

## Regularity of Minimizers of Relaxed Problems for Harmonic Maps

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*Communicated by H. Brezis*

Received July 19, 1990

We prove that every minimizer on  $H^1(\Omega; S^2)$  of the relaxed energy  $\int |\nabla u|^2 + 8\pi\lambda L(u)$ , where  $0 \leq \lambda < 1$  and  $L(u)$  is the length of a minimal connection connecting the singularities of  $u$ , is smooth except at a finite number of points.

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### INTRODUCTION

Let  $\Omega \subset \mathbb{R}^3$  be a smooth bounded domain. Set

$$H^1(\Omega; S^2) = \{u \in H^1(\Omega; \mathbb{R}^3); u(x) \in S^2 \text{ a.e.}\},$$

where  $S^2$  is the unit sphere in  $\mathbb{R}^3$ . Given a boundary data  $\varphi: \partial\Omega \rightarrow S^2$  we define

$$H^1_\varphi(\Omega; S^2) = \{u \in H^1(\Omega; S^2); u = \varphi \text{ on } \partial\Omega\}.$$

If  $u \in H^1_\varphi(\Omega; S^2)$  is smooth except at a finite number of singularities in  $\Omega$  and if moreover  $\deg \varphi = 0$ , then the length of a minimal connection connecting the singularities has been introduced in [BCL] and is given by

$$L(u) = \text{Min} \sum_{i=1}^k d(p_i, n_{\sigma(i)}),$$

where  $(p_1, p_2, \dots, p_k)$  are the singularities of positive degree (counted

according to their multiplicity),  $(n_1, n_2, \dots, n_k)$  are the singularities of negative degree,  $d$  is the geodesic distance in  $\Omega$ , and the minimum is taken over all permutations of the integers  $\{1, 2, \dots, k\}$ . (Since  $\text{deg } \varphi|_{\partial\Omega} = 0$  the number of positive singularities is the same as the number of negative singularities.)

For any  $u \in H^1(\Omega; S^2)$  the vector field  $D(u)$  defined as follows

$$D(u) = (u \cdot u_y \wedge u_z, u \cdot u_z \wedge u_x, u \cdot u_x \wedge u_y)$$

plays an important role (see [BCL]). Set

$$R = \{u \in H^1(\Omega; S^2); u \text{ is smooth except at a finite number of singularities}\}$$

and

$$R_\varphi = R \cap H^1_\varphi(\Omega; S^2).$$

Recall (see [BZ]) that  $R$  is dense in  $H^1(\Omega; S^2)$  and  $R_\varphi$  is dense in  $H^1_\varphi(\Omega; S^2)$ . If  $u \in R$  (with singularities  $(a_i)$ ) then

$$\text{div } D(u) = 4\pi \sum \text{deg}(u, a_i) \delta_{a_i}.$$

If  $u \in R_\varphi$  then (see [BCL])

$$L(u) = \frac{1}{4\pi} \sup_{\substack{\zeta: \Omega \rightarrow \mathbb{R} \\ \|\nabla\zeta\|_\infty \leq 1}} \left\{ \int_\Omega D(u) \cdot \nabla\zeta \, dx - \int_{\partial\Omega} (\text{Jac } \varphi) \zeta \, d\sigma \right\}. \tag{1}$$

Clearly this makes sense for any  $u \in H^1_\varphi(\Omega; S^2)$  and we shall use formula (1) as a definition of  $L$  for a general  $u \in H^1_\varphi(\Omega; S^2)$ . By a result of [BBC],  $L$  is continuous (and even locally Lipschitz) on  $H^1_\varphi$ .

The functional

$$F_\lambda(u) = \int_\Omega |\nabla u|^2 + 8\pi\lambda L(u), \quad \lambda \in [0, 1] \tag{2}$$

introduced in [BBC] has some remarkable properties. In particular, it is weakly lower semicontinuous for the weak topology on  $H^1$ . Thus

$$\text{Min}_{u \in H^1_\varphi} F_\lambda(u) \text{ is achieved} \tag{3}$$

and we recall that minimizers of  $F_\lambda$  are (weakly) harmonic maps, i.e., they are weak solutions of

$$-\Delta u = u |\nabla u|^2 \quad \text{on } \Omega.$$

For  $\lambda=0$  it is known (see [SU1, SU2]) that minimizers of (3) are smooth except at a finite number of points. Our main result asserts that this is still true for  $\lambda \in [0, 1)$ .

**THEOREM 1.** *Every minimizer of  $F_\lambda$  is smooth on  $\bar{\Omega}$  except at isolated singularities.*

For  $\lambda=0$  it is known (see [BCL]) that the singularities of minimizers have a simple form; i.e., if  $x_0$  is a singularity then, for some rotation  $R$ ,  $u(x) \simeq \pm R((x-x_0)/|x-x_0|)$  as  $x \rightarrow x_0$ . In particular all singularities have degree  $\pm 1$ . This last property can be established for  $\lambda$  small, but it is an open problem for  $\lambda$  large.

Unfortunately, our arguments do not give any information about the nature of singularities when  $\lambda=1$ . The case  $\lambda=1$  is very important (because it corresponds to the relaxed energy; see [BBC]), and it would be extremely interesting to decide whether minimizers of  $F_1$  are smooth. Partial regularity results for minimizers of  $F_1$  have been obtained in [GMS2].

The proof of Theorem 1 is divided into several steps.

*Step 1.* Minimizers of  $F_\lambda$  satisfy a reverse Hölder inequality.

*Step 2.* This is used to prove an “ $\varepsilon$ -regularity lemma.”

*Step 3.* One concludes by a blow-up technique (similar to the one used by [SU1]) that singularities are isolated.

## 1. A REVERSE HÖLDER INEQUALITY

The usefulness of “reverse Hölder inequality” was originally discovered by Gehring. It has been extensively used to establish partial regularity (see, e.g., Giaquinta’s book [G] and references therein). We shall follow a technique recently introduced by [HKL] in variational problems involving  $S^2$ -valued maps.

In what follows we fix  $\lambda \in [0, 1)$  and some minimizer  $u$  of  $F_\lambda$  on  $H^1_\varphi(\Omega; S^2)$ .

**THEOREM 2.** *There exist constants  $q > 2$  and  $C$  (depending only on  $\lambda$ ) such that*

$$\left( \int_{B_r} |\nabla u|^q \right)^{1/2} \leq C \left( \int_{B_{2r}} |\nabla u|^2 \right)^{1/2} \quad (4)$$

for every ball  $B_r$  such that  $B_{2r} \subset \Omega$ .

We shall use the following:

LEMMA 1. *Let  $B_r$  be a ball contained in  $\Omega$ . Then*

$$\int_{B_r} |\nabla u|^2 \leq \frac{1+\lambda}{1-\lambda} \int_{B_{2r}} |\nabla v|^2, \\ \forall v \in H^1(B_r; S^2) \text{ such that } v = u \text{ on } \partial B_r. \quad (5)$$

*Proof.* Set

$$w = \begin{cases} v & \text{on } B_r \\ u & \text{on } \Omega \setminus B_r. \end{cases}$$

Since  $w \in H^1_\varphi$  we have

$$F_\lambda(u) \leq F_\lambda(w),$$

i.e.,

$$\int_\Omega |\nabla u|^2 + 8\pi\lambda L(u) \leq \int_\Omega |\nabla w|^2 + 8\pi\lambda L(w)$$

and therefore

$$\int_{B_r} |\nabla u|^2 + 8\pi\lambda L(u) \leq \int_{B_r} |\nabla v|^2 + 8\pi\lambda L(w). \quad (6)$$

On the other hand,

$$L(u) = \frac{1}{4\pi} \sup_{\substack{\zeta: \Omega \rightarrow \mathbb{R} \\ \|\nabla \zeta\|_\infty \leq 1}} \left\{ \int_\Omega D(u) \cdot \nabla \zeta \, dx - \int_{\partial\Omega} (\text{Jac } \varphi) \zeta \, d\sigma \right\}$$

and

$$L(w) = \frac{1}{4\pi} \sup_{\substack{\zeta: \Omega \rightarrow \mathbb{R} \\ \|\nabla \zeta\|_\infty \leq 1}} \left\{ \int_\Omega D(w) \cdot \nabla \zeta \, dx - \int_{\partial\Omega} (\text{Jac } \varphi) \zeta \, d\sigma \right\}.$$

It follows that

$$\begin{aligned} L(w) - L(u) &\leq \frac{1}{4\pi} \sup_{\substack{\zeta: \Omega \rightarrow \mathbb{R} \\ \|\nabla \zeta\|_\infty \leq 1}} \left\{ \int_\Omega (D(w) - D(u)) \cdot \nabla \zeta \, dx \right\} \\ &= \frac{1}{4\pi} \sup_{\substack{\zeta \\ \|\nabla \zeta\|_\infty \leq 1}} \left\{ \int_{B_r} (D(w) - D(u)) \cdot \nabla \zeta \, dx \right\} \\ &\leq \frac{1}{8\pi} \left\{ \int_{B_r} (|\nabla v|^2 + |\nabla u|^2) \right\}. \end{aligned}$$

Combining this with (6) we obtain

$$\int_{B_r} |\nabla u|^2 - \int_{B_r} |\nabla v|^2 \leq \lambda \left[ \int_{B_r} |\nabla u|^2 + \int_{B_r} |\nabla v|^2 \right].$$

We also recall the following result of [HKL].

LEMMA 2. *There is a universal constant C such that, for every  $\xi \in \mathbb{R}^3$ ,*

$$\text{Min}_{\substack{v \in H^1(B_r; S^2) \\ v = u \text{ on } \partial B_r}} \int_{B_r} |\nabla v|^2 \leq C \|\nabla_T u\|_{L^2(\partial B_r)} \|u - \xi\|_{L^2(\partial B_r)}. \tag{7}$$

For the proof we refer to [HKL, Appendix]. The idea is to consider the usual harmonic extension  $\bar{u}$  of  $u|_{\partial B_r}$  (with values in  $B^3$ ) and then some appropriate radial projection of  $\bar{u}$  on  $S^2$ .

*Proof of Theorem 2.* Combining Lemma 1 and Lemma 2 we obtain

$$\int_{B_r} |\nabla u|^2 \leq C_\lambda [\|\nabla_T u\|_{L^2(\partial B_r)} \|u - \xi\|_{L^2(\partial B_r)}].$$

We now follow the argument of [HKL, Theorem 4.1] to deduce that for every  $\delta > 0$

$$\int_{B_r} |\nabla u|^2 \leq \delta \int_{B_{2r}} |\nabla u|^2 + \frac{C}{\delta r^2} \left( \int_{B_{2r}} |\nabla u|^p \right)^{2/p}$$

with  $p = 6/5$ .

This implies, using Hölder's inequality, that

$$\int_{B_r} |\nabla u|^2 \leq 2\delta \int_{B_{2r}} |\nabla u|^2 + \frac{C}{\delta r^3} \left( \int_{B_{2r}} |\nabla u| \right)^2$$

i.e.,

$$\int_{B_r} |\nabla u|^2 \leq 16\delta \int_{B_{2r}} |\nabla u|^2 + \frac{C}{\delta} \left( \int_{B_{2r}} |\nabla u| \right)^2.$$

Fixing  $\delta < 1/16$  we may now apply this reverse-Hölder inequality to conclude the existence of a  $q > 2$  such that

$$\left( \int_{B_r} |\nabla u|^q \right)^{1/q} \leq C \left( \int_{B_{2r}} |\nabla u|^2 \right)^{1/2}.$$

2.  $\varepsilon$ -REGULARITY

In what follows, we fix  $\lambda \in [0, 1)$  and some minimizer  $u$  of  $F_\lambda$  on  $H_\varphi^1(\Omega; S^2)$ .

**THEOREM 3.** *There is some  $\varepsilon_0 > 0$  (depending only on  $\lambda$ ) such that if*

$$\frac{1}{r} \int_{B_r} |\nabla u|^2 < \varepsilon_0 \quad \text{for some ball } B_r,$$

*then  $u$  is smooth on  $B_{r/4}$  (and  $u$  is a minimizing harmonic map on  $B_{r/4}$  in the usual sense).*

The proof relies on several lemmas.

Set for  $\mu \in (0, 1)$

$$W_\mu^- = \{\sigma \in S^2, |P\sigma| < \mu\}$$

$$W_\mu^+ = \{\sigma \in S^2, |P\sigma| > \mu\},$$

where  $P: S^2 \rightarrow \mathbb{C}$  denotes the stereographic projection. Note that, as  $\mu \rightarrow 0$ ,  $\text{area}(W_\mu^-) \sim \pi\mu^2$ .

**LEMMA 3.** *Let  $G \subset \mathbb{R}^3$  be a smooth bounded domain. There exists  $\delta > 0$  (depending only on  $\lambda \in [0, 1)$  and not on  $G$ ) such that if  $\psi: \partial G \rightarrow S^2$  and  $\psi(\partial G) \subset W_\delta^-$  then every  $v \in H_\psi^1(G; S^2)$  satisfying*

$$\int_G |\nabla v|^2 \leq \text{Min}_{w \in H_\psi^1(G; S^2)} \int_G |\nabla w|^2 + 8\pi\lambda L(v) \quad (8)$$

*is smooth on  $G$ .*

*Proof of Lemma 3.* For  $\mu \in (0, 1)$  (to be determined later) let

$$G_\mu^\pm = \{x \in G; u(x) \in W_\mu^\pm\}.$$

The proof is divided into three steps.

*Step 1.* We have

$$\int_{G_\mu^+} |\nabla v|^2 \geq 8\pi(1 - C\mu^2)L(v) \quad (9)$$

for every  $v \in H_\psi^1(G; S^2)$  with  $\psi(\partial G) \subset W_\mu^-$  ( $C$  is some universal constant).

*Proof of Step 1.* By density (see [BZ]) we may always assume that  $v$

has just a finite number of singularities. Following [ABL], we have, using Federer's coarea formula,

$$\int_{G_\mu^+} |\nabla v|^2 \geq 2 \int_{G_\mu^+} |D(v)| = 2 \int_{W_\mu^+} \mathcal{H}^1(v^{-1}(\sigma)) \, d\sigma.$$

For a.e.  $\sigma \in W_\mu^+$ ,  $v^{-1}(\sigma)$  consists of curves connecting the singularities (and possibly some closed loops). Note that there are no curves connecting the singularities to  $\psi(\partial G) \subset W_\mu^+$ . Thus

$$\mathcal{H}^1(v^{-1}(\sigma)) \geq L(v) \quad \text{for a.e. } \sigma \in W_\mu^+,$$

and consequently

$$\begin{aligned} \int_{G_\mu^+} |\nabla v|^2 &\geq 2(\text{area } W_\mu^+)L(v) \\ &\geq 8\pi(1 - C\mu^2)L(v). \end{aligned}$$

*Step 2.* Suppose as above that  $\psi: \partial G \rightarrow W_\delta^-$ . Then

$$\text{Min}_{w \in H_\psi^1(G; S^2)} \int_G |\nabla w|^2 \leq \mu^2 \int_{G_\mu^+} |\nabla v|^2 + \int_{G_\mu^-} |\nabla v|^2 \tag{10}$$

for every  $v \in H_\varphi^1(G; S^2)$  and every  $\mu > \sqrt{\delta}$ .

*Proof of Step 2.* Fix a map  $\Phi: S^2 \rightarrow S^2$  satisfying

$$\begin{aligned} \Phi(S^2) &\subset W_{\mu^2}^- \\ \Phi &= Id \quad \text{on } W_{\mu^2}^- \\ |\nabla \Phi| &\leq 1 \quad \text{on } S^2 \\ |\nabla \Phi| &\leq \mu^2 \quad \text{on } W_\mu^+. \end{aligned}$$

To construct such a  $\Phi$  one can, using stereographic projection, define  $\Phi: \mathbb{C} \rightarrow \mathbb{C}$  by

$$\begin{aligned} \Phi(z) &= z \quad \text{for } |z| < \mu^2 \\ \Phi(z) &= \frac{\mu^4}{\bar{z}} \quad \text{for } |z| \geq \mu^2. \end{aligned}$$

It is clear that  $|\nabla \Phi| \leq 1$  everywhere and  $|\nabla \Phi| \leq \mu^4/|z|^2$  for  $|z| \geq \mu^2$ . In particular  $|\nabla \Phi| \leq \mu^2$  for  $|z| > \mu$ .

Given  $v \in H_\varphi^1$ , set

$$w = \Phi \circ v$$

so that  $w \in H^1_\varphi(G; S^2)$  (since  $\mu > \sqrt{\delta}$ ). We have

$$\begin{aligned} \int |\nabla w|^2 &= \int_{G_\mu^+} |\nabla w|^2 + \int_{G_\mu^-} |\nabla w|^2 \\ &\leq \mu^2 \int_{G_\mu^+} |\nabla v|^2 + \int_{G_\mu^-} |\nabla v|^2. \end{aligned}$$

This proves Step 2.

*Step 3. Proof of Lemma 3 Completed.* Let  $v$  satisfy (8). By (10) we have

$$\int_G |\nabla v|^2 \leq \mu^2 \int_{G_\mu^+} |\nabla v|^2 + \int_{G_\mu^-} |\nabla v|^2 + 8\pi\lambda L(v)$$

and thus

$$(1 - \mu^2) \int_{G_\mu^+} |\nabla v|^2 \leq 8\pi\lambda L(v).$$

Combining this with Step 1 we have

$$8\pi(1 - \mu^2)(1 - C\mu^2)L(v) \leq 8\pi\lambda L(v).$$

Now choose  $\mu > 0$  small enough so that

$$(1 - \mu^2)(1 - C\mu^2) > \lambda$$

and then choose  $0 < \delta < \mu^2$ . It follows that

$$L(v) = 0.$$

Going back to (8) we see that  $v$  is a minimizing harmonic map. We may now invoke [HKW] to conclude that  $v$  is smooth (since  $\psi(\partial G)$  is contained in a hemisphere). Alternatively, we may also invoke [SU1] together with the fact that  $L(v) = 0$ .

This concludes the proof of Lemma 3. With its help we are going to prove Theorem 3.

*Proof of Theorem 3.* We split the proof in two steps.

*Step 1.* Let  $B \subset \Omega$  be a ball such that

$$u|_{\partial B} \in H^1 \quad \text{and} \quad u(\partial B) \subset W_\delta^-, \tag{11}$$



where  $\delta$  is defined in Lemma 3. Then

$$\int_B |\nabla v|^2 \leq \min_{\substack{w \in H^1_\psi(B; S^2) \\ v = u \text{ on } \partial B}} \int |\nabla v|^2 + 8\pi\lambda L_B(u), \tag{12}$$

where  $L_B$  denotes the length of a minimal connection connecting the singularities of  $u$  in  $B$  (without connections to the boundary). Moreover  $u$  is smooth in  $B$ .

*Proof.* Let  $u_0$  be a minimizer for

$$\min_{\substack{v \in H^1_\psi(B; S^2) \\ v = u \text{ on } \partial B}} \int |\nabla v|^2.$$

By (11) and [HKW],  $u_0$  is smooth inside  $B$ . Set

$$w = \begin{cases} u_0 & \text{on } B \\ u & \text{on } \Omega \setminus B. \end{cases}$$

Since  $u$  is a minimizer for  $F_\lambda$  on  $H^1_\psi(\Omega; S^2)$  we have

$$\int |\nabla u|^2 + 8\pi\lambda L(u) \leq \int |\nabla w|^2 + 8\pi\lambda L(w).$$

Thus

$$\int_B |\nabla u|^2 + 8\pi\lambda L(u) \leq \int_B |\nabla u_0|^2 + 8\pi\lambda L(w). \tag{13}$$

On the other hand (using (1)),

$$L(w) - L(u) \leq L(w, u), \tag{14}$$

where

$$\begin{aligned} L(w, u) &= \frac{1}{4\pi} \sup_{\substack{\zeta: \Omega \rightarrow \mathbb{R} \\ \|\nabla \zeta\|_\infty \leq 1}} \int_\Omega (D(w) - D(u)) \cdot \nabla \zeta \\ &= \frac{1}{4\pi} \sup_{\substack{\zeta: \Omega \rightarrow \mathbb{R} \\ \|\nabla \zeta\|_\infty \leq 1}} \int_B [D(u_0) - D(u)] \cdot \nabla \zeta. \end{aligned}$$

But

$$\int_B D(u_0) \cdot \nabla \zeta = \int_{\partial B} (D(u_0) \cdot n) \zeta.$$

(Since  $u_0(B) \subset W_\sigma^-$  by [HKW] and so we may approximate  $u_0$  by a sequence of smooth maps converging to  $u_0$  in  $H^1(B; S^2)$  (and also in  $H^1(\partial B; S^2)$ .) Therefore

$$\begin{aligned} L(w, u) &= \frac{1}{4\pi} \sup_{\substack{\zeta: \Omega \rightarrow \mathbb{R} \\ \|\nabla \zeta\|_r \leq 1}} \left\{ \int_{\partial B} (D(u_0) \cdot n) \zeta - \int D(u) \cdot \nabla \zeta \right\} \\ &= L_B(u) \end{aligned} \tag{15}$$

(since  $u = u_0$  on  $\partial B$ ).

Combining (13), (14), (15) we find

$$\int_B |\nabla u|^2 \leq \int_B |\nabla u_0|^2 + 8\pi\lambda L_B(u).$$

This completes the proof of Step 1.

*Step 2. Proof of Theorem 3 Completed.* Suppose

$$\frac{1}{r} \int_{B_r} |\nabla u|^2 < \varepsilon_0$$

for some ball  $B_r \subset \Omega$  and some  $\varepsilon_0$  (to be determined later). By Theorem 2 we have

$$\frac{1}{r^3} \int_{B_{r/2}} |\nabla u|^q \leq C \left( \frac{1}{r^2} \varepsilon_0 \right)^{q/2}.$$

Thus

$$\int_{r/4}^{r/2} d\rho \int_{S_\rho} |\nabla u|^q d\sigma \leq Cr^{3-q} \varepsilon_0^{q/2}$$

and hence there is some  $r_0 \in [r/4, r/2]$  such that

$$\int_{S_{r_0}} |\nabla u|^q d\sigma \leq Cr^{2-q} \varepsilon_0^{q/2},$$

that is,

$$\left( \int_{S_{r_0}} |\nabla u|^q d\sigma \right)^{1/q} \leq Cr^{(2/q)-1} \varepsilon_0^{1/2}.$$

By the Sobolev imbedding we conclude that

$$u(S_{r_0}) \subset W_\mu^-,$$

where

$$\mu = C\varepsilon_0^{1/2}.$$

We now choose  $\varepsilon_0$  such that  $C\varepsilon_0^{1/2} < \delta$  ( $\delta$  given by Lemma 3). By Step 1 above (with  $B = B_{r_0}$ ) we conclude that  $u$  is smooth in  $B_{r_0}$  and thus in  $B_{r/4}$ . This concludes the proof of Theorem 3.

*Remark 1.* We may now assert that  $\mathcal{H}_{\text{loc}}^1(Z) = 0$ , where  $Z$  is the singular set of  $u$  (as above  $u$  is a minimizer of  $F_\lambda$  on  $H_\varphi$ ). Let

$$\theta(a) = \limsup_{r \rightarrow 0} \frac{1}{r} \int_{B_r(a)} |\nabla u|^2$$

and

$$\tilde{Z} = \{a \in \Omega; \theta(a) > 0\}.$$

Note that if  $a \in \Omega \setminus \tilde{Z}$  then  $u$  is smooth in a neighborhood of  $a$  (by Theorem 3). Thus  $Z \subset \tilde{Z}$ . Since  $\tilde{Z}$  is obviously contained in  $Z$  we conclude that  $Z = \tilde{Z}$ . Since  $u \in H^1(\Omega; S^2)$  it follows by a standard covering argument that  $\mathcal{H}_{\text{loc}}^1(Z) = 0$ . In fact, since  $u \in W_{\text{loc}}^{1,q}$  we conclude that  $\mathcal{H}_{\text{loc}}^{3-q}(Z) = 0$  (see, e.g., [HKL]).

### 3. THE SINGULAR SET CONSISTS OF ISOLATED POINTS

We prove here that  $u$  has only isolated singularities by a variant of the blow-up technique of [SU1, SU2]. Here we rely on a new monotonicity formula of [GMS2]. Let  $Z$  be the complement of the largest open set on which  $u$  is smooth.

**THEOREM 4.**  *$Z$  consists of isolated points (in  $\Omega$ ).*

*Step 1. A Monotonicity Formula*

Recall that for a (standard) minimizing harmonic map  $u$  we have the well-known monotonicity formula

$$\frac{\partial}{\partial r} \left( \frac{1}{r} \int_{B_r} |\nabla u|^2 \right) \geq 0.$$

This is not true any more for minimizers of  $F_\lambda$  but we have a variant of this formula due to Giaquinta, Modica, and Souček [GMS2].

Let  $u \in R_\varphi$  and let  $\mu$  be the one-dimensional Hausdorff measure

uniformly distributed over a minimal connection (warning: the minimal connection need not be unique, and so  $\mu$  is not uniquely determined by  $u$ ). Set

$$E(r) = \int_{B_r(x_0)} |\nabla u|^2 + 8\pi\lambda \int_{B_r(x_0)} d\mu$$

$$\alpha(r) = \frac{d}{dr} \left[ 2 \int_{B_r(x_0)} \left| \frac{\partial u}{\partial r} \right|^2 \right] + 8\pi\lambda \int_{B_r(x_0)} [1 - (\zeta \cdot v)^2] d\mu,$$

where  $\zeta$  is the unit vector tangent to the minimal connection at  $v = (x - x_0)/|x - x_0|$ .

Using the formalism of currents (see [GMS1]) these expressions make sense for every  $u \in H^1_\varphi$ . We have

LEMMA 4. *For every minimizer of  $F_\lambda$  we have*

$$\frac{d}{dr} \left( \frac{1}{r} E(r) \right) = \frac{1}{r} \alpha(r).$$

*In particular  $(1/r)E(r)$  is nondecreasing in  $r$ .*

This formula has been established by [GMS2] when  $\lambda = 1$ , but the same argument holds for any  $\lambda \in (0, 1)$ .

*Step 2. The Blow-Up*

Let  $x_0 \in \Omega$  be any point in  $\Omega$  and let  $u$  be a minimizer of  $F_\lambda$  on  $H^1_\varphi$ . For simplicity we take  $x_0 = 0$  and we write  $B_R = B_R(0)$ . By Lemma 4,  $(1/r)E(r)$  remains bounded as  $r \rightarrow 0$  and so does  $(1/r) \int_{B_r} |\nabla u|^2$ . Set

$$u_\sigma(x) = u(\sigma x), \quad \text{for } x \in B^3.$$

Then

$$\int_{B_1} |\nabla u_\sigma|^2 = \frac{1}{\sigma} \int_{B_\sigma} |\nabla u|^2 \leq C \quad \text{as } \sigma \rightarrow 0. \quad (16)$$

Therefore

$$u_{\sigma_n} \rightharpoonup v \quad \text{weakly in } H^1(B_1).$$

We claim that

$$\frac{\partial v}{\partial r} = 0.$$

Indeed we have, by Lemma 4

$$\begin{aligned} \int_0^{\sigma_n} \alpha(r) \frac{dr}{r} &= \int_0^{\sigma_n} \frac{d}{dr} \left( \frac{1}{r} E(r) \right) dr \\ &\leq \frac{1}{\sigma_n} E(\sigma_n) - \lim_{r \rightarrow 0} \frac{E(r)}{r} \rightarrow 0 \end{aligned}$$

as  $\sigma_n \rightarrow 0$ .

On the other hand

$$\begin{aligned} \int_0^{\sigma_n} \frac{\alpha(r)}{r} dr &\geq 2 \int_0^{\sigma_n} \frac{1}{r} \frac{d}{dr} \int_{B_r} \left| \frac{\partial u}{\partial r} \right|^2 \\ &= 2 \int_0^{\sigma_n} \frac{1}{r} \int_{S_r} \left| \frac{\partial u}{\partial r} \right|^2 \\ &= 2 \int_{B_{\sigma_n}} \frac{1}{r} \left| \frac{\partial u}{\partial r} \right|^2 dr. \end{aligned}$$

It follows that  $\int_{B_{\sigma_n}} (1/r) |\partial u / \partial r|^2 \rightarrow 0$ . But

$$\int_{B_1} \frac{1}{r} \left| \frac{\partial}{\partial r} u_{\sigma_n} \right|^2 = \int_{B_{\sigma_n}} \frac{1}{r} \left| \frac{\partial u}{\partial r} \right|^2$$

and the claim follows. Therefore we have

$$v(x) = \psi \left( \frac{x}{|x|} \right) \tag{17}$$

for some  $\psi \in H^1(S^2, S^2)$ . Following the strategy of [SU1, Part 4, Proposition 4.6] we now prove that  $u_{\delta_n} \rightarrow v$  strongly in  $H^1(B_1)$ . Since we have

$$-\Delta u_\sigma = u_\sigma |\nabla u_\sigma|^2 \quad \text{on } B_1$$

and

$$\int_{B_1} |\nabla u_\sigma|^2 \leq C$$

we deduce from standard elliptic estimates that  $\nabla u_\sigma$  is relatively compact in  $L^1_{\text{loc}}(B_1)$  and therefore for an appropriate subsequence we may assume that  $\nabla u_{\sigma_n} \rightarrow \nabla v$  a.e. on  $B_1$ . In order to conclude that  $\nabla u_{\sigma_n} \rightarrow \nabla v$  in  $L^2$  it suffices to know that

$$\int_{B_1} |\nabla u_\sigma|^q \leq C$$

for some  $q > 2$ . This is a consequence of Theorem 2. Indeed, we have by (4)

$$\left[ \frac{1}{\sigma^3} \int_{B_\sigma} |\nabla u|^q \right]^{1/q} \leq C \left( \frac{1}{\sigma^3} \int_{B_{2\sigma}} |\nabla u|^2 \right)^{1/2} \leq \frac{C}{\sigma},$$

i.e.,

$$\frac{1}{\sigma^{3-q}} \int_{B_\sigma} |\nabla u|^q \leq \int_{B_1} |\nabla u_\sigma|^q \leq C.$$

Thus we have established that  $u_{\sigma_n} \rightarrow \psi$  strongly in  $H^1$ .

Finally we show that the singularities of  $u$  are isolated (in any compact subset of  $\Omega$ ). As in [SU1], we follow the dimension reduction argument of Federer. Let  $(x_n)$  be a subsequence of singularities such that  $x_n \rightarrow x_0 = 0$ ,  $x_n/|x_n| \rightarrow l \in S^2$ . We choose  $\sigma_n = 2|x_n|$ . Note that  $u_{\sigma_n}$  has a singularity at the point  $x_n/(2|x_n|) \rightarrow l/2$ . By Theorem 3, there is some  $\varepsilon_0$  such that

$$\forall r, \frac{1}{r} \int_{B_r(x_n/(2|x_n|))} |\nabla u_{\sigma_n}|^2 \geq \varepsilon_0 \tag{18}$$

(otherwise  $u_{\sigma_n}$  would be regular at  $x_n/(2|x_n|)$ ).

Since  $u_{\sigma_n} \rightarrow \psi$  strongly in  $H^1$ , we may pass to the limit in (18) and conclude that

$$\frac{1}{r} \int_{B_r(l/2)} |\nabla v|^2 \geq \varepsilon_0, \quad \text{for every } r. \tag{19}$$

Since  $v(x) = \psi(x/|x_n|)$  the left-hand side in (16) is of the order of  $\int_{S^2 \cap B_{2r}(l)} |\nabla_T \psi|^2$ . This is impossible since  $\psi \in H^1(S^2)$ . This completes the proof of Theorem 4.

#### 4. BOUNDARY REGULARITY

Here we complete the proof of Theorem 1 by showing that every minimizer of  $F_\lambda$  is smooth in some neighborhood of  $\partial\Omega$ . This follows essentially the same pattern as above with the following modifications:

(a) *Reverse Hölder Inequality near  $\partial\Omega$*

**THEOREM 2'.** *There exist constants  $q > 2$  and  $C_1, C_2$  (depending only on  $\psi$ ) such that*

$$\left( \int_{B_r(x_0) \cap \Omega} |\nabla u|^q \right)^{1/q} \leq C_1 \left( \int_{B_{2r}(x_0) \cap \Omega} |\nabla u|^2 \right)^{1/2} + C_2 \|\nabla \varphi\|_{L^\infty(\partial\Omega)}$$

for any  $x_0 \in \partial\Omega$ .

To prove Theorem 2' we adapt an idea of Jost and Meier [JM], namely we use as testing function in the inequality

$$F_\lambda(u) \leq F_\lambda(w)$$

the map

$$w = \Pi_a(u - \eta(x)(u - \bar{\varphi})),$$

where  $\bar{\varphi}$  is the usual harmonic extension of  $\varphi$ ,  $\eta$  is some appropriate cut-off function with support in  $B_r$  (as in [JM, Lemma 1]), and  $\Pi_a$  is the radial projection with vertex at some appropriate  $a$  (as in [HKL]). As in Lemma 1 of Section 1 we have

$$\int_{B_r} |\nabla u|^2 \leq \frac{1+\lambda}{1-\lambda} \int_{B_r} |\nabla w|^2 \leq C \left( \frac{1+\lambda}{1-\lambda} \right) \int_{B_r} |\nabla(u - \eta(u - \bar{\varphi}))|^2.$$

We then proceed as in [JM] to derive the conclusion of Theorem 2'.

(b)  $\varepsilon$ -Regularity

The counterpart of Theorem 3 is

**THEOREM 3'.** *There is some  $\varepsilon_1 > 0$  (depending only on  $\lambda$ ) and  $r_0$  (depending only on  $\varphi$ ) such that if*

$$\frac{1}{r} \int_{B_r(x_0) \cap \Omega} |\nabla u|^2 < \varepsilon_1 \quad \text{for some } r < r_0 \text{ and } x_0 \in \partial\Omega,$$

then  $u$  is smooth on  $B_{r/4}(x_0) \cap \Omega$  (and  $u$  is a minimizing harmonic map on  $B_{r/4}(x_0) \cap \Omega$  in the usual sense).

The proof is essentially the same as the proof of Theorem 3, except that  $r_0$  is chosen so small that  $\varphi(\partial\Omega \cap B_r(x_0)) \subset W_{\delta_1}^-$  for some suitable  $\delta_1$ .

(c) *Monotonicity Formula*

Set  $E(r)$  and  $\alpha(r)$  as in Section 4 (Step 1) except that  $B_r$  is replaced by  $B_r(x_0) \cap \Omega$  with  $x_0 \in \partial\Omega$ . The counterpart of Lemma 4 is

**LEMMA 4'.**

$$\frac{d}{dr} \left( \frac{1}{r} E(r) \right) \geq \frac{1}{r} \alpha(r) - C$$

for some constant  $C$  depending only on  $\|\nabla\varphi\|_{L^\infty(\partial\Omega)}$ .

The proof has the same ingredients as in [GMS2] together with Lemma 1.3 of [SU2].

(d) *Blow-Up and Conclusion*

Let  $x_0 \in \partial\Omega$  and let  $u$  be a minimizer of  $F_\lambda$  on  $H_\varphi^1$ . For simplicity assume that  $\partial\Omega$  is flat near  $x_0$  with outward normal  $(0, 0, -1)$ . Set

$$u_\sigma(x) = u(\sigma x)$$

for  $x \in B_+^3 = \{(x_1, x_2, x_3) \in B^3; x_3 \geq 0\}$ . As in Step 2 of Section 3,  $u_{\sigma_n} \rightharpoonup v$  weakly in  $H^1(B_+^3)$  and  $\partial v / \partial r = 0$ . Therefore  $v(x) = \psi(x/|x|)$  for some  $\psi \in H^1(S_+^2; S^2)$ . As above  $u_{\sigma_n}$  is bounded in  $L^q(B_+^3)$  for some  $q > 2$  and  $u_{\sigma_n} \rightarrow v$  strongly in  $H^1(B_+^3)$ . In particular  $v \in W^{1,q}(B_+^3; S^2)$  and so  $\psi \in W^{1,q}(S_+^2; S^2)$ . On the other hand  $v$  is (weakly) harmonic and constant on  $\partial B_+^3 \cap [x_3 = 0]$ . Hence  $\psi$  is weakly harmonic from  $S_+^2$  into  $S^2$  and  $\psi$  is constant on  $\partial S_+^2$ . Since  $\psi \in W^{1,q}(S_+^2)$  with  $q > 2$ , it follows (by bootstrap) that  $\psi$  is smooth on  $S_+^2$ . Using a result of [L] we deduce that  $\psi$  is constant on  $S_+^2$ . Hence

$$\int_{B_+^3} |\nabla u_\sigma|^2 < \varepsilon_1 \quad \text{for } \sigma \text{ small enough,}$$

i.e.,

$$\frac{1}{r} \int_{B_r(x_0) \cap \Omega} |\nabla u|^2 < \varepsilon_1 \quad \text{for } r \text{ small enough.}$$

By Theorem 3' we conclude that  $u$  is smooth on  $B_{r;4}(x_0) \cap \Omega$ .

5. A VARIANT OF THE RELAXED ENERGY

Here we assume that  $\varphi: \partial\Omega \rightarrow S^2$  is given and smooth but  $\deg \varphi$  need not be zero. We fix  $v \in H_\varphi^1(\Omega; S^2)$  and we set

$$L(u, v) = \frac{1}{4\pi} \sup_{\substack{\zeta: \Omega \rightarrow \mathbb{R} \\ \|\nabla \zeta\|_x \leq 1}} \left\{ \int_\Omega D(u) - D(v) \cdot \nabla \zeta \, dx \right\}.$$

(Note that  $L(u, v) = L(u)$  if  $\deg \varphi = 0$  and  $v$  is smooth.) The functional

$$\Phi_\lambda(u) = \int |\nabla u|^2 + 8\pi\lambda L(u, v), \quad \lambda \in [0, 1]$$

introduced in [BBC] is also weakly lower semicontinuous for the weak topology on  $H^1$  and minimizers of  $\Phi_\lambda$  on  $H_\varphi^1$  are weakly harmonic maps.



**THEOREM 5.** *Assume  $v$  is smooth on  $\bar{\Omega}$  except at isolated singularities then any minimizer  $u$  of  $\Phi_\lambda$  is smooth except at isolated singularities.*

*Sketch of Proof.* Let  $S$  be the singular set of  $v$ . Using the same arguments as in the proof of Theorem 1 it is easy to see that on every compact subset of  $\bar{\Omega} \setminus S$ ,  $u$  has only isolated singularities. It could still happen that singularities of  $u$  accumulate on  $S$ . This is excluded by a blow-up analysis centered at a point on  $S$ .

*Remark 2.* We recall that in [BBC] we have proved that if  $\deg \varphi \neq 0$  the minimizers of  $\Phi_\lambda(u)$  are distinct for a sequence  $(\lambda_n)$ . By Theorem 5 these minimizers are smooth except at isolated points. For example, if  $\varphi(x) = x$  we find infinitely many distinct harmonic maps with isolated singularities and such that  $u = \varphi$  on  $\partial\Omega$ .

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