

# $L^*$ -GROUPS OF ODD TYPE WITH RESTRICTED 2-TORAL ACTIONS II. PRÜFER RANK 2 AND 2-RANK AT LEAST 3: COMPONENT ANALYSIS

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ABSTRACT. We begin the analysis of connected simple  $K^*$ -groups of finite Morley rank and odd type having Prüfer 2-rank 2 and 2-rank at least 3. More generally, we consider certain simple  $L^*$ -groups of odd type; degenerate type simple sections are allowed, but their definable automorphism groups are restricted. The present paper analyzes algebraic components in centralizers of involutions, isolating the expected configurations involving components that would be encountered in groups of type  $\mathrm{PSP}_4$  or  $G_2$ , namely  $\mathrm{SL}_2 * \mathrm{SL}_2$  and possibly  $\mathrm{PSL}_2$ . The recognition problem (via verification of  $BN$ -pair axioms) will be discussed subsequently. At that point one exotic configuration appears in the case of  $G_2$  which can be eliminated in the context of finite simple group theory but which has not been eliminated in the finite Morley rank context.

In the general  $L^*$  context the so-called *uniqueness case* presents additional difficulties, but in the present case, as 2-rank is assumed to be greater than Prüfer rank, the uniqueness case is eliminated by prior work.

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## 1. INTRODUCTION

**1.1. The Algebraicity Conjecture(s).** The Algebraicity Conjecture for simple groups of finite Morley rank states that connected simple groups of finite Morley rank are simple algebraic groups over algebraically closed fields.

The Sylow 2-subgroup in a group  $G$  of finite Morley rank has a subgroup of finite index of the form

$$U * T$$

with  $U$  definable, connected, of finite exponent, and  $T$  a divisible abelian 2-group. The group  $G$  is said to have even, odd, mixed or degenerate type according as  $T$  is trivial, or  $U$  is trivial, or neither is trivial, or both are, respectively. Odd type includes algebraic groups over algebraically closed fields of any characteristic other than 2, including characteristic 0. The classification by type is independent of the choice of Sylow 2-subgroup, as they are conjugate.

This four-way division into types gives us four versions of the Algebraicity Conjecture which may appear to be inextricably interlinked, but this is misleading. The even and mixed type cases have been resolved, independently of the other two types.

*Even & Mixed Type Theorem* ([ABC08]). There are no connected simple groups of finite Morley and mixed type. Those of even type are algebraic; more precisely, they are Chevalley groups over algebraically closed fields of characteristic 2.

The case of degenerate type represents both the most doubtful and the most difficult portion of the conjecture. However, a mix of methods from finite group theory (both the theory of finite simple groups, and black box group theory) with model theoretic ideas of a more geometric character suffices to prove the following, which we will find useful here, even though our focus will be on groups of odd type.

*Degenerate Type Theorem* ([BBC07]). A connected group of finite Morley rank and degenerate type has trivial Sylow 2-subgroup.

In other words, any connected group of finite Morley rank which contains an involution has an infinite Sylow 2-subgroup.

The case of odd type can be approached by methods closely parallel to methods used in finite simple group theory, but does lead back to difficult problems in degenerate type. One of our concerns has been to separate the difficulties which may be viewed as inherited from the degenerate case from those specific to the analysis in odd type.

We are concerned accordingly with the following.

*Odd Type Algebraicity Conjecture.* A connected simple group of finite Morley rank of odd type is a Chevalley group over an algebraically closed field of characteristic other than 2.

If one combines this conjecture with the known results it can also be put in the following form.

*Non-Degenerate Type Algebraicity Conjecture.* A connected simple group of finite Morley rank which contains an involution is a Chevalley group over an algebraically closed field.

**1.2. Inductive strategies.** The analysis of groups of finite Morley rank is inductive, or bottom-up, but really divides into three somewhat independent layers—thin, quasi-thin, and generic type (i.e., tiny, small, and typical)—each with their own particular techniques. In terms of the Algebraicity Conjecture these layers should correspond to Lie ranks 1, 2, or above.

Whichever layer one considers, one typically assumes that the group under consideration is a minimal counterexample to the Algebraicity Conjecture. That is, one works inductively. But it is desirable to restrict this induction hypothesis in a way which clarifies what is actually required for the inductive argument, and which disentangles the various portions of the Algebraicity Conjecture as far as is possible. It

is this approach which led to an unconditional proof of the Even and Mixed Type conjectures without first dealing with the case of degenerate type.

In the odd type case, a similar approach throws into relief what the salient problems are for groups of degenerate type, and possibly some other groups of Prüfer rank 1, with regard to the Algebraicity Conjecture for groups with involutions.

Building on a sequence of results of increasing generality, the following was shown in [BC22a].

*High Prüfer Rank Theorem.* Let  $G$  be a simple group of finite Morley rank of odd type with Prüfer 2-rank at least three. Then one of the following applies.

- (1)  $G$  is a Chevalley group over an algebraically closed field of characteristic other than 2.
- (2)  $G$  has a proper definable strongly embedded subgroup.
- (3)  $G$  has a definable simple section of odd type which is non-algebraic and has Prüfer rank at most 2.
- (4)  $G$  has a definable simple section of degenerate type on which some definable section of  $G$  of odd type acts faithfully as a group of automorphisms.

Note that in the last case, the section which acts faithfully can be supposed to be the definable hull of a nontrivial 2-torus.

In the  $K^*$  case, where all proper definable connected simple sections are assumed to be algebraic, it is known that one can eliminate the second alternative, and for that step it would suffice to have Prüfer rank at least 2.

To put this in an inductive setting, we assume that proper definable connected simple sections *with involutions* are algebraic, which

eliminates the third alternative, and that any definable automorphism groups of connected simple sections of degenerate type are themselves of degenerate type, which eliminates the last alternative; but we allow simple definable sections of degenerate type. The High Prüfer Rank Theorem gives either an identification or a configuration of “uniqueness type” that calls for further analysis. One knows in this uniqueness case that Prüfer 2-rank and ordinary 2-rank agree, which is already somewhat pathological.

We continue here, and in subsequent papers, to analyze the situation in Prüfer 2-rank 2 and 2-rank at least 3, under similar inductive hypotheses. The restriction on automorphism groups of simple sections of degenerate type is denoted  $\text{NTA}_2$ , which is intended to suggest the phrase “no 2-toral automorphisms.” A similar restriction on infinite elementary abelian 2-groups acting faithfully on degenerate type groups is known as Altinel’s lemma and was the starting point for the successful analysis of groups of even and mixed type—where it is a lemma, rather than an assumption.

**1.3. The target theorem.** We aim ultimately at the following result, in which the terms “ $L^*$  group” and “ $\text{NTA}_2$ ” refer to our inductive hypotheses on simple sections of odd type, or on automorphism groups of simple sections of degenerate type, respectively (Definition 2.1). Since the proof is not given in this paper we treat this as a conjecture here.

*Conjecture 1.* Let  $G$  be a connected simple  $L^*$  group of finite Morley rank of odd type satisfying the condition  $\text{NTA}_2$ , with Prüfer 2-rank 2 and

$$m_2(G) \geq 3.$$

Then either  $G$  is a simple Chevalley group over an algebraically closed field ( $\text{PSp}_4$  or  $G_2$ ), or  $G$  has 2-rank 3 and involves a configuration known from finite simple group theory, associated with groups of type

$G_2$  in characteristic 3, but with the wrong Borel subgroup (normalizer of a Sylow 3-subgroup),

We intend to give our analysis in three parts. The first part, *component analysis*, will be given here. This part is lengthy and troublesome, and involves a number of special cases which do not arise in nature and must be eliminated. We were able to bypass this kind of detailed analysis in the case of higher Prüfer rank by very general considerations.

With the analysis of the present paper in hand, one can prove a recognition theorem for  $\mathrm{PSp}_4$ , corresponding to the case of Prüfer rank 2 and 2-rank at least 4 [BC22b]. In the case of Prüfer rank 2 and 2-rank 3 the target group is  $G_2$ . Here one gets either the desired identification or a rather specific configuration encountered also in the finite case, involving a base field of characteristic 3 [BC22c]. In the finite case the corresponding configuration is eliminated by character theoretic arguments.

The method of proof of the analog of Conjecture 1 in the case of higher Prüfer rank (where however there is also an unresolved case involving strongly embedding, when the Sylow 2-subgroup is a 2-torus) is to aim at a form of the Curtis-Tits theorem, involving generation by root  $\mathrm{SL}_2$ -subgroups. That approach requires having some control of Lie rank 2 subgroups a priori and is not appropriate here.

In Prüfer rank 2 we aim at a direct construction of a BN-pair, once the necessary component analysis is in hand, and then apply results of Kramer, Tent, and van Maldeghem to identify the group. To verify that the expected  $(B, N)$ -pair has the desired properties, we first verify that the Weyl group has the expected structure, and then examine its action on root groups, reaching a qualitative approximation to the Chevalley commutator formula holds for positive roots, after which the appropriate properties follow.

As far as the pathological configuration arising in the  $G_2$  analysis is concerned, while number of finite group theoretic arguments based



on character theory have been successfully replaced in the context of groups of finite Morley rank by structural analyses, using for example the fact that any definable infinite field will be algebraically closed, the particular configuration that arises appears to be challenging, even in the setting of  $K^*$  groups.

Conjecture 1 leaves the case of Prüfer rank 2 and 2-rank 2 entirely open. Conjecturally of course this should correspond to groups of type  $\mathrm{PSL}_3$  but this is not part of what we are aiming at.

**1.4. Component analysis.** As in finite group theory the analysis in groups of sufficiently high 2-rank begins with an examination of the groups  $E(C_G(i))$  for involutions  $i$ , which in our context shifts to the subgroup  $E_{\mathrm{alg}}(C_G(i))$ , which is the product of the algebraic components. In favorable cases this analysis is handled largely by signalizer functor theory.

Along the way, some delicate points arise which call for the use of unipotence theory in the finite Morley rank context. The general theory was already given in [BC22a] at a level of generality that allows for some applications in our current setting (Prüfer rank 2, 2-rank at least 3). Up to a point this treatment is fairly uniform, but in Prüfer rank at least 3 there comes a point at which one can study the interaction of subgroups of type  $(\mathrm{P})\mathrm{SL}_2$  by reduction to the case of Prüfer rank 2.

In Prüfer rank 2 we cannot escape a close examination of the various pathological cases which may arise in theory. We aim at the following, where part of the analysis involves a subgroup  $E_{\mathcal{E}}$  of  $E_{\mathrm{alg}}$  which is particularly well-behaved.

**Theorem 1.1.** *Let  $G$  be a connected simple  $L^*$  group of finite Morley rank of odd type satisfying the condition  $\mathrm{NTA}_2$ , with Prüfer 2-rank 2 and*

$$m_2(G) \geq 3.$$

*Then there are at most two conjugacy classes of involutions, and one of the following applies.*

(1) *There are two conjugacy classes of involutions.*

*Then the 2-rank of  $G$  is 4; one conjugacy class of involutions satisfies  $E_{\mathcal{E}}(C_G(i)) = \mathrm{PSL}_2$ , and the other satisfies  $E_{\mathcal{E}}(C_G(i)) = \mathrm{SL}_2 *_2 \mathrm{SL}_2$ , with the same base field in all components; the two components of  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  are conjugate, and the Sylow 2-subgroup is as in  $\mathrm{PSp}_4$ .*

(2) *There is one conjugacy class of involutions, and these satisfy*

$$E_{\mathrm{alg}}(C_G(i)/OFC_G(i)) = \mathrm{SL}_2 *_2 \mathrm{SL}_2$$

*(not necessarily over the same base field).*

*More precisely, if  $L$  is a component of  $C_G(i)$ , then  $L$  is of type  $\mathrm{SL}_2$ ,  $C_G(i) = L *_2 C_G(L)$ , and  $E_{\mathrm{alg}}(C_G(L)/OFC_G(L))$  is of type  $\mathrm{SL}_2$ .*

*Furthermore,  $C_G(i)$  is connected and contains a Sylow 2-subgroup of  $G$ , isomorphic to that of  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  (in characteristic other than 2).*

In the finite case, there are three configurations involving an involution for which  $E(C(i))$  is of type  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$ , treated in [FW69, Fon70]: the configuration in which the components are conjugate, corresponding to  $\mathrm{PSp}_4$ , the configuration where they are normal in the centralizer of the involution but the base fields are isomorphic, corresponding to  $G_2$ , and a third configuration where the base fields are different, corresponding to the twisted group  ${}^3D_4$ . In Theorem 1.1 our first case lies squarely on the road to  $\mathrm{PSp}_4$  while our second case points in the general direction of  $G_2$ , though at this point in the analysis one branch which has properties reminiscent of both  $\mathrm{PSp}_4$  and  $G_2$  remains to be

eliminated, while the other branch allows for possibilities reminiscent of  ${}^3D_4$ .

We carry the analysis a little further (by much the same kind of argumentation) to describe the configurations more precisely.

**Theorem 1.2.** *Let  $G$  be a connected simple  $L^*$  group of finite Morley rank of odd type satisfying the condition  $\text{NTA}_2$ , with Prüfer 2-rank 2 and*

$$m_2(G) \geq 3.$$

*Then there are at most two conjugacy classes of involutions, and one of the following applies.*

(1) *There are two conjugacy classes of involutions.*

*Then the 2-rank of  $G$  is 4; and the Sylow 2-subgroup is as in  $\text{PSp}_4$ .*

*One conjugacy class of involutions satisfies*

$$C_G^\circ(i) \simeq \text{PSL}_2(k) \times k^\times,$$

*and the other satisfies*

$$C_G(i) \simeq \text{SL}_2(k) *_2 \text{SL}_2(k),$$

*with the two components of  $\text{SL}_2(k) *_2 \text{SL}_2(k)$  conjugate (and all three base fields the same in the sense that they are definably isomorphic).*

*In this case, for  $i$  an  $\text{SL}_2$ -involution,  $i$  will be the only  $\text{SL}_2$ -involution in  $C_G^\circ(i)$ .*

*Furthermore the following are equivalent for involutions  $t$ .*

(a)  *$t$  is a  $\text{PSL}_2$ -involution.*

(b)  $t$  lies in a component of a  $\mathrm{PSL}_2$ -involution.

(c)  $t$  lies in a subgroup of  $G$  of type  $\mathrm{PSL}_2$ .

For  $t$  a  $\mathrm{PSL}_2$ -involution, and  $L_t = E_{\mathrm{alg}}(C_G(t))$ , the involutions of  $C_G^\circ(t)$  are those of  $L \langle t \rangle$ . Those in  $L_t \cup \{t\}$  are  $\mathrm{PSL}_2$ -involutions, and the rest are  $\mathrm{SL}_2$ -involutions.

(2) There is one conjugacy class of involutions, and these satisfy

$$C_G(i) = \mathrm{SL}_2(k_1) *_2 \mathrm{SL}_2(k_2)$$

where the base fields  $k_1, k_2$  have the same characteristic. Furthermore, in characteristic zero, we have

$$\bar{r}_0(k_1^\times) = \bar{r}_0(k_2^\times)$$

in the sense of characteristic zero unipotence theory (§2.3).

Furthermore,  $C_G(i)$  is connected and contains a Sylow 2-subgroup of  $G$ , isomorphic to that of  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  (in characteristic other than 2).

## 2. GENERAL BACKGROUND

Here we collect useful material on a variety of topics. We do not go into the history or the origins of the material, but we aim to give reasonably accessible sources for the material in the form it is applied here.

### 2.1. $L$ -groups, $D$ -groups, $\text{NTA}_2$ .

**Definition 2.1.** Let  $G$  be a group  $G$  of finite Morley rank.

1. If  $G$  is of odd type, then it is an  $L$ -group in the odd type sense if every definable simple section of odd type is a Chevalley group over an algebraically closed field of characteristic other than 2, and it is an  $L^*$ -group in the odd type sense if the same applies to every proper definable simple section of odd type.

2.  $G$  satisfies the condition  $\text{NTA}_2$  if every definable section of  $G$  which acts faithfully on a definable simple section of  $G$  of degenerate type is itself of degenerate type.

3. The group  $G$  is a  $D$ -group if every definable connected simple section has degenerate type (and hence contains no involutions), and  $G$  is a  $D^*$ -group if the same applies to its proper definable connected simple sections.

**Notation 2.2.** If  $G$  is a group of finite Morley rank, we will write

$$O(G)$$

for the largest definable normal *connected* subgroup without involutions.

There are two points to note here: the connectedness requirement, and the absence of any requirement of solvability. On the other hand, we write  $\sigma(G)$  and  $F(G)$  for the solvable radical and Fitting subgroup of  $G$ , and then  $\sigma^\circ(G)$ ,  $F^\circ(G)$  for the respective connected components. We also write  $O^\sigma(G)$  for  $O\sigma(G)$ .

**Fact 2.3** ([BC08, Lemma 1.15]). *The Sylow 2-subgroup of a connected  $D$ -group  $G$  of finite Morley rank is connected.*

**Fact 2.4** ([BC22a, Lemma 2.29]). *Let  $G$  be a connected group of finite Morley rank. Then*

$$[G, \sigma^\circ(G)] \leq F^\circ(G).$$

**Definition 2.5.** Let  $H$  be a group of finite Morley rank.

Then  $U(H)$  denotes the largest connected definable nilpotent normal subgroup of  $H$  whose torsion subgroup has bounded exponent.

In particular we will have  $U(H) = B * \prod_r U_{0,r}(U(H))$  with  $B$  the torsion subgroup of  $U(H)$ .

**Fact 2.6** ([BC22a, Proposition 3.10]). *Let  $H$  be a connected  $L$ -group of finite Morley rank and odd type satisfying the condition  $\text{NTA}_2$ . Suppose that*

$$UF(H) \leq Z(H).$$

*Then*

$$H = E_{\text{alg}}(H) * K \text{ where } K \text{ is connected with } K/Z^\circ(K) \text{ of degenerate type.}$$

*In particular, the Sylow 2-subgroup of  $K$  is central in  $H$ .*

**Fact 2.7** ([BC22a, Lemma 3.11]). *Let  $H$  be a connected  $L$ -group of finite Morley rank and odd type satisfying  $\text{NTA}_2$  and let  $\bar{H} = H/OF(H)$ .*

*Then  $OF(\bar{H}) \leq Z(\bar{H})$ . Hence*

$$\bar{H} = E_{\text{alg}}(\bar{H}) * \bar{K} \text{ where } \bar{K} \text{ is connected and } \bar{K}/Z^\circ(\bar{K}) \text{ has degenerate type.}$$

**Fact 2.8** ([BC22a, Lemma 3.12]). *Let  $H$  be a connected  $D$ -group of finite Morley rank and odd type satisfying  $\text{NTA}_2$ . Then  $H/UF(H)$  has a unique, central, Sylow 2-subgroup.*

## 2.2. Torsion.

**Fact 2.9** ([BN94, Ex. 11 p. 93; Ex. 13c p. 72]). *Let  $H$  be a definable normal subgroup of  $G$ . If  $x \in G$  is an element such that  $\bar{x} \in G/H$  is a  $p$ -element for some prime  $p$ , then  $xH$  contains a  $p$ -element. Furthermore, if  $H$  and  $G/H$  are  $p^\perp$ -groups, then  $G$  is a  $p^\perp$ -group.*

**Definition 2.10.** For  $\pi$  a set of primes, a  $\pi$ -torus is a divisible abelian  $\pi$ -group. We write  $\Pi$  for the set of all primes, so that a  $\Pi$ -torus is a maximal divisible abelian torsion group.

**Fact 2.11** ([Che05]). *Let  $G$  be a group of finite Morley rank. Then any two maximal  $\Pi$ -tori of  $G$  are conjugate.*

For the most part one applies the following with  $p = 2$  and with the group of odd type, to conclude that all involutions lie in a 2-torus.

**Fact 2.12** ([BC09, Theorem 3]). *Let  $G$  be a connected group of finite Morley rank and odd type,  $\pi$  a set of primes. Then any  $\pi$ -element  $a$  in  $G$  such that  $C_G^\circ(a)$  contains no non-trivial  $\pi$ -unipotent subgroup lies in some  $\pi$ -torus of  $G$ .*

**Fact 2.13** ([AB08, Theorem 1]). *If  $G$  is a connected group of finite Morley rank and  $T$  is a  $p$ -torus of  $G$ , then  $C_G(T)$  is connected.*

**Fact 2.14** ([ABC08, Thm. I.6.4]). *Let  $G$  be a group of finite Morley rank,  $S$  the connected component of a Sylow 2-subgroup with maximal 2-torus  $T$ . Then  $N(T)$  controls fusion in  $S$ .*

We are interested in the case of odd type: so here,  $S = T$ .

**Definition 2.15.** A *good torus* in a group of finite Morley rank is a connected definable divisible abelian subgroup such that every definable subgroup is the definable hull of its torsion subgroup.

A *decent torus* is the definable hull of a  $\Pi$ -torus.

**Fact 2.16** ([Wag03], cf. [ABC08, Prop. I.4.20]). *If  $k$  is a field of finite Morley rank and non-zero characteristic, then the multiplicative group  $k^\times$  is a good torus.*

From the general theory connected with this notion we quote the following.

**Fact 2.17** ([ABC08, Cor. I.4.22]). *Let  $G$  be a group of finite Morley rank, and  $T$  a connected definable subgroup of a finite product of good tori. Then  $T$  is a good torus.*

It is also clear that a definable quotient of a good torus is a good torus.

**Fact 2.18** ([Fré06b, Lemma 3.1]). *Let  $G$  be a group of finite Morley rank,  $N$  a definable normal subgroup of  $G$ , and  $T$  a maximal decent torus of  $G$ . Then  $TN/N$  is a maximal decent torus of  $G/N$ , and every maximal decent torus of  $G/N$  has this form.*

**Fact 2.19** ([ABC08, Lemma 8.3]). *Let  $G$  be a connected solvable group of finite Morley rank. Then  $G/F^\circ(G)$  is divisible abelian.*

The following may be checked most simply in the Tate module associated with a 2-torus.

**Fact 2.20** ([BC08, Lemma 1.6]). *Let  $T$  be a nontrivial 2-torus, and let  $i$  be an involution acting on  $T$ . Then either  $i$  inverts  $T$ , or  $C_T(i)$  is infinite.*

**Definition 2.21.** There are various useful definitions of *Weyl group*. Here we use the one used in [BC09]:  $W = N_G(T)/C_G(T)$  with  $T$  a maximal  $\Pi$ -torus (bearing in mind Fact 2.13).

**Fact 2.22** ([BC09, Theorem 5]). *Let  $G$  be a connected group of finite Morley rank. Suppose the Weyl group is nontrivial and has odd order, with  $p$  the smallest prime divisor of its order. Then  $G$  contains a  $p$ -unipotent subgroup.*

**2.3. Unipotence theory.** We use the notation  $U_{0,r}(H)$  for the subgroup generated by  $(0, r)$ -unipotent subgroups in the sense introduced



in [Bur04b]. The theory is reviewed in some detail in [BC22a, §2.4]. Here we give a bit of the intuition and recall some useful properties.

In the first place, we have the classical theory of  $p$ -unipotent subgroups of a group  $H$  of finite Morley rank, for  $p$  a prime. These are by definition connected definable solvable  $p$ -subgroups. Any such are in fact nilpotent. One defines  $U_p(H)$  as the subgroup generated by the  $p$ -unipotent subgroups. If  $H$  is solvable then  $U_p(H)$  is nilpotent and hence lies in  $F^\circ(H)$ . On the other hand if  $H$  is a quasi-simple algebraic group then  $U_p(H) = 1$  unless the characteristic of the base field is  $p$ , in which case  $U_p(H) = H$ .

The theory of  $p$ -unipotent subgroups will be useful here, but we require an extension to the “prime” 0. In this case, rather than a notion of 0-unipotence, we will have a graded notion of  $(0, r)$ -unipotence for  $r \geq 0$ , and a corresponding subgroup  $U_{0,r}(H)$ . While these notions are not directly comparable, the general sense is that for larger  $r$  the  $(0, r)$ -unipotent subgroups become “more” unipotent. In particular, we attach particular importance to the parameter  $\bar{r}_0(H)$  defined as the largest value  $r$  for which  $U_{0,r}(G) > 1$  (and  $\bar{r}_0(H) = 0$  if there is no such  $r$ ).

One subtlety not encountered for ordinary primes  $p$  is that in principle a  $U_{0,r}$ -unipotent subgroup may contain a  $U_{0,s}$ -unipotent subgroup with  $s \neq r$ ; when this does not occur, the  $U_{0,r}$ -unipotent subgroup in question is called *homogeneous*.

We have the following formal properties.

**Fact 2.23** ([Bur09, Lemma 2.11]). *Let  $f : G \rightarrow H$  be a definable homomorphism between two groups of finite Morley rank. Then*

- (1) (Push-forward)  $f[U_{(0,r)}(G)] \leq U_{(0,r)}(H)$  is a  $U_{(0,r)}$ -group.
- (2) (Pull-back) If  $U_{(0,r)}(H) \leq f[G]$  then  $f[U_{(0,r)}(G)] = U_{(0,r)}(H)$ .

More substantively, we have useful analogs of facts about  $p$ -unipotence.

**Fact 2.24** ([Bur09, Theorem 2.16]). *Let  $G$  be a connected solvable group of finite Morley rank, for which  $U_{0,r}(G)$  is nontrivial for some  $r$ . Then  $U_{0,\bar{r}_0(G)}(G) \leq F(G)$ .*

**Fact 2.25** ([Bur04a, Thm. 2.31]; [Bur06, Cor. 3.6]; cf. [BN94, Thm. 6.8, 6.9]). *Let  $Q$  be a nilpotent group of finite Morley rank. Then  $Q = B * D$  is a central product of definable characteristic subgroups  $B$ ,  $D \leq Q$  where  $B$  is connected of bounded exponent and  $D$  is divisible.*

*Let  $T$  be the torsion part of  $D$ . Then we have decompositions of  $D$  and  $B$  into central products as follows.*

$$\begin{aligned} B &= U_2(G) \times U_3(G) \times U_5(G) \times \cdots \\ D &= d(T) * U_{0,1}(G) * U_{0,2}(G) * \cdots \end{aligned}$$

*with  $T$  a  $\Pi$ -torus.*

We will only be interested in groups of odd or degenerate type, so in practice the term  $U_2(Q)$  will be trivial and  $B \leq O(Q)$ . On the other hand it is not so clear what the intersections of the various factors in the decomposition of  $D$  will be, as the factors need not be homogeneous.

**Fact 2.26** ([Bur06, Corollary 4.6]). *Let  $G = HT$  be a group of finite Morley rank, with  $H$  and  $T$  definable and nilpotent, and  $H \triangleleft G$ . Suppose that  $T$  is a  $U_{0,r}$ -group for some  $r \geq \bar{r}_0(H)$ . Then  $G$  is nilpotent.*

*In particular, if  $r > \bar{r}_0(H)$  then  $T$  centralizes  $H$ .*

(For the final statement, apply Fact 2.25.)

We have noted that a failure of homogeneity may cause complications. In that regard the following is helpful.

**Fact 2.27** ([Fré06a, Thm. 4.11]). *Let  $G$  be a connected group of finite Morley rank acting definably on a nilpotent group  $H$  with  $H = U_{0,r}(H)$ . Then  $[G, H]$  is a definable homogeneous  $U_{0,r}$ -group.*

What follows is intended to be helpful in some extreme cases in the study of  $L$ -groups.

**Definition 2.28.** We say that a group has *abelian Borels* if all of its definable connected solvable subgroups are abelian.

**Lemma 2.29.** *Let  $G$  be a connected group of finite Morley rank with abelian Borels.*

*Then the following hold.*

- (1) *The Borel subgroups of  $G$  are conjugate.*
- (2)  *$G$  is generated by its definable connected solvable subgroups.*
- (3)  $\sigma^\circ(G) = Z^\circ(G)$ .

*Proof.*

*Ad 1.* We argue by induction on the rank of  $G$ . If  $\sigma(G)$  is infinite we may factor it out and conclude at once, while if  $\sigma(G)$  is finite it is contained in the center and we may in any case factor it out and suppose that  $\sigma(G) = 1$ , and in particular  $Z(G) = 1$ .

By rank computations, if  $B_1, B_2$  are Borel subgroups then the union of their conjugates is generic in  $G$ . Therefore we may suppose  $B_1$  meets  $B_2$  nontrivially. Taking  $a \neq 1$  in the intersection, we may replace  $G$  by  $C_G^\circ(a)/(B_1 \cap B_2)$ , and conclude by induction on rank.

*Ad 2,3.*

Let  $H$  be the subgroup of  $G$  generated by its definable connected solvable subgroups. If  $B$  is a definable connected solvable subgroup of  $G$  then so is  $\sigma^\circ(G)B$  and hence  $B$  centralizes  $\sigma^\circ(G)$ . It follows that

$$\sigma^\circ(G) = Z^\circ(H)$$

Furthermore, by a Frattini argument, with  $B$  a Borel subgroup of  $G$ , we have  $G = HN^\circ(B)$ . If  $G > H$  then  $N^\circ(B) > B$  and hence there is an infinite abelian subgroup  $\bar{A}$  of  $N^\circ(B)/B$ . But then the preimage  $A$  is definable connected solvable subgroup of  $G$  and we arrive at a contradiction.

So  $G = H$  and both (2) and (3) follow, □

**Lemma 2.30.** *Let  $G$  be a connected group of finite Morley rank with abelian Borels.*

*Suppose that  $G$  has a definable faithful action on a torsion-free abelian group  $V$ . Then the following hold.*

- (1)  $U_p(G) = 1$  for all primes  $p$ .
- (2) Every element of  $G$  belongs to a Borel subgroup.
- (3) If  $G$  is of degenerate type then every Borel subgroup of  $G$  is self-normalizing.
- (4) The inverse image of  $E(G/\sigma(G))$  in  $G$  is the central product  $\sigma(G) * E(G)$ . In particular, if  $G$  is nonabelian then  $E(G) > 1$ .

*Proof.*

*Ad 1.*  $U_p(G) = 1$  for all primes  $p$ .

Suppose that  $U \leq G$  is  $p$ -unipotent. Then  $U$  commutes with  $V$ . But the action is assumed faithful.

*Ad 2.* It suffices to show that every non-trivial element  $a$  of  $G$  lies in a non-trivial connected definable abelian subgroup. We proceed by induction on the rank of  $G$ .

If  $d(a)$  is connected then we are done so suppose  $d(a) = C \times d^\circ(a)$  with  $C$  finite and cyclic and write  $a = a_C a'$  with  $a_C \in C$ ,  $a' \in d^\circ(a)$ .

By Fact 2.12  $a_C$  is contained in a  $\Pi$ -torus  $T_a$ . Thus  $a_C \in C_G^\circ(a_C)$ . As  $d^\circ(a) \leq C_G^\circ(a_C)$  we have  $a \in C_G^\circ(a_C)$ . If  $a_C$  is not central in  $G$  we can conclude by induction.

If  $a_C$  is central in  $G$  then it lies in every maximal  $\Pi$ -torus of  $G$ . Hence  $a_C$  lies in every Borel subgroup. but  $d^\circ(a)$  lies in some Borel subgroup, so  $a$  lies in some Borel subgroup.

This proves (2).

*Ad 3.* With  $T$  a maximal  $\Pi$ -torus and  $B$  a Borel subgroup containing  $T$ , we have  $N(B) \cap C(T) = N(B) \cap C^\circ(T) = B$  and hence  $N(B)/B$  embeds into  $N(T)/T$ .

But in view of Fact 2.22 this group is trivial. This proves (3).

*Ad 4.* As  $\sigma^\circ(G) = Z^\circ(G)$ , the inverse image in  $G$  of  $G/\sigma^\circ(G)$  is  $Z^\circ(G)E(G)$ . Also  $E(G)$  centralizes  $\sigma(G)/\sigma^\circ(G)$ , so  $E(G)$  centralizes  $\sigma(G)$ .  $\square$

## 2.4. Co-prime actions.

**Fact 2.31** ([ABC99, Prop. 2.43], [ABC08, Prop. I.9.12]). *Let  $G = H \rtimes T$  be a group of finite Morley rank,  $Q \triangleleft H$ , and  $\pi$  a set of primes, such that  $Q$ ,  $H$ , and  $T$  are definable and*

- (1)  $Q$  and  $T$  are solvable;
- (2)  $T$  is a  $\pi$ -group of bounded exponent;
- (3)  $Q$  is a  $T$ -invariant  $\pi^\perp$ -subgroup.

Then

$$C_{H/Q}(T) = C_H(T)Q/Q.$$

**Fact 2.32** ([ABC08, I.10.4]). *Let  $G$  be a group of finite Morley rank without involutions, and  $\alpha$  a definable involutory automorphism of  $G$ . Then*

$$G = C_G(\alpha) \times G^-$$

(i.e., the multiplication map from right to left is bijective) where  $G^-$  is the subset inverted by  $\alpha$ .

**Lemma 2.33.** *Let  $H$  be a connected  $D$ -group of finite Morley rank and odd type satisfying  $\text{NTA}_2$ . Let  $T$  be a Sylow 2-subgroup of  $H$ . Then*

$$H = UF(H) \cdot C_H^\circ(T).$$

*Proof.*  $C_H(T) = C_H(A)$  for some finite 2-subgroup  $A \leq T$ .

Apply Fact 2.8 to  $H$  and Fact 2.31. to  $H \rtimes A$ . Taking  $\bar{H} = H/UF(H)$ , we have

$$\bar{H} = C_{\bar{H}}(A) = \overline{C_H(A)} = \overline{C_H(T)},$$

and the lemma follows.  $\square$

**Fact 2.34** ([ABCC03], [Bur09, Lemma 3.5]). *Let  $G$  be a connected solvable  $p^\perp$ -group of finite Morley rank, and let  $P$  be a finite  $p$ -group of definable automorphisms of  $G$ . Then  $C_G(P)$  is connected.*

*If in addition  $G$  is a nilpotent  $U_{0,r}$ -group then  $C_G(P)$  is a  $(0, r)$ -unipotent group.*

The following is a variation on [BN94, Prop. 13.4] (a simple bad group has no definable involutive automorphism).

**Fact 2.35.** *Let  $G$  be a connected group of finite Morley rank of degenerate type, and let  $\alpha$  be an involutive automorphism of  $G$ . Then  $\bigcup C_G(\alpha)^G$  is disjoint from  $G^- \setminus \{1\}$ , where  $G^- = \{x \in G \mid x^\alpha = x^{-1}\}$ .*

*Proof.* By Theorem 1.1,  $G$  has no involutions. By Fact 2.32 we have  $G = C_G(\alpha)G^-$ .

Suppose  $g \in G$  and  $x \in G^- \cap C_G(\alpha)^g$ . We may take  $g \in G^-$ . So

$$\begin{aligned} x^{g^{-1}} &= (x^{g^{-1}})^\alpha = (x^\alpha)^{(g^{-1})^\alpha} = (x^{-1})^g; \\ x^{g^{-2}} &= x^{-1}. \end{aligned}$$

Thus  $g^4 \in C(x)$ , but  $g \in d(\langle g^4 \rangle)$ , so also  $g \in C(x)$ . Thus  $x^{-1} = x$ ,  $x = 1$ .  $\square$

**Fact 2.36** ([ABC08, Prop. II 11.7]). *Let  $H$  be a connected solvable  $\pi^\perp$ -group of finite Morley rank acting faithfully and definably on a nilpotent  $\pi$ -group  $V$  of bounded exponent. Then  $H$  is a good torus.*

## 2.5. Generic subsets.

**Fact 2.37** ([BBC07, Lemma 4.1]). *Let  $G$  be a group of finite Morley rank,  $H$  a definable subgroup of  $G$ , and  $X$  a definable subset of  $G$ . Suppose that*

$$\mathrm{rk}(X \setminus \bigcup_{g \notin H} X^g) \geq \mathrm{rk}(H)$$

*Then  $\mathrm{rk}(\bigcup X^G) = \mathrm{rk}(G)$ .*

**Fact 2.38** ([BC09, Thm. 1 (1,2)]). *Let  $G$  be a connected group of finite Morley rank,  $p$  a prime, and let  $a$  be a generic element of  $G$ . Then*

(1) *the element  $a$  commutes with a unique maximal  $p$ -torus  $T_a$  of  $G$ , and*

(2) *the definable hull  $d(\langle a \rangle)$  contains  $T_a$ .*

## 2.6. The Uniqueness Case.

**Fact 2.39** ([BC08, Lemma 6.2]). *Let  $G$  be a simple  $L^*$ -group of finite Morley rank and odd type, with  $\mathrm{pr}_2(G) \geq 2$ . Suppose that  $G$  has a strongly embedded subgroup  $M$ .*

*Then  $M$  is a  $D$ -group, and hence its Sylow 2-subgroups of  $G$  are connected.*

The second point is found in Fact 2.3.

**Corollary 2.40.** *Let  $G$  be a simple  $L^*$ -group of finite Morley rank and odd type with*

$$\mathrm{pr}_2(G) = 2; \quad m_2(G) \geq 3.$$

*Then  $G$  has no proper definable strongly embedded subgroup.*

This gives a useful generation result.

**Definition 2.41.** Let  $G$  be a group of finite Morley rank, and  $V$  a subgroup (in practice, an elementary abelian 2-subgroup with  $m_2(V) \geq 2$ ). We set

$$\Gamma_V = \langle C^\circ(U) : U \leq V, [V : U] = 2 \rangle.$$

**Fact 2.42** ([BC08, Thm. 4.3]). *Let  $G$  be a connected simple  $L^*$ -group of finite Morley rank and odd type with*

$$m_2(G) \geq 3.$$

*Suppose that  $\Gamma_V(G) < G$  for some elementary abelian 2-subgroup  $V$  of rank 2. Then the normalizer*

$$N(\Gamma_V(G))$$

*is a strongly embedded subgroup.*

**Corollary 2.43.** *Let  $G$  be a simple  $L^*$ -group of finite Morley rank and odd type with*

$$\text{pr}_2(G) = 2; \quad m_2(G) \geq 3.$$

*Then for any elementary abelian 2-subgroup  $V$  of rank 2 we have*

$$\Gamma_V = G.$$

The following result is similar, but it has a more elementary character, as it concerns  $L$ -groups rather than  $L^*$ -groups.

**Fact 2.44** ([BC08, Theorem 2.1]). *Let  $G$  be a connected  $L$ -group of finite Morley rank and odd type. Let  $V$  be an elementary abelian 2-group of rank 2 acting definably on  $G$ .*

*Then  $\Gamma_V = G$ .*



**2.7. Algebraic groups.** A key tool in our program is the fact that a group of finite Morley rank acting faithfully as a group of automorphisms of a quasi-simple algebraic group must itself be algebraic.

Given a quasi-simple algebraic group  $G$ , a maximal torus  $T$  of  $G$ , and a Borel subgroup  $B$  of  $G$  which contains  $T$ , we define the group  $\Gamma$  of *graph automorphisms* associated to  $T$  and  $B$  to be the group of algebraic automorphisms of  $G$  which normalize both  $T$  and  $B$ .

**Fact 2.45** ([BN94, Theorem 8.4]). *Let  $G \rtimes H$  be a group of finite Morley rank where  $G$  and  $H$  are definable,  $G$  an infinite quasi-simple algebraic group over an algebraically closed field, and  $C_H(G)$  is trivial. Then, viewing  $H$  as a subgroup of  $\text{Aut}(G)$ , we have  $H \leq \text{Inn}(G)\Gamma$  where  $\text{Inn}(G)$  is the group of inner automorphisms of  $G$  and  $\Gamma$  is the group of graph automorphisms of  $G$ , relative to a fixed choice of Borel subgroup  $B$  and maximal torus  $T$  contained in  $B$ .*

An algebraic group is said to be *reductive* if it has no unipotent radical. Such a group is a central product of semisimple algebraic groups and algebraic tori. The centralizer of an involution in a reductive algebraic group over a field of characteristic  $\neq 2$  is itself reductive.

**Fact 2.46** ([Ste68, Theorem 8.1]). *Let  $G$  be a quasisimple algebraic group over an algebraically closed field. Let  $\phi$  be an algebraic automorphism of  $G$  whose order is finite and relatively prime to the characteristic of the field. Then  $C_G^\circ(\phi)$  is nontrivial and reductive.*

*Proof.* We shall replace  $G$  by its universal central extension, so our original group is now  $\bar{G} = G/Q$  for some  $Q \leq Z(G)$ . We also replace  $\phi$  by an automorphism of our new  $G$ , which exists say by Fact 2.45. There is a homomorphism  $C_G(\phi \bmod Q) \rightarrow Q$  given by  $x \mapsto [\phi, x]$ . As  $Q$  is finite,  $\phi$  centralizes  $C_G^\circ(\phi \bmod Q)$ . So it suffices to prove the result for our  $G$  which is its own universal central extension.

Since  $\phi$  is algebraic and has finite order, the group  $G \rtimes \langle \phi \rangle$  is an algebraic group which contains  $\phi$  as an inner automorphism. Since the

order of  $\phi$  is finite and relatively prime to the characteristic,  $\phi$  is a semisimple automorphism of  $G$ . So the result follows from Theorem 8.1 of [Ste68].  $\square$

**Lemma 2.47.** *Let  $G$  be a connected group of finite Morley rank and  $t \in G$ . Suppose that  $K \triangleleft C(t)$  is a definable normal quasisimple algebraic subgroup of  $C(t)$  and  $t \in K$ .*

*Then  $[C_G(t) : C_G^\circ(t)] \leq |\Gamma|$ .*

*Proof.* We argue that  $C_G(t)/C_G^\circ(t) \hookrightarrow \Gamma$ . Otherwise, some  $x \in C_G(t) \setminus C_G^\circ(t)$  centralizes  $K$  by Fact 2.45.

Now  $x$  has finite order modulo  $C_G^\circ(t)$ , so by Fact 2.9 we may assume that  $x$  has finite order. As  $t \in Z(K)$  by hypothesis,  $t$  lies inside a maximal torus  $T$  of  $K$ . As  $x$  centralizes  $K$ , by Fact 2.13 we find

$$x \in C(T) = C^\circ(T) \leq C^\circ(t). \quad \square$$

Table 1 below contains necessary information about conjugacy classes of involutory algebraic automorphisms, as well as their centralizers, in Lie rank one or two quasi-simple groups (see [GLS98, Table 4.3.1 p. 145 and Table 4.3.3 p. 151]). Note that where there are central involutions in the group they are not shown, as the corresponding automorphisms are trivial. Furthermore, in such cases some of the involutory automorphisms are represented by elements of order 4 in the group.

Here the second involutory automorphism of  $\mathrm{Sp}_4$  is represented by an element of order four.

**Fact 2.48** ([Poi01, Thms. 1,4]). *Let  $k$  be a field of finite Morley rank (as usual, in any language) and let  $G$  be a definable subgroup of  $\mathrm{GL}_n(k)$ . Then the following hold.*

- (1) *If the characteristic of  $k$  is non-zero and  $G$  is simple, then  $G$  is definably isomorphic to an algebraic group.*

$G$	$\bar{\Gamma}$	$Z$	$i$	$C_G^\circ(i)$
$SL_2$	1	$\mathbb{Z}/2\mathbb{Z}$	inner	$k^*$
$PSL_2$	1	1	inner	$k^*$
$SL_3$	$\mathbb{Z}/2\mathbb{Z}$	$\mathbb{Z}/3\mathbb{Z}$	inner	$SL_2 * k^*$
			graph	$PSL_2$
$PSL_3$	$\mathbb{Z}/2\mathbb{Z}$	1	inner	$SL_2 *_2 k^*$
			graph	$PSL_2$
$Sp_4$	1	$\mathbb{Z}/2\mathbb{Z}$	inner	$SL_2 \times SL_2$
			inner	$SL_2 *_2 k^*$
$PSp_4$	1	1	inner	$SL_2 *_2 SL_2$
			inner	$PSL_2 \times k^*$
$G_2$	1	1	inner	$SL_2 *_2 SL_2$

TABLE 1. Data on Chevalley Groups

(2) If  $n = 2$ , then either  $G$  is solvable by finite, or  $G$  contains  $SL_2(k)$ .

The following is a useful consequence of the second point.

**Corollary 2.49.** *Let  $k$  be a field of finite Morley rank, and  $T_1, T_2$  two nontrivial connected definable subgroups of  $PSL_2(k)$  not contained in a single Borel subgroup. Then  $PSL_2(k)$  is generated by  $T_1 \cup T_2$ .*

*Proof.* The subgroup generated by  $T_1, T_2$  is connected and definable. Hence the preimage in  $SL_2(k)$  is either  $SL_2(k)$  or solvable and connected. In the latter case its Zariski closure is a solvable group which is connected in the algebraic sense.  $\square$

**Lemma 2.50.** *Let  $G$  be an algebraic group of finite Morley rank,  $T$  a torus, and  $U$  a definable subgroup of a unipotent group which is normalized by an infinite subgroup of  $T$  acting faithfully on  $U$ . Then  $U$  is Zariski closed in  $G$ .*

*Proof.* We may suppose that  $U$  is connected, and proceeding inductively, we may suppose that  $U$  is abelian and  $T$ -minimal. We may suppose further that the Zariski closure of  $U$  is 1-dimensional, and we come down to the case where  $U$  is a subgroup of the additive group of the base field  $k$  which is invariant under an infinite subgroup  $T$  of the multiplicative group. Then the stabilizer of  $U$  under multiplication in  $k$  is an infinite subring of  $k$ , hence is all of  $k$ .  $\square$

## 2.8. Covering groups.

**Definition 2.51.** Let  $L$  be a group of finite Morley rank. A *covering group*  $L^*$  of  $L$  is any group of finite Morley rank such that

- (1)  $L^*/\sigma^\circ(L^*) \simeq L$ .
- (2) Any proper definable normal subgroup of  $L^*$  is solvable.

**Lemma 2.52.** *Let  $H$  be a group of finite Morley rank which is a covering group of a quasi-simple algebraic group  $L$ . Then  $\sigma^\circ(H) = F^\circ(H)$ .*

*Proof.* Let  $\bar{H} = H/F^\circ(H)$ . By Fact 2.4  $\sigma^\circ(\bar{H})$  is central in  $\bar{H}$  and thus  $\bar{H}$  has a normal subgroup with quotient  $L$ . By the minimality of  $H$ , we find  $\sigma^\circ(\bar{H}) = 1$  and  $\sigma^\circ(H) = F^\circ(H)$ .  $\square$

**Lemma 2.53.** *Let  $H$  be a group of finite Morley rank of odd type which is a covering group of a quasi-simple algebraic group  $L$  with base field  $k$ . Then  $\sigma^\circ(H) = OF(H)$ . Furthermore we have the following.*

- (1) *If  $k$  has characteristic  $p > 0$  then  $OF(H)$  is a unipotent  $p$ -group.*

(2) If  $k$  has characteristic 0 and  $\text{rk}(k) = r$  then  $OF(H)$  is a homogeneous  $(0, r)$ -unipotent subgroup.

*Proof.* We know  $\sigma^\circ(H) = F^\circ(H)$ . Let  $B$  be the preimage in  $H$  of a Borel subgroup  $\mathbb{B}$  of  $H/F^\circ(H)$ . Then  $U = B'$  is a nilpotent group covering the unipotent radical  $\mathbb{U}$  of  $\mathbb{B}$ . Thus  $U \leq F(B)$  and  $UF^\circ(H)$  is nilpotent.

Depending on the characteristic of  $k$ ,  $\mathbb{U}$  is either  $p$ -unipotent or  $(0, r)$ -unipotent. If the corresponding subgroup

$$U_p(F^\circ(H)) \text{ or } U_{(0,r)}(F^\circ(H))$$

is proper in  $F^\circ(H)$ , then after factoring out a maximal proper definable normal subgroup  $Q$  of  $F^\circ(H)$  containing that subgroup, the action of  $\mathbb{U}$  induced by  $U$  on the quotient  $F^\circ(H)/Q$  is trivial. This applies to all unipotent subgroups of  $L$  and hence  $[H, F^\circ(H)] \leq Q$ . Therefore  $Q$  is normal in  $H$  and  $F^\circ(H)/Q$  is normal in the quotient. But then by the minimality of  $H$  we find  $F^\circ(H) = Q$ , a contradiction. So  $F^\circ(H)$  must in fact reduce to  $U_p(F^\circ(H))$  or  $U_{(0,r)}(F^\circ(H))$  respectively.

If the characteristic is  $p > 0$  (odd) the proof is complete.

If the characteristic is zero we have  $F^\circ(H) = U_{(0,r)}(F^\circ(H))$ . By Fact 2.27 the group  $[H, F^\circ(H)]$  is a homogeneous  $U_{(0,r)}$ -group. Taking the quotient by this subgroup and applying minimality shows that  $F^\circ(H) = [H, F^\circ(H)]$  is a homogeneous  $U_{(0,r)}$ -group. In particular  $F^\circ(H) = O(H)$  and we conclude.  $\square$

**Lemma 2.54.** *Let  $H$  be a group of finite Morley rank and odd type,  $L$  a quasi-simple algebraic group, and  $L_1$  a definable subgroup of  $H$  which is a covering group of  $L$  with  $OF(L_1) \leq O(H)$ . With  $\bar{H} = H^\circ/O(H)$ , suppose that  $\bar{H} = E_{\text{alg}}(\bar{H}) * \bar{K}$  with  $\bar{K}$  a  $D$ -group.*

*Then the normal closure  $L_2$  of  $L_1$  in  $H$  is a covering group of  $\bar{L}_2$ .*

*Proof.* In  $\bar{H}/Z(E_{\text{alg}}(\bar{H}))$  the central product decomposition becomes a direct product and the projection of  $\bar{L}_1$  to the image of  $\bar{K}$  is a  $D$ -group.

Hence the kernel is not solvable and by minimality it is  $L_1$ . It follows that  $\bar{L}_1 \leq E_{alg}(\bar{H})$  and the same applies to  $\bar{L}_2$ , which is a product of components of  $E_{alg}(\bar{H})$ . The normal closure of  $\bar{L}_1$  in  $\bar{L}_2$  is again a product of components of  $E_{alg}(\bar{H})$  and hence is normal in  $\bar{H}$ . Thus this normal closure is in  $\bar{L}_2$ . In other words, the normal closure of  $L_1$  in  $L_2$  covers  $\bar{L}_2$ .

Thus  $O(H) \cap L_2 = \sigma^\circ(L_2)$  and  $L_2/\sigma^\circ(L_2) \simeq \bar{L}_2$ .

Now suppose  $N$  is a proper definable normal subgroup of  $L_2$ . Then  $N \cap L_1$  is a proper definable normal subgroup of  $L_1$ , hence lies in  $OF(L_1) \leq O(H)$ . But  $N$  is normal in  $L_2$ . If  $L_2^*$  is the normal closure of  $L_1$  in  $L_2$ , then  $[N, L_2^*] \leq O(H)$ .

But  $L_2^*$  covers  $\bar{L}_2$ , and it follows that  $N \leq O(H)$ . Thus  $N$  is solvable and  $L_2$  is a covering group of  $\bar{L}_2$ .  $\square$

### 3. SPECIAL TOPICS

We will next bring in some more specialized topics which will come into play as we encounter particular pathological configurations which need to be eliminated. Then in §3.4 we will review the tools developed in [BC22a] for finding algebraic components in centralizers of involutions, with reference to the particular case of Prüfer rank 2. This is where the main line of our analysis begins.

**3.1. Thompson  $A \times B$ .** We give a version of Thompson’s  $A \times B$  lemma suitable for our present purposes—it will be applied repeatedly in §§5,6. Related results see found in [Suz86, Chap. 4, §1] and [ABC08, §I.12].

**Lemma 3.1.** *Let  $G$  be a group of finite Morley rank,  $\pi$  a set of primes, and  $HK$  a subgroup with  $H, K$  definable. Assume the following.*

- (1)  $K$  normalizes  $H$ .
- (2) No non-trivial definable quotient of  $K$  is definably isomorphic to a definable section of  $H$ .

Then

$$[K, [K, H]] = [K, H]$$

*Proof.* We may take  $G = H \rtimes K$ .

By [ABC08, Cor. I.3.29] the groups  $[K, H]$  and  $[K, [K, H]]$  are definable.

Let  $N = [K, H]K$ .

**Claim 1.**  *$N$  is the smallest normal subgroup of  $G$  for which  $G/N$  is definably isomorphic to a definable section of  $H$ .*

On one hand,  $G/N \simeq H/[K, H]$ . On the other hand, if  $N^* \triangleleft G$  and  $G/N^*$  is isomorphic to a quotient of  $H$ , then  $K \leq N^*$  and hence  $[K, H] \leq N^*$ .

Thus the claim holds. In particular  $N$  is definably characteristic in  $G$ .

Similarly,  $N_1 = [H, K, K]K$  is the smallest definable normal subgroup of  $N$  such that  $N/N_1$  is definably isomorphic to a definable section of  $H$ . Hence  $N_1$  is definably characteristic in  $N$ , and thus normal in  $G$ .

As  $N_1$  contains  $K$ , it follows that  $N_1 = N$ , and this yields the claim.  $\square$

**Lemma 3.2** (Thompson  $A \times B$  Lemma). *Let  $G$  be a group of finite Morley rank. Let  $U \leq Q$  be definable subgroups of  $G$ , and  $T$  any subgroup of  $G$ . Suppose the following.*

- (1)  $Q$  is nilpotent.
- (2)  $C_Q(U) \leq U$ .
- (3)  $T$  normalizes  $Q$  and centralizes  $U$ .
- (4) No non-trivial definable quotient of  $d(T)$  is definably isomorphic to a definable section of  $Q$ .
- (5) Either  $d(T)$  is connected, or  $U$  and  $Q$  are connected.

Then  $T$  centralizes  $Q$ .

*Proof.* Let  $K$  be the definable hull  $d(T)$ . Then  $C_Q(T) = C_Q(K)$ . We suppose toward a contradiction that

$$Q > C_Q(K).$$

**Claim 1.** *Without loss of generality,  $U$  is normal in  $Q$ .*

Suppose first that  $K$  is connected. Set  $U_1 = C_Q(K)$  and  $Q_1 = N_Q(U_1)$ . Then our hypotheses on  $U$  and  $Q$  apply to  $U_1$  and  $Q_1$ , including  $Q_1 > U_1$ , this last by the normalizer condition in  $Q$ . So we



may replace  $U, Q$  by  $U_1, Q_1$ , and add to our hypotheses the condition  $U \triangleleft Q$ .

Now suppose that  $U$  and  $Q$  are connected. Set  $U_1 = C_Q^\circ(K)$  and  $Q_1 = N_Q^\circ(U)$ . Then our hypotheses on  $U$  and  $Q$ —including connectedness—again apply to  $U_1$  and  $Q_1$ .

So in either case we may suppose  $U \triangleleft Q$ .

Now we have

$$\begin{aligned} [[K, U], Q] &= [1, Q] = 1; \\ [[U, Q], K] &\leq [U, K] = 1. \end{aligned}$$

By the *three subgroups lemma* we get

$$[[Q, K], U] = 1.$$

As  $C_Q(U) \leq U$  this gives  $[Q, K] \leq U$  and  $[[Q, K], K] = 1$ .

By Lemma 3.1 this gives  $[Q, K] = 1$  as required.  $\square$

**Corollary 3.3.** *Let  $G$  be a group of finite Morley rank, and  $U, Q, K$  definable nilpotent subgroups satisfying the following conditions.*

- (1)  $U \leq Q$ .
- (2)  $C_Q(U) \leq U$ .
- (3)  $K$  normalizes  $Q$  and centralizes  $U$ .
- (4)  $K$  is connected.
- (5)  $Q$  is a homogeneous  $U_{0,r}$ -group.
- (6)  $U_{0,r}(K)$  centralizes  $Q$ .

*Then  $K$  centralizes  $Q$ .*

*Proof.* In view of Fact 2.25, it suffices to prove the result with  $K$  replaced by its subgroups of the following forms.

- (1)  $U_p(K)$  with  $p$  a prime;
- (2)  $U_{(0,s)}(K)/U_{(0,r)}(U_{(0,s)}(K))$  for  $s \neq r$ ;
- (3) the maximal decent torus of  $K$ .

These are connected subgroups of  $K$ , and none has a definable quotient definably isomorphic to a definable section of  $Q$ . So Lemma 3.2 applies.  $\square$

**3.2. Linearization.** A general result on linearization of actions of algebraic groups in the finite Morley rank category has been attained only recently.

**Fact 3.4** ([Bor20, Theorem 3]). *Let  $K$  be an algebraically closed field of characteristic  $p > 0$  and  $G$  the group of points over  $K$  of a simple algebraic group defined over  $K$ . Assume that  $G$  acts definably and irreducibly on an elementary abelian  $p$ -group  $V$  of finite Morley rank. Then  $V$  can be given the structure of a finite dimensional  $K$ -vector space  $V_K$  in a manner compatible with the action of  $G$ , and  $G$  becomes a Zariski closed subgroup of  $\mathrm{GL}(V_K)$ .*

This result was preceded by very special cases dealing with actions of  $\mathrm{SL}_2$  in low rank situations, which so far have been adequate for the applications to identification theorems [CD12]. That state of affairs actually continues to be the case here. But with the stronger result on hand one may hope to put it to good use as well—if not for classification results, then in the study of permutation groups of finite Morley rank.

On the other hand what one may call the “characteristic zero” version is classical, given originally in the superstable context, but we specialize in various respects, as follows.

**Fact 3.5** ([LW93, Theorem 4]). *Suppose that there is an infinite definable set  $S$  of automorphisms of the abelian, torsion free group  $A$ , such that  $A$  is  $S$ -minimal, and the structure  $(A, S)$  together with the action has finite Morley rank. Then there is a subgroup  $A_1 \leq A$  and a field  $K$  such that  $A_1 \simeq K_+$  definably. Furthermore,  $S$  embeds into a matrix ring over  $K$ .*

Implicit in this statement is the structure of a  $K$ -vector space on  $A$ .

**3.3. Around the  $Z^*$  theorem.** The  $Z^*$  theorem of finite group theory has no real analog in the finite Morley rank context, as far as is known, but the following is related, and useful.

**Fact 3.6** ([BBC07, Thm. 6]). *Let  $G$  be a connected group of finite Morley rank,  $S$  a Sylow 2-subgroup in  $G$ , and  $i \in S$  an involution. Then either*

- (1)  *$i$  is conjugate in  $G$  to another involution in  $S$ ; or*
- (2)  *$C_G(i)$  is connected.*

Below we will put considerable effort into eliminating specific configurations that would immediately violate the  $Z^*$ -theorem.

**3.4. Algebraic components in centralizers of involutions.** For what follows, we will be making use of the following hypotheses.

**Hypothesis 3.7.** Let  $G$  be a group of finite Morley rank.

We suppose the following.

- (1)  $G$  is a connected simple  $L^*$ -group of odd type satisfying the condition  $\text{NTA}_2$ .
- (2)  $m_2(G) \geq 3$ .

- (3)  $G$  has no proper non-trivial definable strongly embedded subgroup.

Soon we will focus on the case of Prüfer rank 2 (Hypothesis 4.1 below) but for the present section this is the right setting.

We are interested now in the existence of algebraic quasi-simple components in centralizers of involutions. We first state two general results from [BC22a], and then fill in the relevant definitions.

The main thing to retain from what follows is that the notation  $\mathcal{E}_A$  refers to a certain set of particularly well-behaved algebraic quasisimple components of centralizers  $C_G(i)$  as  $i$  varies over the involutions of an elementary abelian 2-subgroup  $A$ . See Definition 3.15. The notation  $m_2^\circ$  refers to a variant of 2-rank which is introduced in Definition 3.12.

**Fact 3.8** ([BC22a, Lemma 2.15]). *Let  $G$  be a group of finite Morley rank and odd type. Suppose  $m_2(G) \geq 3$ . Then  $m_2^\circ(G) \geq 3$ . More precisely, if  $U$  is an elementary abelian 2-group of rank 2 which is contained in a 2-torus, then there is an elementary abelian 2-subgroup  $A$  of 2-rank 3 containing  $U$ , and an involution  $i \in I(U)$  which is co-toral with every involution of  $A$ .*

**Fact 3.9** ([BC22a, Lemma 4.17]). *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 3.7. Let  $A \leq G$  be an elementary abelian 2-subgroup of 2-rank 3 such that the co-torality graph on  $I(A)$  is connected, and let  $U \leq A$  be a subgroup of 2-rank 2 which is contained in a 2-torus.*

*Then there is an involution  $i \in U$  such that  $C(i)$  contains a quasi-simple component belonging to  $\mathcal{E}_A$ . Furthermore, for any quasi-simple algebraic component  $L$  belonging to  $\mathcal{E}_A$ , there is an involution  $i \in U$  such that  $L$  is contained in a product of quasi-simple components belonging to  $\mathcal{E}_U$ .*

There are two points to clarify here: the *co-torality* condition, and the definition of  $\mathcal{E}_A$ .

**Definition 3.10.** Let  $G$  be a group of finite Morley rank and  $i, j \in G$  two involutions. We say that  $i$  and  $j$  are *co-toral* if there is a 2-torus containing both.

For  $A \leq G$  a subgroup, the *co-torality graph* on  $A$  has as its vertices the involutions in  $A$ , and as its edges the co-toral pairs of involutions in  $A$ .

**Fact 3.11** (cf. [BC22a, §2.1]). *Let  $G$  be a group of finite Morley rank and odd type, and  $i, j \in I(G)$ . Then the following are equivalent.*

(1)  $i, j$  are co-toral.

(2)  $j \in C_G^\circ(i)$ .

Indeed, if  $j \in C_G^\circ(i)$  then by [BC09, Thm. 3] one may take maximal 2-tori  $T_i, T_j$  containing  $i, j$  respectively, and they are conjugate in  $C_G^\circ(i)$  (e.g., by conjugacy of Sylow 2-subgroups).

For applications of signalizer functor theory the following modified 2-rank is important.

**Definition 3.12.** The *co-toral 2-rank*  $m_2^\circ(G)$  is defined as the maximal 2-rank of an elementary abelian 2-subgroup  $A$  such that the graph on  $I(A)$  whose edges are the co-toral pairs of involutions in  $A$  is connected.

Now we turn to the definition of  $\mathcal{E}_A$ . This makes use of the unipotence theory of §2.3, and in particular the parameter  $\bar{r}_0(H)$  briefly recalled there; this is the largest value of  $r$  for which  $H$  contains a  $(0, r)$ -unipotent subgroup (or zero).

**Definition 3.13.** Let  $H$  be a group of finite Morley rank.

A *component* of  $H$  is a quasi-simple subnormal subgroup ([BN94, p. 118 (2)]).

$E_{alg}(H)$  denotes the product of the algebraic components of  $H^\circ$ .

**Definition 3.14.** Let  $G$  be a group of finite Morley rank,  $i$  an involution, and  $A$  a subgroup of  $G$ .

We set

$$\begin{aligned} r_{0,i} &= \bar{r}_0(O^\sigma(C_G(i))); \\ r_{f,i} &= \max(\bar{r}_0(k^\times) : k \text{ the base field of a component} \\ &\quad \text{of } E_{\text{alg}}(C_G(i)/O^\sigma(C_G(i)))). \end{aligned}$$

and

$$r_{0,A} = \max(r_{0,i} : i \in I(A)); \quad r_{f,A} = \max(r_{f,i} : i \in I(A)).$$

Here the subscript “ $f$ ” stands for “field.”

**Definition 3.15** (The family  $\mathcal{E}_A$ ). Let  $G$  be a group of finite Morley rank,  $H$  a definable subgroup, and  $\rho \geq 0$ .

$\Delta_\rho(H)$  denotes the definable subgroup of  $H$  generated by all  $p$ -unipotent subgroups with  $p$  prime, together with all  $(0, r)$ -unipotent subgroups for  $r > \rho$  (briefly: “all sufficiently unipotent subgroups”).

For  $A$  an abelian 2-subgroup of  $G$ , we let  $\mathcal{E}_A$  denote the family of all quasi-simple algebraic components of any of the subgroups  $\Delta_r(C_G(i))$  as  $i$  varies over  $I(A)$  and  $r \geq r_{f,A}$ .

This definition is clarified by the following.

**Fact 3.16** ([BC22a, Lemma 4.9]). *Let  $L$  be a quasi-simple algebraic group of finite Morley rank (in any language), with base field  $k$ . Let  $r \geq 1$ .*

*Then the following are equivalent.*

- (1)  $\Delta_r(L) > 1$ .
- (2)  $\Delta_r(L) = L$ .
- (3)  $\text{rk}(k) > r$ , or the characteristic of  $k$  is non-zero.

A closely related point of independent interest is the following.

**Fact 3.17** ([BC22a, Cor. 2.26], [Poi87, Cor. 3.3]). *Let  $k$  be a field of finite Morley rank and characteristic 0, and  $k_+, k^\times$  the additive and multiplicative groups. Then*

$$\bar{r}_0(k_+) = \text{rk}(k) > \bar{r}_0(k^\times)$$

and  $k_+$  is a  $U_{0, \text{rk}(k)}$ -group.

The connection with components comes through the following.

**Fact 3.18** ([BC22a, Lemma 4.13]). *Let  $G$  be a group satisfying Hypothesis 3.7. Let  $i$  be an involution of  $G$  and  $\rho = r_{f,G}$ .*

*Then*

$$\Delta_\rho(C_G(i)) = \Delta_\rho(E_{\text{alg}}(C_G(i)))$$

*is the product of algebraic components of  $C_G(i)$  whose base field  $k$  either has non-zero characteristic or satisfies  $\text{rk}(k) > \rho$ .*

Note here that there must be some base field for  $k$  for which  $\bar{r}_0(k^\times) = r_{f,G}$  and hence  $\text{rk}(k) > r_{f,G}$ , and an involution  $i$  so that  $k$  occurs as the base field of some algebraic component of the group  $C_G(i)/OFC_G(i)$ . In this case the previous fact gives an algebraic component of  $C_G(i)$  with the same base field.

**Definition 3.19.** We may also define  $\mathcal{E}_G$  similarly to  $\mathcal{E}_A$  (considering all involutions in  $G$  and their centralizers). We write  $\mathcal{E}$  for  $\mathcal{E}_G$ .

One of the points of the various definitions made is to ensure the following.

**Fact 3.20** ([BC22a, Lemma 4.15]). *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 3.7. Let  $A \leq G$  be an elementary abelian 2-subgroup of 2-rank 3 such that the co-torality graph on  $I(A)$  is connected, and let  $i$  be an involution of  $A$ . Let  $L$  be a definable quasi-simple algebraic subgroup of  $C_G(i)$  over a base field which is either of characteristic  $p$  or has a multiplicative group of reduced rank  $r_{f,A}$ .*

*Then  $L$  is a subgroup of  $E_{\mathcal{E}}(C_G^\circ(i))$ .*

We record a more technical point which underlies the theory.

**Definition 3.21.** Let  $G$  be a group of finite Morley rank,  $i$  an involution, and  $A$  a subgroup of  $G$ .

For  $i \in A$ , and  $\rho$  either a prime or a symbol  $(0, r)$ , we set

$$\theta_\rho(i) = U_\rho(O^\sigma(C_G(i))).$$

**Fact 3.22** ([BC22a, Lemma 4.4]). *Let  $G$  be an  $L^*$ -group of finite Morley rank of odd type, satisfying  $\text{NTA}_2$ .*

*Let  $\rho$  be either a prime or a symbol  $(0, r)$  satisfying the conditions*

$$r > r_{f,i}; \quad r \geq r_{0,i}.$$

*Let  $i, j, k$  be three commuting involutions in  $G$  satisfying the following conditions.*

- (1)  $i$  and  $j$  are co-toral in  $G$  (i.e., there is 2-torus  $T$  containing  $i$  and  $j$ ).
- (2)  $\theta_\rho(k) \cap C_G(j) \leq \theta_\rho(j)$ .

*Then*

$$\theta_\rho(k) \cap C_G(i) \leq \theta_\rho(i).$$

An important consequence of the signalizer functor theory is the following structural result.

**Fact 3.23** ([BC22a, Lemma 4.6]). *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 3.7. Let  $A$  be an elementary abelian 2-subgroup of 2-rank 3.*

*Suppose that the graph on  $I(A)$  with edges  $(i, j)$  for co-toral pairs of involutions is a connected graph.*

*Then for  $i \in I(A)$  an involution, we have*

$$\begin{aligned} U_p(O^\sigma(C_G(i))) &= 1 \text{ for all primes } p; \\ U_{(0,r)}(O^\sigma(C_G(i))) &= 1 \text{ for all } r > r_{f,A}. \end{aligned}$$



Note that when  $U_p(O^\sigma(C_G(i))) = 1$  for all primes  $p$ , then  $UF(C_G(i))$  is torsion free.

We also give the general result on signalizer functors, as it has further applications.

**Fact 3.24** ([BC22a, Lemma 3.19]). *Let  $G$  be a connected simple  $L^*$ -group of finite Morley rank and odd type. Suppose that for some rank 3 elementary abelian 2-subgroup  $A$  of  $G$ , there is a nontrivial connected nilpotent  $A$ -signalizer functor  $\theta$  satisfying the naturality condition*

$$(\star) \quad \theta(i)^g = \theta(i^g) \text{ when } g \in G \text{ and } i, i^g \in I(A).$$

*Then  $G$  has a proper definable strongly embedded subgroup.*

In particular, this is excluded in the case of Prüfer 2-rank 2 and 2-rank greater than 2.

#### 4. COMPONENT ANALYSIS: PRELIMINARIES

We now come to our actual subject matter, the analysis of components of centralizers of involutions, and notably the components in  $\mathcal{E}$  for groups satisfying the following conditions.

**Hypothesis 4.1.** Let  $G$  be a group of finite Morley rank.

We assume the following.

- (1)  $G$  is a simple  $L^*$ -group of odd type satisfying the condition  $\text{NTA}_2$ .
- (2)  $G$  has Prüfer 2-rank 2 and 2-rank at least 3.

Note that Hypothesis 4.1 implies Hypothesis 3.7, in view of Corollary 2.40.

Our eventual goal is Theorem 1.1.

**4.1. The point of departure.** First we show the following, as our point of departure.

**Lemma 4.2.** *Let  $G$  be a group satisfying Hypothesis 4.1. Then  $\mathcal{E}$  is non-empty, and every component in  $\mathcal{E}$  is of Prüfer rank 1.*

**Lemma 4.3.** *Let  $G$  be a group satisfying Hypothesis 4.1. Then the following hold.*

- (1) *The associated family  $\mathcal{E}$  is non-empty.*
- (2) *For any involution  $i$  in  $G$  we have*

$$\begin{aligned} U_p(O^\sigma(C_G(i))) &= 1 \text{ for all primes } p; \\ U_{(0,r)}(O^\sigma(C_G(i))) &= 1 \text{ for all } r > r_{f,G}. \end{aligned}$$

*In particular,  $UF(C_G(i))$  is torsion-free.*

*Proof.*

*Ad 1.* By Facts 3.8 and 3.9, there are elementary abelian 2-subgroups  $A$  of rank 3 on which the co-torality graph is connected. Then Fact 3.20 applies.

*Ad 2.*

By Fact 3.8 any involution  $i$  belongs to an elementary abelian 2-subgroup  $A$  of rank 3 on which the co-torality graph is connected. Then Fact 3.23 gives (2).  $\square$

At this point the hypothesis  $\text{NTA}_2$  has been invoked via its connection with the background material.

**Lemma 4.4.** *Let  $G$  be a group satisfying Hypothesis 4.1. Then any component in  $\mathcal{E}$  has Prüfer rank 1 (type  $(\text{P})\text{SL}_2$ ).*

*Proof.* Suppose the contrary. Let  $i$  be an involution and  $L \in \mathcal{E}$  be a component of  $C^\circ(i)$  of Prüfer rank 2. Then  $i \in L$  is a central involution and so

$$L = E_{\text{alg}}(C^\circ(i)) \simeq \text{Sp}_4(k),$$

with  $k$  algebraically closed (cf. Table 1). Let  $T$  be a maximal 2-torus of  $C^\circ(i)$ , and  $S$  a Sylow 2-subgroup of  $G$  containing  $T$ .

**Claim 1.** *All involutions of  $G$  are conjugate.*

Let  $j$  be an involution of  $G$ . We will show that  $j$  is conjugate to  $i$ .

By Fact 2.12 we may suppose  $j \in T$ . We may also suppose  $j \neq i$ . Then

$$C_L(j) \simeq \text{SL}_2(k) \times \text{SL}_2(k)$$

(Table 1).

By the definition of  $\mathcal{E}$  and Fact 3.20 we have

$$C_L(j) \leq E_{\text{alg}}(C^\circ(j)).$$

Hence we have the following two possibilities.

(a)  $E_{\text{alg}}(C^\circ(j)) > C_L(j)$ ; then  $E_{\text{alg}}(C^\circ(j)) \simeq \text{Sp}_4(k)$ .

(b)  $E_{alg}(C^\circ(j)) = C_L(j)$ ; then  $i \in Z(C^\circ(j))$ .

The same applies to  $j' = ij$ .

By Corollary 2.43,  $G$  is generated by the groups  $C^\circ(t)$  for  $t \in \Omega_1(T)$ . Hence  $i$  cannot centralize both  $C^\circ(j)$  and  $C^\circ(j')$ . As  $j, j'$  are conjugate in  $C^\circ(i)$  it follows that  $i$  centralizes neither, and thus

$$E_{alg}(C^\circ(j')) \simeq \mathrm{Sp}_4.$$

Then  $i, j$  are conjugate in  $C^\circ(j')$ . The claim follows.

**Claim 2.** *A Sylow 2-subgroup of  $L$  is a Sylow 2-subgroup of  $G$ .*

As all involutions are conjugate,  $C(i)$  contains a Sylow 2-subgroup  $S$  of  $G$ . As  $L \simeq \mathrm{Sp}_4(k)$  has no graph automorphisms, the centralizer  $C(i)$  is connected by Lemma 2.47.

But then  $C(i)/E_{alg}(C^\circ(i))$  is connected and of degenerate type, hence contains no involution. This proves the claim.

Now  $C_L(j) \triangleleft C_G(i, j)$  and so  $C_L(j) = \mathrm{SL}_2(k) \times \mathrm{SL}_2(k) = E_{alg}(C(i, j))$ , with  $i$  lying in neither factor. But  $(i, j)$  is conjugate to  $(j, i)$  and so  $j$  lies in neither factor, and as  $i \neq j$  this is impossible.  $\square$

*Proof of Lemma 4.2.* Lemmas 4.3 and 4.4.  $\square$

## 4.2. Isolated components.

**Definition 4.5.** Let  $G$  be a group satisfying Hypothesis 4.1.

For any definable subgroup  $H$  we write  $E_{\mathcal{E}}(H)$  for the product of the  $\mathcal{E}$ -components of  $E(H)$ .

An involution  $i \in I(G)$  is an  $\mathcal{E}$ -involution if  $E_{\mathcal{E}}(C_G(i)) > 1$ .

**Definition 4.6.** Let  $G$  be a simple  $L^*$ -group of finite Morley rank and odd type satisfying Hypothesis 4.1 and let  $L$  be an  $\mathcal{E}$ -component of the centralizer of an involution. We say  $L$  is *isolated* if it is a component of the centralizer of any involution which commutes with  $L$ .

*Remark 4.7.* Any  $\mathcal{E}$ -component of type  $\mathrm{SL}_2$  is isolated.

An  $\mathcal{E}$ -component  $L$  of type  $\mathrm{PSL}_2$  is isolated if there is no involution  $i$  with  $E_{\mathcal{E}}(C_G(i)) \simeq \hat{L} *_2 \hat{L}$  with  $\hat{L} \simeq \mathrm{SL}_2$  over the same base field as  $L$ .

In particular, isolated components must exist.

**Lemma 4.8.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1. Suppose that  $L$  is an isolated component of  $G$ .*

*Then no 4-group in  $G$  centralizes  $L$ .*

*Proof.* Suppose toward a contradiction that the 4-group  $V$  centralizes  $L$ .

Since  $L$  is isolated,  $L$  is a component of  $C^\circ(i)$  for  $i \in I(V)$ , by Fact 3.20. Hence  $\Gamma_V$  normalizes  $L$ , contradicting Corollary 2.43.  $\square$

**Definition 4.9.** Let  $G$  be a group satisfying Hypothesis 4.1. If  $L$  is an  $\mathcal{E}$ -component for  $G$ , and  $i$  is an involution centralizing  $L$ , set  $K_{L,i} = C_{C_G(i)}^\circ(L)$ .

We generally will write  $K_L$  for this, when the involution  $i$  is fixed.

*Remark 4.10.* When  $L$  is of type  $\mathrm{SL}_2$  with central involution  $i$ , then  $i$  is the only involution centralizing  $L$  and  $K_{L,i} = C_G^\circ(L)$ .

**Lemma 4.11.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1. Suppose that  $L$  is an isolated  $\mathcal{E}$ -component for  $G$ ,  $i$  is an involution of  $G$  centralizing  $L$ , and  $K_L = K_{L,i}$ .*

*Then we have the following.*

(1)  $C_G^\circ(i) = LK_L$  with both factors of Prüfer rank 1, and with  $L \cap K_L \leq \langle i \rangle$ .

(2) More precisely, we have the following.

(a) If  $L$  is of type  $\mathrm{PSL}_2$  then  $C_G^\circ(i) = L \times K_L$ .

(b) If  $L$  is of type  $\mathrm{SL}_2$  then  $C_G^\circ(i) = L *_2 K_L$  with intersection  $\langle i \rangle$ .

(3)  $i$  is the unique involution of  $K_L$ , and the Sylow 2-subgroup of  $K_L$  is either connected or as in  $\mathrm{SL}_2$ .

(4)  $N_G(K_L) = N_{C_G(i)}(L)$ .

*Proof.*

*Ad 1.* As  $C_G(i)$  permutes its components,  $C_G^\circ(i)$  normalizes them. As  $C_G^\circ(i)$  acts on  $L$  by inner automorphisms we find

$$C_G^\circ(i) = LK_L.$$

As  $C_G^\circ(i)$  has Prüfer rank 2 and  $L$  has Prüfer rank 1,  $K_L$  has Prüfer rank 1.

The involution  $i$  is central in  $K_L$ . By Lemma 4.8,  $C_{C_G(i)}(L)$  contains no 4-group, so  $i$  is its only involution. As  $L \cap K_L \leq Z(L)$  we have  $L \cap K_L \leq \langle i \rangle$ .

*Ad 2.* Considering the possibilities according to the type of  $L$  gives (2a, 2b).

*Ad 3.* We saw above that  $i$  is the unique involution of  $K_L$ .

Let  $S$  be a Sylow 2-subgroup of  $K_L$  and  $T = S^\circ$ , 2-torus of Prüfer rank 1. As  $S$  has a unique involution, any element of  $S \setminus T$  must invert the elements of order 4 in  $T$ . It follows that  $S = T$  or  $S = T \langle w \rangle$  where  $w$  inverts  $T$  and  $w^2 = i$ .

*Ad 4.* The claim is that  $N_G(K_L) = N_{C_G(i)}(L)$ .

Certainly  $N_{C_G(i)}(L) \leq N(K_L)$ .

Conversely, if  $T$  is a maximal 2-torus of  $K_L$ , then

$$N_G(K_L) \leq K_L N_G(T) \leq C_G(i).$$

If  $C_G(i)$  normalizes  $L$  there is nothing more to prove, and if not then  $E_{alg}(K_L)$  is a conjugate of  $L$ , so  $N_G(K_L)$  fixes that component of  $C_G(i)$ . and hence also fixes the component  $L$ .  $\square$

**Lemma 4.12.** *Let  $G$  be a group satisfying Hypothesis 4.1 and suppose that  $L$  an isolated  $\mathcal{E}$ -component of  $C_G(i)$ . Let  $i$  be an involution centralizing  $L$ .*

*If  $A$  is an elementary abelian 2-group of rank 3 contained in  $N_{C_G(i)}(L)$ , then*

$$A \cap C_G^\circ(A) \leq \langle i \rangle.$$

*If  $L$  is of type  $\mathrm{PSL}_2$  then this intersection is  $\langle i \rangle$ , while if  $L$  is of type  $\mathrm{SL}_2$  the intersection is trivial.*

*Proof.*  $A$  acts on  $L$  as a group of inner automorphisms. As no 4-group centralizes  $L$ ,  $A$  must contain  $i$  and induce a 4-group acting faithfully on  $L$ .

**Claim 1.**  $C_G^\circ(A) \leq K_L$

We have

$$C_G^\circ(A) = C_{C_G(i)}^\circ(A) = C_{LK_L}^\circ(A).$$

Working modulo  $L \cap K_L = Z(L)$  we have a direct product, and one finds

$$C_G^\circ(A) \leq C_{L \bmod Z(L)}^\circ(A) \cdot C_{K_L \bmod Z(L)}^\circ(A).$$

But  $C_{L \bmod Z(L)}^\circ(A) = 1$ , so  $C_G^\circ(A) \leq K_L$ , as claimed.

Hence  $A \cap C_G^\circ(A) \leq A \cap K_L \leq \langle i \rangle$ .

If  $L$  is of type  $\mathrm{PSL}_2$  then  $A = \langle V, i \rangle$  with  $V \leq L$  and  $C_{K_L}(A) = K_L$ , so in this case the intersection is  $\langle i \rangle$ .

Conversely, if  $i \in C_G^\circ(A)$ , then  $i$  lies in some 2-torus  $T$  of  $K_L$  centralizing  $A$ . By Fact 2.13 we have  $A \leq C_G^\circ(T) \leq C_G^\circ(i)$ . But then  $TA$  is contained in a Sylow 2-subgroup of  $C_G^\circ(i)$ . If  $L$  is of type  $\mathrm{SL}_2$  then there is no such subgroup  $A$ . So in this case  $L$  is of type  $\mathrm{PSL}_2$ .  $\square$

**Lemma 4.13.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and let  $i$  be an involution of  $G$  for which  $E_{\mathcal{E}}(C_G(i))$  has more than one component.*

*Then  $E_{\mathcal{E}}(C_G(i))$  is of type  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$ , possibly over different base fields.*

*Proof.* Set  $L = E_{\mathcal{E}}(C_G(i))$ . Then  $L$  is a central product of two components  $L_1 * L_2$ , each of Prüfer rank 1.

**Claim 1.**  *$E_{\mathcal{E}}(C_G(i))$  has a component of type  $\mathrm{SL}_2$ .*

Let  $T$  be a maximal 2-torus of  $L$ . Then  $i \in C(T)$  and hence  $i \in T \leq L$ . So  $Z(L)$  is nontrivial and the claim follows.

We may suppose that  $L_1$  is of type  $\mathrm{SL}_2$ . Then  $L_1$  is isolated and by Lemma 4.8 no 4-group centralizes it. So  $L_2$  is also of type  $\mathrm{SL}_2$  and the product cannot be direct.

The result follows. □

### 4.3. Isolated components of type $\mathrm{PSL}_2$ .

**Lemma 4.14.** *Let  $G$  be a group satisfying Hypothesis 4.1. Suppose that  $L$  is an isolated  $\mathcal{E}$ -component of type  $\mathrm{PSL}_2$  and let  $i$  be an involution centralizing  $L$ .*

*Then the following hold.*

- (1) *The involutions of  $C_G(i)$  lie in  $L \langle i \rangle$ , hence in  $C_G^{\circ}(i)$ .*
- (2) *The involution  $i$  is co-toral with any involution of  $C_G(i)$ .*
- (3) *The only  $\mathcal{E}$ -involution in  $C_G(i)$  is  $i$ .*
- (4) *A Sylow 2-subgroup of  $C_G(i)$  is a Sylow 2-subgroup of  $G$ .*
- (5)  *$C_G(i)$  is connected.*



(6) A Sylow 2-subgroup of  $G$  has the form

$$T_1 \langle w \rangle \times S_2$$

with  $T_1$  a 2-torus,  $w$  an involution inverting  $T_1$ , and  $S_2$  either a 2-torus or as in  $\mathrm{SL}_2$ .

In particular,  $G$  has 2-rank 3.

(7)  $E_{\mathcal{E}}(C_G(i)) = L$ .

*Proof.*

*Ad 1.* Consider an involution  $j$  in  $C_G(i)$ . As  $j$  acts on  $L$  by an inner automorphism there is an element  $t$  in  $L$ , either an involution or the identity, for which  $jt$  is an involution centralizing  $L$ .

Then  $\langle jt, i \rangle$  centralizes  $L$ . By Lemma 4.8 no 4-group centralizes  $L$ , so  $jt \in \langle i \rangle$ . Point (1) follows.

*Ad 2.* This follows from (1) by Fact 3.11.

*Ad 3.* Let  $j$  be an  $\mathcal{E}$ -involution in  $C_G(i)$  (hence in  $C_G^\circ(i)$ ). In view of the structure of  $C_G^\circ(i)$  there is an elementary abelian 2-group  $A$  of rank 3 containing  $i$  and  $j$ .

Suppose first that  $E_{\mathcal{E}}(C_G(j))$  has an isolated component. Then Lemma 4.12 applies to  $A$  and to both  $i$  and  $j$ , giving  $\langle i \rangle = \langle j \rangle$  and  $i = j$ .

In particular, if  $E_{\mathcal{E}}(j)$  has a component of type  $\mathrm{SL}_2$  we have a contradiction. Therefore all  $\mathcal{E}$ -components are of type  $\mathrm{PSL}_2$ , and are isolated. So the argument applies generally.

*Ad 4.* Let  $S$  be a Sylow 2-subgroup of  $C_G(i)$ . As the only  $\mathcal{E}$ -involution in  $S$  is  $i$ , we find

$$N_G(S) \leq C_G(i)$$

and hence  $S$  is a Sylow 2-subgroup of  $G$ .

*Ad 5.* Since  $i$  is not conjugate to any other involution in  $C_G(i)$ , by Fact 3.6  $C_G(i)$  must be connected.

*Ad 6.* We work with a Sylow 2-subgroup of  $C_G(i) = L \times K_L$ . Here  $K_L$  is connected of Prüfer rank 1 and has a unique involution  $i$ .

If  $K_L$  is a  $D$ -group then its Sylow 2-subgroup is connected and the structure is as stated with  $S_2$  a 2-torus.

Otherwise, with  $\bar{K}_L = K_L/OF(K_L)$ , we have  $\bar{K}_L = E_{alg}(\bar{K}_L)\bar{K}_L^*$  with  $E_{alg}(\bar{K}_L)$  of type  $SL_2$  and  $\bar{K}_L^*$  of degenerate type. We get the stated structure with  $S_2$  as in  $SL_2$ .

*Ad 7.* By Lemma 4.13,  $E_{\mathcal{E}}(C_G(i)) = L$ . □

**Lemma 4.15.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and suppose that  $L \in \mathcal{E}$  is of type  $PSL_2$  and isolated.*

*Then there are exactly three conjugacy classes of involutions in  $G$ . These may be characterized by the following properties of the involution  $t \in I(G)$ .*

- (1)  $E_{\mathcal{E}}(C_G(t))$  is of type  $PSL_2$ ; then  $C_G(t)$  is connected.
- (2)  $t$  commutes with an  $\mathcal{E}$ -involution  $t'$  with  $t \in E_{\mathcal{E}}(C_G(t'))$ ; then  $E_{\mathcal{E}}(C_G(t)) = 1$  and  $C_G(t)$  is disconnected.
- (3)  $t$  commutes with an  $\mathcal{E}$ -involution  $t' \neq t$  with  $t \notin E_{\mathcal{E}}(C_G(t'))$ ; then  $E_{\mathcal{E}}(C_G(t)) = 1$  and  $C_G(t)$  is disconnected.

*Furthermore:*

- (\*) *A pair of distinct commuting involutions  $s, t$  in  $G$  are co-toral if and only if there is an  $\mathcal{E}$ -involution in the 4-group  $\langle s, t \rangle$ .*

*Proof.* We fix an  $\mathcal{E}$ -involution  $i$ , and we apply the information given in Lemma 4.14.

**Claim 1.** *There are exactly three conjugacy classes of involution in  $G$ , represented by  $i$ , the involutions of  $L$ , and the involutions of  $Li$  other than  $i$ .*

Let  $T$  be a maximal 2-torus of  $C_G(i)$ . Every involution is conjugate to an involution of  $T$  (Fact 2.12). We know that  $i$  is the only  $\mathcal{E}$ -involution in  $T$ . In particular  $N_G(T) \leq C_G(i)$ .

If the other two involutions in  $T$  are conjugate, then as fusion in  $T$  is controlled by  $N_G(T)$  (Fact 2.14), there is a 2-element of  $N_G(T)$  which swaps them. Hence this conjugacy takes place in  $S$ ; but  $S$  centralizes the involutions of  $T$ . This proves the claim.

Now according to Lemma 4.14 the  $\mathcal{E}$ -involutions  $t$  are conjugate to  $i$  and have  $C_G(t)$  connected, so point (1) is taken care of, and in addition  $E_{\mathcal{E}}(C_G(t)) = 1$  in the other two cases. And in addition we have most of (2,3), apart from the question of connectedness of  $C_G(t)$ , to which we will return.

**Claim 2.** *If  $s, t$  are distinct commuting involutions, then they are co-toral if and only if the group  $\langle s, t \rangle$  contains an  $\mathcal{E}$ -involution.*

If  $\langle s, t \rangle$  contains an  $\mathcal{E}$ -involution then we may suppose that involution is  $i$  and then look again at  $C_G(i)$  to conclude.

Conversely, if  $s, t$  are co-toral then as the Prufer rank is 2 the group  $\langle s, t \rangle$  contains a conjugate of  $i$ .

The claim follows.

Now looking again at representatives of conjugacy classes of involutions in  $C_G(i)$ , each involution  $t$  which is not an  $\mathcal{E}$ -involution commutes with an involution which is not co-toral with it. Thus by Fact 3.11,  $C_G(t)$  is not connected.

So now all points (1–3), as well as point (\*), have been verified.  $\square$

#### 4.4. Components of type $\mathrm{SL}_2$ .

**Definition 4.16.** If  $G$  is a group of finite Morley rank satisfying Hypothesis 4.1, then an involution  $t \in I(G)$  is called an  $\mathrm{SL}_2$ -involution iff  $C_G(t)$  has a component in  $\mathcal{E}$  of type  $\mathrm{SL}_2$ .

Of course, we expect to have  $\mathrm{SL}_2$ -involutions. Here we work toward Lemma 4.21 below, which lays out the main configurations that arise in that case, provisionally; one of them will be eliminated later.

**Lemma 4.17.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1. Suppose that  $i$  is an  $\mathrm{SL}_2$ -involution and  $L$  is a component of  $C_G(i)$  of type  $\mathrm{SL}_2$ .*

*Then*

(1)  $C_G^\circ(i) = N_G(L)$ .

(2) *If  $C_G(i)$  is disconnected then  $E_{\mathrm{alg}}(C_G(i))$  is of type  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  with the factors conjugate in  $C_G(i)$ .*

*Proof.*

*Ad 1.* The connected group  $C_G^\circ(i)$  must normalize its components. On the other hand,  $N_G(L) = L \cdot C_G(L)$  and if  $T$  is a 2-torus of  $L$  then  $C_G(L) \leq C_G(T) \leq C_G^\circ(i)$  (Fact 2.13).

*Ad 2.* If  $C_G(i)$  is disconnected then  $L$  has a conjugate  $K \neq L$  in  $C_G(i)$  and it follows that  $E_{\mathrm{alg}}(C_G(i)) = L *_2 K = E_{\mathcal{E}}(C_G(i))$ . The result follows.  $\square$

**Lemma 4.18.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1. Suppose that  $i$  is an  $\mathrm{SL}_2$ -involution.*

*Then either*

(1)  $E_{\mathrm{alg}}(C_G(i)) = E_{\mathcal{E}}(C_G(i))$  *is of type  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  with components conjugate in  $C_G(i)$ , or*

(2)  $C_G(i)$  *is connected. Letting  $K_L = C_G^\circ(L)$  we have*

- (a)  $C_G(i) = L *_2 K_L$  with  $K_L$  of Prüfer rank 1 and unique involution  $i$ .
- (b)  $K_L = C_G(L)$ .
- (c)  $E_{alg}(K_L/OF(K_L))$  is of type  $SL_2$  (possibly with a different base field from  $L$ , and not necessarily in  $\mathcal{E}$ ).
- (d) A Sylow 2-subgroup of  $C_G(i)$  is a Sylow 2-subgroup of  $G$ , and is isomorphic to the Sylow 2-subgroup of  $SL_2 *_2 SL_2$ .  
In particular,  $G$  has 2-rank 3.

*Proof.* If  $C_G(i)$  is disconnected then point (1) simply rephrases Lemma 4.17.

So we will suppose the contrary.

$C_G(i)$  is connected.

We then aim at point (2), clauses (a–d).

Clause (a) is covered by Lemma 4.11, bearing in mind Remark 4.10. As we suppose  $C_G(i)$  is connected, (b) then follows.

Let  $S$  be a Sylow 2-subgroup of  $C_G(i)$ .

**Claim 1.**  $S$  is a Sylow 2-subgroup of  $G$ .

Any involution of  $C_G(i)$  other than  $i$  can be written as  $ab$  with  $a \in L$ ,  $b \in K_L$  of order 4. It follows that  $i$  is the only involution in  $Z(S)$ . Therefore  $i$  is central in  $N(S)$  and the claim follows.

Now we consider  $\bar{K}_L = K_L/OF(K_L) = E_{alg}(\bar{K}_L)\bar{K}_D$  with  $K_D \leq K_L$  a  $D$ -group (Fact 2.7).

**Claim 2.**  $E_{alg}(\bar{K}_L) \neq 1$ .

Supposing the contrary,  $K_L = K_D$  is a  $D$ -group and its Sylow 2-subgroup is connected. Then inspection of involutions in  $S$  shows that the 2-rank is 2, a contradiction. The claim follows.

Now as  $E_{alg}(\bar{K}_L)$  has Prüfer 2-rank one and no involution other than  $i$ , it is of type  $SL_2$ . This gives us point (c).

It then follows that  $S$  has the isomorphism type of a Sylow 2-subgroup of  $SL_2 *_2 SL_2$  (but with no assumption of any connection between the base fields of  $L$  and of  $E_{alg}(\bar{K}_L)$ ).

The result is proved.  $\square$

**Lemma 4.19.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1, and having an  $SL_2$ -involution.*

*Then  $G$  has at most two conjugacy classes of involutions.*

*Furthermore, all  $SL_2$ -involutions of  $G$  are conjugate, and all non- $SL_2$ -involutions of  $G$  (if any) are conjugate.*

*Proof.* Let  $i$  be an  $SL_2$ -involution of  $G$ ,  $S$  a Sylow 2-subgroup of  $C_G(i)$ , and  $T = S^\circ$ . As usual the conjugacy classes of involutions in  $G$  have representatives in  $T$ . By Lemma 4.18 if  $j$  is another involution of  $T$  then  $j, ij$  are conjugate in  $S$ .

So there are at most two conjugacy classes of involutions in  $G$ . If there are any non- $SL_2$ -involutions in  $G$  then everything is clear.

On the other hand, if all involutions of  $G$  are  $SL_2$ -involutions, then it follows similarly that  $ij$  and  $i$  are conjugate in  $C_G(j)$ , and thus there is just one conjugacy class of involutions.  $\square$

**Lemma 4.20.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1, and having an  $SL_2$ -involution and two conjugacy classes of involutions. Suppose that the centralizer of an  $SL_2$ -involution is connected.*

*Then the centralizer of a non- $SL_2$ -involution is a  $D$ -group.*

*Proof.* Let  $i, t$  be commuting involutions with  $i$  an  $SL_2$ -involution and  $t$  a non- $SL_2$ -involution, and  $T$  a maximal 2-torus containing  $i, t$ . We have case (2) of Lemma 4.18. We claim that  $E_{alg}(C_G(t)/OFC_G(t)) = 1$ .

**Claim 1.** *There is no component  $\bar{L}$  of  $C_G(t)/OF(C_G(t))$  of type  $PSL_2$ .*

Assuming the contrary, there is an involution inverting a Prüfer rank 1 subgroup of  $T$  and centralizing a Prüfer rank 1 subgroup. This contradicts the structure of the Sylow 2-subgroup of  $G$ . The claim follows.

Now if  $E_{alg}(C_G(t)/OFC_G(t))$  has two components then its Sylow 2-subgroup must be a Sylow 2-subgroup of  $G$  with  $t$  central, and hence  $t$  is conjugate to  $i$ , for a contradiction.

So if  $E_{alg}(C_G(t)/OFC_G(t)) > 1$  we are left with the following possibility.

$E_{alg}(C_G(t)/OFC_G(t))$  is a single component, of type  $SL_2$ .

Let  $S$  be a Sylow 2-subgroup of  $C_G(t)$  containing  $T$ . Then  $S$  centralizes the involutions of  $T$  and in particular  $S \leq C_G(i)$ . So  $S = T \cdot \langle w \rangle$  with  $w$  an element of order 4 inverting  $T$ . But  $C_G(t)$  contains an element of order 4 with a different nontrivial action on  $T$ , and we have a contradiction.  $\square$

We now combine Lemmas 4.20 and 4.18 into a somewhat more explicit list of possibilities.

**Lemma 4.21.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and let  $i$  be an  $SL_2$ -involution of  $G$ .*

*Then one of the following applies.*

- (1)  $E_{alg}(C_G(i)) = E_{\mathcal{E}}(C_G(i))$  is of type  $SL_2 *_2 SL_2$  with components conjugate in  $C_G(i)$ , or
- (2)  $C_G(i)$  is connected, contains a Sylow 2-subgroup of  $G$ , and has the form  $L *_2 K_L$  with  $L$  of type  $SL_2$  and  $K_L$  of Prüfer rank 1 and unique involution  $i$ ;  $E_{alg}(K_L/OF(K_L))$  is of type  $SL_2$ . Furthermore we have one of the following.

(2a) *There are two conjugacy classes of involution. For  $t$  not an  $\mathrm{SL}_2$ -involution,  $C_G(t)$  is a  $D$ -group.*

(2b) *There is one conjugacy class of involutions, and they satisfy*

$$E_{\mathrm{alg}}(C_G(i)/OFC_G(i)) = \mathrm{SL}_2 * \mathrm{SL}_2,$$

*(possibly with differing base fields).*

This gives us a  $\mathrm{PSp}_4$ -configuration, a  $\mathrm{G}_2$ -configuration, and a pathological configuration to consider.



## 5. COMPONENT ANALYSIS: THE CASE OF NO $\mathrm{PSL}_2$ -COMPONENT

We pursue the component analysis in the case in which there are  $\mathrm{SL}_2$ -involutions and there is no  $\mathcal{E}$ -component of type  $\mathrm{PSL}_2$ . Our aim is to show that there is just one conjugacy class of involutions in this case. So we will devote this section to eliminating the configuration that arises if this fails.

Thus we consider the following pathological configuration.

**Hypothesis 5.1.**  $G$  is a group satisfying Hypothesis 4.1.

In addition, all components in  $\mathcal{E}$  are of type  $\mathrm{SL}_2$ , and there are two conjugacy classes of involutions.

**Notation 5.2.** In the context of Hypothesis 4.1 we will also set  $\rho = r_{f,G}$ .

**5.1. Generalities.** Recapitulating, we start with the following.

**Lemma 5.3.** *Let  $G$  be a group satisfying hypothesis 5.1.*

*Then*

- (1) *For  $i$  an  $\mathrm{SL}_2$ -involution,  $E_{\mathrm{alg}}(C_G(i)/OF(C_G(i))) \simeq \mathrm{SL}_2 *_2 \mathrm{SL}_2$*
- (2) *For  $t$  a non- $\mathrm{SL}_2$ -involution,  $C_G^\circ(t)$  is a  $D$ -group, and in particular its Sylow 2-subgroup is a 2-torus.*

*Proof.* Lemma 4.21. □

**Lemma 5.4.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 5.1. Let  $i$  be an  $\mathrm{SL}_2$ -involution,  $L = E_{\mathrm{alg}}(C_G(i))$ .*

*Then*

- (1) *Case (2) of Lemma 4.18 applies. In particular,  $C_G(i)$  is connected and contains a Sylow 2-subgroup of  $G$ , isomorphic to the Sylow 2-subgroup of  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$ .*
- (2) *A pair of distinct commuting involutions  $s, t$  in  $G$  are co-toral if and only if there is an  $\mathrm{SL}_2$ -involution in  $\langle s, t \rangle$ .*

*Proof.*

*Ad 1.* Suppose toward a contradiction that  $E_{\mathcal{E}}(C_G(i))$  has two conjugate components  $L_1, L_2$ . Then  $C_G(i)$  contains involutions not in  $C_G^{\circ}(i)$ ; these are the involutions swapping the two components. If  $j$  is such an involution, then  $C_G(j)$  contains a group of type  $\mathrm{PSL}_2$ , so in view of Lemma 5.3  $j$  must be an  $\mathrm{SL}_2$ -involution. In particular  $j$  and  $ij$  are both  $\mathrm{SL}_2$ -involutions, hence are conjugate. On the other hand, for  $j \in C_G^{\circ}(i)$ ,  $j$  and  $ji$  are also conjugate.

Applying what was just proved to  $j$  rather than  $i$ , it follows that any involution  $t$  in  $C_G(j)$  is conjugate to  $tj$ .

With  $\mathbb{T}_1$  an algebraic torus of  $L_1$ ,  $j$  inverts the group  $\mathbb{T}^* = \{(t(t^{-1})^j) : t \in \mathbb{T}_1\}$ . Let  $w$  be the involution of  $\mathbb{T}^*$  and  $w'$  a square root of  $w$  in  $\mathbb{T}_1$ . Then

$$j^{w'} = jw.$$

On the other hand  $w$  and  $wj$  are conjugate in  $C_G(j)$  and so  $w, j$  are conjugate. This gives a contradiction.

*Ad 2.*

Let  $s, t$  be commuting involutions in  $G$ , and  $V = \langle s, t \rangle$ . If  $s, t$  belong to a 2-torus  $T$  then  $V = \Omega_1(T)$  meets every conjugacy class of involutions, hence contains an  $\mathrm{SL}_2$ -involution.

Conversely, if  $V \leq L$  contains an  $\mathrm{SL}_2$ -involution then we may suppose  $i \in V$ . Since  $C_G(i)$  is connected the claim follows.  $\square$

*Remark 5.5.* Let  $G$  be a group satisfying Hypothesis 5.1. Then for  $i$  an  $\mathrm{SL}_2$ -involution of  $G$  and  $E = E_{\mathrm{alg}}(C_G(i))$ , we have

$$N(E) = C_G(i).$$

Indeed,  $N(E) \leq N(Z(E)) = C_G(i)$  and the reverse inclusion is clear.

In what follows, one must bear in mind particularly the conclusion that  $C_G(i)$  is connected. In particular, the involutions of  $C_G(i)$  other than  $i$  are conjugate.

**Lemma 5.6.** *Let  $G$  be a group satisfying Hypothesis 5.1. Let  $i$  be an  $\mathrm{SL}_2$ -involution and  $L$  an associated  $\mathcal{E}$ -component. If  $H$  is a definable proper subgroup of  $G$  of 2-rank at least 2 containing  $L$ , then  $H \leq C_G(i)$ .*

*Proof.*

**Claim 1.**  $\Delta_\rho(\mathrm{OF}(H)) \leq C_G(i)$ .

$\Delta_\rho(\mathrm{OF}(H))$  is the product of unipotent subgroups  $U_p(F(H))$  and  $U_{0,r}$ -subgroups  $U_{0,r}(F(H))$  with  $r > \rho$ . So let  $U$  be one of the subgroups  $U_p(F(H))$  or  $U_{0,r}(F(H))$  with  $r > \rho$ .

Take a 4-group  $V$  in  $H$  containing  $i$ . The involutions of  $V$  other than  $i$  are not  $\mathrm{SL}_2$ -involutions.

Fix an involution  $t \in V$  other than  $i$ , and consider the group  $U_t = C_U(t) \leq \Delta_\rho(C_G(t))$ . As  $t$  is not an  $\mathrm{SL}_2$ -involution this must be trivial. So  $t$  inverts  $U$ . The same applies to  $ti$ . Thus  $i$  centralizes  $U$ .

**Claim 2.**  $L$  centralizes  $\mathrm{OF}(H)$ .

We have  $\Delta_\rho(\mathrm{OF}(H)) \leq \Delta_\rho(C_G(i)) \leq E_{\mathrm{alg}}(C_G(i))$ . It follows that  $\Delta_\rho(\mathrm{OF}(H))$  normalizes  $L$ , so  $[L, \Delta_\rho(\mathrm{OF}(H))] \leq L \cap \mathrm{OF}(H) = 1$ .

On the other hand  $L$  centralizes  $U_{0,r}F(H)$  for  $r \leq \rho$ , so  $L$  centralizes  $\mathrm{OF}(H)$ , as claimed.

Now let  $\hat{L}$  be the normal closure of  $L$  in  $H$ . Then  $\hat{L}$  centralizes  $\mathrm{OF}(H)$ . The image of  $\hat{L}$  in  $H/\mathrm{OF}(H)$  is contained in  $E_{\mathrm{alg}}(H/\mathrm{OF}(H))$ , and normal. Hence  $\hat{L}/Z(\hat{L})$  is quasi-simple and  $\hat{L} \leq E_{\mathrm{alg}}(H)$ .

If  $E_{\mathrm{alg}}(H)$  has Prüfer 2-rank 1, or more than one component, then  $L = \hat{L}$  is a component of  $H$  and the result follows.

If  $E_{\mathrm{alg}}(H)$  is quasi-simple with Prüfer 2-rank 2 then as it has two conjugacy classes of involution but only one type of  $\mathcal{E}$ -component and we reach a contradiction.  $\square$

5.2.  $UFC_G(t)$  and  $K_L$ . We consider  $UFC_G(t)$  for involutions  $t$  which are not  $SL_2$ -involutions.

**Lemma 5.7.** *Let  $G$  be a group satisfying Hypothesis 5.1. Then the following hold.*

(1) *For any involution  $t$  which is not an  $SL_2$ -involution, we have*

$$\bar{r}_0(UF(C_G(t))) > 0.$$

(2)  $\rho > 0$ .

*Proof.*

*Ad 1.* If we suppose (1) fails then for any involution  $t$  which is not an  $SL_2$ -involution we have  $\bar{r}_0(UF(C_G(t))) = 0$ ,  $UF(C_G(t))$  is a good torus, and  $UF(C_G(t))$  is central in  $C_G(t)$ .

Take a maximal 2-torus  $T$  and an  $SL_2$ -involution  $i \in T$ . Then for  $t \neq i$  an involution in  $T$ , we find  $T \leq Z(C_G^\circ(t))$  by Fact 2.6. Thus  $C_G^\circ(t) \leq C_G(i)$ . So with  $V = \Omega_1(T)$  we have  $\Gamma_V \leq C_G(i) < G$ , and a strongly embedded subgroup, for a contradiction.

Thus  $\bar{r}_0(UF(C_G(t))) > 0$  for such involutions  $t$ .

*Ad 2.* In particular,

$$\rho \geq \bar{r}_0(OF(C_G(t))) > 0. \quad \square$$

**Lemma 5.8.** *Let  $G$  be a group satisfying Hypothesis 5.1.*

*Let  $i$  be an  $SL_2$ -involution of  $G$  and  $t$  an involution of  $C_G(i)$  other than  $i$ . Let  $T$  be a Sylow 2-subgroup of  $C_G^\circ(t)$  and let  $L$  be a component of  $E_{alg}(C_G(i))$ .*

*Then*

(1)  $C_G(T) \leq N(L)$ .

(2)  $C_G^\circ(t) = UF(C_G(t)) \cdot C_G(T)$ .

(3) If  $UF(C_G(t)) \leq N(L)$  then  $C_G^\circ(t) \leq C_G(i)$ .

*Proof.*

Ad 1.  $C_G(T) \leq C_G(i) = N(L)$ .

Ad 2. This holds by Lemma 2.33.

Ad 3. This follows from (1) and (2).  $\square$

**Lemma 5.9.** *Let  $G$  be a group satisfying Hypothesis 5.1. Let  $i$  be an  $SL_2$ -involution of  $G$  and  $t$  an involution of  $C_G(i)$  other than  $i$ .*

*Then  $UF(C_G(t))$  is not contained in  $C_G(i)$ .*

*Proof.* Assuming the contrary, by Lemma 5.8 we find

$$C_G^\circ(t) \leq C_G(i).$$

Let  $V$  be a 4-group in  $C_G(i)$  not containing  $i$ . As the involutions of  $V$  are conjugate to  $t$  in  $C_G(i)$ , it follows that  $\Gamma_V \leq N(L)$ , contradicting Corollary 2.43.  $\square$

**Lemma 5.10.** *Let  $G$  be a group satisfying Hypothesis 5.1. Let  $i$  be an  $SL_2$ -involution,  $L$  a component of  $E_{\mathcal{E}}(C_G(i))$ , and  $K_L = C_G^\circ(L)$ . Let  $t \in C_G(i)$  be a non- $SL_2$ -involution.*

*Then for all  $r$  we have*

$$U_{0,r}OF(C_G(t)) \cap K_L = 1.$$

*Proof.* Suppose toward a contradiction that

$$X = U_{0,r}OF(C_G(t)) \cap K_L > 1.$$

As this intersection is also the centralizer in  $U_{0,r}F(C_G(t))$  of a finite set of involutions in  $L$ , the group  $X$  it is a  $U_{0,r}$ -group (Fact 2.34).

**Claim 1.** For  $s \neq r$ ,

$$U_{0,s}(F(C_G(t))) \leq N(L).$$

The group  $C_G(X)$  contains  $L$  and has 2-rank at least 2, so by Lemma 5.6 we have  $C_G(X) \leq N(L)$ . Since  $U_{0,s}(F(C_G(t)))$  commutes with  $X$  for  $s \neq r$ , the claim follows.

Let  $\mathbb{T}_t = C_L(t)$  and let  $T$  be a maximal 2-torus of  $\mathbb{T}_t$ .

**Claim 2.** The 2-torus  $T$  centralizes  $U_{0,r}(FC_G^\circ(t))$ .

We apply Lemma 3.2 to  $Q = U_{0,r}(F(C_G(t)))$ ,  $T$ , and  $U = Q \cap N(L)$ . Here  $T$  normalizes  $Q$ , and centralizes  $U$ . Furthermore  $C_Q(U) \leq U$  since

$$C_G(U) \leq C_G(X) \leq N(L).$$

So the lemma applies, and  $T$  centralizes  $Q$ .

Thus  $U_{0,r}(FC_G(t)) \leq C_G(T) \leq C_G(i) = N(L)$ . So  $UF(C_G(t)) \leq N(L)$  and we contradict Lemma 5.9.

This contradiction completes the proof.  $\square$

**Lemma 5.11.** Let  $G$  be a group satisfying Hypothesis 5.1. Let  $i$  be an  $\mathrm{SL}_2$ -involution,  $L$  a component of  $E_\mathcal{E}(C_G(i))$ , and  $K_L = C_G^\circ(L)$ . Let  $t \in C_G(i)$  be a non- $\mathrm{SL}_2$ -involution.

$$F(C_G(t)) \cap K_L = 1.$$

*Proof.* If  $X = F(C_G(t)) \cap K_L > 1$  then as  $C(X)$  contains  $L$  and has 2-rank at least 2, we have  $C(X) \leq C_G(i)$ . On the other hand by Lemma 5.10,  $U_{0,r}(X) = 1$  for all  $r$  and  $X^\circ$  is a good torus. Here  $X$  may be finite, but in any case contains a nontrivial torsion element  $a$ , which commutes with  $UF(C_G(t))$ . So by considering  $C(a)$  we find  $UF(C_G(t)) \leq C_G(i)$  and again reach a contradiction.  $\square$

### 5.3. $FC_G(t)$ and $C_G(i)$ .

**Lemma 5.12.** *Let  $G$  be a group satisfying Hypothesis 5.1. Let  $i$  be an  $SL_2$ -involution,  $t \in C_G(i)$  a non- $SL_2$ -involution, and  $T$  a 2-torus containing  $\langle i, t \rangle$ .*

*Then  $C_G(i, t) = C(T)$ .*

*Proof.* Let  $H = C_G(\langle i, t \rangle)$ . As  $t$  is represented as a product of two elements of order 4 with square  $i$  in  $E_{alg}(C_G(i)/OFC_G(i))$ , one from each component of  $E_{\mathcal{E}}(C_G(i))$ , we find that  $H$  acts on  $E_{alg}(C_G(i)/OFC_G(i))$  like a subgroup of  $C(T)$ , and thus we have  $[T, H] \leq OFC_G(i) \leq K_L$ .

But by Fact 2.8, also  $[T, H] \leq UF(C_G(t))$  and so by Lemma 5.10,  $[T, H] = 1$ .  $\square$

**Lemma 5.13.** *Let  $G$  be a group satisfying Hypothesis 5.1. Let  $i$  be an  $SL_2$ -involution,  $L$  an  $\mathcal{E}$ -component of  $C_G(i)$ , and  $t \in C_G(i)$  a non- $SL_2$ -involution. Let  $T$  be a 2-torus of  $C_G(i)$  containing  $\langle i, t \rangle$ .*

*Let*

$$Q = F(C_G(t)) \cap C_G(i).$$

*Then  $Q \leq C_L(T)$ .*

*Proof.* Let  $T_1$  be the 2-torus  $T \cap L$  and let  $w \in L$  invert the algebraic torus  $\mathbb{T}_t = C_L(t)$  of  $L$  containing  $T_1$ .

The group  $Q$  is  $w$ -invariant. As  $w$  inverts  $Q \cap L$ , and  $C_Q(w) \leq K_L$ , the group  $Q$  decomposes as  $(Q \cap L)(Q \cap K_L)$ . But  $Q \cap K_L = 1$  by Lemma 5.10, so  $Q \leq L$ .

In view of Lemma 5.12, the result follows.  $\square$

**Lemma 5.14.** *Let  $G$  be a group satisfying Hypothesis 5.1. Let  $i$  be an  $SL_2$ -involution,  $t \in C_G(i)$  a non- $SL_2$ -involution, and  $r \geq 1$ .*

*If  $U_{0,r}(FC_G(i)) > 1$  then  $U_{0,r}(FC_G(t)) \leq C_G(i)$ .*

*Proof.* Let

$$\begin{aligned} U_i &= U_{0,r}(FC_G(i)) \cap C_G(t); \\ U_t &= U_{0,r}(FC_G(t)) \cap C_G(i); \\ U &= U_i \cdot U_t. \end{aligned}$$

**Claim 1.**  $U_i > 1$ .

There is a 4-group  $V$  in  $C_G(i)$  whose involutions are not  $\mathrm{SL}_2$ -involutions, and some involution  $v \in V$  centralizes a nontrivial subgroup of  $U_{0,r}(UFC_G(i))$ . By conjugacy, the same applies to  $t$ .

The claim follows by Fact 2.34.

Now  $U_{0,r}(FC_G(t)) \cdot U$  is a solvable  $U_{0,r}$ -group, hence nilpotent.

Let  $Q = N_{U_{0,r}(FC_G(t)) \cdot U}(U)$ . Note that  $Q \leq C_G(t)$ .

By Lemma 5.12,  $T$  centralizes  $U$ .

By Lemma 5.6,  $C_G(U) \leq C_G(U_i) \leq C_G(i)$ . So  $C_Q(U) \leq C_Q(i) = U$ . Now by Lemma 3.2,  $T$  centralizes  $Q$ .

Accordingly  $Q = U$  and hence  $U_{0,r}(FC_G(t)) \leq U \leq C_G(i)$ . The result follows.  $\square$

**Lemma 5.15.** *Let  $G$  be a group satisfying Hypothesis 5.1. For  $i, t$  commuting involutions with  $i$  an  $\mathrm{SL}_2$ -involution and  $t$  a non- $\mathrm{SL}_2$ -involution, and any parameter  $r$  for which  $U_{0,r}(OFC_G(t)) > 1$ , we have*

$$C_{U_{0,r}(OFC_G(t))}(i) > 1.$$

*Proof.* We suppose on the contrary that  $C_{U_{0,r}(OFC_G(t))}(i) = 1$ . By Lemma 5.14 we find  $U_{0,r}(FC_G(i)) = 1$ .

Furthermore  $i$  inverts  $U_{0,r}(OFC_G(t))$ , and this applies to all involutions  $t$  of  $C_G(i)$  other than  $i$ .

**Claim 1.** *The function  $\theta_r(s) = U_{0,r}(OFC_G(s))$  defines a signalizer functor.*



We have to check the balance condition  $\theta_r(t_1) \cap C_G(t_2) \leq OF(C_G(t_2))$  for commuting involutions  $t_1, t_2$ . This is trivial if the intersection in question is trivial, which covers the case in which either one of the involutions  $t_1, t_2$  is  $i$ .

So we may suppose that  $t_1, t_2$  are non- $SL_2$ -involutions commuting with  $i$ , in which case our assumptions imply that  $i$  inverts  $OF(C_G(t_1))$ . But then  $\theta_r(t_1) \cap C_G(t_2) \leq [i, C_G(t_2)] \leq OF(C_G(t_2))$  and the balance condition holds.

This proves the claim.

By Fact 3.24 this signalizer functor must be trivial, contradicting our assumptions. This contradiction completes the proof.  $\square$

#### 5.4. $L_2$ .

**Lemma 5.16.** *Let  $G$  be a group satisfying Hypothesis 5.1. For  $i$  an  $SL_2$ -involution of  $G$ ,  $E_{\mathcal{E}}(C_G(i))$  consists of a single component  $L$ .*

*Proof.* If there are two  $\mathcal{E}$ -components then Lemma 5.13 implies that for  $t$  a non- $SL_2$ -involution commuting with  $i$  we have

$$UFC_G(t) \cap C_G(i) = 1.$$

This contradicts Lemma 5.15  $\square$

In particular, going forward,  $L$  may be defined as  $E_{\mathcal{E}}(C_G(i))$ , unambiguously.

**Definition 5.17.** Let  $G$  be a group satisfying Hypothesis 5.1 and let  $i$  be an  $SL_2$ -involution of  $G$ .

Then we set  $L = E_{\mathcal{E}}(C_G(i))$ ,  $K_L = C_G^{\circ}(L)$ , and

$$\bar{L}_2 = E_{alg}(K_L/OF(K_L)).$$

The group  $\bar{L}_2$  is of type  $SL_2$  by Lemma 5.3.

Let  $L_2$  be a minimal normal definable subgroup of  $C_G(i)$  covering  $\bar{L}_2$ . In particular  $L_2$  is a perfect group and  $L_2/OF(L_2) \simeq \bar{L}_2$ .

**Notation 5.18.** Let  $k_2$  be the base field for  $\bar{L}_2$  and set  $r_2^\times = \bar{r}_0(k_2^\times)$ ,  $r_2^+ = \text{rk}(k_{2+})$ .

According to Lemma 5.16,  $L_2$  is not an  $\mathcal{E}$ -component of  $C_G(i)$ . Thus  $k_2$  has characteristic 0 and  $r_2^+$  can also be defined as  $\bar{r}_0(k_{2+})$ . Furthermore  $r_2^+ \leq r_{f,G} = \bar{r}_0(k^x)$  with  $k$  the base field for  $L$ . It is possible, but not certain, that  $OF(L_2) > 1$ . (In fact we might be able to ensure this just by widening the definition of  $\mathcal{E}$  a bit we do not take that approach, which would require revisiting earlier material.)

**Lemma 5.19.** *Let  $G$  be a group satisfying Hypothesis 5.1. Let  $i$  be an  $\text{SL}_2$ -involution. Suppose also that  $OF(L_2) > 1$ .*

*Then any definable proper subgroup  $H$  of  $G$  containing  $L_2$  is contained in  $C_G(i)$ .*

*Proof.* Let  $\hat{L}_2$  be the normal closure in  $H$  of  $L_2$ .

**Claim 1.**  $\hat{L}_2 = L_2 OF(\hat{L}_2)$ .

In  $\bar{H} = H/OF(H)$ ,  $\bar{L}_2$  is contained in  $E_{\text{alg}}(\bar{H})$ . If  $E_{\text{alg}}(\bar{H})$  has Prüfer rank 2 and a single component then as it has two conjugacy classes of involutions and none of type  $\text{PSL}_2$  we have a contradiction. If  $E_{\text{alg}}(\bar{H})$  is of type  $\text{SL}_2 *_2 \text{SL}_2$  then  $\bar{L}_2$  is one of the components and hence  $\hat{L}_2$  is not the normal closure, for a contradiction.

So  $\hat{L}_2$  has Prüfer 2-rank 1 and  $\bar{L}_2$  covers  $\hat{L}_2/OF(L_2)$ . That is,  $L_2 OF(\hat{L}_2) = \hat{L}_2$  as claimed.

Furthermore  $\bar{L}_2 \simeq L_2/OF(L_2)$ , so  $OF(L_2) \leq OF(\hat{L}_2) \leq OF(H)$ .

Let  $U = OF(H) \cap C_G(i)$ . Then  $C_G(U) \leq C_G(OF(L_2)) \leq C_G(i)$  by Lemma 5.6. Hence  $C_{OF(H)}(U) \leq U$ . By Lemma 3.2 we find  $OF(H) \leq C_G(i)$ .

Hence  $\hat{L}_2 \leq C_G(i) \leq N(L_2)$  and  $\hat{L}_2 = L_2$ . Thus  $H \leq N(L_2) = C_G(i)$  by Lemma 5.6.  $\square$

**Lemma 5.20.** *Let  $G$  be a group satisfying Hypothesis 5.1. If  $i$  is an  $\text{SL}_2$ -involution then we have the following.*

$$(1) OF(L_2) = 1.$$

$$(2) E_{alg}(C_G(i)) = LL_2.$$

*Proof.* It suffices to show the first point. Suppose the contrary, and consider  $Q = UFC_G(t) \cap C_G(i)$ .

By Lemma 5.15,  $Q > 1$ . By Lemma 5.13  $Q \leq C_L(T)$ . As we suppose  $OF(L_2) > 1$ , by Lemma 5.19 we have  $N_{UFC_G(t)}(Q) \leq C_G(i)$ , and  $Q$  is self-normalizing in  $UFC_G(t)$ . Hence  $i$  centralizes  $UFC_G(t)$ , giving a contradiction.  $\square$

**Lemma 5.21.** *Let  $G$  be a group satisfying Hypothesis 5.1. Let  $i$  be an  $SL_2$ -involution.*

*Then any definable proper subgroup  $H$  of  $G$  containing  $L_2$  is contained in  $C_G(i)$ .*

*Proof.* By Lemma 5.19 we may suppose

$$OF(L_2) = 1.$$

Let  $L_2^*$  be the normal closure of  $L_2$  in  $H^\circ$ , and  $\bar{H} = H/OF(H)$ .

Then  $\bar{L}_2 \leq \bar{L}_2^* \leq E_{alg}(\bar{H})$ .

**Claim 1.**  $\bar{L}_2 = \bar{L}_2^*$ .

Otherwise,  $\bar{L}_2^*$  has Prüfer 2-rank 2. As it has two conjugacy classes of involutions and no component of type  $PSL_2$ , it must be of the type  $SL_2 *_2 SL_2$ , in which case  $\bar{L}_2$  is one of the factors and is normal in  $\bar{H}^\circ$ . Hence  $L_2O(H)$  is normal in  $H^\circ$  and  $\bar{L}_2^* = \bar{L}_2$  after all (which is a contradiction in this case).

The claim follows. That is,

$$L_2^* = L_2 \cdot OF(L_2^*).$$

If  $L_2^* \leq C_G(i)$  then  $L_2$  is normal in  $L_2^*$  and hence  $OF(L_2^*)$  centralizes  $L_2$ . But as  $L_2^*$  is the normal closure of  $L_2$  in  $H^\circ$ ,  $OF(L_2^*)$  is then central

in  $L_2^*$ . But then  $L_2^* = L_2 \times OF(L_2^*)$ ,  $OF(L_2^*) = 1$ ,  $L_2^* = L_2$ , and  $L_2 \triangleleft H^\circ$ . In this case  $H^\circ \leq N(L_2) \leq C_G(i)$  by Lemma 5.6. So  $i$  is the unique  $SL_2$ -involution of  $H^\circ$ . As  $H$  normalizes  $H^\circ$ , we have  $H \leq C_G(i)$  in this case.

So we will suppose

$$L_2^* \text{ is not contained in } C_G(i).$$

It follows that  $OF(L_2^*)$  is not contained in  $C_G(i)$ . This will lead to a contradiction.

Let  $A = F(L_2^*) \cap C_G(i)$ . Note that  $O(A) = A^\circ$  and  $A = A^\circ \langle i \rangle$ .

**Claim 2.**  $A = C_{OF(L_2^*)}(L_2)$ .

$A$  normalizes  $L_2$ , so  $[A, L_2] \leq L_2 \cap A = 1$ . Thus  $A$  centralizes  $L_2$ .

Conversely  $C_G(L_2) \leq N(L_2) = C_G(i)$  by Lemma 5.6.

The claim follows.

**Claim 3.**  $O(A) \geq O(Z(L_2^*)) > 1$

Let  $\mathbb{B}$  be a Borel subgroup of  $L_2$ . Then  $OF(L_2^*)\mathbb{B}$  is connected and solvable, and its commutator subgroup lies in its Fitting subgroup. Hence the unipotent radical  $\mathbb{U}$  of  $\mathbb{B}$  is in the Fitting subgroup and  $OF(L_2^*)\mathbb{U}$  is nilpotent. Hence  $A_0 = C_{ZOF(L_2^*)}^\circ(\mathbb{U})$  is nontrivial. On the other hand  $C(\mathbb{U}) \leq N(\mathbb{U}) \leq C_G(i)$  by Lemma 5.6. So  $A_0 \leq O(A)$ . In particular  $A_0$  centralizes  $L_2$  as well as  $OF(L_2^*)$ , so  $A_0 \leq Z(L_2^*)$ .

The claim follows.

We now consider

$$Q = N_{OF(L_2^*)}^\circ(A^\circ) > A^\circ.$$

By Fact 2.32 we have

$$Q = A^\circ \times Q^-.$$

Thus  $i$  inverts  $Q/A^\circ$ , and  $Q/A^\circ$  is abelian.

Let  $Q_0$  be the centralizer in  $Q$  of  $\mathbb{U}$  modulo  $A^\circ$ . Then  $Q_0 > A^\circ$ . For  $u \in \mathbb{U}$ ,  $x \in Q_0$  we have

$$[u, x] = [u, x]^i = [u, x^i] = [u, x^{-1}]; \quad [u, x^2] = 1; \quad [u, x] = 1.$$

So  $x \in C(\mathbb{U}) \leq C_G(i)$  and  $Q_0 = A^\circ$ , for a contradiction.  $\square$

**5.5. Two conjugacy classes implies  $\mathrm{PSL}_2$ -components.** Now we may conclude.

**Proposition 5.22.** *Let  $G$  be a group satisfying Hypothesis 4.1, with an  $\mathrm{SL}_2$ -involution, and with two conjugacy classes of involutions.*

*Then some  $\mathcal{E}$ -component of an involution is of type  $\mathrm{PSL}_2$ .*

*Proof.* With  $Q = \mathrm{OFC}_G(t) \cap C_G(i)$ , by Lemma 5.15 we have  $Q > 1$ , and by Lemma 5.13 we have  $Q \leq C_L(T)$ . By Lemma 5.21 we have  $N_{\mathrm{OFC}_G(t)}(Q) \leq C_G(i)$ , and  $Q$  is self-normalizing in  $\mathrm{OFC}_G(t)$ . Hence  $i$  centralizes  $\mathrm{OFC}_G(t)$ , giving a contradiction.  $\square$

**Lemma 5.23.** *Let  $G$  be a group satisfying Hypothesis 4.1, with an  $\mathrm{SL}_2$ -involution  $i$ , and with two conjugacy classes of involutions.*

*Then*

- (1)  $C_G(i)$  is disconnected.
- (2)  $E_{\mathcal{E}}(C_G(i))$  is of type  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  with the two components conjugate by an involution of type  $\mathrm{SL}_2$ .
- (3) The Sylow 2-subgroup is as in  $\mathrm{PSp}_4$ .

*Proof.*

*Ad 1.*

Let  $S$  be a Sylow 2-subgroup of  $C_G^\circ(i)$ ,  $T = S^\circ$ , and  $\hat{S}$  a Sylow 2-subgroup of  $G$  containing  $S$ .

**Claim 1.** *There is an involution  $j$  in  $\hat{S} \setminus S$ .*

Let  $t$  be a  $\mathrm{PSL}_2$ -involution and  $T_t$  a maximal 2-torus in  $C_G(t)$ . Then there is an involution  $w$  in  $C_G(t)$  normalizing  $T_t$  and centralizing a Prüfer 2-rank 1 subgroup of  $T_t$ . Accordingly in a Sylow 2-subgroup  $\hat{S}$  of  $G$  containing  $S$ , there is an involution  $j$  acting similarly on  $T$ . By inspection,  $j$  is not in  $C_G^\circ(i)$ .

This proves the claim.

Now  $i$  is the unique  $\mathrm{SL}_2$ -involution in  $T$ , so  $j$  centralizes  $i$ . Therefore  $C_G(i)$  is disconnected.

*Ad 2,3.* Let  $E = E_{\mathcal{E}}(C_G(i))$ .

**Claim 2.** *The normalizer of the components of  $E$  in  $\hat{S}$  is  $S$ .*

Let  $s \in \hat{S}$  normalize the components of  $E$ . Then there is some  $s' \in S$  so that  $ss'$  centralizes  $E$ . But  $C_S(T) = T$ , so this forces  $s \in S$ . This proves the claim.

In particular,  $j$  switches the components of  $E$  and  $\hat{S} = S \langle j \rangle$ . This determines the structure of  $\hat{S}$  and, in particular, this must match the structure of a Sylow 2-subgroup of  $\mathrm{PSp}_4$ .  $\square$

## 6. COMPONENT ANALYSIS: THE CASE OF NO $\mathrm{SL}_2$ -COMPONENTS

We need to show that when  $\mathcal{E}$ -components of type  $\mathrm{PSL}_2$  occur, then we have two conjugacy classes of involutions, and that the two classes correspond to  $\mathcal{E}$ -components of type  $\mathrm{PSL}_2$  and  $\mathrm{SL}_2$ , respectively. The essential point may also be phrased as follows.

**Proposition 6.1.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1.*

*Then some component in  $\mathcal{E}$  is of type  $\mathrm{SL}_2$ .*

Accordingly we work toward a contradiction under the following hypothesis, to be expanded by some notational conventions in Hypothesis 6.4 below.

**Hypothesis 6.2.**  $G$  is a group of finite Morley rank satisfying Hypothesis 4.1. In addition, there is no component in  $\mathcal{E}$  of type  $\mathrm{SL}_2$ .

This will take an extensive analysis.

**6.1. Preliminaries.** We are assuming that all  $\mathcal{E}$ -components are of type  $\mathrm{PSL}_2$ , and, in particular, the terms “ $E_{\mathcal{E}}$ -involution” and “ $\mathrm{PSL}_2$ -involution” are synonyms.

Furthermore, under this assumption the  $\mathcal{E}$  components are isolated, so Lemmas 4.14 and 4.15 apply. For convenience we repeat those results here, in a different order.

- (1) There are three conjugacy classes of involutions. These have the following form.
  - (a)  $\mathrm{PSL}_2$ -involutions;
  - (b) component involutions: involutions in an  $\mathcal{E}$ -component;
  - (c) products of a  $\mathrm{PSL}_2$ -involution  $i$  with an involution in  $E_{\mathcal{E}}(C_G(i))$ .
- (2) For  $i$  a  $\mathrm{PSL}_2$ -involution and  $L = E_{\mathcal{E}}(C_G(i))$ , we have the following.

- (a)  $L$  is a single  $\mathcal{E}$ -component, of type  $\mathrm{PSL}_2$ .
- (b)  $C_G(i)$  is connected and contains a Sylow 2-subgroup of  $G$ , of the form

$$S_1 \times S_L$$

where  $S_1 = T_t \langle w \rangle$  is a Sylow 2-subgroup of  $L$ ,  $T_t$  a 2-torus of Prüfer 2-rank 1,  $w$  an involution inverting  $T_t$ , and  $S_L = C_S(L)$  is either a Prüfer 2-rank 1 2-torus or as in  $\mathrm{SL}_2$ . In particular,  $G$  has 2-rank 3.

- (c)  $i$  is the only  $\mathrm{PSL}_2$ -involution in  $C_G(i)$ .
  - (d) The involutions of  $C_G(i)$  are in  $L \langle i \rangle$ .
- (3) Commuting involutions  $t, t'$  of  $G$  are co-toral iff  $\langle t, t' \rangle$  contains a  $\mathrm{PSL}_2$ -involution.

As the analysis leading to the identification of  $\mathrm{PSp}_4$  might naturally begin with the assumption that the 2-rank is at least 4, none of what follows would be needed in that context.

We go over some closely related and familiar ground not explicitly contained in the above.

**Lemma 6.3.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 6.2. Let  $i$  be an  $\mathcal{E}$ -involution,  $L = E_{\mathcal{E}}(C_G(i))$ ,  $K_L = C_{C_G(i)}(L)$ , and  $\bar{K}_L = K_L/\mathrm{OF}(K_L)$ .*

*Then*

$$\bar{K}_L = E_{\mathrm{alg}}(\bar{K}_L) * \bar{K}_D$$

*with  $K_D$  a  $D$ -group and  $E_{\mathrm{alg}}(\bar{K}_L)$  either trivial or of type  $\mathrm{SL}_2$ .*

*Correspondingly, in the representation of a Sylow 2-subgroup  $S$  of  $C_G(i)$  as  $S_1 \times S_L$ , the factor  $S_L$  is*



(1) a Prüfer 2-group if  $E_{alg}(\bar{K}_L) = 1$ ;

(2) the Sylow subgroup of  $SL_2$  otherwise.

*Proof.*  $C_G(i)$  is connected and  $C_G(i) = L \times K_L$ .

The main point here is that  $K_L$  is an  $L$ -group. So by Fact 2.6 we have

$$\bar{K}_L = E_{alg}(\bar{K}_L) * \bar{K}_D$$

with  $\bar{K}_D/Z^\circ(\bar{K}_L)$  of degenerate type. In particular  $K_D$  is a  $D$ -group.

We know that the Sylow 2-subgroup of  $K_L$  contains a unique involution, so if  $E_{alg}(\bar{K}_L)$  is non-trivial then it is of type  $SL_2$ , and contains  $S_L$ .

On the other hand if  $E_{alg}(\bar{K}_L)$  is trivial then  $\bar{K}_L$  is a connected  $D$ -group and its Sylow 2-subgroup is connected (Fact 2.3).

This covers all claims made. □

We now update our hypothesis and notation to include the structural information just given.

**Hypothesis 6.4.**  $G$  is a group satisfying Hypothesis 6.2.

$i$  is an  $\mathcal{E}$ -involution in  $G$ .  $L = E_{\mathcal{E}}(C_G(i))$ .  $K_L = C_{C_G(i)}(L)$ .

$\tilde{E}_{alg}(K_L)$  is the preimage in  $K_L$  of  $E_{alg}(K_L/OF(K_L))$ .  $K_D$  is the preimage in  $K_L$  of  $\bar{K}_D$ .

Our focus now is on centralizers of other involutions, and on  $N_G(L)$ .

**Lemma 6.5.** *Let the group  $G$  be as in Hypothesis 6.4, with the associated notational conventions. Let  $t$  be an involution of  $C_G(i)$  other than  $i$ .*

*Set  $H = C_G^\circ(t)$  and  $\bar{H} = H/OH$ . Then the following hold.*

1. *If  $T_t$  is a maximal 2-torus of  $C_L^\circ(t)$  and  $S_L$  is a Sylow 2-subgroup of  $K_L$ , then  $T_t \times S_L$  is a Sylow 2-subgroup of  $H$ .*

2.  $\bar{H}$  decomposes as

$$\bar{H} = E_{alg}(\bar{H}) * \bar{H}_D$$

with  $\bar{H}_D$  a  $D$ -group, and the image of  $\tilde{E}_{alg}(K_L)$  in  $\bar{H}$  is  $E_{alg}(\bar{H})$ .

3.  $H \leq UF(H) \cdot C_{N(L)}^\circ(t) = UF(H)(\mathbb{T}_t \times \hat{K}_L^\circ)$ .

4. If  $UF(H) \leq N(L)$ , then  $H \leq N(L)$ .

*Proof.*

*Ad 1.*  $L$  contains  $t$  or  $ti$ , so  $C_L^\circ(t)$  is an algebraic torus of  $L$  and  $T_t$  is its 2-torsion subgroup.  $T_t$  is inverted by an involution  $w$  of  $L$ .

$T_t \langle w \rangle \times S_L$  is a Sylow 2-subgroup of  $C_G(t)$ . As  $w, t$  are not co-toral,  $w$  is not in  $C_G^\circ(t)$ . So  $T_t \times S_L$  is a Sylow 2-subgroup of  $H$ .

*Ad 2.* By Fact 2.6,  $\bar{H}$  has the structure

$$E_{alg}(\bar{H}) * \bar{H}_D$$

with  $\bar{H}_D$  a  $D$ -group.

The group  $\tilde{E}_{alg}(K_L)$  is contained in  $H$ . Its image in  $\bar{H}$  is isomorphic to  $E_{alg}(\bar{K}_L)$ . If  $E_{alg}(\bar{K}_L)$  is non-trivial then in view of the structure of  $T_t \times S_L$ , it covers  $E_{alg}(\bar{H})$ . If  $E_{alg}(\bar{K}_L)$  is trivial then  $T_t \times S_L$  is connected and  $E_{alg}(\bar{H})$  is trivial. So the second point follows in all cases.

*Ad 3.* As  $K_L$  normalizes (and centralizes)  $L$ , the same applies to its subgroup  $\tilde{E}_{alg}(K_L)$ . So it suffices to check that

$$H_D \leq UF(H)(H \cap N(L)).$$

Applying Lemma 2.33 to the group  $SH_D$  with  $S = T_t \times S_L^\circ$  a maximal 2-torus in  $C_G(i)$ , we find

$$H_D \leq UF(H)C_H(S).$$

But  $i \in S$  and so  $C_H(S) \leq C_G(i) \leq N(L)$ . The claim follows.

*Ad 4.* Apply (3). □

**Lemma 6.6.** *Let  $G$  be as in Hypothesis 6.4, with the associated notational conventions. Let  $t$  be an involution of  $L$ .*

*Then  $UFC_G(t)$  is not contained in  $N(L)$ .*

*Proof.* Assuming the contrary, by Lemma 6.5 we have

$$C_G^\circ(t) \leq N(L).$$

Let  $V \leq L$  be a 4-group. As the involutions of  $V$  are conjugate to  $t$  in  $L$ , it follows that  $\Gamma_V \leq N(L)$ , contradicting Corollary 2.43. □

The starting point for our analysis is Lemma 4.3 (part (2)), which we repeat for convenience. Namely, for any involution  $i$  in  $G$  we have

$$\begin{aligned} U_p(O^\sigma(C_G(i))) &= 1 \text{ for all primes } p; \\ U_{(0,r)}(O^\sigma(C_G(i))) &= 1 \text{ for all } r > r_{f,G}. \end{aligned}$$

In particular,  $UF(C_G(i))$  is torsion-free.

**Lemma 6.7.** *Let  $G$  be as in Hypothesis 6.4, with the associated notational conventions.*

*Let  $k$  be the base field of a component in  $\mathcal{E}$ . Then  $k$  has characteristic 0.*

*Proof.* Suppose on the contrary that  $k$  has positive characteristic. Then the algebraic tori in  $L$  are good tori, and hence have no non-trivial torsion free subgroups. Thus  $r_f = 0$ . It then follows from Lemma 4.3 that  $O^\sigma(C_G(i))$  is a good torus and  $UF(C_G(i)) = 1$ .

This contradicts Lemma 6.6. □

**Notation 6.8.** We will write  $E_{deg}(H)$  for the product of the quasi-simple components of  $E(H)$  of degenerate type.

**Lemma 6.9.** *Let  $G$  be as in Hypothesis 6.4, with the associated notational conventions. Let  $H$  be a definable subgroup of  $G$  containing  $L$ .*

*Then  $L$  is a component of  $E_{\text{alg}}(H)$ , and is the only component of  $\text{PSL}_2$  type. In particular,*

$$H \leq N(L).$$

*Proof.* We make use of the notation introduced in Definition 3.15.

**Claim 1.**

$$[L, \Delta_{r_f}(F(H))] = 1.$$

$\Delta_{r_f}(F(H))$  is the central product of the subgroups  $U_{0,r}(F(H))$  with  $r > r_f$ . Let  $U = U_{0,r}(F(H))$  be one such.

By Fact 2.34 the group  $C_U(t)$  is also a  $(0, r)$ -group for any involution  $t \in I(L)$ .  $L$  contains a 4-group  $V$  and  $U$  is generated by  $C_U(t)$  for  $t$  an involution of  $V$  (Fact 2.44), so it suffices to check that

$$[L, C_U(t)] = 1.$$

Let  $T$  be a maximal 2-torus of  $C_G(t)$  containing  $i$ . Then by Fact 2.27,  $[T, U]$  is contained in  $U_{0,r}(FC_G(t))$ , which is trivial by Lemma 4.3. Thus  $T$  centralizes  $C_U(t)$ . So  $C_U(t) \leq C_G(i)$  and  $C_U(t)$  acts on  $L$ , acting like a subgroup of  $C_L^\circ(t)$ . But then as  $r > r_f$  this action is trivial, and  $[L, C_U(t)] = 1$ .

The claim follows.

**Claim 2.**  *$L$  centralizes  $F^\circ(H)$  and  $E_{\text{deg}}(H)$ .*

By Fact 2.33 the 2-tori of  $L$  centralize  $E_{\text{deg}}(H)$ , so the second point is clear.

The root subgroups of  $L$  are copies of the additive group of the base field  $k_+$ . By Fact 3.17,  $k_+$  is a  $(0, r)$ -group with  $r = \text{rk}(k) > r_f$ .

A maximal divisible torsion subgroup of  $F(H)$  is central in  $H$  and the root subgroups of  $L$  act trivially on  $U_p(F(H))$  for any prime  $p$ , so it suffices to show that  $L$  centralizes  $U_{0,r}(F(H))$  for all  $r$ . The case  $r > r_f$  was dealt with in the previous claim and for  $r \leq r_f < \text{rk}(k_+)$  it follows from the unipotence theory.

This proves the claim.

**Claim 3.**  $L \leq E_{alg}(H)$ .

Set

$$H_1 = C_H^\circ(F^\circ(H)E_{deg}(H)).$$

Then  $L \leq H_1$  and

$$F^{*\circ}(H_1) = E_{alg}(H)$$

In particular  $C_{H_1}(E_{alg}(H)) \leq E_{alg}(H)$ .

But  $H_1$  induces inner automorphisms on  $E_{alg}(H)$  and hence

$$H_1 \leq E_{alg}(H)C_{H_1}(E_{alg}(H)) = E_{alg}(H).$$

So  $L \leq E_{alg}(H)$ , as claimed.

**Claim 4.**  $L$  is the unique component of  $E_{alg}(H)$  of  $\text{PSL}_2$  type.

In view of the structure of the Sylow 2-subgroup of  $G$ ,  $H$  can only have components of type  $\text{PSL}_2$  and  $\text{SL}_2$ , and at most one of each. The claim follows.

From the last claim we infer that  $H \leq N(L)$ . □

**6.2. Case 1. Involution centralizers and  $C_G(L)$ .** We focus now on the case where we have an involution  $t \in C_G(i)$  with  $OFC_G(t)$  not contained in  $N(L)$ , but  $OFC_G(t)$  meets  $C_G(L)$ . So for the present we work with the following hypotheses and notation.

**Hypothesis 6.10.**  $G$  is a simple  $L^*$ -group of finite Morley rank and odd type of Prüfer 2-rank 2 and 2-rank at least 3, satisfying  $\text{NTA}_2$ . There is no component in  $\mathcal{E}$  of type  $\text{SL}_2$ .

Fix  $i$  an  $\mathcal{E}$ -involution and set  $L = E_{\mathcal{E}}(C_G(i))$ ,  $\hat{K}_L = C_G(L)$ , and  $r_{\hat{K}_L} = \bar{r}_0(\hat{K}_L)$ .

Take an involution  $t \in I(C_G(i))$ ,  $t \neq i$ . Let  $\mathbb{T}_t$  be the algebraic torus  $C_L^{\circ}(t)$ .

Suppose

$$\begin{aligned} OFC_G(t) \cap \hat{K}_L &> 1; \\ OFC_G(t) &\not\leq N(L). \end{aligned}$$

Note that by Lemma 6.5 the condition  $OFC_G(t) \not\leq N(L)$  can be sharpened to

$$UFC_G(t) \not\leq N(L).$$

We will make use of this form of the hypothesis without further mention.

**Lemma 6.11.** *Let  $G$ ,  $i$ , and  $t$  satisfy Hypothesis 6.10. Then  $t \in L$ .*

*Proof.* Suppose toward a contradiction that  $t \in Li$ . Let

$$Q = OF(C_G(t)) \cap N(L).$$

**Claim 1.**  $Q = OF(C_G(t)) \cap C_G(i)$ .

Since  $C_G(i) \leq N(L)$ , one inclusion is clear. In the reverse direction, as  $Q$  is  $i$ -invariant and contains no involutions we have

$$Q = (Q \cap C_G(i)) \times Q^{-}$$

where  $Q^{-}$  is the set of elements inverted by  $i$ . However an element  $q$  of  $N(L)$  inverted by  $i$  for which  $d(q)$  contains no involutions must lie

in  $[i, N(L)] = [i, \hat{K}_L] \leq \hat{K}_L$  and hence commute with the element  $ti$  of  $L$ . Hence  $Q^- \subseteq C_G(t, ti) \leq C_G(i)$  and  $Q \leq C_G(i)$ .

This proves the claim.

Now let  $Q_1 = N_{OF(C_G(t))}(Q)$ . Then  $C_{Q_1}(i) = Q$  and  $Q_1 = Q \times Q_1^-$ , with  $Q_1^-$  the subset inverted by  $i$ .

**Claim 2.**  $Q_1^-$  centralizes  $Q$ .

We take  $q \in Q$ ,  $x \in Q_1^-$ , and we have

$$[q, x] = [q, x]^i = [q, x^{-1}]; \quad [q, x^2] = 1$$

and thus  $x$  centralizes  $q$ , proving the claim.

To conclude, we have  $Q_1^- \subseteq C_G(Q) \leq N(L)$  since  $Q_t$  meets  $\hat{K}_L$ . Thus  $Q_1 = Q$  and  $Q = OF(C_G(t))$ . That is,  $OF(C_G(t)) \leq N(L)$ , for a contradiction.

Thus  $t \in L$ . □

**Lemma 6.12.** *Let  $G$ ,  $i$ ,  $L$ ,  $\hat{K}_L$ ,  $t$ , and  $\mathbb{T}_t$  satisfy Hypothesis 6.10.*

*Let  $T_t$  be the maximal 2-torus of  $\mathbb{T}_t$ . Then the following hold.*

- (1)  $U_{0, r_{\hat{K}_L}}(FC_G(t))$  is not contained in  $N(L)$ .
- (2)  $U_{0, s}(FC_G(t))$  is contained in  $L$  for  $s \neq r_{\hat{K}_L}$ .
- (3)  $OF C_G(t) \cap \hat{K}_L$  is a homogeneous  $U_{0, r_{\hat{K}_L}}$ -group.

Furthermore,

$$T_t \leq Z(C_G^\circ(t))$$

*Proof.* Let  $Q = OF(C_G(t)) \cap \hat{K}_L$ .

**Claim 1.**  $Q$  is a homogeneous  $U_{0,r}$ -group for some  $r$ , and  $U_{0,s}(FC_G(t)) \leq N(L)$  for  $s \neq r$ .

By Lemma 6.9,  $C(Q) \leq N(L)$ , and the same applies to any nontrivial subgroup of  $Q$ .

If  $Q_t$  meets  $ZOF(C_G(t))$  then  $OF(C_G(t)) \leq N(L)$  and we have a contradiction. So  $Q$  is a product of its subgroups  $U_{0,r}(Q)$  for certain values of  $r$ . If more than one such subgroup is nontrivial then  $OF(C_G(t))$  is generated by the centralizers of nontrivial subgroups of  $Q$  and we arrive at the same contradiction. Thus  $Q$  is a homogeneous  $U_{0,r}$ -subgroup for some value of  $r$ , and the claim follows.

We continue to work with the fixed parameter  $r$ .

**Claim 2.**  $U_{0,r}(FC_G(t))$  is not contained in  $N(L)$ .

Since  $OF C_G(t)$  is the central product of the subgroups  $U_{0,s}(FC_G(t))$  with  $s$  varying, this follows from the previous claim and our hypotheses.

**Claim 3.** For  $s \neq r$ ,

$$U_{0,s}(FC_G(t) \cap C_G(i)) \leq L$$

Let  $\mathbb{T}_t$  be the algebraic torus of  $L$  containing  $t$  and  $w$  an involution of  $L$  inverting  $\mathbb{T}_t$ .

The group  $Y = U_{0,s}(FC_G(t))$  is a  $w$ -invariant subgroup of  $L \times \hat{K}_L$ , hence is of the form

$$(Y \cap \mathbb{T}_t) \times (Y \cap \hat{K}_L).$$

As  $Y$  meets  $\hat{K}_L = 1$ , we find  $Y \leq \mathbb{T}_t \leq L$ .



**Claim 4.**  $r = r_{\hat{K}_L}$ .

By definition,  $r \leq r_{\hat{K}_L}$ . If  $r < r_{\hat{K}_L}$  then let  $A$  be an abelian  $U_{0,r_{\hat{K}_L}}$ -subgroup of  $\hat{K}_L$ . By Lemma 6.11 we have  $t \in L$  and thus  $A$  normalizes  $U_{0,r}(FC_G(t))$ . As  $r_{\hat{K}_L} > r$ ,  $A$  must centralize  $U_{0,r}(FC_G(t))$ .

Hence  $U_{0,r}(FC_G(t)) \leq C_G(A) \leq N(L)$ , a contradiction to Claim 2.

We have proved points (1–3). Now we consider the 2-torus  $T_t$ .

**Claim 5.**  $T_t$  centralizes  $OF(C_G(t))$ .

Certainly  $T_t$  centralizes  $ZC_G^\circ(t)$  so it suffices to consider the action of  $T_t$  on subgroups of the form  $U_{0,r}(OF(C_G(t)))$ .

It follows from Claim 1 that  $T_t$  centralizes  $U_{0,s}(FC_G(t))$  for  $s \neq r_{\hat{K}_L}$  by looking at the action of that group on  $L$ .

For  $U_{0,r_{\hat{K}_L}}(FC_G(t))$  we apply Lemma 3.2 to the groups  $Q = OF(C_G(t))$ ,  $T_t$ , and  $U = Q_t \cap N(L)$ . Here  $T_t$  normalizes  $Q$ , and centralizes  $U$ . Furthermore  $C_{Q_t}(U) \leq U$  since  $C_G(U \cap \hat{K}_L) \leq N(L)$ . So the lemma applies, and  $T_t$  centralizes  $Q$ .

**Claim 6.**  $T_t$  centralizes  $C_G^\circ(t)$ .

Let  $H = C_G^\circ(t)$ . By Lemma 6.5, part (2),  $H \leq (H \cap \hat{K}_L)H_D$  with  $H_D$  a  $D$ -group. As  $T_t$  centralizes  $\hat{K}_L$ , it suffices to check that  $T_t$  centralizes  $H_D$ . Then Lemma 2.33 and the previous claim complete the proof of the claim.

Now all points of our lemma have been proved.  $\square$

**6.3. Case 1, continued.**  $U_{0,r_{\hat{K}_L}}(OF(C_G(t)))$ . We work gradually toward the following.

**Proposition 6.13.** *Let  $G$  be as in Hypothesis 6.4, with the associated notational conventions. Let  $t$  be an involution of  $L$ , and  $\hat{K}_L = C_G(L)$ .*

*Then  $OF C_G(t) \cap \hat{K}_L = 1$ .*

We first make a detailed analysis of  $U_{0,r_{\hat{K}_L}}(OF(C_G(t)))$ . We extend the notation of Hypothesis 6.10 as follows.

**Notation 6.14.** Let  $G, i, L, \hat{K}_L, t, \mathbb{T}_t$  satisfy Hypothesis 6.10.

We set  $Q_t = U_{0,r_{\hat{K}_L}}(FC_G(t))$  and

$$A_t = Q_t \cap L; \quad B_t = C_{Q_t}(L); \quad U_t = N_{Q_t}(L); \quad Q_1 = N_{Q_t}(U_t).$$

By assumption  $B_t$  is nontrivial.

**Lemma 6.15.** *Let  $G, i, L, \hat{K}_L, t$ , and  $\mathbb{T}_t$  satisfy Hypothesis 6.10. Then the torsion in  $Q_t$  lies in  $A_t$ .*

*Proof.* The torsion subgroup  $X$  in  $Q_t$  is  $\Pi$ -torus, hence central in  $C_G^\circ(t)$ . Since  $Q_t$  meets  $\hat{K}_L$  it follows that  $X$  normalizes  $L$ . Furthermore  $X$  is  $w$ -invariant, where  $w \in L$  inverts  $\mathbb{T}_t$ . So  $X$  decomposes as  $(X \cap L) \times (X \cap \hat{K}_L)$  and the second factor is trivial. The lemma follows.  $\square$

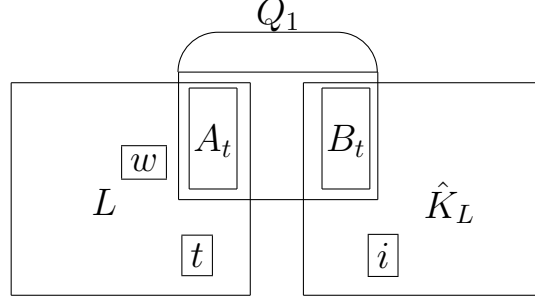
**Lemma 6.16.** *Let  $G, i, L, \hat{K}_L, t$ , and  $\mathbb{T}_t$  satisfy Hypothesis 6.10. Let  $w \in I(L)$  invert  $\mathbb{T}_t$ .*

*Then*

- (1)  $A_t \leq \mathbb{T}_t$ .
- (2)  $U_t = A_t \times B_t$  is abelian.
- (3)  $i$  and  $w$  invert  $Q_1/U_t$ .
- (4)  $C_{Q_t}(Q_1) = Z(Q_1) \leq A_t$ .
- (5)  $Z_2(Q_1) \cap U_t$  decomposes as

$$Z(Q_1) \times (Z_2(Q_1) \cap B_t),$$

*with both factors non-trivial.*



*Proof.*

**Claim 1.**  $U_t = A_t \times B_t$  and  $A_t \leq \mathbb{T}_t$ .

The group  $U_t$  is  $w$ -invariant. It acts on  $L$  like a subset of  $\mathbb{T}_t$  with  $B_t$  the kernel of the action. Here  $B_t$  is centralized by  $w$  and  $\mathbb{T}_t$  is inverted by  $w$ . The claim follows.

**Claim 2.**  $Q_1 > U_t$ .

This holds since  $Q$  is not contained in  $N(L)$ .

**Claim 3.** *The involution  $i$  inverts  $Q_1/U_t$ . In particular,  $Q_1/U_t$  is abelian.*

$C_{Q_t}(i) \subseteq Q_t \cap N(L) = U_t$ . The claim now follows by Fact 2.31.

**Claim 4.**  $C_{Q_t}(Q_1) = Z(Q_1) \leq A_t$ .

We have

$$C_{Q_t}(Q_1) \leq C_{Q_t}(B_t) \leq Q_t \cap N(L) = U_t \leq Q_1,$$

so  $C_{Q_t}(Q_1) = Z(Q_1)$ . As  $Z(Q_1)$  is  $w$ -invariant and contained in  $U_t = A_t \times B_t$  we get

$$C_{Q_t}(Q_1) = C_{A_t}(Q_1) \times C_{B_t}(Q_1).$$

Now as  $Q_1$  is not contained in  $N(L)$ , we find  $C_{B_t}(Q_1) = 1$ . The claim follows.

**Claim 5.**  $U_t$  is abelian.

$A_t$  is abelian.

We have  $U'_t = B'_t$ . So  $B'_t$  is normal in  $Q_1$ . If  $B'_t$  is non-trivial then it meets  $Z(Q_1)$ , a contradiction. So  $B_t$  is abelian and the claim follows.

Now consider the subset  $Q_1^-$  of  $Q_1$  consisting of elements which are inverted by  $i$ .

**Claim 6.**  $Q_1 = C_{Q_1}(i) \times Q_1^-$

This is Fact 2.32.

**Claim 7.**  $Z_2(Q_1) \cap A_t = Z(Q_1)$ .

Let  $b \in Z_2(Q_1) \cap A_t$ . Since  $C_G(i) \leq N(L)$  we have  $C_{Q_t}(i) \leq U_t$  and thus  $b$  centralizes  $C_{Q_1}(i)$ .

We show now that  $b$  centralizes  $Q_1^-$ .

Let  $q \in Q_1$  with  $q^i = q^{-1}$ . Then  $[b, q] \in Z(Q_1) \leq A_t$ , so

$$[b, q] = [b, q]^i = [b, q^{-1}] = [b, q]^{-1}.$$

Hence  $[b, q] = 1$  as required. The claim follows.

**Claim 8.**  $Z_2(Q_1) \cap U_t = Z(Q_1) \times (Z_2(Q_1) \cap B_t)$ , with both factors non-trivial.

By  $w$ -invariance and the previous claim the factorization holds, and as  $U_t$  is normal in  $Q_1$  and properly contains  $Z(Q_1)$ , we have  $Z_2(Q_1) \cap U_t > Z(Q_1)$ .

**Claim 9.**  $w$  inverts  $Q_1/U_t$ .

Let  $T_w$  be the 2-torus of  $L$  containing  $w$ . By Lemma 6.12  $T_w$  centralizes  $C_G^\circ(w)$ . If  $w$  does not invert  $Q_1/U_t$  then  $w$  centralizes some element  $q$  of  $Q_1 \setminus U_t$ . Then  $d(q)$  is a torsion-free group, so  $d(q) \leq C_G^\circ(w)$ . Hence  $T_w$  centralizes  $q$ .

But the 2-torus  $T_t$  containing  $t$  also centralizes  $d(q)$  and thus  $L$  centralizes  $q$ . So  $q \in N(L) \cap Q_t = U_t$ , a contradiction.

The claim follows.

With this, the proof of the lemma is complete. □

**Lemma 6.17.** *Let  $G, i, L, \hat{K}_L, t$ , and  $\mathbb{T}_t$  satisfy Hypothesis 6.10. Let  $w \in I(L)$  invert  $\mathbb{T}_t$ . Then*

$$Q_1 = U_t \cdot (Q_1 \cap OF(C_G(wi))).$$

*In particular,  $Q_1 \cap OF(C_G(wi))$  is not contained in  $N(L)$ .*

*Proof.*

**Claim 1.**  $Q_1 = U_t \cdot C_{Q_1}(wi)$ .

By Lemma 6.16,  $wi$  centralizes  $Q_1/U_t$ . By Fact 2.31,  $C_{Q_1}(wi)$  covers  $Q_1/U_t$ . This proves the claim.

As  $w$  inverts  $Q_1/U_t$ , according to Fact 2.32, the group  $H = C_{Q_1}(wi)$  decomposes as the product of two sets

$$C_H(i) \times H^-,$$

where  $H^-$  is the subset inverted by  $w$  (or, equivalently, by  $i$ ).

**Claim 2.**  $H^- \subseteq OF(C_G(wi))$ .

By Lemma 6.5, part (3) applied to  $C_G^\circ(wi)$ , we have

$$[w, H^-] \leq OF(C_G(wi))[w, C_{N(L)}(wi)].$$

Now

$$C_{N(L)}(wi) = C_L(wi) \times C_{\hat{K}_L}(wi) \leq C_L(w) \times \hat{K}_L.$$

Thus

$$[w, H^-] \leq OF(C_G(wi)).$$

Since  $w$  inverts  $H^-$  this gives the claim.

Hence

$$\begin{aligned} C_{Q_1}(wi) &= C_H(i) \times H^- \leq (Q_1 \cap N(L)) \cdot OF(C_G(wi)) \\ &= U_t \cdot OF(C_G(wi)), \end{aligned}$$

and the result follows from Claim 1.  $\square$

**Lemma 6.18.** *Let  $G, i, L, \hat{K}_L, t$ , and  $\mathbb{T}_t$  satisfy Hypothesis 6.10. Then  $OF(C_G(ti)) \cap \hat{K}_L = 1$ .*

*Proof.* By Lemma 6.11 if we assume the contrary then  $OF(C_G(ti)) \leq N(L)$ . However this contradicts Lemma 6.17.  $\square$

**Lemma 6.19.** *Let  $G, i, L, \hat{K}_L, t$ , and  $\mathbb{T}_t$  satisfy Hypothesis 6.10. Let  $w \in I(L)$  invert  $\mathbb{T}_t$ .*

*Then*

$$Z(OF(C_G(wi))) \cap N(L) = 1.$$

*Proof.* By Lemma 6.18,  $OF(C_G(wi))$  meets  $\hat{K}_L$  trivially.

As  $Z(OF(C_G(wi)))$  is  $w$ -invariant, it suffices therefore to consider the group  $Z_L = Z(OF(C_G(wi))) \cap L$ , which is contained in the algebraic torus of  $L$  containing  $w$ .

As  $Q_1 \cap OF(C_G(wi))$  commutes with  $Z_L$  and (by Lemma 6.12) with the 2-torus containing  $t$ , if  $Z_L$  is non-trivial then  $Q_1 \cap OF(C_G(wi))$  centralizes  $L$ , contradicting Lemma 6.17.  $\square$

**6.4. Case 1, continued.**  $U_t \triangleleft Q_t$ . We continue the study of  $Q_1$  and eventually show that  $Q_1 = Q_t$ .

**Lemma 6.20.** *Let  $G, i, L, t$  satisfy Hypothesis 6.10. Let  $w$  be an involution inverting the algebraic torus of  $L$  containing  $t$ .*

*Let  $Q_1^+ = C_{Q_1}(wi)$ . Then*

- (1)  $Q_1 = U_t \rtimes Q_1^+$ .
- (2)  $Q_1^+ = Q_1 \cap OF(C_G(wi))$ .
- (3)  $Q_1^+$  is abelian and inverted by  $i$ .
- (4)  $i$  inverts  $B_t$ .
- (5)  $C_{Q_t}(i) = A_t$ .
- (6)  $Q'_1 = (Q'_1 \cap A_t) \times (Q'_1 \cap B_t) = [Q_1^+, U_t]$ .

*Proof.* Let  $B_t^+ = C_{B_t}(i)$ .

**Claim 1.**  $Q_1^+ = B_t^+ \times (Q_1 \cap OF(C_G(wi)))$ .

Recall that  $Q_1 = U_t \cdot (Q_1 \cap OF(C_G(wi)))$ , so

$$Q_1^+ = (Q_1^+ \cap U_t)(Q_1 \cap OF(C_G(wi))).$$

We have  $Q_1^+ \cap U_t = C_{A_t \times B_t}(wi) = B_t^+$  and thus

$$Q_1^+ = B_t^+ \cdot (Q_1 \cap OF(C_G(wi))).$$

As  $OF(C_G(wi)) \cap \hat{K}_L = 1$  and the factors are normal in  $Q_1^+$  we have

$$Q_1^+ = B_t^+ \times (Q_1 \cap OF(C_G(wi))).$$

This proves the claim.

**Claim 2.**  $i$  inverts  $B_t$ , and  $Q_1^+ = Q_1 \cap OF(C_G(wi))$ .

If  $B_t^+ > 1$  then  $Q_1^+ \leq C_G(B_t^+) \leq N(L)$  and hence  $Q_1 \leq N(L)$ , a contradiction. So  $B_t^+ = 1$ . Therefore  $i$  inverts  $B_t$  (point (4)) and we have  $Q_1^+ = Q_1 \cap OF(C_G(wi))$ , which is point (2).

**Claim 3.**  $C_{Q_t}(i) = A_t$ . (Point (5).)

Since  $C_{Q_t}(i) \leq U_t = A_t \times B_t$  and  $i$  inverts  $B_t$  this is immediate.

**Claim 4.**  $Q_1^+$  is abelian, inverted by  $i$  and  $w$ . (Point (3).)

As  $Q_1^+ \cap \hat{K}_L = 1$  and  $Q_1^+ \cap L = 1$ , we find  $Q_1^+ \cap N(L) = 1$ . So  $i$  inverts  $Q_1^+$ . Hence  $Q_1^+$  is abelian and  $w$  also inverts  $Q_1^+$ .

**Claim 5.**  $Q_1 = U_t \rtimes Q_1^+$ . (Point (1).)

We have  $Q_1 = U_t Q_1^+$  with  $U_t \triangleleft Q_1$ . Furthermore  $Q_1^+ \cap U_t = B_t^+ = 1$ . The claim follows.

At this point we have covered the first five points in the statement of the lemma.

**Claim 6.**  $Q'_1 = (Q'_1 \cap A_t) \times (Q'_1 \cap B_t) = [Q_1^+, U_t] = [Q_1^+, A_t][Q_1^+, B_t]$ .

As  $Q'_1 \leq U_t$  the first equation holds by  $w$ -invariance.

For the second, take  $x_1 = q_1 u_1$ ,  $x_2 = q_2 u_2$  with  $u_1, u_2 \in U_t$  and  $q_1, q_2 \in Q_1^+$ , and compute the commutator bearing in mind that  $U_t$  and  $Q_1^+$  are abelian and  $Q'_1 \leq U_t$ .

$$\begin{aligned} [x_1, x_2] &= [q_1 u_1, q_2 u_2] = [q_1, q_2 u_2]^{u_1} [u_1, q_2 u_2] = [q_1, q_2 u_2] [u_1, q_2 u_2]; \\ [q_1, q_2 u_2] &= [q_1, u_2] [q_1, q_2]^{u_2} = [q_1, u_2]; \\ [u_1, q_2 u_2] &= [u_1, u_2] [u_1, q_2]^{u_2} = [u_1, q_2]; \\ [q_1 u_1, q_2 u_2] &= [q_1, u_2] [u_1, q_2] = [q_1, u_2] [q_2, u_1]^{-1}; \\ Q'_1 &= [Q_1^+, U_t]. \end{aligned}$$

Finally since  $U_t = A_t \times B_t$  we find  $[Q_1^+, U_t] = [Q_1^+, A_t][Q_1^+, B_t]$ . This proves the claim, and completes the proof of the lemma.  $\square$

Now we move on from  $Q_1$  to  $Q_t$ .

**Lemma 6.21.** *Let  $G$ ,  $i$ ,  $L$ ,  $t$  satisfy Hypothesis 6.10. Let  $w$  be an involution inverting the algebraic torus of  $L$  containing  $t$ .*

*Let  $Q_t^+ = C_{Q_t}(wi)$  and  $Q_t^- = \{q \in Q_t : q^{wi} = q^{-1}\}$ . Then*



- (1)  $U_t = Q_t^-$ .
- (2)  $Q_t = U_t \cdot Q_t^+$  and  $U_t \cap Q_t^+ = 1$ .
- (3)  $Q_t^+$  is an abelian group inverted by  $i$  and  $w$ .
- (4) If  $P$  is a  $(wi)$ -invariant subgroup of  $Q_t$ , then

$$P = (P \cap U_t) \cdot (P \cap Q_t^+).$$

*Proof.* By Lemmas 6.16 and 6.20  $U_t = A_t \times B_t \leq Q_t^-$ .

**Claim 1.**  $Q_t = Q_t^- \times Q_t^+$  as a product of sets.

This is Fact 2.32.

**Claim 2.**  $Q_t^- = U_t$ .

Bear in mind that the torsion in  $Q_t$  lies in  $A_t$  (Lemma 6.15).

Let  $q \in Q_t^-$ . Decompose  $Q_t$  with respect to the action of  $i$ ; then  $q = q_+q_-$  with  $q_+$  centralized by  $i$  and  $q_-$  inverted by  $i$ .

Now  $q_+ \in C_{Q_t}(i) = A_t$ , so  $w$  also inverts  $q_+$ . Hence

$$\begin{aligned} q^{-1} &= q^{iw} = q_+^{-1}(q_-^w)^{-1}; \\ q_+q_- &= q_-^wq_+; \\ (q_-)^{wq_+} &= q_-. \end{aligned}$$

If  $q_- \in U_t$  then  $q \in U_t$ . Supposing the contrary, then,  $q_-$  has infinite order and  $q_- \in d(q_-)^\circ$ .

Now  $wq_+$  is an involution of  $L$  and  $q_- \in C_G^\circ(wq_+)$ . By Lemma 6.12 the 2-torus of  $L$  containing  $wq_+$  commutes with  $q_-$ . The 2-torus containing  $t$  also centralizes  $q_-$ . These 2-tori are distinct, so  $q_-$  must centralize  $L$ . Accordingly  $q_- \in B_t$  and  $q \in U_t$ .

This proves the claim.

At this point, we have

$$Q_t = U_t \cdot Q_t^+; \quad U_t \cap Q_t^+ = 1.$$

**Claim 3.**  $Q_t^+$  is an abelian group inverted by  $i$  and  $w$ .

Since  $Q_t^+ \cap U_t = 1$ , we have  $C_{Q_t^+}(i) = 1$ , and thus  $i$  inverts  $Q_t^+$ . So  $w$  does as well, and the group  $Q_t^+$  is abelian.

**Claim 4.** If  $P$  is a  $(wi)$ -invariant subgroup of  $Q_t$ , then

$$P = (P \cap U_t) \cdot (P \cap Q_t^+).$$

We have the decomposition as a product of sets with respect to the action of  $wi$ :

$$P = P^- P^+,$$

so the claim follows from the corresponding claim for  $Q_t$ .  $\square$

**Lemma 6.22.** Let  $G, i, L, t$  satisfy Hypothesis 6.10.

Then the following hold.

(1)  $Z_2(Q_t) \leq Q_1$ .

(2)  $Z_2(Q_t) \cap A_t = Z(Q_t)$ .

*Proof.* By Lemma 6.16 we have  $A_t \leq \mathbb{T}_t$  and  $C_{Q_t}(Q_1) = Z(Q_1) \leq A_t$ . We continue to work with the decomposition

$$Q_t = Q_t^- \cdot Q_t^+ = U_t \cdot Q_t^+.$$

*Ad 1.* We have  $Z(Q_t) \leq C_{Q_t}(Q_1) \leq A_t$  and hence  $Z_2(Q_t)$  normalizes  $U_t$ . Thus  $Z_2(Q_t) \leq Q_1$ , as claimed.

*Ad 2.* We know  $Z(Q_t) \leq A_t$ . We must show that  $Z_2(Q_t) \cap A_t \leq Z(Q_t)$ .

Since  $A_t$  commutes with  $U_t$  and  $U_t = Q_t^-$ , it suffices to show that  $Z_2(Q_t) \cap A_t$  also centralizes  $Q_t^+$ .

Let  $a \in Z_2(Q_t) \cap A_t$  and  $q \in Q_t^+$ . Then  $[a, q] \in Z(Q_t) \leq A_t$ , and  $i$  inverts  $Q_t^+$ , so

$$[a, q] = [a, q]^i = [a, q^{-1}] = [a, q]^{-1}.$$

So  $[a, q] = 1$ . This proves the claim.  $\square$

**Lemma 6.23.** *Let  $G, i, L, t$  satisfy Hypothesis 6.10. Suppose that  $Q_1 < Q_t$ .*

*Then the following hold.*

(1)  $Z(Q_t) = Z_2(Q_t) \cap U_t$ .

(2)  $Q'_1 \leq A_t = Z(Q_1)$ .

*Proof.*

**Claim 1.**  $Z_2(Q_t) \cap U_t = Z(Q_t)$ .

Suppose  $Z_2(Q_t) \cap U_t > Z(Q_t)$ . Then  $Z_2(Q_t)$  meets  $B_t$ . But  $Q'_t$  centralizes  $Z_2(Q_t)$ , so  $Q'_t \leq C_{Q_t}(Z_2(Q_t) \cap B_t) = U_t$ . But then  $U_t$  is normal in  $Q_t$  and  $Q_t = Q_1$ , a contradiction.

**Claim 2.**  $Q'_1 \leq A_t$

We know  $Q'_1 = (Q'_1 \cap A_t) \times (Q'_1 \cap B_t)$ .

If  $Q'_1$  is not contained in  $A_t$ , then  $Q'_1$  meets  $B_t$ . As  $Z_2(Q_t)$  centralizes  $Q'_1$ , it follows that  $Z_2(Q_t) \leq U_t$ . But then  $Z_2(Q_t) = Z(Q_t)$  and  $Q_t$  is abelian, a contradiction.

**Claim 3.**  $A_t = Z(Q_1)$ .

We know  $Z(Q_1) \leq A_t$  (Lemma 6.16) and we must prove the reverse inclusion.

Since  $Q'_1 \leq A_t$  we have

$$[Q_1^+, A_t] \leq A_t.$$

Then for  $q \in Q_1^+, a \in A_t$  we find

$$[q, a] = [q, a]^i = [q^{-1}, a].$$

This then gives

$$[q^2, a] = 1; \quad [a, d(q^2)] = 1; \quad [a, q] = 1.$$

Hence  $Q_1^+$  centralizes  $A_t$ . Since  $Q_1 = U_t Q_1^+$  with  $U_t$  abelian it follows that  $A_t \leq Z(Q_1)$ . The claim follows.

This completes the proof.  $\square$

**Lemma 6.24.** *Let  $G, i, L, t$  satisfy Hypothesis 6.10. Then  $U_t \triangleleft Q_t$ .*

*Proof.* We suppose on the contrary that

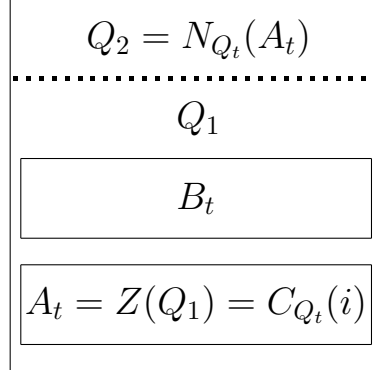
$$Q_1 < Q_t.$$

Let  $Q_2 = N_{Q_t}(A_t)$ .

**Claim 1.**  $Q_1 \leq Q_2$  and  $Q_2/A_t$  is abelian, inverted by  $i$ .

As  $A_t = Z(Q_1)$  we have  $Q_1 \leq Q_2$ .

Now  $C_{Q_2}(i) = A_t$ , so  $i$  inverts  $Q_2/A_t$ . Thus  $Q_2/A_t$  is abelian.



**Claim 2.**  $A_t = Z(Q_2)$ .

We have  $Z(Q_2) \leq Z(Q_1) = A_t$ . We need to show  $A_t \leq Z(Q_2)$ .

We have  $Q_2 = A_t Q_2^-$  with  $Q_2^-$  the subset inverted by  $i$ , so it suffices to show that  $[A_t, Q_2^-] = 1$ .

This goes as before. For  $a \in A_t, q \in Q_2^-$  we have

$$\begin{aligned} [a, q] &= [a, q]^i = [a, q^i] = [a, q^{-1}], \\ a &= a^{q^2}; \quad [a, q] = 1. \end{aligned}$$

**Claim 3.**  $Q_2 = Q_t$ .

As  $A_t$  is characteristic in  $Q_2$ ,  $A_t$  is normal in  $N_{Q_t}(Q_2)$ . It follows that  $Q_2$  is self-normalizing in  $Q_t$ , so  $Q_2 = Q_t$ .

Now we arrive at a contradiction. Since  $Q_t = Q_2$  we find  $Q'_t \leq A_t$  and hence  $Q_t$  normalizes  $U_t$ , so

$$Q = Q_1,$$

in spite of our assumption to the contrary.

This concludes the proof.  $\square$

**Corollary 6.25.** *Let  $G, i, L, t$  satisfy Hypothesis 6.10.*

*Then*

$$C_{Q_t}(wi) = Q_t \cap OF(C_G(wi)).$$

*Proof.* Apply point (2) of Lemma 6.20.  $\square$

**6.5. Proof of Proposition 6.13.** Going forward, the main items of notation are the following.

$$\begin{aligned} Q_t &= U_{0,r_{\hat{K}_L}}(FC_G(t)); & A_t &= Q_t \cap L = C_{Q_t}(i); \\ Q_t^+ &= C_{Q_t}(wi) = Q_t \cap OF(C_G(wi)); \\ Q_{tw}^+ &= C_{Q_{tw}}(wi) = Q_{tw} \cap OF(C_G(wi)), \end{aligned}$$

with  $w \in L$  inverting  $\mathbb{T}_t$ .

To this we add the following.

**Notation 6.26.** With  $G, i, L, t$  satisfying Hypothesis 6.10, fix  $t \in L$ , and let  $T_t$  be the 2-torus of  $L$  containing  $t$ . Let  $w$  be an involution of  $L$  inverting  $T_t$ , and define the following.

$$\hat{A}_w = U_{0,r_{\hat{K}_L}}(C_L(w)); \quad R = U_{0,r_{\hat{K}_L}}(FC_G(wi))\hat{A}_w.$$

Note that  $A_w \leq \hat{A}_w$ .

**Lemma 6.27.** *Suppose  $G$  is a simple  $L^*$ -group of finite Morley rank and odd type satisfying Hypothesis 6.10.*

*Then  $R$  is a nilpotent group with*

$$R = \langle Q_t^+, Q_{tw}^+, \hat{A}_w \rangle$$

and

$$C_R(t) = Q_t^+; C_R(tw) = Q_{tw}^+; C_R(w) = \hat{A}_w.$$

*Each of these three groups is non-trivial.*

*Proof.* We set

$$R_0 = U_{0,r_{K_L}}(FC_G(wi)).$$

Recall that the torsion of  $R_0$  lies in  $A_w$ .

We have  $\hat{A}_w \leq C_G(wi)$  so  $\hat{A}_w$  normalizes  $R_0$  and  $R$  is a connected solvable group.  $R$  is in fact nilpotent by Fact 2.26.

**Claim 1.**  $\langle Q_t^+, Q_{tw}^+, \hat{A}_w \rangle \leq R$

As  $Q_t^+$  and  $Q_{tw}^+$  are  $U_{0,r_{\hat{K}_L}}$ -groups, Corollary 6.25 covers  $Q_t^+$  and  $Q_{tw}^+$ . The group  $\hat{A}_w$  is included by definition.

**Claim 2.**  $C_R(w) = \hat{A}_w$

It suffices to check that  $C_{R_0}(w) \leq \hat{A}_w$ . As  $C_{R_0}(w) \leq C_G(i) \leq N(L)$ , we find

$$C_{R_0}(w) \leq \mathbb{T}_w \times \hat{K}_L.$$

As  $R_0$  is  $t$ -invariant and  $R_0 \cap \hat{K}_L = 1$  (Lemma 6.18), we find

$$C_{R_0}(w) = R_0 \cap \mathbb{T}_w.$$

On the other hand by Fact 2.34,  $C_{R_0}(w)$  is itself a  $U_{0,r_{\hat{K}_L}}$ -group, and thus this intersection lies in  $\hat{A}_w$ .

The claim follows.

**Claim 3.**  $C_R(t) = Q_t^+$ .

The group  $C_R(t)$  reduces to  $C_{R_0}(t)$ , so this is a  $U_{0,r_{\hat{K}_L}}$ -subgroup of  $FC_G(t)$ . That is,

$$C_R(t) \leq U_{0,r_{\hat{K}_L}}(FC_G(t)) = Q_t.$$

As  $C_{Q_t}(wi) = Q_t^+$ , the claim follows.

Correspondingly,  $C_R(tw) = Q_{tw}^+$ .

Now by Fact 2.44 the group  $R$  is generated by its subgroups

$$C_R^\circ(t), C_R^\circ(tw), C_R^\circ(w).$$

Only the final point (non-triviality) remains to be checked.

By our assumptions  $Q_t^+$  is non-trivial, and  $Q_{tw}^+$  is a conjugate. Furthermore  $\hat{A}_w$  contains  $A_w$  and we know  $Z(Q_w) \leq A_w$ . So the result follows.  $\square$

Now we come to the proof of Proposition 6.13.

*Proof of Proposition 6.13.* Now  $G$  satisfies Hypothesis 6.4, and  $t$  denotes an involution of  $L$ . The claim is that  $OFC_G(t) \cap \hat{K}_L = 1$ .

Suppose on the contrary that  $OFC_G(t) \cap \hat{K}_L > 1$ . Then by Lemma 6.6, Hypothesis 6.10 applies to  $t$ . We take  $w \in L$  inverting the torus  $\mathbb{T}_t$  of  $L$  containing  $t$ .

**Claim 1.** *There is a non-trivial connected subgroup  $X$  of  $Q_t^+$  commuting with a non-trivial connected subgroup  $Y$  of  $\hat{A}_w$ .*

Consider the group  $R$  as in Lemma 6.27.

$$R = \langle Q_t^+, Q_{tw}^+, \hat{A}_w \rangle,$$

with all three subgroups non-trivial.

The connected component of the center of  $R$  is generated similarly by

$$C_{Z(R)}^\circ(t), C_{Z(R)}^\circ(tw), C_{Z(R)}^\circ(w),$$

where now some of these subgroups may be trivial.

However, as  $t$  and  $tw$  are interchangeable for our present purpose, we may suppose that at least one of the groups  $C_{Z(R)}^\circ(t)$  or  $C_{Z(R)}^\circ(w)$  is non-trivial. Any central subgroup of  $R$  commutes with  $Q_t^+$  and with  $\hat{A}_w$ . Therefore this gives us either a non-trivial connected subgroup of  $\hat{A}_w$  commuting with  $Q_t^+$ , or a non-trivial connected subgroup of  $Q_t^+$  commuting with  $\hat{A}_w$ .

In either case, the claim follows.

But  $Q_t$  commutes with the 2-torsion subgroup  $T_t$  of  $\mathbb{T}_t$  (Lemma 6.12), and thus  $X$  commutes with  $T_t$  and  $Y$ , forcing  $X \leq C(L)$ , and thus  $X \leq Q_t^+ \cap N(L) = 1$ , a contradiction.  $\square$

## 6.6. Case 2. Preliminaries.

**Hypothesis 6.28** (Hypothesis and notation).

### *Hypotheses*

$G$  is a simple  $L^*$  group of finite Morley rank and odd type satisfying NTA<sub>2</sub>. We suppose that there is no component in  $\mathcal{E}$  of type  $\mathrm{SL}_2$ .

### *Notation*

Let  $i$  be an  $\mathcal{E}$ -involution.

$L = E_{\mathcal{E}}(C_G(i))$ ,  $\hat{K}_L = C_G(L)$ ,  $K_L = C_G(L, i)$ .

$\sigma$  is maximal so that  $U_{0,\sigma}(FC_G(t)) \not\leq N(L)$  for  $t \in I(L)$ .

For  $t, t'$  commuting involutions in  $C_G(i)$  set

$$\begin{aligned} Q_t &= U_{0,\sigma}(FC_G(t)); & A_t &= Q_t \cap L; \\ Q_t^+(t') &= C_{Q_t}(t'). \end{aligned}$$



*Remark 6.29.* In the context of Hypothesis 6.28, if  $t$  is an involution of  $L$ , we have the following.

- (1)  $OFC_G(t)$  has maximal reduced rank at most  $r_f$  and  $U_p(FC_G(t)) = 1$  for  $p$  prime. (Lemma 3.23).
- (2)  $UFC_G(t)$  is not contained in  $N(L)$  (Lemma 6.6).

By (1),  $OFC_G(t)$  decomposes as a product of groups  $U_{0,r}(FC_G(t))$  and a central subgroup  $d(T)$  with  $T$  a  $\Pi$ -torus. By (1,2),  $\sigma$  is well-defined and is at most  $r_f$ .

By Proposition 6.13 we have  $Q_t \cap \hat{K}_L = 1$ . Furthermore, as  $Q_t$  is  $w$ -invariant contains no involutions we find

$$\begin{aligned} Q_t \cap N(L) &= A_t \times (Q_t \cap \hat{K}_L) \\ &= A_t. \end{aligned}$$

Also  $A_t = C_{Q_t}(i)$  is a connected  $U_{0,\sigma}$ -subgroup of the algebraic torus  $\mathbb{T}_t$  of  $L$  containing  $t$ .

**Lemma 6.30.** *Let  $G, i$  satisfy Hypothesis 6.28.*

*Then for  $s$  an involution in  $C_G(i)$  we have*

$$[i, C_G^\circ(s)] \leq OF(C_G(s)).$$

*Proof.* This follows from Lemma 6.5 since  $E_{alg}(C_G(s))$  is either trivial or of type  $SL_2$  and  $C_{C_G^\circ(s)}(i)$  covers the centralizer of  $i$  in the quotient modulo  $OFC_G(s)$ .  $\square$

**Lemma 6.31.** *Let  $G, i$  satisfy Hypothesis 6.28. Let  $t, w$  be distinct commuting involutions in  $L$ , and suppose*

$$C_G^\circ(wi) \leq N(L).$$

*Then  $wi$  inverts  $Q_t$  and  $Q_t$  is abelian.*

*Proof.* We have

$$C_{Q_t}^\circ(wi) \leq Q_t \cap N(L) = A_t$$

and  $C_{A_t}(wi) = 1$ , so  $C_{Q_t}^\circ(wi) = 1$  and  $wi$  inverts  $Q_t$ .

Hence  $Q_t$  is abelian. □

**Lemma 6.32.** *Let  $G$ ,  $i$  satisfy Hypothesis 6.28.*

*Then for any involution  $s \in C_G(i)$  with  $s \neq i$  we have*

$$UF(C_G(s)) \not\leq N(L).$$

*Proof.* Supposing the contrary, we have  $s = it$  with  $t$  an involution of  $L$ . With  $w \in L$  inverting  $\mathbb{T}_t$ , by conjugacy we have  $UF(C_G(wi)) \leq N(L)$ . By Lemma 6.5 we find  $C_G^\circ(wi) \leq N(L)$  and then by Lemma 6.31  $wi$  inverts  $Q_t$  and  $Q_t$  is abelian.

Therefore the decomposition of  $Q_t$  with respect to the action of  $i$  can be written as

$$Q_t = Q_t^+(i) \times Q_t^+(w) = A_t \times Q_t^+(w).$$

Here  $i$  inverts  $Q_t^+(w)$  and in particular  $[i, Q_t^+(w)] = Q_t^+(w)$ . By Lemma 6.30 we have

$$Q_t^+(w) \leq OF(C_G(w)).$$

But  $Q_t^+(w)$  is a  $U_{0,\sigma}$ -group and so  $Q_t^+(w) \leq Q_w$ .

If  $A_t$  is non-trivial then  $A_w$  is non-trivial and both centralize  $Q_t^+(w)$ , so  $Q_t^+(w)$  centralizes  $L$  and then  $Q_t^+(w) = 1$ , a contradiction. So  $A_t, A_w$  are trivial and  $Q_t = Q_t^+(w) \leq Q_w$ . Hence  $Q_t = Q_w$  is normalized by the algebraic tori of  $L$  containing  $t$  and  $w$  respectively, and  $L$  normalizes  $Q_t$ .

But  $L$  cannot centralize  $Q_t$ , so  $L$  acts faithfully on  $Q_t$ . In particular the root subgroups of  $L$ , which are copies of the additive group of the

base field  $k$ , act faithfully on  $Q_t$ . This forces the characteristic of  $k$  to be zero and the rank of the additive group (which is also its reduced rank) to be at most  $\sigma$ . But  $\sigma$  is at most the reduced rank of the multiplicative group of  $k$ , so this is a contradiction.

The result follows. □

*Remark 6.33.* Our hypotheses on  $t \in I(L)$  now apply to all involutions of  $C_G(i)$  other than  $i$ . In particular we have

$$Q_s \cap N(L) = A_s.$$

In the case  $s = ti$  with  $t \in L$ ,  $A_s$  is a subgroup of the algebraic torus of  $L$  containing  $t$ .

**Lemma 6.34.** *Take the hypotheses and notation as in Hypothesis 6.28.*

*Let  $s$  be any involution in  $C_G(i)$  other than  $i$ , and let  $V$  be a 4-group in  $C_G(i)$  commuting with  $s$  and not containing  $s$ .*

*Then*

(1) *For  $v, v' \in I(V)$  distinct,  $Q_s^+(v)$  is an abelian  $U_{0,\sigma}$ -group inverted by  $v'$ .*

*In particular,  $Q_s^+(v) \cap Q_s^+(v') = 1$ .*

(2)  $Q_s = \langle Q_s^+(v) : v \in I(V) \rangle$ .

(3) *If  $v \in I(V) \setminus \langle s, i \rangle$  then  $Q_s^+(v) = Q_s \cap Q_v$ .*

(4)  $U_{0,\sigma}(\hat{K}_L) = 1$ .

(5)  $Q_i = 1$ .

*Proof.* By Proposition 6.13 we have

$$OF(C_G(s)) \cap \hat{K}_L = 1$$

for all such  $s$ .

*Ad 1.*  $v'$  inverts  $Q_s^+(v)$ .

By Fact 2.34, the groups  $Q_s^+(v)$  are  $U_{0,\sigma}$ -groups.

Suppose first that  $i \in \langle s, v \rangle$ . Then  $Q_s^+(v) \leq C_{Q_s}(i) \leq Q_s \cap N(L) = A_s$ . But  $A_s$  is contained in an algebraic torus of  $L$  inverted by the elements of  $\langle V, s \rangle \setminus \langle s, i \rangle$ , hence by  $v'$ .

Now suppose  $i \notin \langle v, s \rangle$ . Then  $Q_s^+(v) \cap N(L) = Q_s^+(v) \cap L \leq C_L(s, v)$ , and  $C_L(s, v)$  is finite. Since  $C_G(i) \leq N(L)$ , this implies that the group  $Q_s^+(v)$  is inverted by  $i$ , and is abelian. Furthermore the elements of  $I(V) \setminus \{v\}$  belong to  $\langle v, s \rangle i$  and hence invert  $Q_s^+(v)$ .

*Ad 2.*  $Q_s = \langle Q_s^+(v) : v \in I(V) \rangle$ .

This point is simply an application of Fact 2.44, but it should be kept in mind.

*Ad 3.* If  $v \in I(V) \setminus \langle s, i \rangle$ , then  $Q_s^+(v) = Q_s \cap Q_v$ .

Evidently  $Q_s \cap Q_v \leq Q_s^+(v)$ .

Conversely, as  $Q_s^+(v)$  is inverted by  $i$ , it follows from Lemma 6.30 that  $Q_s^+(v) \leq F(C_G(v))$  and thus  $Q_s^+(v) \leq Q_s \cap Q_v$ .

This proves the claim.

*Ad 4.*  $U_{0,\sigma}(\hat{K}_L) = 1$ .

**Claim 1.**  $U_{0,\sigma}(\hat{K}_L) \leq OF(\hat{K}_L)$ .

Suppose  $X$  is a non-trivial abelian  $U_{0,\sigma}$ -subgroup of  $\hat{K}_L$ .

Let  $t \in I(L)$  and let  $w \in L$  invert the algebraic torus of  $L$  containing  $t$ . Then  $X$  normalizes  $Q_t^+(w)$  and as both  $X$  and  $Q_t^+(w)$  are  $U_{0,\sigma}$ -groups, the group  $Q_t^+(w)X$  is nilpotent.

Let  $Z$  be the center of  $Q_t^+(w)X$ . Since  $Z$  commutes with  $X$  we have  $Z \leq N(L)$ . By  $w$ -invariance

$$Z = (Z \cap L) \times (Z \cap \hat{K}_L).$$

But  $Z \cap L \leq Q_t^+(w) \cap L = 1$ . Thus  $Z \leq \hat{K}_L$ . Now since  $Z$  commutes with  $Q_t^+(w)$  we find  $Q_t^+(w) \leq N(L)$ , and then  $Q_t^+(w) = 1$ . Thus

$$Q_t = \langle Q_t^+(i), Q_t^+(wi) \rangle.$$

If  $C_X(i) > 1$  then similarly we get  $Q_t^+(wi) = 1$  and  $Q_t = Q_t^+(i) \leq N(L)$ , for a contradiction. So  $i$  inverts  $X$ . In particular  $X \leq OF(\hat{K}_L)$ . This proves the claim.

**Claim 2.**  $U_{0,s}(FC_G(t))$  commutes with  $U_{0,\sigma}(\hat{K}_L)$  for  $s \neq \sigma$ .

For  $s < \sigma$ , as  $U_{0,\sigma}(\hat{K}_L)$  normalizes  $FC_G(t)$ ,  $U_{0,\sigma}(\hat{K}_L)$  centralizes  $U_{0,s}(FC_G(t))$ .

For  $s > \sigma$  we have  $U_{0,s}(FC_G(t)) \leq N(L)$ , so  $U_{0,s}(FC_G(t))$  normalizes  $U_{0,\sigma}(\hat{K}_L)$  and hence centralizes it.

This proves the claim.

The group  $\hat{K}_L$  normalizes  $Q_t = U_{0,\sigma}(FC_G(t))$ . Let  $R$  be the group  $Q_t U_{0,\sigma}(\hat{K}_L)$ .

Then  $R$  is normalized by  $\hat{K}_L$  and by  $C_L^\circ(t)$ , hence by  $C_G^\circ(t) \cap N(L)$ .

**Claim 3.**  $R$  is normalized by  $OFC_G(t)$ .

Certainly  $R$  is normalized by  $U_{0,s}(FC_G(t))$  for  $s \neq \sigma$ , and  $R$  contains  $Q_t = U_{0,\sigma}(FC_G(t))$ , so the claim follows.

So  $R$  is normalized by  $OFC_G(t) \cdot (C_G^\circ(t) \cap N(L))$ . By Lemma 6.5 it follows that  $R$  is normalized by  $C_G^\circ(t)$ , and thus  $R = Q_t$ .

But then  $Q_t$  meets  $\hat{K}_L$ , a contradiction. Point (4) follows.

*Ad 5.*  $Q_i = 1$ .

As  $Q_i \leq U_{0,\sigma}(N(L))$  and  $U_{0,\sigma}(L)$  is either 1 or  $L$ , we have  $Q_i \leq U_{0,\sigma}(\hat{K}_L) = 1$  by the previous claim.  $\square$

Now we return to consideration of

$$A_s = Q_s \cap L.$$

**Lemma 6.35.** *Take the hypotheses and notation as in Hypothesis 6.28. Let  $s \in I(C_G(i))$ ,  $s \neq i$  and set  $\mathbb{T}_s = C_L^\circ(s)$ .*

*Then  $U_{0,\sigma}(\mathbb{T}_s) = A_s$ . In particular,  $A_s = A_{si}$ .*

*Proof.* Let  $A_s^* = U_{0,\sigma}(\mathbb{T}_s)$ . We argue as in the analysis of  $U_{0,\sigma}(\hat{K}_L)$  above.

$A_s^*$  centralizes  $\hat{K}_L$ . As  $A_s^*$  lies in  $C_G(s)$  it centralizes  $U_{0,r}(F(C_G(s)))$  for  $r < \sigma$ . For  $r > \sigma$  we have  $U_{0,r}(F(C_G(s))) \leq C_{N(L)}^\circ(s) = \mathbb{T}_s \times \hat{K}_L$  so also in this case  $A_s^*$  centralizes  $U_{0,r}(FC_G(t))$ .

Now the group  $Q_s A_s^*$  is normalized by  $OF(C_G(s))$  and by  $\hat{K}_L$ , so by  $C_G^\circ(s)$ . As it is a nilpotent  $U_{0,\sigma}$ -group,  $Q_s A_s^* \leq Q_s$  and so  $A_s^* \leq Q_s \cap L = A_s$ . The result follows.  $\square$

SUMMARY

Taking  $t, w \in I(L)$ ,  $s \in I(C_G(i))$  other than (possibly)  $i$ .

—Lemma 6.5, p. 73. Set  $H = C_G^\circ(t)$  and  $\bar{H} = H/OH$ .

1.  $T_t \times S_L$  is a Sylow 2-subgroup of  $H$ .
2.  $\bar{H}$  decomposes as  $\bar{H} = \overline{E_{alg}(K_L)} * \bar{H}_D$  with  $\bar{H}_D$  a  $D$ -group.
3.  $H \leq UF(H) \cdot C_{N(L)}^\circ(t)$ .
4. If  $UF(H) \leq N(L)$ , then  $H \leq N(L)$ .

—Hypothesis 6.28, p. 96.  $G$  simple  $L^*$ -group, odd type, with  $\text{NTA}_2$ .  
No  $\mathcal{E}$ -component of type  $\text{SL}_2$ .

—Remark 6.29, p. 97. For  $t \in I(L)$  we have

- (1)  $\bar{r}_0(\text{OFC}_G(t)) \leq r_f$ ;  $U_p(\text{FC}_G(t)) = 1$ . (Lemma 3.23).
- (2)  $\text{OFC}_G(t)$  is not contained in  $N(L)$  (Lemma 6.6).

—Lemma 6.30, p. 97.  $[i, C_G^\circ(s)] \leq \text{OF}(C_G(s))$ .

—Lemma 6.32, p. 98.  $UF(C_G(s)) \not\leq N(L)$ .

—Remark 6.33, p. 99.  $Q_s \cap N(L) = A_s$ .

—Lemma 6.34, p. 99.  $s \notin V$ ,  $v, v' \in V$  distinct.

- (1)  $Q_s^+(v)$  is an abelian  $U_{0,\sigma}$ -group inverted by  $v'$ .  
In particular,  $Q_s^+(v) \cap Q_s^+(v') = 1$ .
- (2)  $Q_s = \langle Q_s^+(v) : v \in I(V) \rangle$ .
- (3) If  $v \in I(V) \setminus \langle s, i \rangle$  then  $Q_s^+(v) = Q_s \cap Q_v$ .
- (4)  $U_{0,\sigma}(\hat{K}_L) = 1$ .
- (5)  $Q_i = 1$ .

—Lemma 6.35, p. 101.  $U_{0,\sigma}(\mathbb{T}_s) = A_s$ .

### 6.7. Normalizers of subgroups of $Q_t$ . *Revised 10/2022. Review.*

Next we apply signalizer functor theory.

**Lemma 6.36.** *Take the hypotheses and notation as in Hypothesis 6.28.*

*Let  $s \in I(C_G(i))$ ,  $s \neq i$ . and set  $\mathbb{T}_s = C_L^\circ(s)$ .*

*Then the following hold.*

$$(1) Q_s^+(i) = A_s = U_{0,\sigma}(\mathbb{T}_s).$$

$$(2) A_s > 1.$$

*Proof.*

*Ad 1.*  $Q_s^+(i)$  is a  $U_{0,\sigma}$ -group (Fact 2.34).

We have

$$Q_s^+(i) \leq Q_s \cap N(L) = Q_s \cap L = A_s.$$

So (1) follows by Lemma 6.35.

*Ad 2.* Suppose toward a contradiction that

$$A_s = 1.$$

Then  $i$  inverts  $Q_s$ .

Also by Lemma 6.35 we have  $A_{si} = A_s = 1$ , and as  $Q_i = 1$  our hypothesis becomes

$$A_s = 1 \text{ for all involutions } s \in C_G(i).$$

Now take an elementary abelian 2-group  $A$  of 2-rank 3 containing the involution  $i$  and define  $\theta$  on  $I(A)$  by

$$\theta(s) = Q_s.$$



**Claim 1.** *The function  $\theta$  defines an invariant nilpotent signalizer functor on  $A$ .*

We need to check the balance condition on  $A$ :

$$Q_s^+(s') = Q_s \cap C_G(s') \leq Q_{s'}.$$

As  $i$  inverts  $Q_s$  for all  $s \in A$ ,  $(Q_s \cap C_G(s')) = [i, Q_s \cap C_G(s')]$  lies in  $OF(C_G(s'))$ . Furthermore  $Q_s^+(s')$  is again a  $U_{0,\sigma}$ -group, so the balance condition follows and the claim holds.

It follows that the signalizer functor  $\theta$  is trivial.

$$Q_s = 1 \text{ for } s \text{ an involution in } C_G(i).$$

But this contradicts Lemma 6.32. □

**Lemma 6.37.** *Take the hypotheses and notation as in Hypothesis 6.28. Then we have the following.*

- (1)  $\hat{K}_L$  has abelian Borel subgroups.
- (2)  $\sigma^\circ(\hat{K}_L) = Z^\circ(\hat{K}_L)$ .
- (3)  $OF(\hat{K}_L) = 1$ .
- (4)  $\hat{K}_L^\circ$  is a  $D$ -group with  $\hat{K}_L^\circ/Z^\circ(\hat{K}_L)$  of degenerate type.
- (5)  $\hat{K}_L^\circ$  has a connected Sylow 2-subgroup of Prüfer rank 1.
- (6)  $\hat{K}_L = K_L$  is connected.

*Proof.*

*Ad 1.* Let  $H \leq \hat{K}_L$  be definable, connected, and solvable. Let  $Q = F(Q_t H)$ . By Lemma 6.34  $U_{0,\sigma}(Q) = 1$ . Hence  $Q$  commutes with  $Q_t$ . If  $Q > 1$  this forces  $Q_t \leq N(L)$ , a contradiction.

Thus  $F(Q_t H) = Q_t$  and  $H' \leq Q_t \cap H = 1$ . Hence  $H$  is abelian.

*Ad 2.* This is given by Lemma 2.29.

*Ad 3.* As  $U_{0,\sigma}(\hat{K}_L) = 1$  and  $OF(\hat{K}_L) \leq OF(C_G(t))$ , the group  $OF(\hat{K}_L)$  commutes with  $Q_t$ . Hence it is trivial.

*Ad 4.* By Fact 2.7 we have

$$\hat{K}_L = E_{alg}(\hat{K}_L) * K_D$$

with  $K_D/Z^\circ(K_D)$  of degenerate type.

We show that  $E_{alg}(\hat{K}_L) = 1$ . Let  $\mathbb{B}$  be a Borel subgroup of  $E_{alg}(\hat{K}_L)$  and  $\mathbb{U}$  its unipotent radical. Then  $\mathbb{U} \leq \mathbb{B}' \leq F(Q_t \mathbb{B})$ . As  $U_{0,\sigma}(\hat{K}_L) = 1$  the group  $\mathbb{U}$  centralizes  $Q_t$ , and hence must be trivial. So  $E_{alg}(\hat{K}_L) = 1$ .

Thus (4) follows.

*Ad 5.* This is immediate from (4).

*Ad 6.*  $\hat{K}_L$  has the unique involution  $i$  so  $N(\hat{K}_L) \leq C_G(i)$ . Thus  $\hat{K}_L = K_L \leq C_G(i)$ .

But  $C_G(i)$  is connected, and  $C_G(i) = L \times K_L$ . So  $K_L$  is connected.  $\square$

*Remark 6.38.* It follows that a Sylow 2-subgroup of  $G$  has the form  $S_L \times T_i$  with  $S_L$  a Sylow 2-subgroup of  $L$  and  $T$  a 2-torus containing  $i$ .

**Lemma 6.39.** *Take the hypotheses and notation as in Hypothesis 6.28. Then for  $s$  an involution which is not a  $\mathrm{PSL}_2$ -involution,  $C_G(s)$  is a  $D$ -group.*

*Proof.* We may suppose  $s = t$  or  $ti$  and  $w$  inverts  $\mathbb{T}_t$ . Then  $s, w$  are not cotoral so  $w \notin C_G^\circ(s)$ . It follows that the Sylow 2-subgroups of  $C_G^\circ(s)$  are connected. Thus with  $H = C_G^\circ(s)$  and  $\bar{H} = H/OF(H)$ , we have  $E_{alg}(\bar{H}) = 1$  and the result follows.  $\square$

**Lemma 6.40.** *Take the hypotheses and notation as in Hypothesis 6.28. Then  $Z^\circ(Q_t)$  is inverted by  $i$ .*

*Proof.* Supposing the contrary we have

$$Z^\circ(Q_t) \cap A_t > 1.$$

As  $t$  is conjugate to  $w$  in  $L$  we find

$$Z^\circ(Q_w) \cap A_w > 1.$$

**Claim 1.**  $Q_{t,w} = 1$ .

The group  $Q_{t,w}$  is centralized by nontrivial connected subgroups of  $A_t$  and  $A_w$ , and hence by  $L$ , which must act trivially, forcing  $Q_{t,w} = 1$ .

Thus  $w$  inverts  $Q_t$  and  $Q_t$  is abelian.

Let  $B_{wi} = N_{Q_{wi}(A_w)}$ . Writing  $B_{wi}(s)$  for  $C_{B_{wi}}(s)$  we have

$$B_{wi} = \langle B_{wi}(t), B_{wi}(tw), B_{wi}(w) \rangle.$$

Here  $B_{wi}(w) = B_{wi}(i) = A_w$ . Accordingly  $B_{wi}(t)$  or  $B_{wi}(tw)$  is nontrivial, so by conjugacy both are nontrivial.

Now  $B_{wi}(t) \cap A_w$  is trivial and hence  $i$  inverts  $B_{wi}(t)$ . Hence  $B_{wi}(t) \leq Q_t$ . Therefore  $B_{wi}(t)$  is normalized by  $A_t$ .

**Claim 2.**  $B_{wi}(t)$  centralizes  $A_w$

For  $b \in B_{wi}(t)$  and  $a \in A_w$  we have  $[b, a] \in A_w$  and thus

$$[b, a] = [b, a]^w = [b^{-1}, a]$$

and as usual  $[b, a] = 1$ .

This proves the claim.

As  $B_{wi}(t)$  centralizes  $A_w$  and  $A_t$ , it centralizes  $L$ , giving a contradiction.  $\square$

**Notation 6.41.** Let  $G$  satisfy Hypothesis 6.28.

We let  $T_i$  denote the 2-torus of  $\hat{K}_L$ .

For  $t, w$  commuting involutions, we set

$$\begin{aligned} Q_{t,w} &= Q_t \cap Q_w; \\ Q_t(w) &= C_{Q_t}(w). \end{aligned}$$

In the next lemma we will probably take  $t, w \in L$  but it may be useful to have it a little more generally.

**Lemma 6.42.** *Take the hypotheses and notation as in Hypothesis 6.28. Let  $t, w$  be distinct commuting involutions in  $C_G(i)$ , distinct from  $i$ , with  $w$  inverting  $A_t$  and conjugate to  $t$ . Then  $Q_{t,w} = Q_t(w) > 1$ .*

*Proof.*  $Q_t(w)$  is inverted by  $i$  hence lies in  $Q_{t,w}$ . If  $Q_t(w)$  is trivial then  $w$  inverts  $Q_t$  and  $Q_t$  is abelian. But this contradicts Lemma 6.40.  $\square$

This last point is one to bear in mind as it will tend to be applied without explicit mention.

**Lemma 6.43.** *Take the hypotheses and notation as in Hypothesis 6.28. Let  $t, w$  be distinct commuting involutions in  $C_G(i)$ , distinct from  $i$ , with  $w$  inverting  $A_t$  and conjugate to  $t$ .*

*Then  $N_{A_t}^\circ(Q_{t,w}) = 1$ .*

*Proof.* Assuming the contrary, then as  $(t, v)$  is conjugate to  $(v, t)$  we find

$$N_{A_t}^\circ(Q_{t,v}), N_{A_v}^\circ(Q_{t,v}) > 1.$$

Hence  $L$  normalizes  $Q_{t,v}$  as well. On the other hand the reduced rank of the additive group of the base field is bigger than  $\sigma$  and hence  $L$  centralizes  $Q_{t,v}$ , giving  $Q_{t,v} = 1$ , and a contradiction.  $\square$

**Lemma 6.44.** *Take the hypotheses and notation as in Hypothesis 6.28. Let  $B$  be a non-trivial subgroup  $\langle T_i, t, w \rangle$ -invariant subgroup of  $Q_t$  inverted by  $i$ , with  $N_{A_t}^\circ(B) > 1$ . Then the following hold.*

- (1)  $N_G(B) \cap L \leq \mathbb{T}_t \langle w \rangle$ .
- (2)  $N_G^\circ(B) = OF(N_G(B)) \cdot N_{C_G(i)}^\circ(B)$ .
- (3)  $C_G^\circ(B) = OF(C_G(B)) \cdot N_{\mathbb{T}_t}^\circ(B)$ .
- (4)  $U_{0,\sigma}(N_G(B)) = U_{0,\sigma}F(N_G(B))$ .

*Proof.* Let  $H = N_G^\circ(B)$  and  $\bar{H} = H/OF(H)$ . By Fact 2.7 we have

$$H = \tilde{E}_{alg}(H) \cdot H_D$$

with  $\tilde{E}_{alg}(H)$  the inverse image of  $E_{alg}(\bar{H})$

*Ad 1.* We have  $N_{A_t}^\circ(B) > 1$ , so if (1) fails then  $L$  normalizes  $B$ . As  $\text{rk}(k) > \sigma$  it then follows that  $L$  centralizes  $B$ , and  $B = 1$ .

*Ad 2.*

**Claim 1.**  $\bar{H}$  is a  $D$ -group.

Suppose on the contrary that  $L_1 = E_{alg}(\bar{H}) > 1$ . Then in view of the structure of a Sylow 2-subgroup it is of type  $\text{PSL}_2$ . The 2-torus  $T_i$  acts on  $L_1$  and centralizes at least one algebraic torus  $\mathbb{T}_1$  of  $L_1$ . If  $T_1$  is the preimage in  $H$  then  $C_{T_1}^\circ(i)$  covers  $\mathbb{T}_1$ . The involutions of  $L_1$  are conjugate and  $L_1$  contains a 4-group, so the involutions of  $L_1$  are conjugate to involutions in  $L$ . In particular an involution  $t_1$  of  $C_{T_1}(i)$  lies in  $L$ . Then  $C_{L_2}(t_1i)$  covers  $\bar{L}_1$  and we contradict Lemma 6.39.

This proves the claim.

It follows that  $[T_i, H] \leq UF(H)$  (Fact 2.8). Hence  $C_H^\circ(i)$  covers  $\bar{H}$ . This gives (2).

*Ad 3.* This follows from (1, 2).

*Ad 4.*

We have

$$U_{0,\sigma}(H) \leq OF(H)U_{0,\sigma}(C_H(i)) \leq OF(H)U_{0,\sigma}(N_{\mathbb{T}_t}(B)).$$

Let  $U = U_{0,\sigma}(H)$ .

**Claim 2.**  $U_{0,r}F(U)$  centralizes  $U \cap \mathbb{T}_t$  for  $r \neq \sigma$ .

For  $r < \sigma$ ,  $U$  centralizes  $U_{0,r}F(U)$ .

Suppose now that  $r > \sigma$  and let  $U_r = U_{0,r}F(U)$ . Then

$$U_r = \langle C_{U_r}^\circ(t), C_{U_r}^\circ(w), C_{U_r}^\circ(tw) \rangle.$$

Here the centralizers of  $w$  and  $tw$  meet  $\mathbb{T}_t$  trivially. Hence they are inverted by  $i$ . Thus these groups lie in  $Q_w$  and  $Q_{tw}$  respectively. But then as  $r > \sigma$  they must lie in  $A_w$  or  $A_{tw}$ . But  $U$  cannot meet  $A_w$  or  $A_{tw}$  nontrivially, so we find  $C_{U_r}(w), C_{U_r}(tw) = 1$  and  $U_r \leq C(t)$  with  $U_r$  inverted by  $w$ . But then  $w$  inverts  $UN_{\mathbb{T}_t}(B)$  and hence  $U_r$  centralizes  $N_{\mathbb{T}_t}(B)$ .

It follows that  $U_{0,\sigma}(FU)U_{0,\sigma}N_{\mathbb{T}_t}(B)$  is normal in  $U$ . But  $FU$  covers the quotient of  $U$  by this subgroup and hence  $U_{0,\sigma}(FU)$  covers the quotient (since  $U = U_{0,\sigma}(U)$ ). Thus  $U = U_{0,\sigma}(FU)U_{0,\sigma}N_{\mathbb{T}_t}(B)$ . This is then nilpotent, and hence contained in  $F(N(B))$ .

This proves (4). □

**Lemma 6.45.** *Take the hypotheses and notation as in Hypothesis 6.28. Let  $t, w \in L$  be involutions with  $w$  inverting  $\mathbb{T}_t$ . Let  $B_{t,w} = U_{0,\sigma}N(Q_{t,w})$ .*

*Then  $B_{t,w}$  is abelian and inverted by  $i$ .*

*Proof.* Let  $B_{t,w}(i)$  be  $C_{B_{t,w}}(i)$ . By Lemma 6.44  $B_{t,w}(i) \leq \mathbb{T}_t$ . As this is a  $U_{0,\sigma}$ -group and  $N_{A_t}^\circ(Q_{t,w}) = 1$  we find that  $i$  inverts  $B_{t,w}$ . Hence  $B_{t,w}$  is abelian. □

**Lemma 6.46.** *Take the hypotheses and notation as in Hypothesis 6.28. Let  $t, w \in L$  be involutions with  $w$  inverting  $\mathbb{T}_t$ . Let  $B_{t,w} = U_{0,\sigma}N(Q_{t,w})$ . and let  $B_{t,w}(t) = B_{t,w} \cap Q_t$ .*

*Let  $A_t^* = U_{0,\sigma}N_{A_t}(B_{t,w}(t))$ . Then  $A_t^* > 1$ .*

*Proof.* Let  $H = U_{0,\sigma}N_{Q_t}(B_{t,w}(t))$ . If  $C_H(i)$  is trivial then  $H$  is abelian and hence contained in  $B_{t,w}$ , for a contradiction.

So  $C_H(i)$  is a nontrivial  $U_{0,\sigma}$ -subgroup contained in  $A^*$ . □

**Lemma 6.47.** *Take the hypotheses and notation as in Hypothesis 6.28. Let  $t, w \in L$  be involutions with  $w$  inverting  $\mathbb{T}_t$ .*

*Then  $Q_{t,w} = Q_{t,tw} = Q_{w,tw}$ .*

*Proof.*  $Q_{t,w} = Q_t(w) = Q_t(tw) = Q_{t,tw}$ , and similarly for  $Q_{w,tw}$ . □

Accordingly we might also use the notation  $Q_{\langle t,w \rangle}$  and, similarly,  $B_{\langle t,w \rangle}$ , but we prefer the lighter, less symmetric notation.

**Lemma 6.48.** *Take the hypotheses and notation as in Hypothesis 6.28. Let  $t, w \in L$  be involutions with  $w$  inverting  $\mathbb{T}_t$ , and  $B_{t,w} = U_{0,\sigma}N_G(Q_{t,w})$ . Then  $U_{0,\sigma}N(B_{t,w}) = B_{t,w}$ .*

*Proof.* Let  $H = U_{0,\sigma}N(B_{t,w})$ .  $C_H(i)$  is generated by  $C_H(i, t)$ ,  $C_H(i, w)$ , and  $C_H(i, tw)$ . and these groups are conjugate.

If  $C_H(i, t)$  is trivial then  $C_H(t)$  is abelian and contained in

$$U_{0,\sigma}C_G(Q_{t,w}) = B_{t,w}.$$

The same then applies to  $C_H(i, w)$  and  $C_H(i, tw)$ , and  $H = B_{t,w}$ .

Otherwise,  $A_t^* = U_{0,\sigma}N_{\mathbb{T}_t}(B_{t,w})$  is nontrivial, and  $A_w^*$  similarly. So  $L$  normalizes  $U_{0,\sigma}N_{\mathbb{T}_t}(B_{t,w})$ . So  $L$  normalizes  $B_{t,w}$ . But the rank of the base field of  $F$  is greater than  $\sigma$  and then  $L$  centralizes  $B_{t,w}$  and hence also  $Q_{t,w}$ , for a contradiction. □

*We can apparently set the next point aside and head directly for the final argument.*

**Lemma 6.49.** *Take the hypotheses and notation as in Hypothesis 6.28. Let  $t, w \in L$  be involutions with  $w$  inverting  $\mathbb{T}_t$ , and  $B_{t,w} = U_{0,\sigma}N_G(Q_{t,w})$ .*

Let  $H(t, w)$  be  $U_{0,\sigma}N_G(B_{t,w}(t))$  and  $H_0(t, w)$  the preimage in  $H(t, w)$  of  $U_{0,\sigma}Z(H(t, w)/B_{t,w}(t))$ .

Then  $H_0(t, w) \leq B_{t,w}$ .

*Proof.*  $B_{t,w}$  centralizes  $B_{t,w}(t)$  and hence lies in  $H(t, w)$ . Thus

$$[B_{t,w}, H_0(t, w)] \leq B_{t,w}(t) \leq B_{t,w},$$

and  $H_0(t, w)$  normalizes  $B_{t,w}$ . Thus  $H_0(t, w) \leq B_{t,w}$ .  $\square$

**6.8. Existence of components of type  $SL_2$ .** We can now prove the existence of components of type  $SL_2$  in  $\mathcal{E}$ .

*Proof of Proposition 6.1.* Supposing the contrary, we arrive at Hypothesis 6.28 and we consider a 4-group  $V = \langle t, w \rangle$  contained in  $L$ .

With the notation of Lemma 6.46 we have

$$A_t^* = U_{0,\sigma}(A_t^*) \leq N_G(B_{t,w}(t)).$$

On the other hand  $U_{0,\sigma}C_G(B_{t,w}(t)) = B_{t,w}$  and thus  $A_t^* \leq N_G(B_{t,w})$ . There is a conjugate subgroup  $A_w^*$  of  $N_G(B_{t,w})$  and thus  $L$  normalizes  $B_{t,w}$ . Then as usual  $L$  centralizes  $B_{t,w}$  and we arrive at a contradiction.  $\square$



## 7. THE MAIN RESULTS

Now we will prove the main result, Theorem 1.1, and add some further details about the structure of centralizers of involutions.

For the main result, this is largely a matter of assembling the prior results, with some additional argument in the manner of [FW69, Won69].

### 7.1. Theorem 1.1.

**Lemma 7.1.** *Let  $G$  be a connected simple  $L^*$  group of finite Morley rank of odd type satisfying the condition  $\text{NTA}_2$ , with Prüfer 2-rank 2 and*

$$m_2(G) \geq 3.$$

*Then then there is an  $\text{SL}_2$ -involution (an involution whose centralizer has an  $\mathcal{E}$ -component of type  $\text{SL}_2$ ). For any such involution  $i$ , one of the following applies.*

(1)  $E_{\text{alg}}(C_G(i)) = E_{\mathcal{E}}(C_G(i))$  is of type  $\text{SL}_2 *_2 \text{SL}_2$  with components conjugate in  $C_G(i)$ , and  $C_G(i)$  disconnected;

or:

(2)  $C_G(i)$  is connected, contains a Sylow 2-subgroup of  $G$ , and has the form  $L *_2 K_L$  with  $L$  of type  $\text{SL}_2$  and  $K_L$  of Prüfer rank 1 and unique involution  $i$ ;  $E_{\text{alg}}(K_L/\text{OF}(K_L))$  is of type  $\text{SL}_2$ . Furthermore we have one of the following.

(2a) There are two conjugacy classes of involution. For  $t$  not an  $\text{SL}_2$ -involution,  $C_G(t)$  is a  $D$ -group.

(2b) There is one conjugacy class of involutions, and they satisfy

$$E_{\text{alg}}(C_G(i)/\text{OFC}_G(i)) = \text{SL}_2 *_2 \text{SL}_2,$$

(possibly with differing base fields).

*Proof.* The analysis begins with Lemma 4.2 (also subsumed under later results):  $\mathcal{E}$  is non-empty and consists of Prüfer 2-rank 1 groups. According to Lemma 4.13, if  $E_{\mathcal{E}}(C_G(i))$  has more than one component for some involution  $i$ , then it has the structure

$$\mathrm{SL}_2 *_2 \mathrm{SL}_2,$$

allowing for the possibility of unrelated base fields. In particular there is a clear distinction between  $\mathrm{SL}_2$ -involutions and  $\mathrm{PSL}_2$ -involutions, when both types exist.

By Proposition 6.1, there are  $\mathrm{SL}_2$ -involutions.

By Lemma 4.19 there are at most two conjugacy classes of involutions.

By Lemma 4.21 the possibilities associated with an  $\mathrm{SL}_2$ -involution are as described.  $\square$

Continuing, we divide the analysis according to the number of conjugacy classes of involution present.

**Lemma 7.2.** *Let  $G$  be a connected simple  $L^*$  group of finite Morley rank of odd type satisfying the condition  $\mathrm{NTA}_2$ , with Prüfer 2-rank 2 and*

$$m_2(G) \geq 3.$$

*Suppose that  $G$  has two conjugacy classes of involution.*

*Then the following hold.*

- (1) *The 2-rank of  $G$  is 4.*
- (2) *One conjugacy class of involutions satisfies  $E_{\mathcal{E}}(C_G(i)) = \mathrm{PSL}_2$ , and the other satisfies  $E_{\mathcal{E}}(C_G(i)) = \mathrm{SL}_2 *_2 \mathrm{SL}_2$ , the two components of  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  are conjugate.*
- (3) *The Sylow 2-subgroup is as in  $\mathrm{PSp}_4$ .*

(4) *All components of centralizers of involutions have the same base field.*

Note that we have arrived at case (1) from the statement of Theorem 1.1.

*Proof.* By Proposition 5.22 one class of involutions consists of  $\mathrm{PSL}_2$ -involutions, the other of  $\mathrm{SL}_2$ -involutions. (In particular, Case (2a) above is eliminated.)

Then by Lemma 5.23, the  $\mathrm{SL}_2$  involutions satisfy  $E_{\mathcal{E}}(C_G(i)) \simeq \mathrm{SL}_2 *_2 \mathrm{SL}_2$  with components conjugate by an involution, and the Sylow 2-subgroup is as in  $\mathrm{PSp}_4$ . In particular the 2-rank is 4.

By Lemma 4.13, if  $i$  is a  $\mathrm{PSL}_2$ -involution then  $E_{\mathcal{E}}(C_G(i))$  is a single component, of type  $\mathrm{PSL}_2$ .

This gives points (1–3). The various components of type  $\mathrm{SL}_2$  have the same base field, and it remains to consider components of type  $\mathrm{PSL}_2$ . We can take an involution swapping two components of type  $\mathrm{SL}_2(k)$ . Then we have  $\mathrm{PSL}_2$  over the same base field in the centralizer.  $\square$

**Lemma 7.3.** *Let  $G$  be a connected simple  $L^*$  group of finite Morley rank of odd type satisfying the condition  $\mathrm{NTA}_2$ , with Prüfer 2-rank 2 and*

$$m_2(G) \geq 3.$$

*Suppose that  $G$  has one conjugacy class of involution.*

*Then  $C_G(i)$  is connected.*

*Proof.* Suppose the contrary. Then by Lemma 4.18,  $E_{\mathcal{E}}(C_G(i))$  is of the form  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  with the components conjugate. Now we argue more or less as in [FW69] (with some overlap with [Won69]).

**Claim 1.** *There is an element  $j \in C_G(i)$  with  $j^2 \in \langle i \rangle$  which swaps the two components.*

Take  $j$  to be any element which swaps the two components  $L_1, L_2$  and let

$$j^2 = a_1 a_2 c$$

with  $a_1 \in L_1, a_2 \in L_2, c \in C_G(L_1 L_2)$ ,

We make two computations of  $(ja^{-1})^2$ .

$$(ja_1^{-1})^2 = (j^2 a_1^{-1})^j a_1^{-1} \in L_1 c^j;$$

$$(ja_1^{-1})^2 = (a_1^{-1})^{j^{-1}} (j^2 a_1^{-1}) \in L_2 c,$$

so  $(ja_1^{-1})^2 = c^j = c$ . and thus replacing  $j$  by  $ja_1^{-1}$  gives  $j^2 \in C_G(L_1 L_2)$ .

Let  $T$  be a maximal 2-torus of  $L_1 L_2$ . Then  $C_G(T)$  is connected and any 2-element of  $C_G(T)$  lies in  $T$ . Thus  $C_G(L_1 L_2) / \langle i \rangle$  contains no involutions. Hence  $jC_G(L_1 L_2)$  contains an element whose square lies in  $\langle i \rangle$ , as claimed.

Now consider the group

$$S = T \langle w, j \rangle,$$

with  $w$  inverting  $T$  and commuting with  $j$ . This is a Sylow 2-subgroup of  $C_G(i, t)$ . We will show that  $\langle i \rangle$  is characteristic in  $S$ .

The connected component of  $S$  is  $T$  and  $T \langle w \rangle$  is the subgroup acting trivially or by inversion on  $T$ . We consider the fourth powers of elements of the coset  $(T \langle w \rangle)j$ .

Choose notation as follows. For elements of  $T$  we write  $a = a_1 a_2 \in T$  with  $a_1 \in L_1, a_2 \in L_2$ ; we also write  $a = (a_1, a_2)$  but must allow for possible adjustments by  $i$ .

We have the two cosets  $Tj$  and  $Twj$  to consider. Then we have the following.

$$\begin{aligned} (aj)^2 &= aj^2a^j = j^2(a_1a_2, a_1a_2); & (aj)^4 &= ([a_1a_2]^2, [a_1a_2]^2); \\ (ajw)^2 &= a(jw)^2a^{jw} \\ &= j^2(a_1a_2^{-1}, a_1^{-1}a_2); & (ajw)^4 &= ([a_1a_2^{-1}]^2, [a_1^{-1}a_2]^2). \end{aligned}$$

In other words, the fourth powers run over a 2-torus containing  $t$  and a 2-torus containing  $ti$ . It follows that  $\langle i \rangle$  is characteristic in  $S$ .

From this it follows that  $S$  is self-normalizing in  $C_G(t)$  and hence  $S$  is a Sylow 2-subgroup of  $C_G(t)$ . As the structure of a Sylow 2-subgroup of  $C_G(i)$  is different, we find that  $i$  and  $t$  are not conjugate, giving a contradiction.

This proves the lemma. □

*Proof of Theorem 1.1.* We have a connected simple  $L^*$  group  $G$  of finite Morley rank of odd type satisfying the condition  $\text{NTA}_2$ , with Prüfer 2-rank 2 and

$$m_2(G) \geq 3.$$

By Lemma 7.1 there are at most two conjugacy classes of involutions.

If there are two conjugacy classes of involutions then Lemma 7.2 gives point (1) of Theorem 1.1.

Suppose therefore that there is one conjugacy class of involutions. By Lemma 7.1 the involutions are  $\text{SL}_2$ -involutions and case (2b) of that lemma applies. That is,

$$E_{alg}(C_G(i)/OFC_G(i)) = \text{SL}_2 *_2 \text{SL}_2,$$

possibly with different base fields.

The rest of clause (2) of Theorem 1.1 is then clear.

Thus in either case the relevant clause of Theorem 1.1 is verified.  $\square$

**7.2. Continuation: one conjugacy class.** We push the structural analysis of centralizers of involutions a little further in the two configurations presented in Theorem 1.1. We begin with the case of one conjugacy class.

**Lemma 7.4.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and having one conjugacy class of involutions. Let  $i$  be an involution and let  $L$  be an  $\mathcal{E}$ -component of  $C_G(i)$ . Let  $H$  be a definable proper subgroup of  $G$  containing  $L$  and having 2-rank at least 2.*

*Let  $\hat{L}$  be the normal closure of  $L$  in  $H$ .*

*Then either  $L \leq E_{\mathcal{E}}(H)$  or  $\hat{L} = OF(\hat{L}) \cdot L$*

*Proof.* Let  $k$  be the base field of  $L$  and let  $\hat{L}$  be the normal closure of  $L$  in  $H$ . Then  $\hat{L}/OF(\hat{L})$  is of type  $G_2$ ,  $SL_3$ ,  $SL_2 *_2 SL_2$ , or  $SL_2$ , with base field  $k$ .

But if  $\hat{L}/OF(\hat{L})$  is of type  $SL_2 *_2 SL_2$  then  $L$  covers one of the components and some element of  $H$  conjugates  $L$  to a group  $L^*$  covering the second component. Then the conjugating element may be taken to lie in  $C_G(i)$  and this gives a contradiction. So the quotient  $\hat{L}/OF(\hat{L})$  is of type  $G_2$ ,  $SL_3$ , or  $SL_2$ .

$\hat{L}$  is a covering group of  $\hat{L}/OF(\hat{L})$ . Let  $Q = OF(\hat{L})$ . If  $Q$  is trivial then  $L \leq \hat{L} \leq E_{\mathcal{E}}(H)$ .

So we assume that

$$Q > 1.$$

By Lemma 2.53,  $O(\hat{L})$  is either a  $p$ -unipotent group, if the characteristic of the base field  $k$  is  $p > 0$ , or else a  $U_{0,r}$ -unipotent group with  $r = \text{rk}(k)$ , if the characteristic is zero.

Let  $Q_i = C_Q(i)$ .

*Case 1.*  $Q_i > 1$ .

By Fact 3.18  $Q_i \leq E_{alg}(C_G(i))$ . Then  $Q_i$  normalizes  $L$  and so  $Q_i$  centralizes  $L$ . Hence  $E_{alg}(C_G(i))$  has two components  $L, L_2$  of type  $SL_2$  and  $Q_i \leq L_2$ . Here the base fields of the components have the same characteristic, and the same rank if the characteristic is zero.

If  $\hat{L}/Q$  is of type  $G_2$  then  $C_{\hat{L}}(i)$  covers  $E_{alg}(C_G(i))$  and we have a contradiction as  $Q_i \leq E_{alg}(C_G(i))$ .

Suppose next that  $\hat{L}/Q$  is of type  $SL_3(k)$ .

The Sylow 2-subgroup of  $\hat{L}$  has the form  $T \langle t_i \rangle$  where  $T$  is a 2-torus with  $\Omega_1(T) = \langle i, j \rangle$  and  $t_i$  is an involution inverting a torus  $T_i$  of  $L$  containing  $i$  and swapping 2-tori containing  $j$  and  $ij$ .

In  $C_G(i)$  the torus  $T$  is a central product of 2-tori  $T_1, T_2$  from the factors  $L, L_2$  and  $t_i$  is the product of an element of order 4 in  $L$  which inverts  $T_1$  by an element of order 4 in  $T_2$ . This element must centralize  $Q_i$ , which is contained in a unipotent subgroup of  $L_2$ . This is impossible.

Therefore we find  $\hat{L}/OF(\hat{L})$  is of type  $SL_2$  and  $\hat{L} = Q \cdot L$ .

*Case 2.*  $Q_i = 1$ .

Suppose that  $\hat{L}/Q$  is of type  $G_2$  or  $SL_3$  and  $Q_i = 1$ . Then the involutions are conjugate and the claim applies to a 4-group in  $\hat{L}$ , showing that  $Q = 1$ , a contradiction. Thus  $\hat{L}/Q$  is of type  $SL_2$  and  $\hat{L} = Q \cdot L$ .  $\square$

The following is more or less found in the preceding, but we bring it out.

**Lemma 7.5.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and having one conjugacy class of involutions. Let  $i$  be an involution and let  $L$  be an  $\mathcal{E}$ -component of  $C_G(i)$  with base field  $k$ . Let  $H$  be a definable proper subgroup of  $G$  containing  $L$  and having 2-rank at least 2.*

Let  $\hat{L}$  be the normal closure of  $L$  in  $H$  and  $Q = OF(\hat{L})$ . If  $\hat{L} = OF(\hat{L}) \cdot L$  and  $C_Q(i) > 1$  then  $E_{\mathcal{E}}(C_G(i))$  has two components of type  $SL_2$  whose base fields have the same characteristic, and, in the case of characteristic zero, the same rank.

*Proof.* We review the relevant part of the previous argument. We set  $Q_i = C_Q(i)$ , which is  $p$ -unipotent if  $k$  has characteristic  $p$  and is  $U_{0,r}$ -unipotent if  $k$  has characteristic zero and rank  $r$ .

Then  $Q_i$  is a unipotent subgroup of  $E_{alg}(C_G(i))$  commuting with  $L$ , so if  $Q_i > 1$  then it lies in a root subgroup of a second component of  $E_{alg}(C_G(i))$ . So the characteristics agree, the rank of the second base field is at least the rank of  $k$ , and in characteristic zero the ranks agree.  $\square$

In the same situation, if  $i$  inverts  $Q$  which is non-trivial and not the natural module, one will arrive at the same conclusion regarding the structure of  $E_{alg}(C_G(i))$ .

**Lemma 7.6.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and having one conjugacy class of involutions. Let  $i$  be an involution and let  $L$  be an  $\mathcal{E}$ -component of  $C_G(i)$ . Let  $H$  be a definable proper subgroup of  $G$  with  $L \leq E_{alg}(H)$ .*

*Then either  $E_{\mathcal{E}}(H)$  is of type  $SL_3$  or  $H \leq C_G(i)$ .*

*Proof.* We suppose that  $E_{\mathcal{E}}(H)$  is not of type  $SL_3$ .

If  $E_{alg}(H)$  is of type  $SL_2$  or  $SL_2 *_2 SL_2$  then  $L$  is a component of  $E_{alg}(H)$  and  $H \leq N(L) = C_G(i)$ .

So it remains only to eliminate the case in which  $E_{alg}(H)$  is of type  $G_2$ . With  $\hat{L}$  the normal closure of  $L$  in  $H$  we then have

$$(\star) \quad \hat{L} = E_{alg}(H) = E_{\mathcal{E}}(H) \simeq G_2.$$

In this case for  $i \in L$  a central involution,  $C_G(i) = L_i \times K$  with  $L_i \simeq SL_2 *_2 SL_2$  and  $K$  of degenerate type. Then  $K$  acts on  $C_G(t)$  for  $t$



an involution of  $L_i$  and centralizes  $C_G(i, t)$ , which contains a maximal algebraic torus of  $C_G(t)$ . So on the one hand  $K$  acts on  $E_{\mathcal{E}}(C_G(t))$  as a subgroup of this torus and on the other hand  $K$  centralizes an element  $w$  which inverts it. As  $K$  contains no involutions,  $K$  centralizes  $E_{\mathcal{E}}(C_G(t))$ . It follows that  $K$  centralizes  $E_{\mathcal{E}}(H)$  and  $E_{\mathcal{E}}(H)$  is normalized by  $C_G(i)$ , hence by  $\Gamma_V$  for  $V \leq L_i$  a 4-group. So  $\Gamma_V \leq N(E_{\mathcal{E}}(H))$ , a contradiction.

This eliminates case  $(\star)$  and completes the proof. □

**Lemma 7.7.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and having one conjugacy class of involutions.*

*Let  $i$  be an involution and suppose that  $E_{\text{alg}}(C_G(i))$  is of type  $\text{SL}_2 *_2 \text{SL}_2$ . Then  $C_G(i) = E_{\text{alg}}(C_G(i))$ .*

*Proof.* We know that  $C_G(i)$  is connected. As the involutions are conjugate our assumption on  $C_G(i)$  applies to all involutions.

Let  $E_i = E_{\mathcal{E}}(C_G(i))$  and factor  $C_G(i)$  as  $E_i \times K_i$  with  $K_i$  of degenerate type. For  $t$  another involution of  $C_G(i)$ , consider  $U = C_{K_i}(t)$ .

Decompose  $C_G(t)$  similarly as  $E_t \times K_t$ .

$U$  acts on  $E_t$  as a subgroup of degenerate type commuting with an elementary abelian 2-group  $\langle i, t, w \rangle$  of rank 3. Hence the action is trivial and  $U \leq K_t$ . So  $U$  commutes with  $E_i$  and  $E_t$ .

As there is a four-group in  $E_i$  with involutions conjugate over  $i$ , if  $K_i > 1$  then we may suppose that  $U > 1$ . We let  $H = C_G(U)$ . As  $H$  contains  $E_i$  and  $E_t$  the only possible structure for  $E_{\text{alg}}(H)$  is  $G_2$ , and this must then be  $E_{\mathcal{E}}(H)$ .

But this was eliminated in Lemma 7.6. □

We put the last few lemmas together.

**Lemma 7.8.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and having one conjugacy class of involutions. Let  $i$  be an involution and let  $L$  be an  $\mathcal{E}$ -component of  $C_G(i)$ .*

Let  $H$  be a definable proper subgroup of  $G$  containing  $L$  and having 2-rank at least 2. Let  $\hat{L}$  be the normal closure of  $L$  in  $H$  and  $Q = OF(\hat{L})$ .

Then one of the following applies.

(1)  $Q = 1$  and  $\hat{L} \leq E_{alg}(H)$ .

(a)  $H \leq C_G(i)$ , or

(b)  $E_{alg}(H)$  is of type  $SL_3$ .

or

(2)  $Q > 1$  and  $\hat{L} = Q \cdot L$ .

(a)  $i$  inverts  $Q$ , or

(b)  $C_G(i) = E_{alg}(C_G(i)) = E_{\mathcal{E}}(C_G(i))$  has two components of type  $SL_2$ . Their base fields have the same characteristic and, in the case of characteristic zero, the same rank.

**Lemma 7.9.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and having one conjugacy class of involutions.*

*Let  $i$  be an involution. Suppose that  $C_G(i)$  has a component  $L$  of type  $SL_2$  with base field  $k$  of characteristic  $p > 0$ . Then  $E_{alg}(C_G(i))$  is of type  $SL_2 *_2 SL_2$  with both components of characteristic  $p$ .*

*Proof.* Let  $K_L = C_{C_G(i)}(L)$ , let  $L_2 = E_{alg}(K_L/OF(K_L))$ . and let  $k_2$  be the base field of  $L_2$ . If  $k_2$  has characteristic  $p$  then  $E_{\mathcal{E}}(C_G(i))$  has the desired structure. So suppose the characteristic of  $k_2$  is not  $p$ .

Then a maximal  $p$ -torus  $P$  of  $C_G(i)$  has Prüfer rank 1, lies in  $L_2$ , and commutes with a maximal 2-torus  $T$  of  $C_G(i)$ . Taking  $t$  an involution other than  $i$  in  $T$ , since  $t$  and  $i$  are conjugate it follows that  $P$  lies in a conjugate  $L_{2,t}$  of  $L_2$  contained in  $C_G(t)$ . Then  $H = C_G(P)$  contains  $L$  and a component of  $C_G(t)$  conjugate to  $L$ .

Referring to Lemma 7.8, we do not have  $H \leq C_G(i)$  or  $\hat{L} = OF(\hat{L}) \cdot L$ , so this leaves the possibility that  $E_{alg}(H)$  is of type  $SL_3$ , generated by components of  $E_i$  and  $E_t$ .  $P$  then centralizes an involution of  $E_{alg}(H)$  which lies in  $C_G(i)$  and inverts an algebraic torus of  $L$ . But viewed in  $C_G(i)$  this involution cannot centralize  $P$ .

So this gives a contradiction, and the result follows. □

**Lemma 7.10.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and having one conjugacy class of involutions.*

*Let  $i$  be an involution. Suppose that  $C_G(i)$  has a component  $L$  of type  $SL_2$  with base field  $k$  of characteristic zero. Then one of the following holds.*

- (1)  $E_{alg}(C_G(i))$  is of type  $SL_2 *_2 SL_2$  with both base fields  $k, k_2$  of characteristic zero and with  $\bar{r}_0(k^\times) = \bar{r}_0(k_2^\times)$ . In particular

$$E_{alg}(C_G(i)) = E_{\mathcal{E}}(C_G(i)).$$

- (2)  $E_{alg}(C_G(i))$  is of type  $SL_2$  and  $\bar{r}_0(k^\times) = \text{rk}(k_2)$ .

*Proof.* The group  $E_{alg}(C_G(i)/OF(C_G(i)))$  is of type  $SL_2 *_2 SL_2$ . We call the base fields  $k, k_2$ . Let  $\rho = \bar{r}_0(k)$ .

If  $k_2$  has characteristic  $p$  then  $E_{\mathcal{E}}(C_G(i))$  has a component of characteristic  $p$  and Lemma 7.9 applies to give a contradiction. So both  $k$  and  $k_2$  have characteristic zero.

*Case 1.*  $\text{rk}(k_2) > \rho$ .

Suppose first that  $\text{rk}(k_2) > \rho$ . Then  $\Delta_\rho(C_G(i)) \leq E_{\mathcal{E}}(C_G(i))$  is of type  $SL_2 *_2 SL_2$ . In particular the role of the two components is symmetrical, so we may suppose  $\bar{r}_0(k_2) \leq \bar{r}_0(k)$ .

If  $\bar{r}_0(k_2) = \rho$  we are done, so suppose  $\bar{r}_0(k_2) < \rho$ . We let  $T$  be a maximal 2-torus of  $C_G(i)$  and  $\mathbb{T} = C_{E_{alg}(C_G(i))}^\circ(T)$ . Then  $U_{0,\rho}(\mathbb{T}) \leq L$ . Similarly  $U_{0,\rho}(\mathbb{T})$  is contained in a conjugate of  $L$  in  $C_G(j)$  for each involution of  $T$ .

Hence  $H = C_G(U_{0,\rho}(\mathbb{T}))$  contains components of  $E_{alg}(C_G(t))$  for each such involution  $t$ . Then by Lemma 7.8  $E_{alg}(H)$  is of type  $SL_3$ . Then as before, an involution which inverts  $\mathbb{T}$  should centralize  $U_{0,r}(\mathbb{T})$  and we have a contradiction.

So this case is eliminated.

*Case 2.*  $\text{rk}(k_2) < \rho$ .

Then we may argue similarly in terms of  $T$ ,  $\mathbb{T}$ , and  $U_{0,r}(\mathbb{T})$ , though in place of the components of  $E_{alg}(C_G(i))$  we must work with the inverse images of the components of  $E_{alg}(C_G(i)/OFC_G(i))$ .

*Case 3.*  $\text{rk}(k_2) = \rho$ .

Writing  $L_2$  for a normal subgroup of  $C_{C_G(i)}(L)$  covering  $E_{alg}(C_{C_G(i)}(L))$ , and minimal such, if  $OF(L_2) = 1$  then we may proceed as above and arrive at a contradiction. Otherwise, we have  $|E_{alg}(C_G(i)) = L$  and the second alternative applies.  $\square$

**Lemma 7.11.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and having one conjugacy class of involutions.*

*Let  $i$  be an involution. Suppose that  $C_G(i)$  has a component  $L$  of type  $SL_2$  with base field  $k$  of characteristic zero. Then  $E_{alg}(C_G(i))$  is of type  $SL_2 *_2 SL_2$  with both base fields  $k, k_2$  of characteristic zero and with  $\bar{r}_0(k^\times) = \bar{r}_0(k_2^\times)$ . In particular*

$$E_{alg}(C_G(i)) = E_{\mathcal{E}}(C_G(i)).$$

*Proof.* Let  $\rho = \bar{r}_0(k^\times)$  and let  $k, k_2$  be the base fields of the components of  $E_{alg}(C_G(i)/OFC_G(i))$ . Let  $L_2$  be a normal subgroup of  $C_G(i)$  covering  $E_{alg}(C_G(L))$ . We have to eliminate the case of Lemma 7.10 for which

$$\text{rk}(k_2) = \rho$$

and  $OF(L_2) > 1$  (a homogeneous  $U_{0,\rho}$ -group).

We use the signalizer functor theory. Define  $\theta(t) = U_{0,\rho}(OFC_G(t))$ . We need to check the balance condition

$$\theta(i) \cap C_G(t) \leq OFC_G(t)$$

for  $t$  an involution in  $C_G(i)$ .

In  $C_G(t)$  we have a conjugate  $L_t$  of  $L$ . The involution  $i$  acts on  $L_t$  like an element  $i_1$  of order 4 and centralizes an algebraic torus  $\mathbb{T}_i$ . The subgroup  $Q = \theta(i) \cap C_G(t)$  acts on  $L$  like a  $U_{0,\rho}$ -group centralizing  $i_1$ , hence as a subgroup of  $\mathbb{T}$ . If  $w$  is an involution in  $C_G(t)$  inverting  $\mathbb{T}_i$  then  $Q$  is  $w$ -invariant and is hence a product  $Q_1 \times Q_2$  with  $Q_1 \leq \mathbb{T}_i$  and  $Q_2$  centralizing  $L_t$ .

**Claim 1.**  $Q_1 = 1$ .

The centralizer  $H_1$  of  $Q_1$  contains both  $L$  and a conjugate  $L_2^*$  of  $L_2$  contained in  $L_t$ . If  $Q_1 > 1$  then  $H_1$  must fall under one of the cases listed under (1) in Lemma 7.8:  $H_1 \leq C_G(i)$  or  $E_{alg}(H_1)$  is of type  $SL_3$ .

As the normal closure of a Sylow 2-subgroup of  $H_1$  contains  $L_2^*$ , we cannot have  $E_{alg}(H_1)$  of type  $SL_3$ . So this forces  $L_2^* \leq C_G(i)$ . But  $L_2^*$  centralizes  $j$  so this is impossible.

The claim is proved.

**Claim 2.**  $Q = 1$ .

At this point,  $Q = Q_2$  centralizes  $L_j$ . Suppose  $Q > 1$ . The centralizer  $H$  of  $Q$  contains  $L$  and  $L_j$ , so again we find either  $H \leq C_G(i)$  or  $E_{alg}(H)$  is of type  $SL_3$ . But  $L_j$  is not contained in  $C_G(i)$ .

So  $E_{alg}(H)$  must be of type  $SL_3$ . In particular  $Q$  centralizes the normal closure of a Sylow 2-subgroup of  $H$ . Thus  $Q$  centralizes  $L_2^*$ . But then  $L_2^* \leq E_{alg}(H)$  and again we have a contradiction.

Thus the claim is proved, and with this, the balance condition is proved. But then  $\theta$  gives a non-trivial nilpotent signalizer functor and we have a contradiction.  $\square$

**Lemma 7.12.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 4.1 and having one conjugacy class of involutions.*

*Let  $i$  be an involution. Then  $C_G(i)$  is of type  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  where the base fields  $k_1, k_2$  of the two components have the same characteristic, and in addition  $\bar{r}_0(k_1^\times) = \bar{r}_0(k_2^\times)$ . In particular  $C_G(i) = E_{\mathcal{E}}(C_G(i))$ .*

*Proof.* Putting together Lemmas 7.9 and 7.11, the structure of  $E_{\mathrm{alg}}(C_G(i))$  is as stated, and furthermore  $E_{\mathcal{E}}(C_G(i)) = E_{\mathrm{alg}}(C_G(i))$ .

Then Lemma 7.7 applies. □

One should perhaps prove  $\mathrm{rk}(k_1) = \mathrm{rk}(k_2)$  as well, at least in characteristic zero, but it does not seem necessary. Having all components be  $\mathcal{E}$ -components seems like the main point (along with the fact that two components occur in  $E_{\mathrm{alg}}(C_G(i))$ ).

**7.3. Continuation: two conjugacy classes.** We now turn to the case of two conjugacy classes, beginning with the configuration as described by Theorem 1.1.

**Hypothesis 7.13.**

- (1)  $G$  is a simple  $L^*$ -group of odd type, satisfying  $\mathrm{NTA}_2$ .
- (2) There are two conjugacy classes of involution, as follows.
  - (a) There is an involution  $i$  with  $E_{\mathcal{E}}(C_G(i)) \simeq \mathrm{SL}_2(k) *_2 \mathrm{SL}_2(k)$ , and with the components conjugate by an involution.
  - (b) There is an involution  $t$  with  $E_{\mathcal{E}}(C_G(t)) \simeq \mathrm{PSL}_2(k)$ .
- (3) The Sylow 2-subgroup is as in  $\mathrm{PSp}_4$ . In particular the 2-rank is 4.

**Lemma 7.14.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 7.13 and let  $i$  be an  $\mathrm{SL}_2$ -involution of  $G$ . Then the following hold.*

- (1)  $i$  is the unique  $\mathrm{SL}_2$ -involution of  $C_G^\circ(i)$ .
- (2) The  $\mathrm{PSL}_2$ -involutions are precisely the involutions lying in a copy of  $\mathrm{PSL}_2$  in  $G$ .
- (3) The  $\mathrm{PSL}_2$ -involutions are precisely the involutions lying in a component of the centralizer of a  $\mathrm{PSL}_2$ -involution.
- (4) For  $t$  a  $\mathrm{PSL}_2$ -involution, and  $L_t = E_{\mathrm{alg}}(C_G(t))$ , the involutions of  $C_G^\circ(t)$  are those of  $L \langle t \rangle$ . Those in  $L_t \cup \{t\}$  are  $\mathrm{PSL}_2$ -involutions and the rest are  $\mathrm{SL}_2$ -involutions.

*Proof.*

*Ad 1.* The involutions in a given torus consist of one  $\mathrm{SL}_2$ -involution and two  $\mathrm{PSL}_2$ -involutions. All the involutions in  $C_G^\circ(i)$  are co-toral with  $i$ . So apart from  $i$  they are  $\mathrm{PSL}_2$ -involutions and point (1) follows.

*Ad 2.* There is an involution in  $C_G^\circ(i)$  which lies in a copy of  $\mathrm{PSL}_2$ , and all  $\mathrm{PSL}_2$ -involutions are conjugate.

On the other hand if  $i$  were to lie in a copy  $L$  of  $\mathrm{PSL}_2$  then there would be an involution  $w$  in the centralizer of  $i$  inverting the torus of  $L$  containing  $i$ , and conjugate to  $i$  in  $L$ . Such an involution would be an  $\mathrm{SL}_2$ -involution and so cannot lie in  $C_G^\circ(i)$ . It must then swap the two components of  $C_G^\circ(i)$ . But then the tori of  $C_G^\circ(i)$  inverted by  $w$  do not contain  $i$ .

Thus point (2) follows.

*Ad 3.* This is more or less immediate from point (2). There are involutions which lie in components of centralizers of  $\mathrm{PSL}_2$ -involutions. Such involutions must be  $\mathrm{PSL}_2$ -involutions, and all  $\mathrm{PSL}_2$ -involutions are conjugate.

*Ad 4.* This adds a bit more to the above.

Let  $s$  be an involution of  $C_G^\circ(t)$ . Then  $s, t$  are co-toral. Let  $T$  be a 2-torus containing  $s, t$ . Then  $T$  is a maximal 2-torus of  $C_G^\circ(t)$  and hence meets  $L_t$  in a nontrivial 2-torus  $T_1$ . Accordingly  $s \in T_1 \langle t \rangle \leq L_t \langle t \rangle$ . As

we have seen the involutions of  $L \cup \{t\}$  are  $\mathrm{PSL}_2$ -involutions. On the other hand there is an  $\mathrm{SL}_2$ -involution cotoral with  $t$  which must then lie in  $L \cdot t$ , and all of the latter, apart from  $t$ , are conjugate under the action of  $L_t$ . This completes the argument.  $\square$

Now we argue in the vein of Lemma 7.4

**Lemma 7.15.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 7.13. Let  $i$  be an involution and let  $L$  be an  $\mathcal{E}$ -component of  $C_G(i)$ . Let  $H$  be a definable proper subgroup of  $G$  containing  $L$  and having 2-rank at least 2.*

*Let  $\hat{L}$  be the normal closure of  $L$  in  $H$ .*

*Then either  $L \leq E_{\mathcal{E}}(H)$  is of type  $\mathrm{PSp}_4$  or  $\hat{L} = OF(\hat{L}) \cdot L$*

*Proof.* Let  $k$  be the base field of  $L$ .

Then  $\hat{L}/OF(\hat{L})$  is of type  $\mathrm{PSp}_4$ ,  $G_2$ ,  $\mathrm{SL}_3$ ,  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$ , or  $\mathrm{SL}_2$ , with base field  $k$ .

In the cases of  $G_2$  or  $\mathrm{SL}_3$  all toral involutions would be  $\mathrm{SL}_2$ -involutions, and hence all involutions would be  $\mathrm{SL}_2$ -involutions, a contradiction.

If  $\hat{L}/OF(\hat{L})$  is of type  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$  then  $L$  covers one of the components and some element of  $H$  conjugates  $L$  to a group  $L^*$  covering the second component. Then the conjugating element may be taken to lie in  $C_G(i)$  and this gives a contradiction.

So the quotient  $\hat{L}/OF(\hat{L})$  is of type  $\mathrm{PSp}_4$  or  $\mathrm{SL}_2$ . In the latter case  $\hat{L} = OF(\hat{L}) \cdot L$ .

So suppose that  $\hat{L}/OF(\hat{L})$  is of type  $\mathrm{PSp}_4$  and let  $Q = OF(\hat{L})$ . This is a  $p$ -unipotent or a  $U_{0,r}$ -unipotent group with  $r = \mathrm{rk}(k)$ . For  $i \in \hat{L}$  an  $\mathrm{SL}_2$ -involution,  $C_{\hat{L}}(i)$  covers  $E_{\mathrm{alg}}(C_G(i))$  and  $C_Q(i) \leq E_{\mathrm{alg}}(C_G(i))$ , which forces  $Q_i = 1$ . There is a 4-subgroup  $V$  in  $\hat{L}$  whose involutions are  $\mathrm{SL}_2$ -involutions. So  $Q = 1$  and  $\hat{L} \leq E_{\mathrm{alg}}(H)$  is of type  $\mathrm{PSp}_4$ .  $\square$



**Lemma 7.16.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 7.13 and let  $i$  be an  $\mathrm{SL}_2$ -involution. Then  $C_G^\circ(i)$  is of type  $\mathrm{SL}_2 *_2 \mathrm{SL}_2$ .*

*Proof.* We write  $C_G^\circ(i) = EK$  with  $E = E_{\mathrm{alg}}(C_G(i))$  and  $K$  the centralizer of  $E$ . Let  $H = C_G(K)$ .

For  $t \neq i$  an involution in  $E$ ,  $K$  acts on the component  $L_t = E_{\mathcal{E}}(C_G(t))$  of type  $\mathrm{PSL}_2$  and centralizes a 4-group in  $L_t$ , so the action is trivial and  $L_t \leq H$ .

If  $K > 1$  then in view of Lemma 7.15  $E_{\mathrm{alg}}(H)$  must be of type  $\mathrm{PSp}_4$ .

Now let  $t_1, t_2$  be commuting involutions of  $E_{\mathrm{alg}}(H)$ ,  $E_\ell = E_{\mathrm{alg}}(C_G(t_\ell))$ , and  $K_\ell = C_{C_G(t_\ell)}(E_\ell)$ . Then  $K_1$  centralizes  $t_2$ , hence acts on  $E_2$ , and centralizes a 4-subgroup. Hence  $K_1$  acts trivially on  $E_2$ , and  $K_1 \leq K_2$ . Thus  $K_1 = K_2$ . Taking a 4-group  $V$  in  $E_{\mathrm{alg}}(H)$ , the associated group  $K_V$  is normalized by  $\Gamma_V$ , so  $\Gamma_V < G$ , and we have a contradiction.

This shows that  $K = 1$ . □

**Lemma 7.17.** *Let  $G$  be a group of finite Morley rank satisfying Hypothesis 7.13. Suppose that  $t$  is a  $\mathrm{PSL}_2$ -involution of  $G$  commuting with an  $\mathrm{SL}_2$ -involution  $i$ , and let  $E_i = E_{\mathrm{alg}}(C_G(i))$ ,  $L_t = E_{\mathrm{alg}}(C_G(t))$ .*

*Then  $C_G^\circ(L_t) = C_{E_i}(L_t)$  is a 1-dimensional algebraic torus of  $E_i$ .*

*Proof.* Fix a maximal 2-torus  $T$  of  $E_i$ . Take  $j$  an involution of  $N(T)$  swapping the factors of  $E_i$ . We may suppose that  $t$  is the involution of  $T$  in the diagonal subgroup with respect to  $j$ . Let  $K = C_G^\circ(L_t)$ .

We may suppose that  $j \in L_t$ , replacing  $j$  by  $jt$  if needed. Then  $j$  is a Weyl group element with respect to  $T \cap L_t = T^-$  and  $T^+ \leq K$ . Furthermore  $j$  commutes with  $K$ .

Then  $T = T^+ \times T^-$  where  $T^+ = C_T^\circ(j)$  and  $T^-$  is inverted by  $j$ . Also  $j$  acts on  $L_t$ , so  $T^-$  is a maximal 2-torus of  $L_t$  and either  $j$  or  $jt$  is a Weyl group element in  $L_t$ .

As  $i \in C_G^\circ(t)$  is an  $SL_2$ -involution,  $ti$  lies in  $L_t$ . Thus  $j$  and  $ti$  both centralize  $K$ .

As  $j$  is a  $PSL_2$ -involution,  $L_j = E_{alg}(C_G(j))$  is the diagonal copy of  $PSL_2$  with respect to the action of  $j$  on  $E_i$ . Here  $K$  acts on  $L_j$  and  $t \in L_j$ .

Furthermore the algebraic torus  $\mathbb{T}_t$  of  $L_j$  containing  $t$  lies in  $C_G^\circ(t)$  and commutes with both  $T$  and the Weyl group element  $j$  of  $L_t$ , so  $\mathbb{T}_t \leq K$  and  $K$  acts on  $L_j$  like  $\mathbb{T}_t$ .

Let  $K_0 = C_K^\circ(L_j)$ . Then

$$K = \mathbb{T}_t K_0$$

where  $K_0$  centralizes  $L_t$  and  $L_j$ . Since  $i \in L_t \langle t \rangle$ , it follows that  $K_0$  centralizes  $i$ .

So  $K_0$  acts on  $E_i$  like a subgroup of the algebraic torus containing  $T$ . But  $K_0$  commutes with the Weyl group element of  $L_j$  which inverts  $T$ , and  $K_0$  is connected, so  $K_0$  is trivial.

Thus  $K = \mathbb{T}_t \leq L_j \leq E_i$ . □

**7.4. Theorem 1.2.** We may now conclude. We have two configurations, one involving two conjugacy classes of involutions and 2-rank 4, the other involving one class of involutions and 2-rank 3, with fairly detailed information concerning the structure of centralizers of involutions.

*Proof of Theorem 1.2.* The difference between the prior Theorem 1.1 and Theorem 1.2 lies in a more precise description both of  $E_{alg}(C_G(i))$  and of the full centralizer  $C_G(i)$  in each of the two cases arising.

In the case of one conjugacy class of involutions the additional information is found in Lemma 7.12.

In the case of two conjugacy classes of involutions it is found mainly in Lemma ???. For the further statements about the  $SL_2$ -involutions and the  $PSL_2$ -involutions one refers to Lemma 7.14. □

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This is part <sup>1</sup> of a series of four papers whose earliest versions are ca. 2008 by the first author, treating groups of 2-rank at least 3 (up to a point) in a context broader than, but similar to, the  $K^*$  setting.

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<sup>1</sup>By far the longest part.

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