

One-Line Proofs of the Unimodality of

$$\begin{bmatrix} n \\ 3 \end{bmatrix} \text{ and } \begin{bmatrix} n \\ 4 \end{bmatrix}$$

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A polynomial of degree A : $a_0 + a_1q + \dots + a_Aq^A$ is *symmetric* and *unimodal* if $a_i = a_{A-i}$ and $a_0 \leq a_1 \leq \dots \leq a_{\lfloor A/2 \rfloor} \geq \dots \geq a_A$.

If $a_A = 0$ we will say that the polynomial is "viewed as a polynomial of degree A ". (For example q^2 is not unimodal when viewed as a polynomial of degree 2 but is unimodal when viewed as a polynomial of degree 4).

The Gaussian polynomials $\begin{bmatrix} n \\ m \end{bmatrix}$ are defined by

$$\begin{bmatrix} n \\ m \end{bmatrix} = \frac{(1-q^n)(1-q^{n-1})\dots(1-q^{n-m+1})}{(1-q)\dots(1-q^m)} \tag{1}$$

and are of degree $m(n-m)$. It is a consequence of a deep theorem of Sylvester [3] that they are unimodal, for every n and m , but no elementary proof is known. For $m = 3, 4$ elementary proofs do exist and are due to Riess [2] ($m = 3$ and 4), Lindstrom [1] ($m = 3$) and West [4] ($m = 4$). Neither proof is really short, although, in fairness, they prove something stronger.

Based on an elementary remark and easily verified identities, we can give one-line proofs of the unimodality of the Gaussian polynomials (1) for $m = 2, 3, 4$.

Remark. If the polynomial $P(q)$ is symmetric and unimodal when viewed as a polynomial of degree A then for any integer B , $q^B P(q)$ is unimodal when viewed as a polynomial of degree $A + 2B$.

Lemma. *The following recursive identities hold*

$$\begin{bmatrix} n \\ 2 \end{bmatrix} = q^2 \begin{bmatrix} n-2 \\ 2 \end{bmatrix} + (1+q+\dots+q^{2(n-2)}) \tag{2}$$

$$\begin{bmatrix} n \\ 3 \end{bmatrix} = q^6 \begin{bmatrix} n-4 \\ 3 \end{bmatrix} + \sum_{\substack{i=0 \\ i \neq 1}}^{n-3} (q^i + \dots + q^{3(n-3)-i}) \tag{3}$$

$$S(n) = q^8 S(n-3) + \sum_{\substack{i=0 \\ i \neq 1}}^{n-4} (q^i + \dots + q^{4(n-4)-i}), \tag{4}$$

where

$$S(n) = \begin{bmatrix} n \\ 4 \end{bmatrix} - q^4 \begin{bmatrix} n-2 \\ 4 \end{bmatrix}.$$

Proof. By summing all geometric series and substituting the definition (1) it is readily seen that all quantities are polynomials in $x = q^n$ with coefficients that are rational functions of q . Specifically equation (m) involves polynomials of degree m ($m = 2,3,4$). Since two polynomials of degree r coincide if and only if they coincide for $r + 1$ values it is enough to check (m) for the $m + 1$ values $n = m, \dots, 2m$. \square

Theorem. $\begin{bmatrix} n \\ m \end{bmatrix}$ is symmetric and unimodal for $m = 2,3,4$.

Proof. For $m = 2,3,4$ this follows by induction on n , from the Remark, and the identity (m); for $m = 4$ we obtain first that $S(n)$ is symmetric and unimodal and then we apply the Remark and induction once again to

$$\begin{bmatrix} n \\ 4 \end{bmatrix} = q^4 \begin{bmatrix} n-2 \\ 4 \end{bmatrix} + S(n). \quad \square$$

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References.

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