

Asymptotics for the minimization of a Ginzburg-Landau functional

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Abstract. Let $\Omega \subset \mathbb{R}^2$ be a smooth bounded simply connected domain. Consider the functional

$$E_\varepsilon(u) = \frac{1}{2} \int_{\Omega} |\nabla u|^2 + \frac{1}{4\varepsilon^2} \int_{\Omega} (|u|^2 - 1)^2$$

on the class $H_g^1 = \{u \in H^1(\Omega; \mathbb{C}); u = g \text{ on } \partial\Omega\}$ where $g : \partial\Omega \rightarrow \mathbb{C}$ is a prescribed smooth map with $|g| = 1$ on $\partial\Omega$ and $\deg(g, \partial\Omega) = 0$. Let u_ε be a minimizer for E_ε on H_g^1 . We prove that $u_\varepsilon \rightarrow u_0$ in $C^{1,\alpha}(\overline{\Omega})$ as $\varepsilon \rightarrow 0$, where u_0 is identified. Moreover $\|u_\varepsilon - u_0\|_{L^\infty} \leq C\varepsilon^2$.

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1 Introduction

Let $\Omega \subset \mathbb{R}^2$ be a smooth bounded simply connected domain. Consider the functional

$$E_\varepsilon(u) = \frac{1}{2} \int_{\Omega} |\nabla u|^2 + \frac{1}{4\varepsilon^2} \int_{\Omega} (|u|^2 - 1)^2 \quad (1)$$

which is defined for maps $u \in H^1(\Omega; \mathbb{C})$.

Functionals of this type are related to models introduced by Ginzburg-Landau in the study of phase transition problems occurring e.g., in superconductivity, superfluidity and XY-magnetism (see for example [5, 7, 9]). The order parameter has two degrees of freedom – so it may be described by a complex number u .

We are concerned with the minimization of the functional E_ε for a given boundary condition. More precisely let

$$H_g^1 = \{u \in H^1(\Omega; \mathbb{C}); u = g \text{ on } \partial\Omega\},$$

where $g : \partial\Omega \rightarrow \mathbb{C}$ is a prescribed smooth map with $|g(x)| = 1 \ \forall x \in \partial\Omega$.

It is easy to see that

$$\text{Min}_{u \in H_g^1} E_\varepsilon(u) \quad (2)$$

is achieved by some u_ε which satisfies the Euler equation

$$\begin{cases} -\Delta u_\varepsilon = \frac{1}{\varepsilon^2} u_\varepsilon (1 - |u_\varepsilon|^2) & \text{on } \Omega, \\ u_\varepsilon = g & \text{on } \partial\Omega. \end{cases} \quad (3)$$

The maximum principle implies (see Proposition 2 below) that any solution u_ε of (3) satisfies $|u_\varepsilon| \leq 1$ on Ω .

Our purpose is to study the behaviour of u_ε as $\varepsilon \rightarrow 0$ and we shall always assume that $0 < \varepsilon < 1$. It turns out that the value $d = \deg(g, \partial\Omega)$ (i.e., the Brouwer degree or winding number of g considered as a map from $\partial\Omega$ into S^1) plays a crucial role in the asymptotic analysis of u_ε .

When $d = 0$ we shall prove here that $u_\varepsilon \rightarrow u_0$ in $C^1(\bar{\Omega})$ (and even in $C_{\text{loc}}^k(\Omega) \forall k$) where u_0 is identified.

When $d \neq 0$ the situation is much more delicate since $\int_\Omega |\nabla u_\varepsilon|^2 \rightarrow +\infty$. In this case one proves that a subsequence u_{ε_n} converges uniformly on compact sets of $\Omega \setminus S$ to some limit u_0 ; the singular set S consists of exactly $|d|$ points in Ω . If for instance d is positive at these points vortices of degree one appear (see [1, 2]).

In this paper we concentrate on the first case $d = 0$; the case $d \neq 0$ is studied in [2]. However, the results of the present paper – and especially the ones in Sect. 3 – are very useful for the analysis of the case $d \neq 0$ (locally) away from the singularities.

In what follows we assume

$$\deg(g, \partial\Omega) = 0. \quad (4)$$

Since (4) holds there are smooth extensions of g from $\bar{\Omega}$ into S^1 . Let

$$H_g^1(\Omega; S^1) = \{u \in H^1(\Omega; S^1); u = g \text{ on } \partial\Omega\}.$$

Consider the minimization problem

$$\text{Min}_{u \in H_g^1(\Omega; S^1)} \int_\Omega |\nabla u|^2. \quad (5)$$

Let u_0 be a minimizer for (5). By a classical result of Morrey [6] (see also [4]) one knows that u_0 is smooth and satisfies

$$\begin{cases} -\Delta u_0 = u_0 |\nabla u_0|^2 & \text{on } \Omega, \\ |u_0| = 1 & \text{on } \Omega, \\ u_0 = g & \text{on } \partial\Omega. \end{cases} \quad (6)$$

There is a simple relation between problem (6) and harmonic functions which implies in particular that (6) has a unique solution. First, note that since Ω is simply connected and $\deg(g, \partial\Omega) = 0$ there is a smooth function $\varphi_0 : \partial\Omega \rightarrow \mathbb{R}$ such that

$$e^{i\varphi_0} = g \quad \text{on } \partial\Omega. \quad (7)$$

We also denote by φ_0 its harmonic extension in Ω .

Lemma 1. *We have $u_0 = e^{i\varphi_0}$ in Ω .*

Proof. Any map $u \in H_g^1(\Omega; S^1)$ may be written as

$$u = e^{i\varphi} \quad (8)$$

for some function $\varphi \in H^1(\Omega; \mathbb{R})$ [here we use again assumption (4) and the fact that Ω is simply connected]. We have

$$|\nabla u|^2 = |\nabla \varphi|^2 \quad (9)$$

and

$$\begin{cases} \Delta u = e^{i\varphi}(i\Delta\varphi - |\nabla\varphi|^2) \\ = u(i\Delta\varphi - |\nabla u|^2). \end{cases}$$

Thus, the equation $-\Delta u = u|\nabla u|^2$ is equivalent to $\Delta\varphi = 0$.

Our main result is the following:

Theorem 1. *We have, as $\varepsilon \rightarrow 0$,*

$$u_\varepsilon \rightarrow u_0 \quad \text{in } C^{1,\alpha}(\overline{\Omega}) \quad \forall \alpha < 1, \quad (10)$$

$$\|\Delta u_\varepsilon\|_{L^\infty(\Omega)} \leq C, \quad (11)$$

$$\|u_\varepsilon - u_0\|_{L^\infty(\Omega)} \leq C\varepsilon^2, \quad (12)$$

and, for every compact subset $K \subset \Omega$ and every integer k ,

$$\|u_\varepsilon - u_0\|_{C^k(K)} \leq C_{K,k}\varepsilon^2, \quad (13)$$

$$\left\| \frac{1 - |u_\varepsilon|^2}{\varepsilon^2} - |\nabla u_0|^2 \right\|_{C^k(K)} \leq C_{K,k}\varepsilon^2. \quad (14)$$

Remark 1. In general u_ε does not converge to u_0 in $C^2(\overline{\Omega})$. Indeed, on $\partial\Omega$, $|u_\varepsilon| = |g| = 1$ and, by (2), $\Delta u_\varepsilon = 0$; on the other hand $\Delta u_0 = -u_0|\nabla u_0|^2$. Similarly (14) does not hold up to the boundary.

Remark 2. Combining (11), (12) and the interpolation inequality of Lemma A.2 (in the Appendix) we see that

$$\|\nabla(u_\varepsilon - u_0)\|_{L^\infty(\Omega)} \leq C\varepsilon. \quad (15)$$

Section 2 is devoted to the proof of Theorem 1. In Sect. 3 we study a situation where g is not a fixed map but also depends on ε . More precisely we are given a family $g_\varepsilon : \partial\Omega \rightarrow \mathbb{C}$ such that $g_\varepsilon \rightarrow g$ uniformly on $\partial\Omega$ with $|g| = 1$ on $\partial\Omega$ and $\deg(g, \partial\Omega) = 0$. Note that here we do not assume that $|g_\varepsilon| = 1$. Let u_ε be a minimizer of

$$\min_{H_{g_\varepsilon}^1} E_\varepsilon.$$

Under appropriate assumptions on g_ε we prove (see Theorem 2 in Sect. 3) that $u_\varepsilon \rightarrow u_0$ in $H^1(\Omega)$ and in $C_{\text{loc}}^k(\Omega)$. As was already mentioned this result plays an important role in [2].

2 Proof of Theorem 1

It is useful to start with some simple facts.

Proposition 1. *Let u_ε be a minimizer for (2). We have, as $\varepsilon \rightarrow 0$,*

$$u_\varepsilon \rightarrow u_0 \quad \text{strongly in } H^1.$$

Proof. Since $u_0 \in H_g^1(\Omega; S^1)$ we have

$$\frac{1}{2} \int |\nabla u_\varepsilon|^2 + \frac{1}{4\varepsilon^2} \int (|u_\varepsilon|^2 - 1)^2 \leq \frac{1}{2} \int |\nabla u_0|^2. \quad (16)$$

Hence (u_ε) is bounded in H^1 and thus

$$u_{\varepsilon_n} \rightharpoonup u \quad \text{weakly in } H^1.$$

By (16) and lower semi-continuity we see that

$$\int |\nabla u|^2 \leq \int |\nabla u_0|^2. \quad (17)$$

On the other hand, by (16), we also have

$$\int (|u_\varepsilon|^2 - 1)^2 \leq C\varepsilon^2$$

and therefore $|u| = 1$ a.e. Hence $u \in H_g^1(\Omega; S^1)$ and in view of (17), u is a minimizer for (5), i.e., $u = u_0$. The strong H^1 convergence follows from the fact that

$$\int |\nabla u_\varepsilon|^2 \leq \int |\nabla u_0|^2.$$

The convergence of the full sequence is a consequence of a uniqueness of u_0 .

Proposition 2. *Let u_ε be a solution of (3). Then $|u_\varepsilon| \leq 1$ on Ω .*

Proof. We have

$$\left\{ \begin{array}{l} \frac{1}{2} \Delta |u_\varepsilon|^2 = u_\varepsilon \cdot \Delta u_\varepsilon + |\nabla u_\varepsilon|^2 \\ \quad = \frac{1}{\varepsilon^2} |u_\varepsilon|^2 (|u_\varepsilon|^2 - 1) + |\nabla u_\varepsilon|^2 \\ \quad \geq \frac{1}{\varepsilon^2} |u_\varepsilon|^2 (|u_\varepsilon|^2 - 1). \end{array} \right.$$

Hence the function $v = |u_\varepsilon|^2 - 1$ satisfies

$$\left\{ \begin{array}{ll} -\Delta v + a(x)v \leq 0 & \text{on } \Omega \\ v = 0 & \text{on } \partial\Omega \end{array} \right.$$

with $a(x) = \frac{2}{\varepsilon^2} |u_\varepsilon|^2 \geq 0$. By the maximum principle we conclude that $v \leq 0$ on Ω .

Proposition 3. *Let u_ε be a minimizer for (2). Then*

$$\int_{\partial\Omega} \left| \frac{\partial u_\varepsilon}{\partial n} \right|^2 \leq C \quad (18)$$

where C depends only on g and Ω .

Proof. Let $V = (V_1, V_2)$ be a smooth vector-field on Ω such that $V = n =$ outward normal on $\partial\Omega$. We multiply (3) by $V \cdot \nabla u_\varepsilon = V_1 \frac{\partial u_\varepsilon}{\partial x_1} + V_2 \frac{\partial u_\varepsilon}{\partial x_2}$. For simplicity we drop ε . Note that

$$\int_{\Omega} \Delta u (V \cdot \nabla u) = \int_{\partial\Omega} \left| \frac{\partial u}{\partial n} \right|^2 - \int_{\Omega} \sum_{i=1}^2 u_{x_i} (V \cdot \nabla u)_{x_i}.$$

But since $\int_{\Omega} |\nabla u_\varepsilon|^2$ remains bounded as $\varepsilon \rightarrow 0$ we have

$$\begin{aligned} \int_{\Omega} u_{x_i} (V_1 u_{x_1} + V_2 u_{x_2})_{x_i} &= \int_{\Omega} u_{x_i} (V_1 u_{x_1 x_i} + V_2 u_{x_2 x_i}) + O(1) \\ &= \frac{1}{2} \int_{\Omega} V_1 (|u_{x_i}|^2)_{x_1} + V_2 (|u_{x_i}|^2)_{x_2} + O(1) \\ &= \frac{1}{2} \int_{\partial\Omega} (u_{x_i})^2 + O(1). \end{aligned}$$

Thus

$$\int_{\Omega} \Delta u (V \cdot \nabla u) = \int_{\partial\Omega} \left| \frac{\partial u}{\partial n} \right|^2 - \frac{1}{2} \int_{\partial\Omega} |\nabla u|^2 + O(1).$$

On the other hand

$$\begin{aligned} \frac{1}{\varepsilon^2} \int_{\Omega} u(1 - |u|^2)(V \cdot \nabla u) &= \frac{1}{2\varepsilon^2} \int_{\Omega} (1 - |u|^2) \sum_{i=1}^2 V_i (|u|^2)_{x_i} \\ &= \frac{1}{4\varepsilon^2} \int_{\Omega} (1 - |u|^2)^2 \operatorname{div} V = O(1) \quad \text{by (16)}. \end{aligned}$$

We conclude that

$$\int_{\partial\Omega} \left| \frac{\partial u}{\partial n} \right|^2 - \frac{1}{2} |\nabla u|^2 = \frac{1}{2} \int_{\partial\Omega} \left| \frac{\partial u}{\partial n} \right|^2 - \left| \frac{\partial u}{\partial \tau} \right|^2 = O(1)$$

where $\frac{\partial}{\partial \tau}$ denotes the tangential derivative. Since $\frac{\partial u}{\partial \tau} = \frac{\partial g}{\partial \tau}$ we see that the estimate in Proposition 3 holds. It is convenient to split the proof of Theorem 1 into 2 parts:

- A. Interior estimates
- B. Estimates up to the boundary.

A. Interior estimates

Step A.1: $|\nabla u_\varepsilon| \leq \frac{C_K}{\varepsilon}$ on every compact subset $K \subset \Omega$.

This follows directly from Lemma A.1 in the Appendix and Proposition 2.

Step A.2: $|u_\varepsilon| \rightarrow 1$ uniformly on every compact subset $K \subset \Omega$.

In view of (16) and the fact that $u_\varepsilon \rightarrow u_0$ in H^1 we see that

$$\frac{1}{\varepsilon^2} \int_{\Omega} (|u_\varepsilon|^2 - 1)^2 \rightarrow 0. \quad (19)$$

Let $x_0 \in K$ and set $\alpha = |u_\varepsilon(x_0)|$. By Step A.1 we have

$$|u_\varepsilon(x)| \leq \alpha + \frac{C}{\varepsilon} \varrho \quad \text{if } |x - x_0| < \varrho < \delta = \text{dist}(K, \partial\Omega).$$

Thus

$$1 - |u_\varepsilon(x)| \geq 1 - \alpha - \frac{C}{\varepsilon} \varrho \quad \text{on } B(x_0, \varrho)$$

and

$$(1 - |u_\varepsilon(x)|)^2 \geq \left(1 - \alpha - \frac{C}{\varepsilon} \varrho\right)^2 \quad \text{provided } \frac{C\varrho}{\varepsilon} \leq 1 - \alpha.$$

Since

$$(1 - |u_\varepsilon(x)|^2)^2 \geq (1 - |u_\varepsilon(x)|)^2$$

we obtain, by (19),

$$\varepsilon^2 o(1) = \int_{B(x_0, \varrho)} (1 - |u_\varepsilon|^2)^2 \geq \pi \varrho^2 \left(1 - \alpha - \frac{C\varrho}{\varepsilon}\right)^2.$$

We choose

$$\varrho = \frac{\varepsilon(1 - \alpha)}{2C} < \delta \quad (\text{for } \varepsilon \text{ small}).$$

Hence

$$\varepsilon^2 o(1) \geq \pi \frac{\varepsilon^2(1 - \alpha)^2}{4C^2} \frac{(1 - \alpha)^2}{4}$$

and therefore

$$(1 - \alpha)^4 \leq o(1)$$

i.e., $|u_\varepsilon| \rightarrow 1$ uniformly on compact subsets of Ω .

Step A.3: Set

$$A_\varepsilon = \frac{1}{2} |\nabla u_\varepsilon|^2.$$

Then we have

$$-\Delta A_\varepsilon + \frac{1}{2} |D^2 u_\varepsilon|^2 \leq \frac{4}{|u_\varepsilon|^2} A_\varepsilon^2 \quad \text{on } \Omega, \quad (20)$$

where $|D^2 u_\varepsilon|^2 = \sum_{i,j=1}^2 \left(\frac{\partial^2 u_\varepsilon}{\partial x_i \partial x_j} \right)^2$.

Proof. We have, dropping ε ,

$$\Delta A = |D^2 u|^2 + \sum_{i=1,2} u_{x_i} \Delta(u_{x_i}) \quad (21)$$

and then using the Euler equation (3) we find

$$\Delta u_{x_i} = u_{x_i} \frac{(|u|^2 - 1)}{\varepsilon^2} + \frac{2}{\varepsilon^2} u(u \cdot u_{x_i}).$$

Inserting this into (21) we see that

$$\Delta A = |D^2 u|^2 + |\nabla u|^2 \frac{(|u|^2 - 1)}{\varepsilon^2} + \frac{2}{\varepsilon^2} (u \cdot \nabla u)^2.$$

Thus

$$\Delta A \geq |D^2 u|^2 + |\nabla u|^2 \frac{|\Delta u|}{|u|}.$$

Since $|\Delta u| \leq \sqrt{2}|D^2 u|$ we have

$$-\Delta A + |D^2 u|^2 \leq 2\sqrt{2}A \frac{|D^2 u|}{|u|} \leq \frac{1}{2}|D^2 u|^2 + 4 \frac{A^2}{|u|^2}.$$

Step A.4: We have

$$(u_\varepsilon) \text{ is bounded in } H_{\text{loc}}^2 \quad (22)$$

and

$$(\nabla u_\varepsilon) \text{ is bounded in } L_{\text{loc}}^\infty. \quad (23)$$

Given $\delta > 0$ (to be determined later) we may choose R sufficiently small so that

$$\int_{B(x_0, R)} |\nabla u_\varepsilon|^2 < \delta \quad \forall x_0 \in \Omega, \quad \forall \varepsilon \quad (24)$$

[such an integral is understood on $\Omega \cap B(x_0, R)$]; this can be achieved since $u_\varepsilon \rightarrow u_0$ strongly in $H^1(\Omega)$ by Proposition 1.

Fix a point $x_0 \in \Omega$ and set $d = \text{dist}(x_0, \partial\Omega)$. Let ζ be a smooth function with support in $B(x_0, r)$ with $r = \min(d/2, R)$ such that $\zeta = 1$ on $B(x_0, r/2)$. Multiplying (20) by ζ^2 we obtain

$$\frac{1}{2} \int_{\Omega} \zeta^2 |D^2 u_\varepsilon|^2 \leq 4 \int_{\Omega} \frac{\zeta^2}{|u_\varepsilon|^2} A_\varepsilon^2 + \int_{\Omega} (\Delta \zeta^2) A_\varepsilon. \quad (25)$$

Since $|u_\varepsilon| \rightarrow 1$ uniformly on compact subsets of Ω (Step A.2) we have, for ε sufficiently small,

$$|u_\varepsilon| \geq \frac{1}{2} \quad \text{on } B(x_0, r). \quad (26)$$

Hence we have (because u_ε is bounded in H^1)

$$\int_{\Omega} \zeta^2 |D^2 u_\varepsilon|^2 \leq C \int_{\Omega} \zeta^2 |\nabla u_\varepsilon|^4 + C. \quad (27)$$

Recall that $W^{1,1}(\Omega) \subset L^2(\Omega)$ and that (see e.g., [8])

$$\left(\int_{\Omega} \varphi^2 \right)^{1/2} \leq C \int_{\Omega} |\nabla \varphi| + |\varphi| \quad \forall \varphi \in W^{1,1}(\Omega). \quad (28)$$

Applying (28) with $\varphi = \zeta |\nabla u_{\varepsilon}|^2$ we are led to

$$\int_{\Omega} \zeta^2 |\nabla u_{\varepsilon}|^4 \leq C \left(\int_{\Omega} \zeta |\nabla u_{\varepsilon}| |D^2 u_{\varepsilon}| \right)^2 + C$$

(we use once more the fact that u_{ε} is bounded in H^1). By Cauchy-Schwarz and (24) we obtain

$$\int_{\Omega} \zeta^2 |\nabla u_{\varepsilon}|^4 \leq C \delta \int_{\Omega} \zeta^2 |D^2 u_{\varepsilon}|^2 + C.$$

Hence if we choose δ sufficiently small we may absorb $\int_{\Omega} \zeta^2 |\nabla u_{\varepsilon}|^4$ into the left-hand side of (27). We conclude that

$$\int_{\Omega} \zeta^2 |D^2 u_{\varepsilon}|^2 \leq C.$$

This proves (22).

From (22) and the Sobolev embedding we see that (∇u_{ε}) is bounded in $L^q_{\text{loc}}(\Omega)$ for every $q < \infty$. Going back to (20) we deduce that

$$-\Delta A_{\varepsilon} \leq f_{\varepsilon}$$

with f_{ε} bounded in $L^q_{\text{loc}}(\Omega)$ for every $q < \infty$. This implies by standard elliptic theory that (A_{ε}) is bounded in $L^{\infty}_{\text{loc}}(\Omega)$.

Step A.5: We have

$$\frac{1}{\varepsilon^2} (1 - |u_{\varepsilon}|) \quad \text{is bounded in} \quad L^{\infty}_{\text{loc}} \quad (29)$$

and

$$\Delta u_{\varepsilon} \quad \text{is bounded in} \quad L^{\infty}_{\text{loc}}. \quad (30)$$

We shall often use the following

Lemma 2. *Let $\omega(r)$ be the solution of*

$$\begin{cases} -\varepsilon^2 \Delta \omega + \omega = 0 & \text{on } B(0, R), \\ \omega = 1 & \text{on } \partial B(0, R). \end{cases}$$

Then, for $\varepsilon < \frac{3}{4} R$,

$$\omega(r) \leq e^{\frac{1}{4\varepsilon R}(r^2 - R^2)} \quad \text{on } B(0, R).$$

Proof of Lemma 2. An easy computation shows that the function $e^{\frac{1}{4\varepsilon R}(r^2 - R^2)}$ is a supersolution.

Proof of Step A.5. We have

$$\frac{1}{2}\Delta|u_\varepsilon|^2 = \frac{1}{\varepsilon^2}|u_\varepsilon|^2(|u_\varepsilon|^2 - 1) + |\nabla u_\varepsilon|^2. \quad (31)$$

Let K be a compact subset of Ω and let $d = \text{dist}(K, \partial\Omega)$. Assume $x_0 = 0 \in K$. For ε sufficiently small we have

$$|u_\varepsilon| \geq 1/\sqrt{2} \quad \text{on} \quad B(0, d/2).$$

Thus we have, by Step A.4 and (31),

$$\Delta|u_\varepsilon|^2 + \frac{1}{\varepsilon^2}(1 - |u_\varepsilon|^2) \leq C \quad \text{on} \quad B(0, d/2).$$

Setting $\varphi = 1 - |u_\varepsilon|^2$ we find

$$-\varepsilon^2\Delta\varphi + \varphi \leq \varepsilon^2C \quad \text{on} \quad B(0, d/2).$$

Applying Lemma 2 and the maximum principle we obtain that

$$\varphi \leq \varepsilon^2C + e^{\frac{1}{2\varepsilon d}(|x|^2 - \frac{d^2}{4})}.$$

In particular

$$\frac{1}{\varepsilon^2}\varphi(0) \leq C + \frac{1}{\varepsilon^2}e^{-\frac{d}{8\varepsilon}}. \quad (32)$$

This proves (29) since the right-hand side in (32) remains bounded as $\varepsilon \rightarrow 0$. Finally, we use equation (3) and (29) to derive (30).

B. Estimates up to the boundary

Step B.1: Let u_ε be a solution of (3) then

$$|\nabla u_\varepsilon| \leq \frac{C}{\varepsilon} \quad \text{on} \quad \Omega, \quad (33)$$

where C depends only on g and Ω .

Proof. Write

$$u_\varepsilon = v_\varepsilon + w,$$

where v_ε is the solution of

$$\begin{cases} -\Delta v_\varepsilon = \frac{1}{\varepsilon^2}u_\varepsilon(1 - |u_\varepsilon|^2) & \text{on} \quad \Omega, \\ v_\varepsilon = 0 & \text{on} \quad \partial\Omega \end{cases}$$

and w is the solution of

$$\begin{cases} -\Delta w = 0 & \text{on} \quad \Omega, \\ w = g & \text{on} \quad \partial\Omega. \end{cases}$$

It follows from Lemma A.2 in the Appendix and Proposition 2 that

$$\|\nabla v_\varepsilon\|_{L^\infty} \leq \frac{C}{\varepsilon}\|v_\varepsilon\|_{L^\infty} \leq \frac{C}{\varepsilon}(\|u_\varepsilon\|_{L^\infty} + \|w\|_{L^\infty}) \leq \frac{C}{\varepsilon}.$$

Therefore

$$\|\nabla u_\varepsilon\|_{L^\infty} \leq \|\nabla v_\varepsilon\|_{L^\infty} + \|\nabla w\|_{L^\infty} \leq \frac{C}{\varepsilon} + C.$$

This yields (33).

Step B.2: $|u_\varepsilon| \rightarrow 1$ uniformly on $\overline{\Omega}$.

The proof is exactly the same as the proof of Step A.2. We allow here x_0 to be in $\overline{\Omega}$ and we use the fact that

$$\text{meas}(\Omega \cap B(x_0, \varrho)) \geq C\varrho^2,$$

where C depends only on the smoothness of $\partial\Omega$.

Step B.3: u_ε remains bounded in $H^2(\Omega)$.

We already know (Step A.4) that (u_ε) is bounded in $H_{\text{loc}}^2(\Omega)$; thus we have only to establish H^2 estimates near the boundary. Let $x_0 \in \partial\Omega$. We drop the subscript ε .

For simplicity we first describe the proof when $\partial\Omega$ is flat near x_0 , i.e.,

$$\Omega \cap B(x_0, d) = \{(x_1, x_2); x_2 > 0\} \cap B(x_0, d)$$

for some positive d . Let ζ be a smooth function with support in $B(x_0, r)$ with $r = \min(d, R)$ such that $\zeta = 1$ on $B(x_0, r/2)$. Multiplying (20) by ζ^2 we obtain

$$\frac{1}{2} \int_{\Omega} \zeta^2 |D^2 u|^2 \leq 4 \int_{\Omega} \frac{\zeta^2}{|u|^2} A^2 + \int_{\Omega} \zeta^2 \Delta A. \quad (34)$$

By Step B.2 $|u_\varepsilon| \rightarrow 1$ uniformly on $\overline{\Omega}$ and therefore we have, for ε sufficiently small,

$$|u_\varepsilon| \geq \frac{1}{2} \quad \text{on } \Omega. \quad (35)$$

From (34) and (35) we obtain

$$\frac{1}{2} \int_{\Omega} \zeta^2 |D^2 u|^2 \leq 4 \int_{\Omega} \zeta^2 |\nabla u|^4 - \int_{[x_2=0]} \zeta^2 \frac{\partial A}{\partial x_2} + \int_{[x_2=0]} \frac{\partial \zeta^2}{\partial x_2} A + \int_{\Omega} (\Delta \zeta^2) A. \quad (36)$$

The last two integrals on the right-hand side of (36) are bounded by Proposition 3 and by (16).

We claim that

$$\int_{[x_2=0]} \zeta^2 \frac{\partial A}{\partial x_2} \quad \text{remains bounded.} \quad (37)$$

Proof of (37). We have

$$\begin{aligned} \frac{\partial}{\partial x_2} A &= \frac{1}{2} \frac{\partial}{\partial x_2} |\nabla u|^2 = (u_{x_1} u_{x_1 x_2} + u_{x_2} u_{x_2 x_2}) \\ &= (u_{x_1} u_{x_1 x_2} - u_{x_2} u_{x_1 x_1}) \end{aligned}$$

since $\Delta u = \frac{1}{\varepsilon^2} u(|u|^2 - 1) = 0$ on $\partial\Omega$. Hence

$$\begin{aligned} \int_{[x_2=0]} \zeta^2 \frac{\partial A}{\partial x_2} &= \int_{[x_2=0]} \zeta^2 (g_{x_1} u_{x_1 x_2} - g_{x_1 x_1} u_{x_2}) \\ &= -2 \int_{[x_2=0]} u_{x_2} (\zeta^2 g_{x_1 x_1} + \zeta \zeta_{x_1} g_{x_1}). \end{aligned}$$

This remains bounded by Proposition 3.

Thus we have shown that

$$\frac{1}{2} \int_{\Omega} \zeta^2 |D^2 u|^2 \leq 4 \int_{\Omega} \zeta^2 |\nabla u|^4 + C.$$

Using the same argument as in Step A.4 we conclude that

$$\int_{\Omega} \zeta^2 |D^2 u|^2 \leq C.$$

In the general case where Ω is not flat near $x_0 = 0$ we introduce local coordinates which straighten the boundary. In the new coordinates the function u becomes \tilde{u} defined in

$$U = \{(x_1, x_2); x_2 > 0\} \cap B(0, d).$$

Choosing the change of coordinates $(x_1, x_2) \rightarrow (x_1, x_2 + h(x_1))$ where the graph of h represents locally $\partial\Omega$, equation (3) becomes

$$\begin{cases} -L\tilde{u} = \frac{1}{\varepsilon^2} \tilde{u}(1 - |\tilde{u}|^2) & \text{on } U, \\ \tilde{u} = \tilde{g} & \text{on } [x_2 = 0] \cap \partial U, \end{cases} \quad (38)$$

where $L = \sum_{i,j=1}^2 \frac{\partial}{\partial x_j} \left(a_{ij} \frac{\partial}{\partial x_i} \right)$ and

$$a_{11} = 1, \quad a_{12} = a_{21} = h', \quad a_{22} = 1 + h'^2.$$

We use again

$$A = \frac{1}{2} |\nabla \tilde{u}|^2 = \frac{1}{2} \sum_{k=1}^2 (\tilde{u}_{x_k})^2,$$

where ∇ refers to the gradient in the *new* (x_1, x_2) coordinates. We now go over the same computation as in Step A.3, but for the operator L . For simplicity we omit the summation symbol and we write u instead of \tilde{u} .

$$LA = a_{ij} u_{x_i x_k} x_{x_j x_k} + u_{x_k} \cdot L(u_{x_k}). \quad (39)$$

Differentiating the first equation in (38) with respect to x_k we have

$$-L(u_{x_k}) = (a_{ij x_k} u_{x_i})_{x_j} + \frac{1}{\varepsilon^2} u_{x_k} (1 - |u|^2) - \frac{2}{\varepsilon^2} u (u \cdot u_{x_k}). \quad (40)$$

Inserting this expression into (39) we obtain

$$LA \geq \alpha |D^2 u|^2 + \frac{1}{\varepsilon^2} |\nabla u|^2 (|u|^2 - 1) - C |\nabla u| (|\nabla u| + |D^2 u|), \quad (41)$$

where α denotes the ellipticity constant and C depends on $\|a_{ij}\|_{C^2}$. Therefore

$$\begin{aligned} LA &\geq \frac{\alpha}{2} |D^2 u|^2 + \frac{1}{\varepsilon^2} |\nabla u|^2 (|u|^2 - 1) - C |\nabla u|^2 \\ &\geq \frac{\alpha}{2} |D^2 u|^2 - |\nabla u|^2 \frac{|Lu|}{|u|} - C |\nabla u|^2. \end{aligned}$$

Since

$$|Lu| \leq C(|D^2 u| + |\nabla u|) \quad (42)$$

we have

$$\begin{aligned} -LA + \frac{\alpha}{2} |D^2 u|^2 &\leq \frac{C}{|u|} |\nabla u|^2 (|D^2 u| + |\nabla u|) + C |\nabla u|^2 \\ &\leq \frac{\alpha}{4} |D^2 u|^2 + C |\nabla u|^4 + C \end{aligned}$$

by Step B.2 and Young's inequality.

Hence we find

$$-LA + \frac{\alpha}{4} |D^2 u|^2 \leq C |\nabla u|^4 + C. \quad (43)$$

Therefore we are led to

$$\frac{\alpha}{4} \int_U \zeta^2 |D^2 u|^2 \leq C \int_U \zeta^2 |\nabla u|^4 + \int_U \zeta^2 LA. \quad (44)$$

Finally we claim

$$\left| \int_U \zeta^2 LA \right| \leq C. \quad (45)$$

Proof of (45). We have

$$\begin{aligned} \int_U \zeta^2 LA &= \int_U AL(\zeta^2) + 2 \int_{[x_2=0]} (\zeta^2)_{x_1} a_{12} A + \int_{[x_2=0]} \zeta^2 (a_{12})_{x_1} A \\ &\quad - \int_{[x_2=0]} a_{22} \zeta^2 (A)_{x_2} + \int_{[x_2=0]} a_{22} (\zeta^2)_{x_2} A. \end{aligned} \quad (46)$$

All the integrals on the right-hand side of (46) are clearly bounded (since u is bounded in $H^1(\Omega)$ and by Proposition 3) except for $\int_{[x_2=0]} a_{22} \zeta^2 (A)_{x_2}$. To estimate this integral

we write

$$(A)_{x_2} = u_{x_1} u_{x_1 x_2} + u_{x_2} u_{x_2 x_2}.$$

On $[x_2 = 0]$ we have, by (38), $Lu = 0$ so that

$$(a_{22} u_{x_2})_{x_2} = -(a_{11} u_{x_1})_{x_1} - (a_{12} u_{x_1})_{x_2} - (a_{21} u_{x_2})_{x_1}.$$

Using the fact that $u_{x_1 x_2} u_{x_2} = \frac{1}{2}(u_{x_2}^2)_{x_1}$ we see by a simple computation that $\int_{[x_2=0]} a_{22} \zeta^2 A_{x_2}$ remains bounded (we make several integrations by parts in x_1 and use Proposition 3). This completes the proof of (45).

Finally we go back to (44) which yields, using (45),

$$\frac{\alpha}{4} \int_U \zeta^2 |D^2 u|^2 \leq C \int_U \zeta^2 |\nabla u|^4 + C.$$

As in the proof of Step A.4 we conclude that

$$\int_U \zeta^2 |D^2 u|^2 \leq C.$$

Step B.4: Proof of (11).

Proof. By Step B.2 we may assume that

$$|u_\varepsilon| \geq \frac{1}{2} \quad \text{on } \Omega.$$

Letting

$$\psi = \frac{1}{\varepsilon^2} (1 - |u_\varepsilon|^2)$$

we have, as in the proof of Step A.5,

$$-2\varepsilon^2 \Delta \psi + \psi \leq 4|\nabla u_\varepsilon|^2 \quad \text{on } C. \quad (47)$$

Recall (by Step B.3 and Sobolev embedding) that (∇u_ε) is bounded in $L^r(\Omega)$ for every $r < \infty$. Multiplying (47) by ψ^{q-1} we see that, since $\psi = 0$ on $\partial\Omega$,

$$\int_\Omega \psi^q \leq 4 \int_\Omega |\nabla u_\varepsilon|^2 \psi^{q-1}.$$

This yields

$$\|\psi\|_{L^q} \leq 4 \|\nabla u_\varepsilon\|_{L^{2q}}^2 \leq C_q.$$

In view of (3) we conclude that

$$\|\Delta u_\varepsilon\|_{L^q} \leq C_q \quad \text{for every } q < \infty.$$

In particular (choosing any $q > 2$) we see that

$$\|\nabla u_\varepsilon\|_{L^\infty} \leq C. \quad (48)$$

Going back to (47) and using the maximum principle we find

$$\|\psi\|_{L^\infty} \leq 4 \|\nabla u_\varepsilon\|_{L^\infty}^2 \leq C.$$

This yields (11) since $-\Delta u_\varepsilon = u_\varepsilon \psi$.

Step B.5: Proof of (12).

Since $|u_\varepsilon| \geq 1/2$ on Ω (for ε sufficiently small) we may write

$$u_\varepsilon = \varrho_\varepsilon e^{i\varphi_\varepsilon} \quad \text{with } \varrho_\varepsilon = |u_\varepsilon|. \quad (49)$$

Equation (3) becomes

$$\varrho_\varepsilon \Delta \varphi_\varepsilon + 2 \nabla \varrho_\varepsilon \nabla \varphi_\varepsilon = 0 \quad (50)$$

i.e.,

$$\operatorname{div}(\varrho_\varepsilon^2 \nabla \varphi_\varepsilon) = 0 \quad (51)$$

and

$$-\Delta \varrho_\varepsilon + \varrho_\varepsilon |\nabla \varphi_\varepsilon|^2 = \frac{1}{\varepsilon^2} \varrho_\varepsilon (1 - \varrho_\varepsilon^2). \quad (52)$$

We already know by Step B.4 that

$$\|\varrho_\varepsilon - 1\|_{L^\infty(\Omega)} \leq C\varepsilon^2. \quad (53)$$

Write (51) as

$$\begin{cases} -\Delta(\varphi_\varepsilon - \varphi_0) = \operatorname{div}((\varrho_\varepsilon^2 - 1)\nabla \varphi_\varepsilon) & \text{on } \Omega, \\ \varphi_\varepsilon - \varphi_0 = 0 & \text{on } \partial\Omega, \end{cases} \quad (54)$$

(recall that $\Delta \varphi_0 = 0$).

It follows from the elliptic estimates that

$$\|\varphi_\varepsilon - \varphi_0\|_{L^\infty} \leq C\|(\varrho_\varepsilon^2 - 1)\nabla \varphi_\varepsilon\|_{L^\infty} \leq C\varepsilon^2 \quad (55)$$

by (53) and (48). Putting together (53) and (55) we obtain (12).

Step B.6: For every integer k we have

$$\|\nabla \varphi_\varepsilon\|_{C_{\text{loc}}^k} \leq C \quad (56)$$

and

$$\left\| \frac{1 - \varrho_\varepsilon}{\varepsilon^2} \right\|_{C_{\text{loc}}^k} \leq C \quad (57)$$

The proof is by induction on k . When $k = 0$ these estimates have already been established (even globally on Ω) – see (48) and (53).

Set

$$X_\varepsilon = \frac{1}{\varepsilon^2} (1 - \varrho_\varepsilon). \quad (58)$$

We write (52) as

$$-\Delta \varrho_\varepsilon = -\varrho_\varepsilon |\nabla \varphi_\varepsilon|^2 + \varrho_\varepsilon (1 + \varrho_\varepsilon) X_\varepsilon. \quad (59)$$

The right hand side of (59) remains bounded in C_{loc}^k by (56) and (57). Thus

$$\|\varrho_\varepsilon\|_{W_{\text{loc}}^{k+2,p}} \leq C \quad \forall p < \infty. \quad (60)$$

In particular

$$\|\nabla \varrho_\varepsilon\|_{C_{\text{loc}}^k} \leq C. \quad (61)$$

By (50) we have

$$-\Delta \varphi_\varepsilon = 2 \frac{\nabla \varrho_\varepsilon}{\varrho_\varepsilon} \nabla \varphi_\varepsilon \quad \text{on } \Omega. \quad (62)$$

From (56), (61), (62) and elliptic estimates we deduce that

$$\|\varphi_\varepsilon\|_{W_{\text{loc}}^{k+2,p}} \leq C \quad \forall p < \infty. \quad (63)$$

Using (62) once more together with (60) and (63) we obtain

$$\|\varphi_\varepsilon\|_{W_{\text{loc}}^{k+3,p}} \leq C \quad \forall p < \infty$$

which implies by the Sobolev embedding

$$\|\nabla \varphi_\varepsilon\|_{C_{\text{loc}}^{k+1}} \leq C, \quad (64)$$

i.e., (56) holds with $(k+1)$ instead of k . From the definition of X_ε and (59) we have

$$\varepsilon^2 \Delta X_\varepsilon = -\varrho_\varepsilon |\nabla \varphi_\varepsilon|^2 + \varphi_\varepsilon (1 + \varrho_\varepsilon) X_\varepsilon. \quad (65)$$

By Lemma A.1 in the Appendix applied to $D^k X_\varepsilon$ (where D^k denotes any k^{th} order derivative) we obtain

$$\|D^{k+1} X_\varepsilon\|_{L^\infty(\Omega'')}^2 \leq C \|D^k X_\varepsilon\|_{L^\infty(\Omega')} (\|D^k X_\varepsilon\|_{L^\infty(\Omega')} + \|D^k \Delta X_\varepsilon\|_{L^\infty(\Omega')}) \quad (66)$$

(with $\overline{\Omega}'' \subset \Omega'$ and $\overline{\Omega}' \subset \Omega$). In view of (57)

$$\|D^k X_\varepsilon\|_{L^\infty(\Omega')} \leq C.$$

Using (65), (56) and (57) we have

$$\|D^k \Delta X_\varepsilon\|_{L^\infty(\Omega')} \leq \frac{C}{\varepsilon^2}.$$

Consequently, by (66), we are led to

$$\varepsilon \|D^{k+1} X_\varepsilon\|_{L_{\text{loc}}^\infty} \leq C$$

i.e.,

$$\|\varepsilon X_\varepsilon\|_{C_{\text{loc}}^{k+1}} \leq C. \quad (67)$$

We rewrite (65) as

$$-\varepsilon^2 \Delta X_\varepsilon + 2X_\varepsilon = 3\varepsilon^2 X_\varepsilon^2 - \varepsilon^4 X_\varepsilon^3 + \varrho_\varepsilon |\nabla \varphi_\varepsilon|^2 \equiv R_\varepsilon. \quad (68)$$

Note that

$$\|R_\varepsilon\|_{C_{\text{loc}}^{k+1}} \leq C$$

[this follows from (67), (61) and (64)].

Differentiating (68) at order $(k+1)$ we obtain

$$-\varepsilon^2 \Delta(D^{k+1} X_\varepsilon) + 2D^{k+1} X_\varepsilon = D^{k+1} R_\varepsilon \quad \text{on } \Omega'. \quad (70)$$

On the other hand

$$\|D^{k+1} X_\varepsilon\|_{L^\infty(\partial\Omega')} \leq \frac{C}{\varepsilon} \quad \text{by (67)}.$$

Applying Lemma 2 we find

$$\|D^{k+1} X_\varepsilon\|_{L^\infty(\Omega'')} \leq C + \frac{C}{\varepsilon} e^{-d/4\varepsilon},$$

where $d = \text{dist}(\Omega'', \partial\Omega')$. Consequently

$$\|X_\varepsilon\|_{C_{\text{loc}}^{k+1}} \leq C$$

i.e., (57) holds with $(k+1)$ instead of k . This completes the proof of Step B.6.

Step B.7: Proof of (13) and (14).

Recall that $\Delta\varphi_0 = 0$. From (62) we deduce that

$$-\Delta(\varphi_\varepsilon - \varphi_0) = 2 \frac{\nabla \varrho_\varepsilon}{\varrho_\varepsilon} \nabla \varphi_\varepsilon \quad \text{on } \Omega.$$

Hence, by (55), (56) and (57) we have

$$\|\varphi_\varepsilon - \varphi_0\|_{C_{\text{loc}}^{k+1}} \leq C\varepsilon^2. \quad (72)$$

Therefore

$$u_\varepsilon - u_0 = \varrho_\varepsilon e^{i\varphi_\varepsilon} - u_0 = (\varrho_\varepsilon - 1)e^{i\varphi_\varepsilon} + e^{i\varphi_\varepsilon} - e^{i\varphi_0}$$

satisfies

$$\|u_\varepsilon - u_0\|_{C_{\text{loc}}^k} \leq C\varepsilon^2$$

[by (57) and (72)]. This completes the proof of (13).

We now turn to the proof of (14). Returning to (68) we write

$$-\varepsilon^2 \Delta \left(X_\varepsilon - \frac{1}{2} |\nabla u_0|^2 \right) + 2 \left(X_\varepsilon - \frac{1}{2} |\nabla u_0|^2 \right) = |\nabla \varphi_\varepsilon|^2 - |\nabla \varphi_0|^2 + S_\varepsilon, \quad (73)$$

where $S_\varepsilon = 3\varepsilon^2 X_\varepsilon^2 - \varepsilon^4 X_\varepsilon^3 + (\varrho_\varepsilon - 1) |\nabla \varphi_\varepsilon|^2 + \frac{1}{2} \varepsilon^2 \Delta (|\nabla u_0|^2)$

(note that $|\nabla u_0| = |\nabla \varphi_0|$ since $u_0 = e^{i\varphi_0}$).

Clearly

$$\|S_\varepsilon\|_{C_{\text{loc}}^k} \leq C\varepsilon^2$$

and

$$\| |\nabla \varphi_\varepsilon|^2 - |\nabla \varphi_0|^2 \|_{C_{\text{loc}}^k} \leq C\varepsilon^2$$

[by (56), (57) and (72)]. Applying once more Lemma 2 to $\omega = D^k(X_\varepsilon - \frac{1}{2} |\nabla u_0|^2)$ we are led to

$$\left\| X_\varepsilon - \frac{1}{2} |\nabla u_0|^2 \right\|_{C_{\text{loc}}^k} \leq C\varepsilon^2 \left(1 + \frac{1}{\varepsilon^2} e^{-d/4\varepsilon} \right).$$

This completes the proof of (14).

3 The case of a boundary condition depending on ε

We now return to the minimization problem (2) but we allow g to depend on ε . More precisely, we have a family of boundary conditions $g_\varepsilon : \partial\Omega \rightarrow \mathbb{C}$ and we consider the problem

$$\text{Min}_{H_{g_\varepsilon}^1(\Omega; \mathbb{C})} \left\{ \frac{1}{2} \int_{\Omega} |\nabla u|^2 + \frac{1}{4\varepsilon^2} \int_{\Omega} (|u|^2 - 1)^2 \right\}. \quad (74)$$

In what follows we denote by u_ε any minimizer of (74).

We make the following assumptions

$$\|g_\varepsilon\|_{L^\infty(\partial\Omega)} \leq 1, \quad (75)$$

$$\|g_\varepsilon\|_{H^1(\partial\Omega)} \leq C \quad (76)$$

and

$$\int_{\partial\Omega} (|g_\varepsilon| - 1)^2 \leq C\varepsilon^2 \quad (77)$$

(note that we do *not* assume that g_ε takes its values into S^1).

We also assume that

$$g_\varepsilon \rightarrow g \quad \text{uniformly on } \partial\Omega \quad (78)$$

so that, by (77), $|g| = 1$ and hence $\deg(g, \partial\Omega)$ is well defined. We assume that

$$\deg(g, \partial\Omega) = 0. \quad (79)$$

As in Section 1 we write

$$g = e^{i\varphi_0} \quad \text{on } \partial\Omega$$

for some harmonic function φ_0 .

Set

$$u_0 = e^{i\varphi_0} \quad \text{in } \Omega.$$

Our main result is the following

Theorem 2. *Under the assumptions (75)–(79) we have,*

$$u_\varepsilon \rightarrow u_0 \quad \text{strongly in } H^1(\Omega), \quad (80)$$

$$u_\varepsilon \rightarrow u_0 \quad \text{uniformly on } \overline{\Omega}, \quad (81)$$

$$u_\varepsilon \rightarrow u_0 \quad \text{in } C_{\text{loc}}^k(\Omega) \quad \forall k \quad (82)$$

and

$$\frac{1 - |u_\varepsilon|^2}{\varepsilon^2} \rightarrow |\nabla u_0|^2 \quad \text{in } C_{\text{loc}}^k(\Omega) \quad \forall k. \quad (83)$$

We split the proof into 3 steps.

Step 1. We have

$$u_\varepsilon \rightarrow u_0 \quad \text{strongly in } H^1(\Omega) \quad (80)$$

and

$$\frac{1}{\varepsilon^2} \int_{\Omega} (|u_\varepsilon|^2 - 1)^2 \rightarrow 0. \quad (84)$$

Proof. We use a special comparison function of the form

$$v_\varepsilon = \eta_\varepsilon e^{i\psi_\varepsilon}, \quad (85)$$

where η_ε is the solution of

$$\begin{cases} -\varepsilon^2 \Delta \eta_\varepsilon + \eta_\varepsilon = 1 & \text{on } \Omega, \\ \eta_\varepsilon = |g_\varepsilon| & \text{on } \partial\Omega, \end{cases} \quad (86)$$

and ψ_ε is the solution of

$$\begin{cases} \Delta \psi_\varepsilon = 0 & \text{on } \Omega, \\ \psi_\varepsilon = \varphi_\varepsilon & \text{on } \partial\Omega, \end{cases} \quad (87)$$

where $\varphi_\varepsilon : \partial\Omega \rightarrow \mathbb{R}$ is defined by

$$e^{i\varphi_\varepsilon} = \frac{g_\varepsilon}{|g_\varepsilon|}$$

(this is always possible since $\deg(g_\varepsilon, \partial\Omega) = 0$ for ε sufficiently small). In view of (78) we may choose φ_ε such that $\varphi_\varepsilon \rightarrow \varphi_0$ uniformly on $\partial\Omega$. We claim that

$$\int_{\Omega} |\nabla \eta_\varepsilon|^2 \leq C\varepsilon \quad (88)$$

and

$$\frac{1}{\varepsilon^2} \int_{\Omega} (\eta_\varepsilon - 1)^2 \leq C\varepsilon. \quad (89)$$

Proof of (88) and (89). Note that η_ε is a minimizer for

$$\int_{\Omega} |\nabla \eta|^2 + \frac{1}{\varepsilon^2} \int_{\Omega} (\eta - 1)^2 \quad \text{on } H^1_{|g_\varepsilon|}(\Omega; \mathbb{R}).$$

We use as comparison function

$$\bar{\eta}_\varepsilon(x_1, x_2) = (|g_\varepsilon(x_1)| - 1)\gamma(x_2) + 1$$

written in local coordinates assuming $\Omega = \{(x_1, x_2); x_2 > 0\}$ near a boundary point, and γ is a smooth function with small support near 0 with $\gamma(0) = 1$. Note that

$$\int_{\Omega} |\nabla \bar{\eta}_\varepsilon|^2 + \frac{1}{\varepsilon^2} \int_{\Omega} (\bar{\eta}_\varepsilon - 1)^2 \leq C \quad (90)$$

(here we use (76) and (77)). Hence

$$\int_{\Omega} |\nabla \eta_\varepsilon|^2 + \frac{1}{\varepsilon^2} \int_{\Omega} (\eta_\varepsilon - 1)^2 \leq C. \quad (91)$$

Next, we multiply (86) – as in the proof of Proposition 3 – by $V \cdot \nabla(\eta_\varepsilon - 1)$. This yields

$$\int_{\partial\Omega} \left| \frac{\partial \eta_\varepsilon}{\partial n} \right|^2 \leq C \quad (92)$$

[the computation relies on (91), (76) and (77)]. Finally, we multiply (86) by $(\eta_\varepsilon - 1)$ and we obtain

$$\begin{aligned} \varepsilon^2 \int_{\Omega} |\nabla \eta_\varepsilon|^2 + \int_{\Omega} (\eta_\varepsilon - 1)^2 &\leq \varepsilon^2 \int_{\partial\Omega} \left| \frac{\partial \eta_\varepsilon}{\partial n} \right| |\eta_\varepsilon - 1| \\ &\leq \varepsilon^2 \left\| \frac{\partial \eta_\varepsilon}{\partial n} \right\|_{L^2(\partial\Omega)} \| |g_\varepsilon| - 1 \|_{L^2(\partial\Omega)} \\ &\leq C\varepsilon^3. \end{aligned}$$

Thus we have proved (88) and (89).

We claim that

$$\frac{1}{2} \int_{\Omega} |\nabla u_\varepsilon|^2 + \frac{1}{4\varepsilon^2} \int_{\Omega} (|u_\varepsilon|^2 - 1)^2 \leq \frac{1}{2} \int_{\Omega} |\nabla \psi_\varepsilon|^2 + C\varepsilon. \quad (93)$$

Indeed, we use the fact that u_ε is a minimizer for (74) and the comparison function v_ε defined in (85). Note that

$$\frac{1}{\varepsilon^2} \int_{\Omega} (|v_\varepsilon|^2 - 1)^2 = \frac{1}{\varepsilon^2} \int_{\Omega} (\eta_\varepsilon^2 - 1)^2 \leq C\varepsilon$$

by (89). On the other hand

$$\int_{\Omega} |\nabla v_\varepsilon|^2 = \int_{\Omega} |\nabla \eta_\varepsilon|^2 + \eta_\varepsilon^2 |\nabla \psi_\varepsilon|^2 \leq C\varepsilon + \int_{\Omega} |\nabla \psi_\varepsilon|^2$$

since $\eta_\varepsilon \leq 1$. This proves (93).

Finally we observe that $\psi_\varepsilon \rightarrow \varphi_0$ strongly in $H^1(\Omega)$. Indeed φ_ε is bounded in $H^1(\partial\Omega)$ and $\varphi_\varepsilon \rightarrow \varphi_0$ uniformly on $\partial\Omega$ imply that $\varphi_\varepsilon \rightarrow \varphi_0$ strongly in $H^{1/2}(\partial\Omega)$. By (87) we deduce that $\psi_\varepsilon \rightarrow \varphi_0$ strongly in $H^1(\Omega)$.

From (93) we know that (u_ε) is bounded in H^1 and thus

$$u_{\varepsilon_n} \rightharpoonup u \quad \text{weakly in } H^1.$$

By (93) and lower semi-continuity we see that

$$\int_{\Omega} |\nabla u|^2 \leq \int_{\Omega} |\nabla \varphi_0|^2 = \int_{\Omega} |\nabla u_0|^2. \quad (94)$$

On the other hand

$$\int_{\Omega} (|u_\varepsilon|^2 - 1)^2 \leq C\varepsilon^2$$

and therefore $|u| = 1$ a.e. Hence $u \in H_g^1(\Omega; S^1)$ and in view of (94) u is a minimizer for (5), i.e., $u = u_0$. The strong convergence $u_\varepsilon \rightarrow u_0$ in H^1 follows from the fact that

$$\overline{\lim}_{\varepsilon \rightarrow 0} \int_{\Omega} |\nabla u_\varepsilon|^2 \leq \int_{\Omega} |\nabla u_0|^2$$

and the uniqueness of u_0 . Going back to (93) and using the strong convergence of $u_\varepsilon \rightarrow u_0$ in H^1 we obtain (84). This completes the proof of Step 1.

Step 2: Proof of (81).

Steps A.1 and A.2 in Sect. 2 hold without any modification and therefore $|u_\varepsilon| \rightarrow 1$ uniformly on every compact subset of Ω .

We shall now prove that

$$|u_\varepsilon| \rightarrow 1 \quad \text{uniformly on } \overline{\Omega}. \quad (95)$$

We argue by contradiction, i.e., we assume that there are sequences $\varepsilon_n \rightarrow 0$, $a_n \in \Omega$ such that

$$|u_{\varepsilon_n}(a_n)| \leq 1 - \delta \quad (96)$$

for some $\delta > 0$. We may also assume that $a_n \rightarrow a$ and $a \in \partial\Omega$. We set $u_n = u_{\varepsilon_n}$ and $d_n = \text{dist}(a_n, \partial\Omega)$.

We claim that

$$\frac{d_n}{\varepsilon_n} \rightarrow 0. \quad (97)$$

Proof of (97). Let $r_n \leq \frac{1}{2}d_n$ be a sequence of positive numbers to be chosen later. By Lemma A.1 we know that

$$|\nabla u_n(x)|^2 \leq C \left(\frac{1}{\varepsilon_n^2} + \frac{1}{\text{dist}^2(x, \partial\Omega)} \right) \quad \forall x \in \Omega,$$

where C is some universal constant. In particular we have

$$|\nabla u_n(x)| \leq C \left(\frac{1}{\varepsilon_n} + \frac{1}{d_n} \right) \quad \forall x \in B(a_n, r_n).$$

Therefore

$$|u_n(x) - u_n(a_n)| \leq Cr_n \left(\frac{1}{\varepsilon_n} + \frac{1}{d_n} \right) \quad \forall x \in B(a_n, r_n)$$

and consequently

$$|u_n(x)| \leq |u_n(a_n)| + Cr_n \left(\frac{1}{\varepsilon_n} + \frac{1}{d_n} \right) \quad \forall x \in B(a_n, r_n).$$

Thus

$$1 - |u_n(x)| \geq \delta - Cr_n \left(\frac{1}{\varepsilon_n} + \frac{1}{d_n} \right) \quad \forall x \in B(a_n, r_n).$$

We shall choose r_n in such a way that

$$\delta - Cr_n \left(\frac{1}{\varepsilon_n} + \frac{1}{d_n} \right) \geq \frac{\delta}{2}.$$

Hence

$$(1 - |u_n|^2)^2 \geq \frac{\delta^2}{4} \quad \text{on } B(a_n, r_n).$$

It follows that

$$\int_{\Omega} (1 - |u_n|^2)^2 \geq \frac{\delta^2}{4} \pi r_n^2.$$

On the other hand we know by (84) that

$$\int_{\Omega} (1 - |u_n|^2)^2 = \varepsilon_n^2 o(1)$$

and we deduce that

$$\frac{r_n}{\varepsilon_n} \rightarrow 0. \tag{98}$$

We now choose r_n so that all the requirements are satisfied, i.e.,

$$\frac{r_n}{d_n} \leq \frac{1}{2}, \quad \frac{r_n}{\varepsilon_n} \leq \frac{\delta}{4C}, \quad \frac{r_n}{d_n} \leq \frac{\delta}{4C}.$$

For example we take

$$r_n = \min \left\{ \frac{d_n}{2}, \frac{d_n \delta}{4C}, \frac{\varepsilon_n \delta}{4C} \right\}.$$

Using (98) we see that (97) holds.

Proof of (95) completed. We use a blow-up argument.

Set

$$v_n(y) = u_n(d_n y + a_n), \quad \text{for } y \in G_n = \frac{1}{d_n}(\Omega - a_n).$$

Modulo a rotation, we may always assume that

$$G_n \rightarrow G = (-1, +\infty) \times \mathbb{R}.$$

Clearly v_n satisfies

$$-\Delta v_n = \left(\frac{d_n}{\varepsilon_n}\right)^2 v_n(1 - |v_n|^2) \quad \text{on } G_n, \quad (99)$$

and

$$\int_{G_n} |\nabla v_n|^2 = \int_{\Omega} |\nabla u_n|^2 \leq C.$$

Passing to a subsequence, we may also assume that $v_n \rightarrow v$ uniformly on compact subsets of G where v satisfies

$$\Delta v = 0 \quad \text{in } G \quad [\text{by (97) and (99)}]$$

and

$$\int_G |\nabla v|^2 < +\infty.$$

Finally, we also see easily – since $g_\varepsilon \rightarrow g$ uniformly on $\partial\Omega$ – that

$$v = g(a) \quad \text{on } \partial G.$$

It follows that $v \equiv g(a)$ on G . On the other hand, $v_n(0) = u_n(a_n)$, and thus $|v_n(0)| \leq 1 - \delta$. Hence $|v(0)| \leq 1 - \delta$; this contradicts the fact that $|v(0)| = |g(a)| = 1$. The proof of (95) is complete.

Proof of (81). We write, as in Sect. 2,

$$u_\varepsilon = \varrho_\varepsilon e^{i\varphi_\varepsilon}.$$

We have just proved that $\varrho_\varepsilon \rightarrow 1$ uniformly on $\overline{\Omega}$. Next we write, using (51),

$$-\operatorname{div}(\varrho_\varepsilon^2 \nabla(\varphi_\varepsilon - \varphi_0)) = \operatorname{div}((\varrho_\varepsilon^2 - 1) \nabla \varphi_0). \quad (100)$$

The equation is uniformly elliptic since $\varrho_\varepsilon \rightarrow 1$ uniformly on $\overline{\Omega}$. It follows from elliptic estimates (see [10] or [3]) that

$$\|\varphi_\varepsilon - \varphi_0\|_{L^\infty(\Omega)} \leq C(\|\varphi_\varepsilon - \varphi_0\|_{L^\infty(\partial\Omega)} + \|(\varrho_\varepsilon^2 - 1) \nabla \varphi_0\|_{L^p(\Omega)})$$

with any $p > 2$. Note that $\varphi_0 \in H^{3/2}(\Omega)$ (since $g \in H^1(\partial\Omega)$), and thus $\nabla \varphi_0 \in H^{1/2}(\Omega) \subset L^4(\Omega)$. We conclude that $\varphi_\varepsilon \rightarrow \varphi_0$ uniformly on $\overline{\Omega}$ and this completes the proof of (81).

Step 3: Proof of (82) and (83)

We follow the same argument as in Section 2. The proofs in Steps A.3, A.4, and A.5 are unchanged. They yield:

$$u_\varepsilon \text{ is bounded in } H_{\text{loc}}^2 \quad (101)$$

$$\nabla u_\varepsilon \text{ is bounded in } L_{\text{loc}}^\infty \quad (102)$$

$$\frac{1}{\varepsilon^2}(1 - |u_\varepsilon|) \text{ is bounded in } L_{\text{loc}}^\infty \quad (103)$$

$$\Delta u_\varepsilon \text{ is bounded in } L_{\text{loc}}^\infty \quad (104)$$

Next we prove that, for every integer k ,

$$\|\nabla \varphi_\varepsilon\|_{C_{\text{loc}}^k} \leq C \quad (105)$$

$$\left\| \frac{1 - \varrho_\varepsilon}{\varepsilon^2} \right\|_{C_{\text{loc}}^k} \leq C. \quad (106)$$

For $k = 0$, these estimates have already been established [see (102), (103)]. The induction argument presented in Step B.6 can be repeated without any modification. From (105) and (106) we deduce that

$$\varphi_\varepsilon \rightarrow \varphi_0 \quad \text{in } C_{\text{loc}}^k \quad (107)$$

and

$$\varrho_\varepsilon \rightarrow 1 \quad \text{in } C_{\text{loc}}^k. \quad (108)$$

This implies that $u_\varepsilon = \varrho_\varepsilon e^{i\varphi_\varepsilon}$ converges to u_0 in C_{loc}^k , i.e., we have proved (82).

The proof of (83) follows the same argument as the proof of (14) in Step B.7. We use again (73). We know that

$$|\nabla \varphi_\varepsilon|^2 - |\nabla \varphi_0|^2 \rightarrow 0 \quad \text{in } C_{\text{loc}}^k$$

and

$$S_\varepsilon \rightarrow 0 \quad \text{in } C_{\text{loc}}^k$$

by (107), (106) and (108). On the other hand X_ε is bounded in C_{loc}^k by (106). Applying once more Lemma 2 to $\omega = D^k(X_\varepsilon - \frac{1}{2}|\nabla u_0|^2)$ we have that

$$\left\| X_\varepsilon - \frac{1}{2}|\nabla u_0|^2 \right\|_{C_{\text{loc}}^k} \rightarrow 0.$$

This completes the proof of (83).

Appendix

Some interpolation – type inequalities

The following results are interpolation estimates in the spirit of the Gagliardo-Nirenberg inequalities (see e.g. [8]); they are presumably known to the experts but we present the proofs for the convenience of the reader.

Lemma A.1. *Assume u satisfies*

$$-\Delta u = f \quad \text{on } \Omega \subset \mathbb{R}^N.$$

Then

$$|\nabla u(x)|^2 \leq C(\|f\|_{L^\infty(\Omega)}\|u\|_{L^\infty(\Omega)} + \frac{1}{\text{dist}^2(x, \partial\Omega)} \|u\|_{L^\infty(\Omega)}^2) \quad \forall x \in \Omega, \quad (\text{A.1})$$

where C is some constant depending only on N .

Proof. Assume for simplicity that $0 \in \Omega$ and set $d = \text{dist}(0, \partial\Omega)$. We shall prove that (A.1) holds at $x = 0$. Let $0 < \lambda \leq d$ be a constant to be determined later. The function

$$v(y) = u(\lambda y)$$

is defined on the ball $B(0, 1) = B_1$ since $\lambda \leq d$ and it satisfies

$$-\Delta v(y) = \lambda^2 f(\lambda y) \quad \text{in } B_1. \quad (\text{A.2})$$

It follows from standard elliptic estimates in B_1 that

$$|\nabla v(0)| \leq C(\lambda^2 \|f(\lambda y)\|_{L^\infty(B_1)} + \|v\|_{L^\infty(B_1)}),$$

where C depends only on N . In particular we have

$$\lambda |\nabla u(0)| \leq C(\lambda^2 \|f\|_{L^\infty(\Omega)} + \|u\|_{L^\infty(\Omega)}). \quad (\text{A.3})$$

We now distinguish two cases:

Case 1:

$$\left(\frac{\|u\|_{L^\infty}}{\|f\|_{L^\infty}} \right)^{1/2} \leq d.$$

In this case we apply (A.3) with

$$\lambda = \left(\frac{\|u\|_{L^\infty}}{\|f\|_{L^\infty}} \right)^{1/2}.$$

We deduce that

$$|\nabla u(0)| \leq 2C \|f\|_{L^\infty}^{1/2} \|u\|_{L^\infty}^{1/2}$$

and thus (A.1) holds at $x = 0$.

Case 2:

$$\left(\frac{\|u\|_{L^\infty}}{\|f\|_{L^\infty}} \right)^{1/2} > d.$$

We now apply (A.3) with $\lambda = d$ and we find

$$\begin{aligned} |\nabla u(0)| &\leq C \left(d \|f\|_{L^\infty} + \frac{1}{d} \|u\|_{L^\infty} \right) \\ &\leq C \left(\|f\|_{L^\infty}^{1/2} \|u\|_{L^\infty}^{1/2} + \frac{1}{d} \|u\|_{L^\infty} \right). \end{aligned}$$

This yields (A.1) at $x = 0$.

Lemma A.2. *Assume u satisfies*

$$\begin{cases} -\Delta u = f & \text{on } \Omega \subset \mathbb{R}^N \\ u = 0 & \text{on } \partial\Omega, \end{cases} \quad (\text{A.4})$$

where Ω is a smooth bounded domain. Then

$$\|\nabla u\|_{L^\infty(\Omega)}^2 \leq C\|f\|_{L^\infty(\Omega)}\|u\|_{L^\infty(\Omega)}, \quad (\text{A.5})$$

where C is a constant depending only on Ω .

Proof. From the elliptic theory we know that

$$\|u\|_{L^\infty(\Omega)} \leq C\|f\|_{L^\infty(\Omega)}. \quad (\text{A.6})$$

On the other hand if K is a compact subset of Ω we may use (A.1) together with (A.6) to conclude that

$$\|\nabla u\|_{L^\infty(K)}^2 \leq C_K\|f\|_{L^\infty(\Omega)}\|u\|_{L^\infty(\Omega)}. \quad (\text{A.7})$$

Therefore we have only to estimate ∇u near the boundary. After a local change of coordinates near a boundary point x_0 equation (A.4) becomes

$$\begin{cases} -\sum_{i,j} \frac{\partial}{\partial x_j} \left(a_{ij} \frac{\partial}{\partial x_i} \right) = f & \text{on } B_R^+ = \{x \in B_R; x_N > 0\}, \\ u = 0 & \text{on } B_R \cap \{x_N = 0\}, \end{cases} \quad (\text{A.8})$$

where $a_{ij}(x)$ are smooth and uniformly elliptic coefficients (they depend only on $\partial\Omega$) and R may be fixed independent of x_0 .

Set

$$v(y) = u(\lambda y + \xi) \quad \text{in } B_1^+,$$

where $0 < \lambda \leq R/2$ will be determined later and ξ is an arbitrary point on $B_{R/2} \cap \{y_N = 0\}$. The function v satisfies

$$\begin{cases} -\sum_{i,j} \frac{\partial}{\partial y_j} \left(a_{ij}(\lambda y + \xi) \frac{\partial v}{\partial y_i}(y) \right) = \lambda^2 f(\lambda y + \xi) & \text{on } B_1^+, \\ v = 0 & \text{on } B_1 \cap \{y_N = 0\}, \end{cases}$$

Standard elliptic estimates in B_1^+ imply that

$$\|\nabla v\|_{L^\infty(B_{1/2}^+)} \leq C(\lambda^2\|f(\lambda y + \xi)\|_{L^\infty(B_1^+)} + \|v\|_{L^\infty(B_1^+)}), \quad (\text{A.9})$$

where C depends on the ellipticity constant of $a_{ij}(\lambda y + \xi)$ and on $\|a_{ij}(\lambda y + \xi)\|_{C^1(B_1^+)}$. Since all these quantities are controlled independently of λ and ξ when $\lambda \leq R/2$ and $|\xi| \leq R/2$ we deduce that

$$\lambda\|\nabla u\|_{L^\infty(\xi + B_{\lambda/2}^+)} \leq C(\lambda^2\|f\|_{L^\infty(\Omega)} + \|u\|_{L^\infty(\Omega)}). \quad (\text{A.10})$$

We distinguish two cases:

Case 1:

$$\left(\frac{\|u\|_{L^\infty}}{\|f\|_{L^\infty}} \right)^{1/2} \leq \frac{R}{2}.$$

In this case we apply (A.10) with $\lambda = \left(\frac{\|u\|_{L^\infty}}{\|f\|_{L^\infty}} \right)^{1/2}$.

This yields

$$\|\nabla u\|_{L^\infty(\xi + B_{\lambda/2}^+)} \leq C \|f\|_{L^\infty(\Omega)}^{1/2} \|u\|_{L^\infty(\Omega)}^{1/2}.$$

Since ξ is arbitrary with $|\xi| \leq R/2$ we deduce that

$$\begin{aligned} |\nabla u(x)| &\leq C \|f\|_{L^\infty(\Omega)}^{1/2} \|u\|_{L^\infty(\Omega)}^{1/2} \quad \forall x = (x', x_N) \\ &\quad \text{with } |x'| \leq \frac{R}{2} \text{ and } 0 \leq x_N \leq \frac{\lambda}{2}. \end{aligned}$$

Going back to u on Ω we have proved that

$$|\nabla u(x)| \leq C \|f\|_{L^\infty(\Omega)}^{1/2} \|u\|_{L^\infty(\Omega)}^{1/2} \quad \forall x \in \Omega \quad \text{with} \quad \text{dist}(x, \partial\Omega) \leq \frac{\lambda}{K},$$

where K is some large constant depending only on Ω . On the other hand if $\text{dist}(x, \partial\Omega) > \lambda/K$ we may apply (A.1) and conclude that

$$\begin{aligned} |\nabla u(x)|^2 &\leq C \left(\|f\|_{L^\infty(\Omega)} \|u\|_{L^\infty(\Omega)} + \frac{K^2}{\lambda^2} \|u\|_{L^\infty(\Omega)}^2 \right) \\ &= C(1 + K^2) \|f\|_{L^\infty(\Omega)} \|u\|_{L^\infty(\Omega)}. \end{aligned}$$

In both situations we see that (A.5) holds.

Case 2:

$$\left(\frac{\|u\|_{L^\infty}}{\|f\|_{L^\infty}} \right)^{1/2} \geq \frac{R}{2}.$$

In this case we apply (A.10) with $\lambda = R/2$ and $\xi = 0$. This yields

$$\begin{aligned} \|\nabla u\|_{L^\infty(B_{R/2}^+)} &\leq C \left(R \|f\|_{L^\infty(\Omega)} + \frac{1}{R} \|u\|_{L^\infty(\Omega)} \right) \\ &\leq C \left(2 \|f\|_{L^\infty(\Omega)}^{1/2} \|u\|_{L^\infty(\Omega)}^{1/2} + \frac{1}{R} \|u\|_{L^\infty(\Omega)} \right) \\ &\leq C \|f\|_{L^\infty(\Omega)}^{1/2} \|u\|_{L^\infty(\Omega)}^{1/2}. \end{aligned}$$

Going back to u on Ω we see that

$$\|\nabla u\|_{L^\infty(U)} \leq C \|f\|_{L^\infty(\Omega)}^{1/2} \|u\|_{L^\infty(\Omega)}^{1/2}$$

for some fixed neighbourhood U of $\partial\Omega$. This completes the proof since we already have the interior estimate (A.7).

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