

# Permutation Groups of Finite Morley Rank

Gregory Cherlin

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Morley rank:  $\text{rk}(X)$  (notion of dimension, for  $X$  definable)

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## Theorem (with Borovik)

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## Theorem (with Borovik)

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Method: structure theory for *simple* groups of finite Morley rank.

1 I. Definably primitive groups

2 II. Bounds

3 III. From  $\rho_S$  to  $\tau$

4 IV. Structure Theory

# Primitivity

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As it happens, these notions are equivalent except when the point stabilizer  $G_\alpha$  is finite.



# Primitivity

Primitive: no nontrivial invariant equivalence relation on  $X$ .

Definably primitive: no nontrivial definable invariant equivalence relation on  $X$ .

Group-theoretically:  $G_\alpha < G$  maximal, or definably maximal.

# Examples

## Intransitive [Gropp]

Ingredients: field  $K$ , vector space  $V$ , 1-dim space  $L$ , and maps

$$\lambda : V \rightarrow L \text{ linear; } f : L \rightarrow V \text{ space curve}$$

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Faithful action on a rank 2 set of unbounded rank.

Orbits:  $L_x = \{x\} \times L$ . Kernel of the induced action:

$$A.v \in \ker \lambda \quad (v = f(x))$$

Varying with  $x$ .

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## Imprimitive

$G$  algebraic acting on  $V$ ,  $W \leq V$  containing no  $G$ -invariant subspace.  $\hat{G} = V \rtimes G$  acting on the coset space  $W \backslash \hat{G}$ .

We want:  $G$  fixed,  $\dim(V/W)$  fixed,  $\dim(V) \rightarrow \infty$ .

- $G$  simple,  $V$  irreducible,  $W$  a hyperplane.
- $G$  a torus, all eigenspaces 1-dimensional,  $W$  avoids them.

# Generic Multiple Transitivity

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$$\text{rk}(X \setminus \Omega) < \text{rk}(X)$$

Generically  $t$ -transitive: generically transitive on  $X^t$ .

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Not fully classified, even for actions of algebraic groups (Popov).



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**2 II. Bounds**

3 III. From  $\rho_S$  to  $\tau$

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# Bounds

$(G, X)$  finite Morley rank,  $r = \text{rk}(X)$  (fixed)

$\rho(r)$ :  $\sup(\text{rk}(G))$  with  $(G, X)$  definably primitive.

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$\rho_S(r)$ :  $\sup(\text{rk}(G))$  with  $r$  fixed,  $G$  simple, acting faithfully on  $X$ .

$\tau_S(r)$ :  $\sup t(G, X)$  i.e. the degree of generic multiple transitivity, with  $(G, X)$  definably primitive and simple.

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## Theorem

*All of these are bounded in terms of  $\text{rk}(X)$ .*

# Bounds

Ranks of:

- Simple groups acting transitively;
- Generically highly transitive definably primitive (or simple) groups;
- Definably primitive groups.

## Theorem

*All of these are bounded in terms of  $\text{rk}(X)$ .*

Strategy: Definably primitive  $\rightarrow$  generically highly transitive  $\rightarrow$  simple transitive  $\rightarrow$  simple highly transitive  $\rightarrow$  structure theory.

From  $\tau$  to  $\rho$  or  $\tau_S$  to  $\rho_S$ 

$\rho$ :  $\text{rk}(G)$ ;  $\tau$ : degree of generic transitivity

## Proposition

$$r \cdot \tau(r) \leq \rho(r) \leq r\tau(r) + \binom{r}{2}.$$

Remark: For transitive groups  $\text{rk}(G) \geq \text{rk}(X)$  and so  $\rho \geq r \cdot \tau$ .

From  $\tau$  to  $\rho$  (cont.)

$o_k$ : the *generic rank* of an orbit with  $k$ -independent elements fixed ...

$\alpha = (\alpha_1, \dots, \alpha_k)$  generic and independent

$$\{x \in X : rk(x^{G_\alpha^\circ}) = o_k\} \text{ generic in } X$$



From  $\tau$  to  $\rho$  (cont.)

$o_k$ : the *generic rank* of an orbit with  $k$ -independent elements fixed ...

## Lemma

If  $0 < o_k < rk(X)$  then  $o_{k+1} < o_k$ .

In short order  $o_k = 0$ ,  $G_\alpha^\circ$  acts generically trivially, and  $G_\alpha$  is finite.

From  $\tau$  to  $\rho$  (cont.)

$o_k$ : the *generic rank* of an orbit with  $k$ -independent elements fixed ...

## Lemma

If  $0 < o_k < rk(X)$  then  $o_{k+1} < o_k$ .

Idea:  $o_{k+1} = o_k$  means orbits are generically unaffected by fixing an independent point; this reveals a  $G$ -invariant definable equivalence relation, whose classes are approximately these orbits.

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# MP-OSA

$G$  generically highly transitive and definably primitive. The objective is to reduce to the simple case.

Finite group theory: O'Nan-Scott-Aschbacher (OSA)

Finite Morley rank: Macpherson-Pillay (MP-OSA)

Definable Socle: the group generated by minimal definable normal subgroups.

# MP-OSA

$G$  generically highly transitive and definably primitive. The objective is to reduce to the simple case.

## Theorem (MP-OSA, preamble)

$(G, X)$  definably primitive with socle  $B$ . Two cases:

- *Affine case:  $B$  abelian,  $G = B \rtimes G_\alpha$ . The action of  $G$  on  $X$  corresponds to the action of  $G$  on  $B$  by translation and conjugation.  $B$  is  $G_\alpha$ -minimal.*
- *Else  $B = T_1 \times \cdots \times T_k$  is a product of isomorphic simple groups.*

Simple groups are at least in view here. To bring them onto the scene as the central characters takes some more analysis.

# Affine groups

$G = A \rtimes H$  ( $H$  acts on  $A$ ).

Use  $rk(A)$  to control  $rk(H)$ , ( $A$   $H$ -minimal, i.e.  $G$  definably primitive).

## Theorem

*Let  $(H, A)$  be as stated and  $r = rk(A)$ . Then one of the following holds.*

- *$A$  is torsion free, divisible: then  $rk(H) \leq r^2$*
- *$A$  is elementary abelian: in this case, if every definable simple nonabelian subgroup of  $H$  has rank at most  $s$ , then*

$$rk(H) \leq \max(r^2, r(s^2 + s))$$

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The bound  $r^2$  corresponds to the linear case:  $r$  being the “dimension” of the “space” on which  $H$  acts. The proof reflects this idea.

# Affine groups

## Theorem

Let  $(H, A)$  be as stated and  $r = \text{rk}(A)$ . Then one of the following holds.

- $A$  is torsion free, divisible: then  $\text{rk}(H) \leq r^2$
- ...

If  $A$  is torsion free then  $A$  is pointwise definable from a sequence of at most  $r$  elements  $a = (a_1, \dots, a_n)$  and therefore  $G_a = 1$ ,  $\text{rk}(G) \leq nr \leq r^2$ .



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The case *A elementary abelian* takes more machinery, notably Wagner's results on fields of finite Morley rank.

# Nonabelian socles

$(G, X)$  definably primitive with nonabelian definable socle, and  $r = rk(X)$ .

## Lemma

*If  $G$  has more than one minimal normal definable subgroup, then  $rk(G) \leq r^2 + 2r$ .*

(Quite similar to the case of divisible abelian socle in fact.)

## More MP-OSA

The other case:

### Notation

*$L$  is the unique minimal normal definable subgroup of  $G$ ;  
 $L = \prod L_i$  with  $L_i$  isomorphic simple groups.*

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 $L = \prod L_i$  with  $L_i$  isomorphic simple groups.*

There are two possibilities:

- (a) The point stabilizer is  $L_\alpha = \prod_i (L_i)_\alpha$ ;
- (b)  $L = \prod L_i$  with each  $L_i$  a product of  $k$  simple factors  $L_j$  ( $i \in I$ ),  $k \geq 2$ , and  $(L_i)_\alpha$  a diagonal subgroup.

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### Lemma

*Correspondingly, with  $s = rk(L_i)$ , we have*

- (a)  $rk(G) \leq r(s + s^2)$ ;
- (b)  $rk(G) \leq 2(r^2 + r)$ .

What is wanted is **a bound on  $s$** .

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# Involutions

A generically  $t$ -transitive group, with  $t \geq 2$  contains an element of order 2.

## Theorem (Classification)

*Let  $G$  be a simple group of finite Morley rank containing an involution. Then one of the following holds.*

- *$G$  is a simple algebraic group.*
- *$G$  contains a nontrivial 2-torus.*

*2-torus*: Divisible abelian 2-group.  
So much for structure theory.

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The rest of our strategy comes down to *control of  $p$ -tori*.

Objectives:

- Bound the ranks of  $p$ -tori above in terms of  $r$ ;
- Bound the ranks of  $p$ -tori below in terms of  $t$ .



# Actions of $p$ -tori

## Lemma

*Let  $(G, \Omega)$  be a definably primitive permutation group of finite Morley rank,  $T$  a definable divisible abelian subgroup, and  $O(T)$  its largest definable torsion free subgroup. Then*

$$rk(T/O(T)) \leq rk(X)$$

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## Proof.

$T_0$  be the torsion subgroup of  $T$ . Let  $\alpha \in X$  be generic over  $T_0$ . Then  $T_\alpha \cap T_0 = 1$ .

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So  $T_\alpha \leq O(T)$  and

$$rk(T/O(T)) \leq rk(T/T_\alpha) = rk(\alpha^T) \leq rk(X)$$



# Actions of $p$ -tori

## Lemma

*Let  $(G, \Omega)$  be a definably primitive permutation group of finite Morley rank,  $T$  a definable divisible abelian subgroup, and  $O(T)$  its largest definable torsion free subgroup. Then  $\text{rk}(T/O(T)) \leq \text{rk}(X)$*

In particular if  $G$  is algebraic and  $T$  is a maximal torus, its algebraic dimension is bounded by  $\text{rk}(X)$ , after which the classification of simple algebraic groups suffices.

## Sporadic groups?

Key case: a generically highly transitive simple group  $G$  with a nontrivial 2-torus of bounded Morley rank.

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So what?

If  $G$  is generically  $t$ -transitive and  $\alpha = (x_1, \dots, x_t)$  is a sequence of generic and independent elements of  $X$ , then  $G$  induces the action of  $Sym_t$  on this set.

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So what?

Better:

If  $G$  is generically  $2t$ -transitive then  $G_\alpha$  is generically  $t$ -transitive and  $N(G_\alpha)$  induces the action of  $Sym_t$  on these elements.

## Sporadic groups?

Key case: a generically highly transitive simple group  $G$  with a nontrivial 2-torus of bounded Morley rank.

So what?

Simplify: imagine  $Sym_t$  acting on  $G_\alpha$ ; even better, on a maximal 2-torus  $T$  of  $G_\alpha$ . From there one would expect to *pump up* the rank of  $T$ , so bounding the rank of  $T$  above would finally control  $t$ !

A little too enthusiastic . . . ?



## A maximal 2-torus

$(G, X)$  highly generically transitive.

Imagine:  $H$  a connected definable subgroup, also pretty highly generically transitive.

$$\alpha = (x_1, \dots, x_n)$$

a *long* sequence of generic independent points.  $T$  a maximal 2-torus of  $H_\alpha$ . And  $\Sigma$ , some finite group resembling  $Sym_n$ , acting on  $T$ .

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We have a bound on  $rk(T/O(T))$ , namely  $rk(X)$ .

If  $\Sigma$  **acts faithfully**, then yes, we can pump up  $rk(T/O(T))$  in terms of  $n$  i.e. bound  $n$  in terms of  $rk(X)$ —and be done.

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Oops!

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## Lemma

*Let  $H$  be simple of finite Morley rank,  $T$  a maximal 2-torus (nontrivial). Then  $T$  contains all the 2-elements in  $C(T)$ .*

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$$rk(G) - rk(H) \leq (kr + 1)r$$

*so that the point stabilizer  $H_\alpha$  contains a maximal 2-torus of  $H$  ( $\alpha$  an independent generic sequence of length  $k$ ).*

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These two together give a *faithful* action of “ $\text{Sym}_k$ ”.

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*so that the point stabilizer  $H_\alpha$  contains a maximal 2-torus of  $H$  ( $\alpha$  an independent generic sequence of length  $k$ ).*

So  $rk(T/O(T))$  grows with  $t$  (linearly) and is bounded by  $r$ .

(Done)



# Many problems

The bounds are weak.

$$r = 0: \rho = 0$$

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## Problem (Borovik)

*Let  $G$  be connected, acting transitively and generically  $(n + 2)$ -transitively on a set of Morley rank  $n$ . Then  $G$  is the projective group acting on projective space over an algebraically closed field.*

(For 18 more or less related problems, see *Permutation groups of finite Morley rank*, Newton Proceedings Volume, to appear.)