

Structure /
Nonstructure
in Finite Model
Theory

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WQO

Universality

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Structure / Nonstructure in Finite Model Theory

Gregory Cherlin



ASL Annual Meeting
UCB March 25 (2:40–3:10)

in fact, my friend, it's not safe to make thin cuts; it's safer to go along cutting through the middle of things, and that way one will be more likely to encounter real classes. ... whenever there is a class of anything, it is necessarily also a part of whatever it is called a class of, but it is not at all necessary that a part is a class.

Plato, *Statesman* (C. J. Rowe trans.),
lines 262b and 263b in Stephanus.

Decision Problems with finite constraints

Tameness problems for classes of finite structures

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Tameness problems for classes of finite structures

- \mathcal{Q} : a class of finite structures with an embedding relation \leq
- \mathbb{P} : a property of subsets of \mathcal{Q}
- $\mathcal{C} \subseteq \mathcal{Q}$ a set of constraints
- $\mathcal{Q}_{\mathcal{C}} : \{q \in \mathcal{Q} : c \not\leq q (c \in \mathcal{C})\}$

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Problem $(\mathcal{Q}, \mathbb{P}, \mathcal{C})$: $\mathbb{P}(\mathcal{Q}_{\mathcal{C}})$?

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Two cases of interest:

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- $\mathbb{P} = \text{WQO}$: No infinite antichain

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Two cases of interest:

- $\mathbb{P} = \text{WQO}$: No infinite antichain
- *Universality*: The class has a universal countable limit.

I WQO

- *Worst case scenario*
- *A Finiteness Theorem*
- *Concrete Cases*

II Universality

- *Beyond the pale*
- *Within the pale*

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1 WQO

2 Universality

Theorem (Harvey Friedman)

There is a computable well-founded partial ordering \leq^ of \mathbb{N} for which the set*

$$\{n \in \mathbb{N} : (\mathbb{N}, \leq^*)_n \text{ is WQO}\}$$

is complete Π_1^1 .

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Construction: (\mathbb{N}, \leq_1) computably linearly ordered so that

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$\leq^* = \leq \cap \leq_1$ — Then $(\mathbb{N}, \leq^*)_n$ is WQO iff $(\mathbb{N}, \leq_1)_n$ is WO

A Finiteness Theorem

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Theorem (CL2000)

Let \mathcal{Q} be a well-founded quasiorder and k fixed. Then there is a finite set Λ_k of infinite antichains such that:

*$\forall C \subseteq \mathcal{Q}$ if $C \subseteq \mathcal{Q}$, $|C| \leq k$, and \mathcal{Q}_C is not WQO,
then there is an antichain $I \in \Lambda_k$ with $I \subseteq^* \mathcal{Q}_C$.*

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*Idea (Nash-Williams): Use I such that
 $\mathcal{Q}^{<<I} = \{q : q \leq \text{almost all } a \in I\}$ is WQO.*

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Construction:

$$\Lambda_{k+1} = \Lambda_k \cup \bigcup_{I_1, \dots, I_{k+1} \in \Lambda_k} \{I_C : \text{critical } C \text{ in } \prod_i \mathcal{Q}^{<<I_i}\}$$

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Open sets \mathcal{Q}_C with C finite.
Points $\mathcal{Q} \ll \mathcal{I}$.

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Open sets \mathcal{Q}_C with C finite.
Points $\mathcal{Q}^{\ll I}$.

Favorable Case:

- Isolated points are dense;
- Isolated points are effectively given ($\mathcal{Q}^{\ll I}$ decidable).

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Question: Does this happen in the cases of interest?

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Open sets Q_C with C finite.
Points $Q^{\ll I}$.

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Question: Does this happen in the cases of interest?
(Yes in one simple case: vertex colored paths)

Cases of Interest: Graphs

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- Graphs with forbidden subgraphs:

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- Graphs with forbidden subgraphs:

G. Ding 1992: $I_0 = \text{cycles}$, $I_1 = \text{bridges}$

$$\Lambda = \{I_0\}$$

the unique isolated point.

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the unique isolated point.

- Graphs with forbidden **induced** subgraphs:

Unclear ...

Cases of Interest: Tournaments

- Tournaments:

$\Lambda_1 = \{I_1, I_2\}$: $I_1 = \{N_{1,n,D} : n \geq 7\}$, $I_2 = \{N_{2,2n+1,H} : n \geq 4\}$.

$N_{k,n}$: Linear of order n , but with successors and edges (i, j) with $i \equiv j \pmod k$ reversed.

$N_{k,kn+1,D}$ or $N_{k,kn,H}$: “mark” the ends (1 or 2 marker vertices)

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Theorem (Latka)

A set of finite tournaments determined by one “forbidden tournament” is wqo iff the infinite antichains I_1, I_2 are incompatible with the constraint.

Proof: tree decompositions and Kruskal's Lemma.

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Proof: tree decompositions and Kruskal’s Lemma.

Corollary

The WQO problem for classes of tournaments determined by at most two forbidden tournaments is (p-time) decidable.

Remark. No actual bound on the degree of the polynomial

...

Cases of Interest: Pattern Classes

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- Pattern Classes of Permutations

Theorem (Knuth, 1969)

The permutations which can be sorted using a stack are those omitting the pattern (231) and their number is given by the Catalan numbers (cf. Macmahon 1915).

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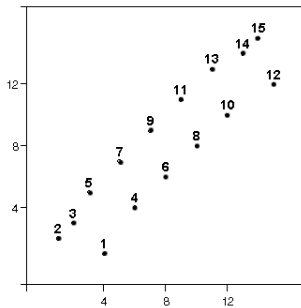
The permutations which can be sorted using a stack are those omitting the pattern (231) and their number is given by the Catalan numbers (cf. Macmahon 1915).

Themes:

- Characterize permutations sortable by variations on stacks
- Algorithmic problems for such classes of permutations
- Rates of growth for the the numbers of such permutations
- WQO (Antichains)

Tournaments: Infinite Antichains

An antichain:



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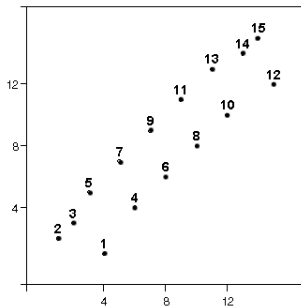
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Tournaments: Infinite Antichains

An antichain:



$|\Lambda_1| = 3$ [Atkinson/Murphy/Ruškuc 2002]

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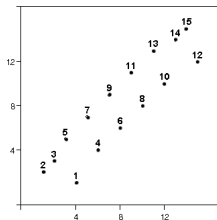
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Tournaments: Infinite Antichains

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References:

N. Ruškuc, "Decidability questions for pattern avoidance classes of permutations," in *Third International Conference on Permutation Patterns, Gainesville, Fla., 2005*

S. Waton, *On Permutation Classes Defined by Token Passing Networks, Griding Matrices, and Pictures: Three Flavours of Involvement*, Ph.D. Thesis, St. Andrews, 2007

The Main Question

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Once more:

\mathcal{Q} : Finite relational structures with signature σ (with symmetry conditions).

- Isolated points are dense?
- Isolated points are effectively given ($\mathcal{Q}^{<<I}$ decidable)?

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2 **Universality**

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(\mathcal{Q}, \leq) : **weak** substructure.

Property \mathbb{P} : existence of a universal countable *limit*

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(?) **When does a finite set of forbidden structures
allow a universal structure?**

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Examples (Graphs)

- Forbid K_n (Henson via Fraïssé)
- C a set of cycles: *forbid odd cycles up to some fixed size* (CSS, 1999)

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Theorem

With forbidden **induced** subgraphs **this question is
undecidable**

(which is to be expected)

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with forbidden **weak** substructures, there is a good theory.

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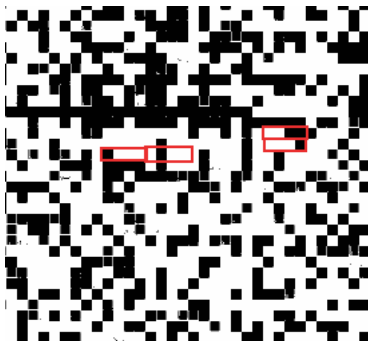
with forbidden **weak** substructures, **there is a good theory**.

... why the difference? ...

Undecidability

Tiling Problems

0-1 tilings



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Undecidability

Tiling Problems

0-1 tilings



Structurally, these are models of the form (\mathbb{Z}, S, R) with S the successor function and R a binary relation.

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Tiling Problems

0-1 tilings



Structurally, these are models of the form (\mathbb{Z}, S, R) with S the successor function and R a binary relation.

When **there is a tiling** then some further decoration of \mathbb{Z}^2 gives 2^{\aleph_0} countable variations, and **no universal structure**.

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When there is **no tiling** then there is a bound on the sizes of connected components, and **there is a universal homogeneous structure**.

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To forbid a pattern places a condition on **induced** subgraphs.

Structural Analysis

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Algebraic Closure

$\text{acl}_C(A)$: e.g., if you bound the vertex degree by forbidding a star, then the algebraic closure of a point is its connected component.

Structural Analysis

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Algebraic Closure

$\text{acl}_C(A)$: e.g., if you bound the vertex degree by forbidding a star, then the algebraic closure of a point is its connected component.

Theorem

*If the algebraic closure operator is **locally finite** then the model completion of the theory of C -free graphs is \aleph_0 -categorical (and its model is universal).*

Other tameness conditions

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Other tameness conditions

- Number of models

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Other tameness conditions

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- Number of models
- Stability and its kin (for the associated theory).

Question

Is stability (and so on) a decidable property, as a function of the constraint set C ? Is this combinatorially interesting (or robust) at the finite level?