |g|_{BV S^1} = 2\pi.$$

In fact, it is hopeless (?) to have an analog of Theorem 6 since there is no reasonable notion of degree for maps in  $BV(S^1; S^1)$ . This is a consequence of

THEOREM 13. *The space  $BV(S^1; S^1)$  is path-connected.*

PROOF. Let  $\varphi \in BV(S^1; \mathbb{R})$  be such that  $g = e^{i\varphi}$ . We claim that the map

$$(5.2) \quad F : t \in [0, 1] \longmapsto e^{it\varphi} \in BV(S^1; S^1)$$

is strongly continuous ; this implies that every map in  $BV(S^1; S^1)$  can be connected to 1.

The continuity of  $F$  in (5.2) follows from

LEMMA 7. *Let  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  be such that :*

(i)  *$t \mapsto f(t, x)$  is continuous,  $\forall x \in \mathbb{R}$  ;*

(ii)  *$f_x$  is continuous and bounded.*

*Then, for every  $\varphi \in BV(\Omega; \mathbb{R})$ , the map*

$$t \mapsto f(t, \varphi) \in BV(\Omega; \mathbb{R})$$

*is continuous.*

PROOF. It suffices to establish continuity at  $t = 0$ . Set  $F(t) = f(t, \varphi)$ . For every  $t$ , we have  $F(t) \in BV(\Omega; \mathbb{R})$ . Let  $C > 0$  be such that  $|f_x(t, x)| \leq C, \forall t, \forall x$ .

Since

$$|f(t, x)| \leq |f(t, 0)| + C|x|,$$

we find that  $F(t) \rightarrow F(0)$  in  $L^1(\Omega)$  as  $t \rightarrow 0$ . Therefore, it suffices to prove that  $DF(t) \rightarrow DF(0)$  in  $\mathcal{M}(\Omega)$ . By the chain rule, we have

$$DF(t) = f_x(t, \varphi(x))(D\varphi)_d + \frac{f(t, \varphi^+) - f(t, \varphi^-)}{\varphi^+ - \varphi^-}(D\varphi)_J.$$

Thus,  $|DF(t)| \leq C|D\varphi|, \forall t$ . On the other hand,  $f_x(t, \varphi(x)) \rightarrow f_x(0, \varphi(x))$  a.e. with respect to  $(D\varphi)_d$ . Moreover,

$$\frac{f(t, \varphi^+) - f(t, \varphi^-)}{\varphi^+ - \varphi^-} \rightarrow \frac{f(0, \varphi^+) - f(0, \varphi^-)}{\varphi^+ - \varphi^-}$$

a.e. with respect to  $(D\varphi)_J$ . Therefore,

$$|D\varphi(t) - D\varphi(0)|_{\mathcal{M}} \rightarrow 0 \quad \text{as } t \rightarrow 0,$$

by dominated convergence.

There is however an interesting concept of multivalued degree which associates to every  $g \in BV(S^1; S^1)$  a bounded subset of  $\mathbb{Z}$ . The starting point is the following

DEFINITION 1. Let  $g \in BV(I; S^1)$ , where  $I$  is an interval. A canonical lifting of  $g$  is any map  $\varphi \in BV(I; \mathbb{R})$  such that

$$g = e^{i\varphi} \quad \text{and} \quad E(g) = |D\varphi|_{\mathcal{M}(I)}.$$

The structure of canonical liftings is quite rigid. In fact, the following holds :

THEOREM 14. *If  $\varphi_1$  and  $\varphi_2$  are two canonical liftings of the same map  $g$ , then*

$$\dot{\varphi}_1 - \dot{\varphi}_2 = \pi \sum_{\text{finite}} \pm \delta_{a_i}.$$

*Moreover, if  $g \in BV \cap C^0$ , then the canonical lifting is uniquely determined modulo  $2\pi$  and coincides with a continuous lifting.*

Using canonical liftings, we may define a multivalued degree for all maps in  $BV(S^1; S^1)$  :

DEFINITION 2. Let  $g \in BV(S^1; S^1)$ . Assume  $g$  is continuous at  $z \in S^1$ . We let

$$\text{Deg}_1 g = \left\{ \frac{\varphi(z-) - \varphi(z+)}{2\pi} ; \varphi \text{ is a canonical lifting of } g \text{ in } S^1 \setminus \{z\} \right\}.$$

Since, clearly, for each canonical lifting we have

$$\left| \frac{\varphi(z-) - \varphi(z+)}{2\pi} \right| \leq \frac{1}{2\pi} \int_{S^1} |\dot{\varphi}|,$$

the set  $\text{Deg}_1 g$  is bounded. It follows from the second part of Theorem 14 that  $\text{Deg}_1 g = \{\deg g\}$  if  $g \in BV \cap C^0$ . As another example, let

$$g(\theta) = \begin{cases} 1, & \text{if } 0 < \theta < \pi, \\ -1, & \text{if } \pi < \theta < 2\pi. \end{cases}$$

Then it is easy to see that  $\text{Deg}_1 g = \{-1, 0, 1\}$ .

We collect below some properties of  $\text{Deg}_1$  :

THEOREM 15. *Assume  $g \in BV(S^1; S^1)$ . Then,*

- (a)  $\text{Deg}_1 g$  is a finite set of successive integers ;
- (b)  $\text{Deg}_1 g$  is independent of the choice of  $z$ .

Another possible definition of a multivalued degree is the following

DEFINITION 3. Given  $g \in BV(S^1; S^1)$ , we set

$$\text{Deg}_2 g = \left\{ d \in \mathbb{Z} \left| \begin{array}{l} \exists (g_n) \subset C^\infty(S^1; S^1) \text{ such that } g_n \rightarrow g \text{ a.e.,} \\ \int |\dot{g}_n| \rightarrow \int |\dot{g}|, \text{ and } \deg g_n = d \end{array} \right. \right\}.$$

Actually, both definitions yield the same degree :

THEOREM 16. *We have*

$$\text{Deg} := \text{Deg}_1 = \text{Deg}_2.$$

*Moreover, the function  $g \mapsto \text{Deg } g$  is continuous in the multivalued sense.*

A final interesting property of  $\text{Deg}$  is that it is “almost always” single-valued :

THEOREM 17. *Let*

$$\mathcal{U} = \left\{ g \in BV(S^1; S^1) ; \text{Deg } g \text{ is single-valued} \right\}.$$

*Then  $\mathcal{U}$  is a dense open subset of  $BV(S^1; S^1)$ .*

We omit the proofs of Theorems 14–17 and we refer the reader to [BMP] for details.

## 5.2. Some analogs of Theorems 1, 3, and 5 for bounded domains in $\mathbb{R}^2$ .

Most of the above results admit counterparts in the case where the 2-d manifold  $\Omega$  is replaced by a bounded, simply connected domain in  $\mathbb{R}^2$  with smooth boundary. To illustrate this, we state the analogs of the main results ; namely, Theorems 1, 3 and 5.

Let  $g \in W^{1,1}(\Omega; S^1)$  and consider the distribution

$$\langle T(g), \zeta \rangle = \int_{\Omega} (g \wedge \nabla g) \cdot \nabla^{\perp} \zeta, \quad \forall \zeta \in W_0^{1,\infty}(\Omega; S^1).$$

A natural (semi-) metric on  $\overline{\Omega}$  is given by

$$d_{\Omega}(x, y) = \text{Min} \{ |x - y|, d(x, \partial\Omega) + d(y, \partial\Omega) \}.$$

Note that, if  $\zeta \in W_0^{1,\infty}(\Omega)$ , then

$$|\zeta(x) - \zeta(y)| \leq \|\nabla \zeta\|_{L^{\infty}} d_{\Omega}(x, y), \quad \forall x, y \in \overline{\Omega}.$$

We also set

$$L(g) = \frac{1}{2\pi} \max_{\substack{\zeta \in W_0^{\infty}(\Omega) \\ \|\nabla \zeta\|_{L^{\infty}} \leq 1}} \langle T(g), \zeta \rangle.$$

We then have the following

THEOREM 3'. *There exist sequences  $(P_i), (N_i)$  in  $\overline{\Omega}$  such that  $\sum_i d_{\Omega}(P_i, N_i) < \infty$  and*

$$T(g) = 2\pi \sum_i (\delta_{P_i} - \delta_{N_i}) \quad \text{in } [W_0^{1,\infty}(\Omega)]^*.$$

Moreover,

$$L(g) = \inf \sum_i d_{\Omega}(P_i, N_i),$$

where the infimum is taken over all possible representations of  $T(g)$ .

With  $E(g)$  defined exactly as in (1.3), and  $E_{\text{rel}}(g)$  as in (1.4) (where  $\Omega$  is replaced by  $\overline{\Omega}$ ), we have

THEOREM 1'. *For every  $g \in W^{1,1}(\Omega; S^1)$ ,*

$$E(g) = E_{\text{rel}}(g) = \int_{\Omega} |\nabla g| + 2\pi L(g).$$

Similarly, defining  $TV(g)$  as in (1.14) (with  $\Omega$  replaced by  $\overline{\Omega}$ ), we also have

THEOREM 5'. Let  $g \in W^{1,1}(\Omega; S^1)$ . Then

$$TV(g) < \infty \iff \text{Det}(\nabla g) \in \mathcal{M}(\Omega) = [C_0(\overline{\Omega})]^*.$$

In this case, there exist a finite number of points  $a_i \in \Omega$  and integers  $d_i \in \mathbb{Z} \setminus \{0\}$  such that

$$\text{Det}(\nabla g) = \pi \sum_{i=1}^k d_i \delta_{a_i} \quad \text{in } [W_0^{1,\infty}(\Omega)]^*$$

and

$$TV(g) = |\text{Det}(\nabla g)|_{\mathcal{M}} = \pi \sum_{i=1}^k |d_i|.$$

Theorems 1', 3' and 5' are established in [BMP].

### 5.3. Extensions of Theorems 1, 2, and 3 to higher dimensions.

Let  $G \subset \mathbb{R}^{N+1}$ ,  $N \geq 2$ , be a smooth bounded domain and  $\Omega = \partial G$ . Given  $u \in W^{1,N-1}(\Omega; S^{N-1})$ , we define the  $L^1$ -vector field

$$D(u) = (D_1, \dots, D_N),$$

where

$$D_j = \det(u_{x_1}, \dots, u_{x_{j-1}}, u, u_{x_{j+1}}, \dots, u_{x_N})$$

and  $\det$  refers to the determinant of an  $N \times N$  matrix ( $u$  is viewed as a vector in  $\mathbb{R}^N$ ).

We then associate to the map  $u$  the distribution

$$T(u) = \text{div } D(u) = N \text{Det}(\nabla u).$$

Set

$$L(u) = \frac{1}{\sigma_N \|\nabla \zeta\|_{L^\infty} \leq 1} \langle T(u), \zeta \rangle,$$

where  $\sigma_N = |S^{N-1}|$ . The relaxed energy is defined by

$$E_{\text{rel}}(u) = \inf \left\{ \liminf_{n \rightarrow \infty} \int_{\Omega} |\nabla u_n|^{N-1} ; u_n \in C^\infty(\Omega; S^{N-1}) \text{ and } u_n \rightarrow u \text{ a.e.} \right\},$$

where  $|\cdot|$  denotes the Euclidean norm.

We then have the following analogs of Theorems 1–3 :

THEOREM 1''. For every  $u \in W^{1,N-1}(\Omega; S^{N-1})$ ,

$$E_{\text{rel}}(u) = \int_{\Omega} |\nabla u|^{N-1} + (N-1)^{\frac{N-1}{2}} \sigma_N L(u).$$

THEOREM 2''. For every  $u \in W^{1,N-1}(\Omega; S^{N-1})$ ,

$$\inf_{v \in C^\infty(\Omega; S^{N-1})} \int_{\Omega} |D(u) - D(v)| = \sigma_N L(u).$$

**THEOREM 3''.** *For every  $u \in W^{1,N-1}(\Omega; S^{N-1})$ , there exist sequences  $(P_i)$ ,  $(N_i)$  in  $\Omega$  such that  $\sum_i |P_i - N_i| < \infty$  and*

$$T(u) = \sigma_N \sum_i (\delta_{P_i} - \delta_{N_i}).$$

For the proofs, we refer to [BMP] ; see also Section VIII in [BCL].

#### 5.4. Extension of $TV$ to higher dimensions and to fractional Sobolev spaces.

Let  $\Omega$  and  $u$  be as in Section 5.3. Set, for  $u \in W^{1,N-1}(\Omega; S^{N-1})$ ,

$$(5.3) \quad TV(u) = \inf \left\{ \liminf_{n \rightarrow \infty} \int_{\Omega} |\det \nabla u_n| ; u_n \in C^\infty(\Omega; \mathbb{R}^N) \text{ and } u_n \rightarrow u \text{ in } W^{1,N-1} \right\}.$$

The analog of Theorem 5 becomes

**THEOREM 5''.** *Let  $u \in W^{1,N-1}(\Omega; S^{N-1})$ . Then,*

$$TV(u) < \infty \iff \text{Det}(\nabla u) \text{ is a measure}$$

*In this case, we have*

$$\text{Det}(\nabla u) = \frac{\sigma_N}{N} \sum_{\text{finite}} (\delta_{P_i} - \delta_{N_i})$$

*and*

$$TV(u) = |\text{Det}(\nabla u)|_{\mathcal{M}}.$$

**REMARK 15.** In the definition (5.3), one cannot replace the strong convergence in  $W^{1,N-1}$  by weak convergence when  $N \geq 3$ . Indeed, every  $u \in W^{1,N-1}(\Omega; S^{N-1})$  is a weak limit in  $W^{1,N-1}$  of a sequence  $(u_n) \subset C^\infty(\Omega; S^{N-1})$ , when  $N \geq 3$ . However, one can replace in (5.3) the strong convergence of  $u_n$  in  $W^{1,N-1}$  by the weak convergence of  $u_n$  in  $W^{1,N-1}$  **and** the equi-integrability of  $|\nabla u_n|^{N-1}$  (see [BMP]).

We may even go one step further. Let  $N-1 < p < \infty$ . In [BBM3] we have defined the distribution  $\text{Det}(\nabla u)$  for maps  $u \in W^{(N-1)/p,p}(\Omega; S^{N-1})$ . By analogy with the above definitions of  $TV$ , set

$$TV(u) = \inf \left\{ \liminf_{n \rightarrow \infty} \int_{\Omega} |\det \nabla u_n| ; u_n \in C^\infty(\Omega; \mathbb{R}^N), u_n \rightarrow u \text{ in } W^{(N-1)/p,p} \right\}.$$

We have the following

**THEOREM 5'''.** *Let  $N-1 < p \leq N$  and  $u \in W^{(N-1)/p,p}(\Omega; S^{N-1})$ . Then,*

$$TV(u) < \infty \iff \text{Det}(\nabla u) \text{ is a measure}$$

*and the conclusions of Theorem 5'' hold.*

We refer to [BMP] for the proofs of Theorems 5'' and 5'''.

**OPEN PROBLEM 6.** Does the assertion of Theorem 5''' hold when  $p > N$  ?

Another topic to explore is the following:

OPEN DIRECTION 7. Very likely, all the results of Sections 3 and 4 extend to maps  $g \in W^{1,1}(S^N; S^1)$ ,  $N \geq 3$ . For example, when  $N = 3$ , point singularities are replaced by curves ; the analog of  $L(g)$  is the area of a minimal surface spanned by these curves and the analog of  $TV(g)$  is their total length. Some useful tools may be found in [ABO].

### 5.5. Extension of Theorem 3 to maps with values into a curve.

Let  $G \subset \mathbb{R}^3$  be a smooth bounded domain with  $\Omega = \partial G$  simply connected. Assume  $\Gamma \subset \mathbb{R}^2$  is a smooth curve, with finitely many self-intersections. We then define

$$W^{1,1}(\Omega; \Gamma) = \left\{ g \in W^{1,1}(\Omega; \mathbb{R}^2) ; g(x) \in \Gamma \text{ for a.e. } x \in \Omega \right\}.$$

Given a map  $g \in W^{1,1}(\Omega; \Gamma)$ , we define the distribution  $T(g)$  exactly as in (1.8). We denote by  $A_1, \dots, A_k$  the bounded connected components of  $\mathbb{R}^2 \setminus \Gamma$ . We then have (see [BMP]) :

THEOREM 3'''''. *Given  $g \in W^{1,1}(\Omega; \Gamma)$ , there exist sequences  $(P_{i,j})$ ,  $(N_{i,j})$  in  $\Omega$ , with  $j = 1, \dots, k$ , such that  $\sum_{i,j} |A_j| d(P_{i,j}, N_{i,j}) < \infty$  and*

$$(5.4) \quad T(g) = 2 \sum_{j=1}^k |A_j| \sum_i (\delta_{P_{i,j}} - \delta_{N_{i,j}}).$$

There are many open directions here :

- 1) Does Theorem 3'''' remain valid for any smooth (or even rectifiable) curve, without assuming that the number of self-intersections of  $\Gamma$  is finite ?
- 2) What are the counterparts of Theorems 1, 2, and 5 in this general setting ?

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