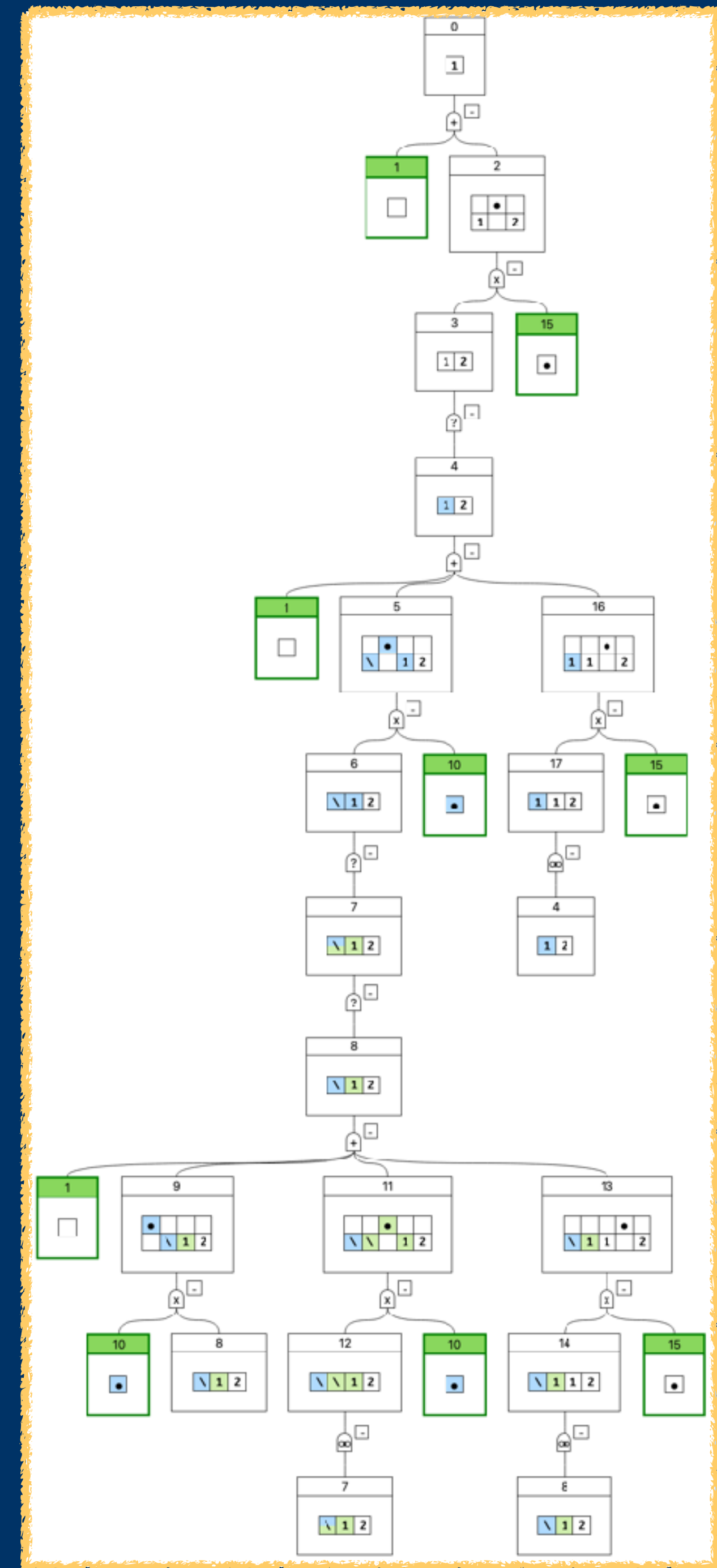
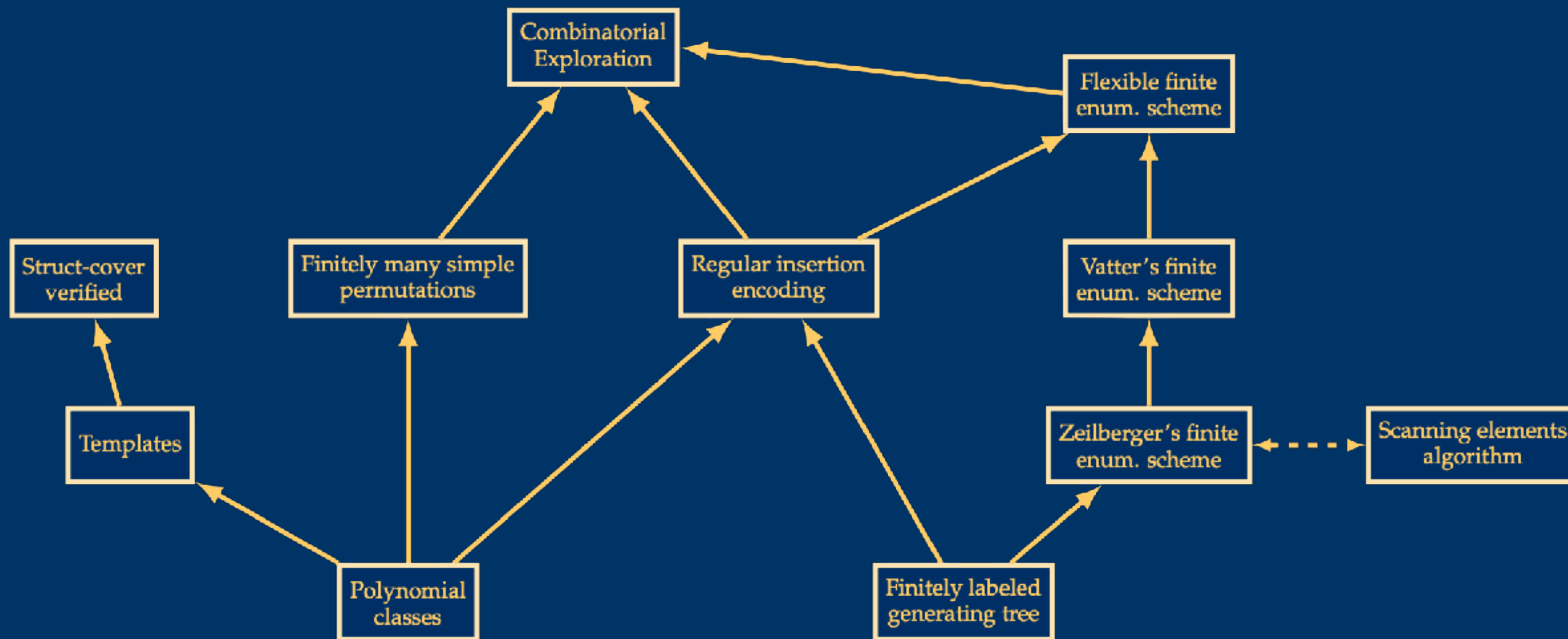


# Computational and Experimental Methods in Permutation Patterns

Jay Pantone  
Marquette University

Rutgers Experimental  
Mathematics Seminar



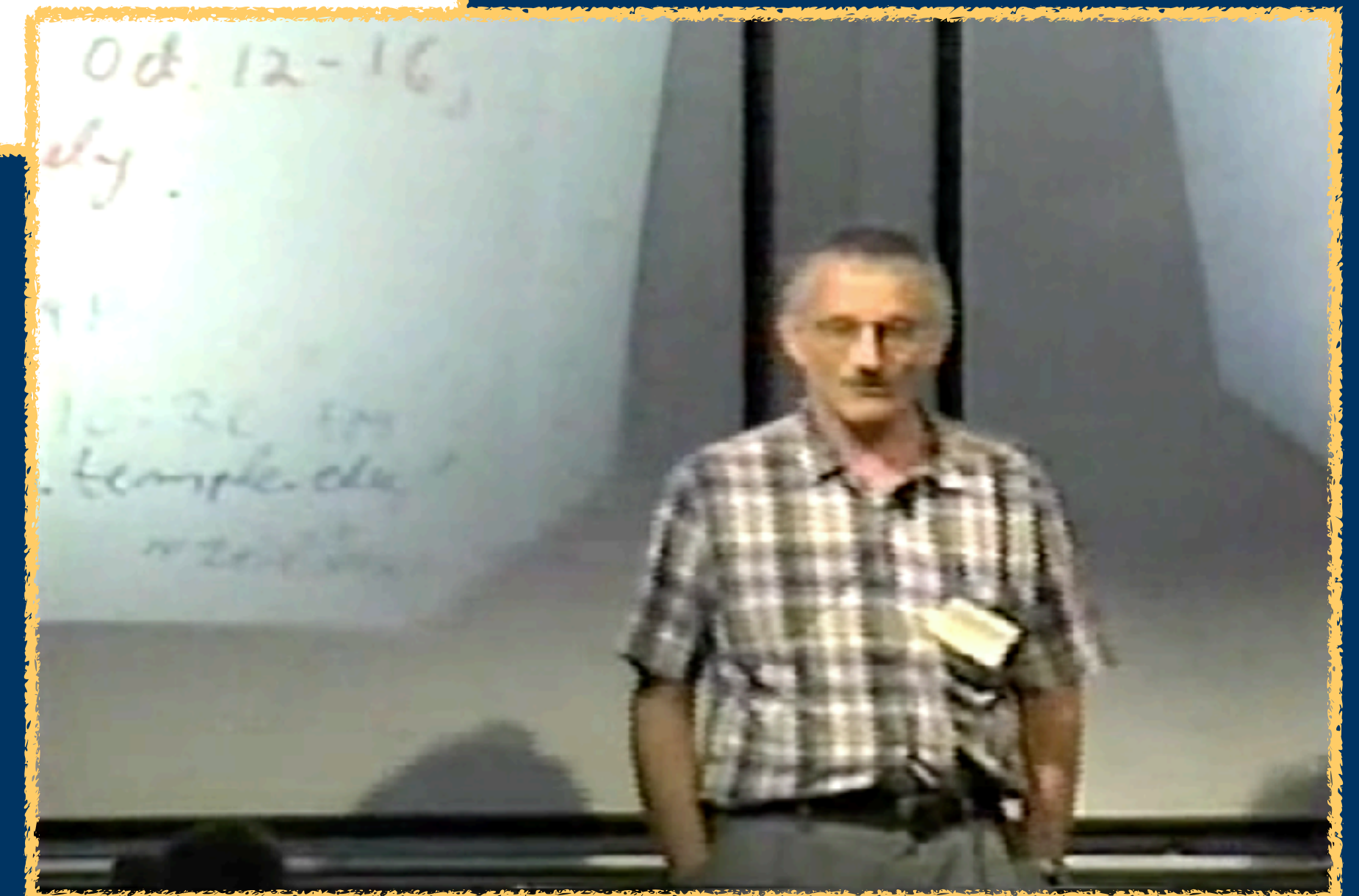
# 28 years ago...

## Enumeration Schemes and, More Importantly, Their Automatic Generation

Doron Zeilberger\*

Department of Mathematics, Temple University, Philadelphia, PA 19122, USA  
zeilberg@math.temple.edu, <http://www.math.temple.edu/~zeilberg>

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It is way too soon to teach our computers how to become full-fledged *humans*. It is even premature to teach them how to become *mathematicians*; it is even unwise, at present, to teach them how to become *combinatorialists*. But the time is ripe to teach them how to become experts in a suitably defined and narrowly focused subarea of combinatorics. In this article, the author will describe his efforts in teaching his beloved computer, Shalosh B. Ekhad, how to be an enumerator of Wilf classes.

# 28 years ago...

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With all due respect to Wilf classes and enumeration, and even to combinatorics, the main point of this article is not to enhance our understanding of Wilf classes, but to *illustrate* how much (if not all) of mathematical research will be conducted in a few years. It goes as follows. Suppose a (as of now, human) mathematician has a brilliant idea. Teach that idea to a computer and let the computer 'do research' using that idea.

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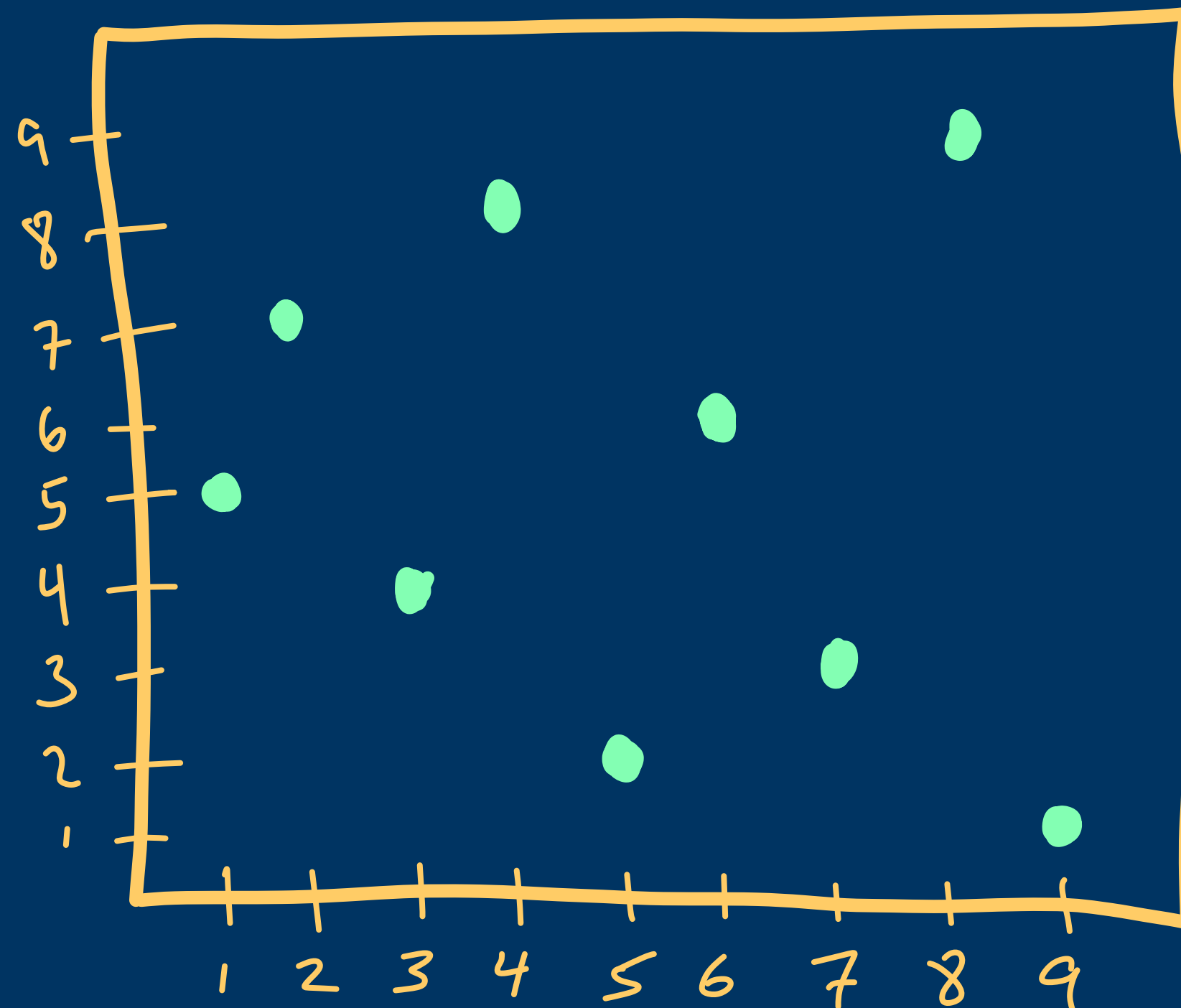
What's the state of computation and experimentation in Permutation Patterns?

# Permutation Patterns

We write permutations in one-line notation:

574826391

And we plot them by plotting the points  $(i, \pi(i))$ :

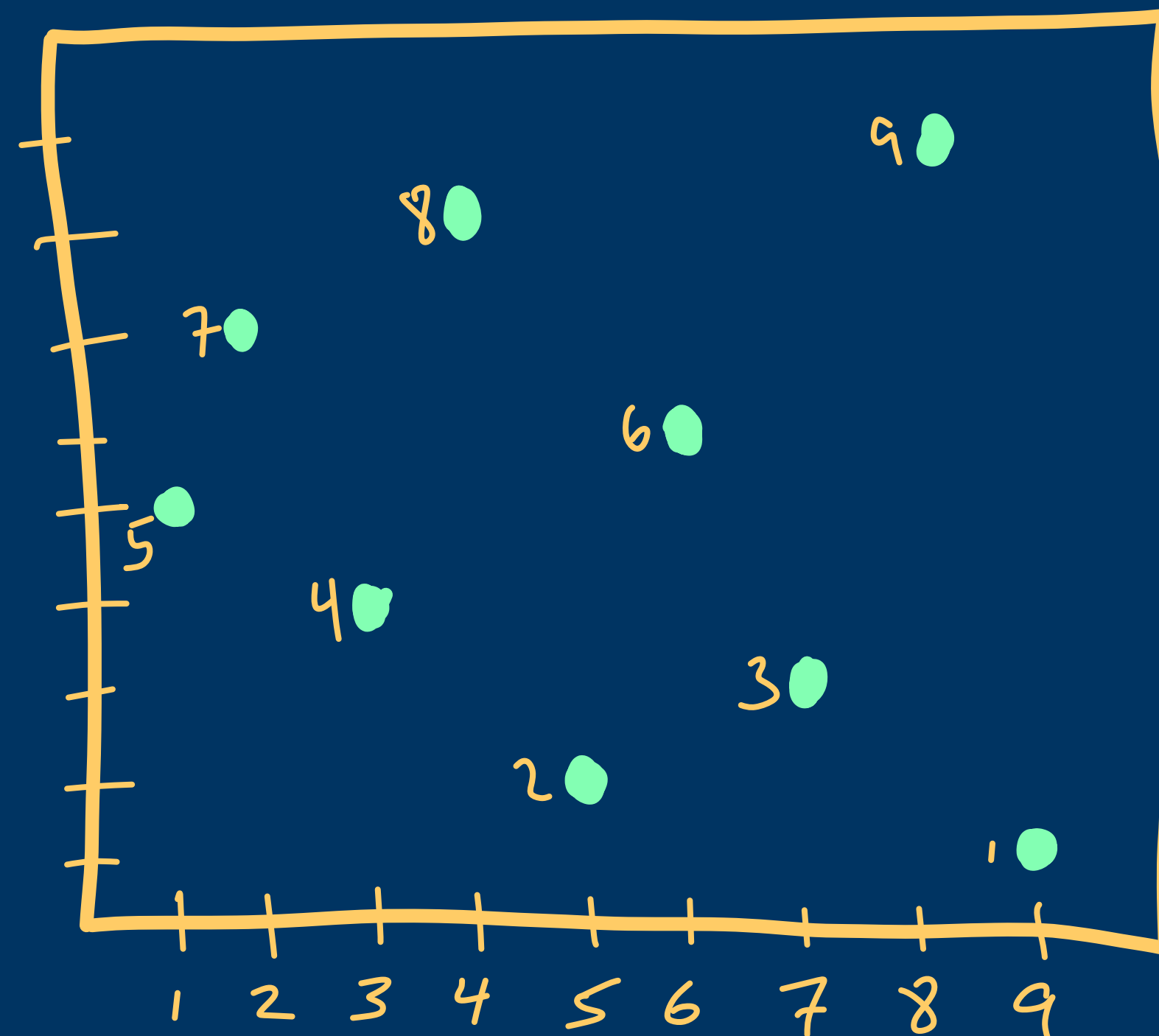


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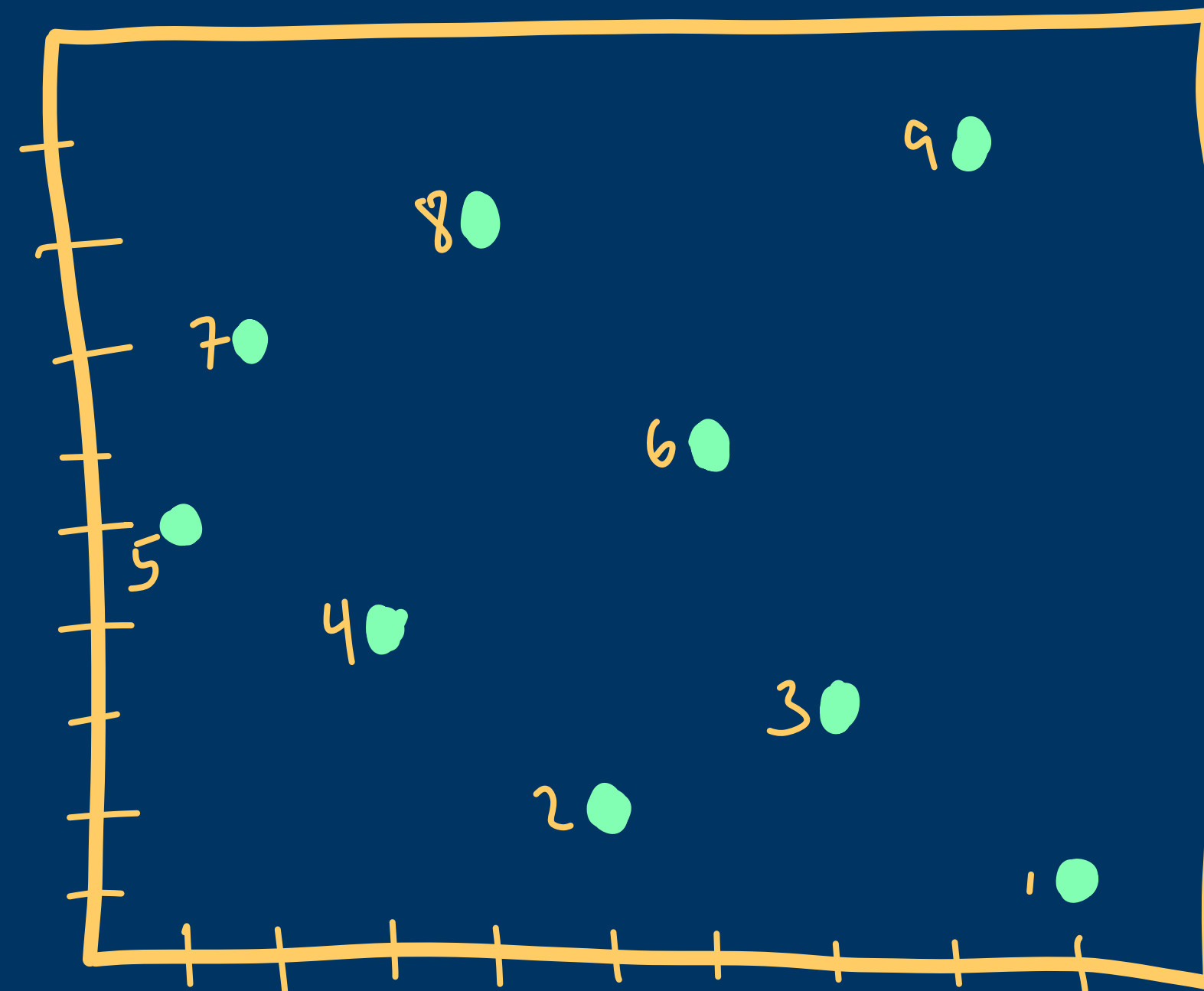


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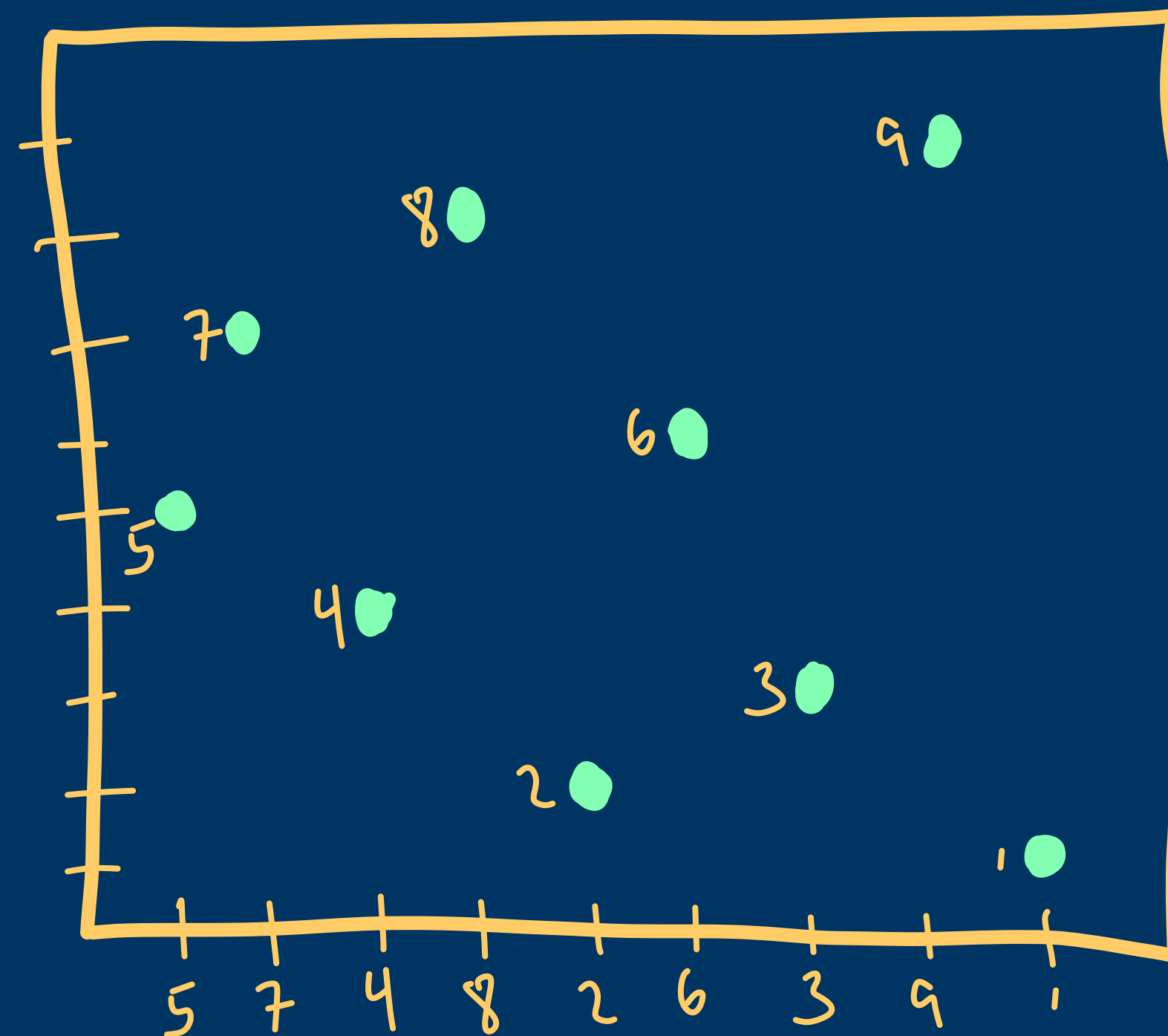


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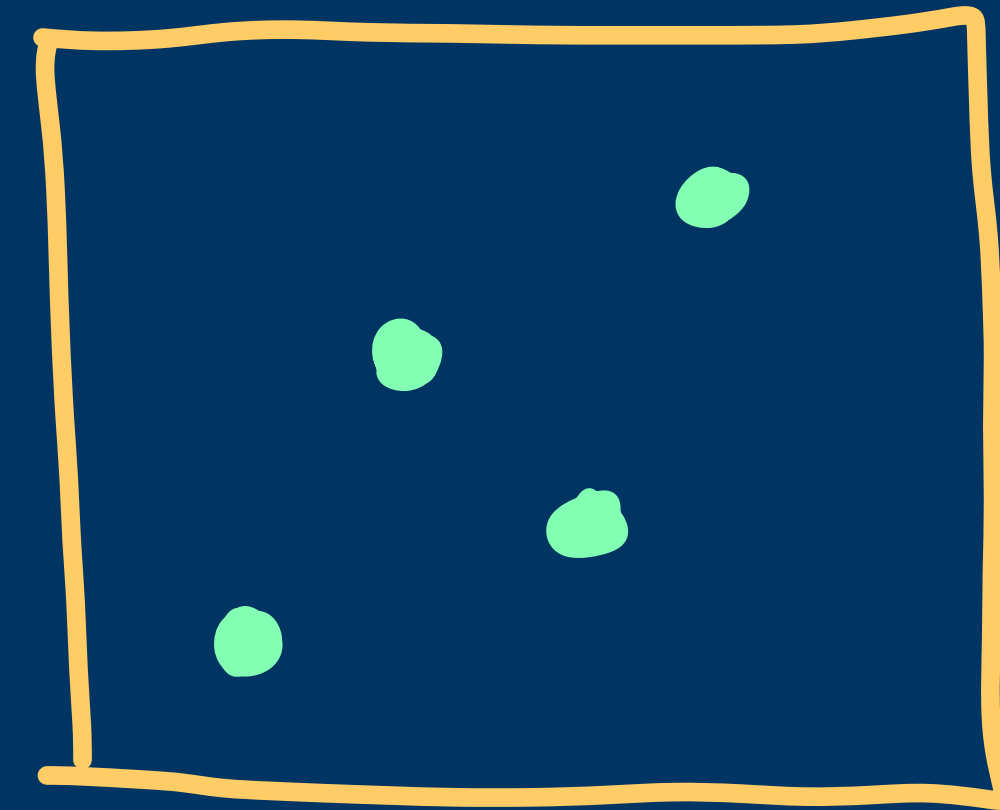
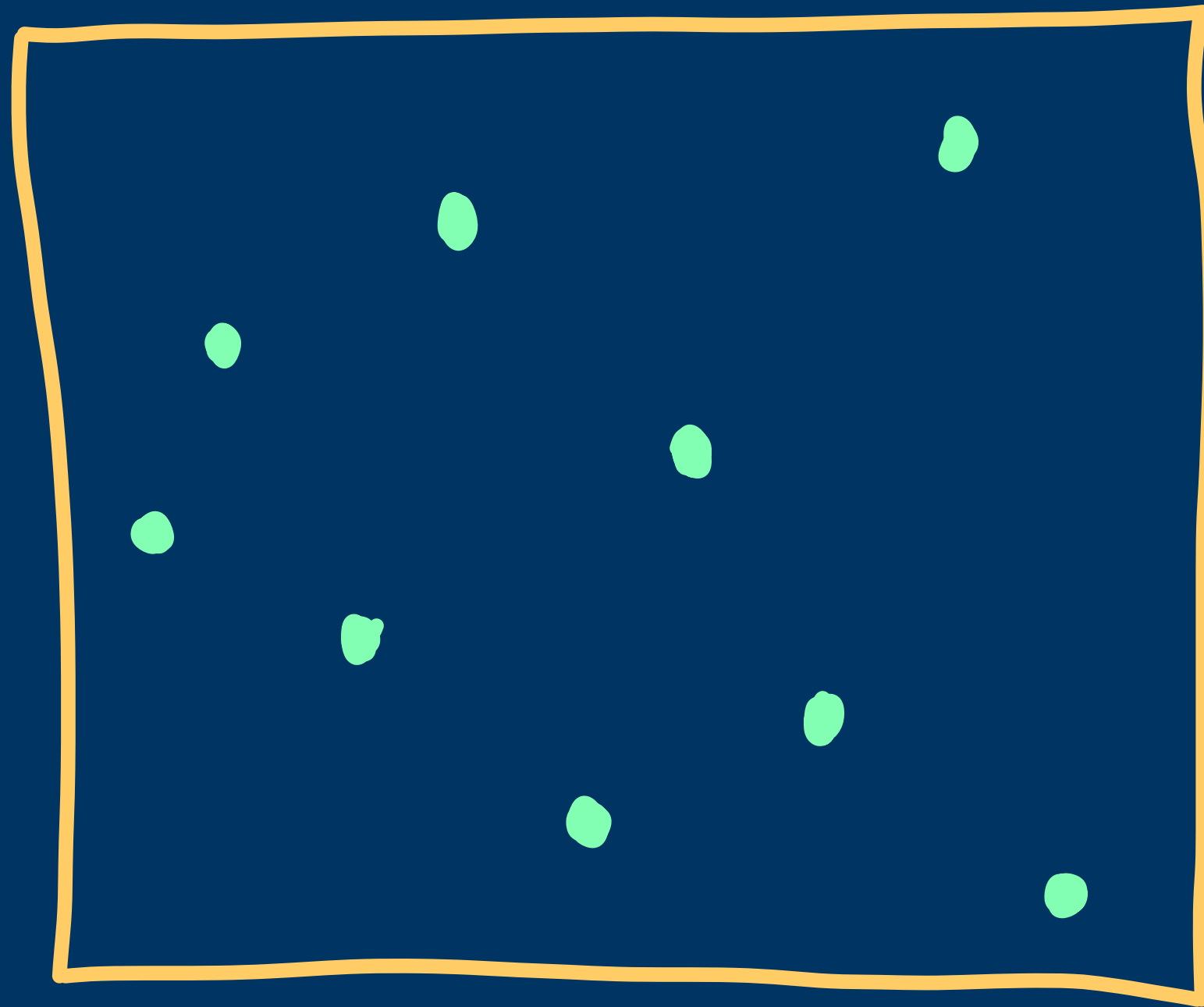
574826391

And we plot them by plotting the points  $(i, \pi(i))$ :



# Permutation Patterns

Permutation  $\pi$  contains permutation  $\sigma$  if  $\pi$  has a subsequence of entries that is order-isomorphic to  $\sigma$ .

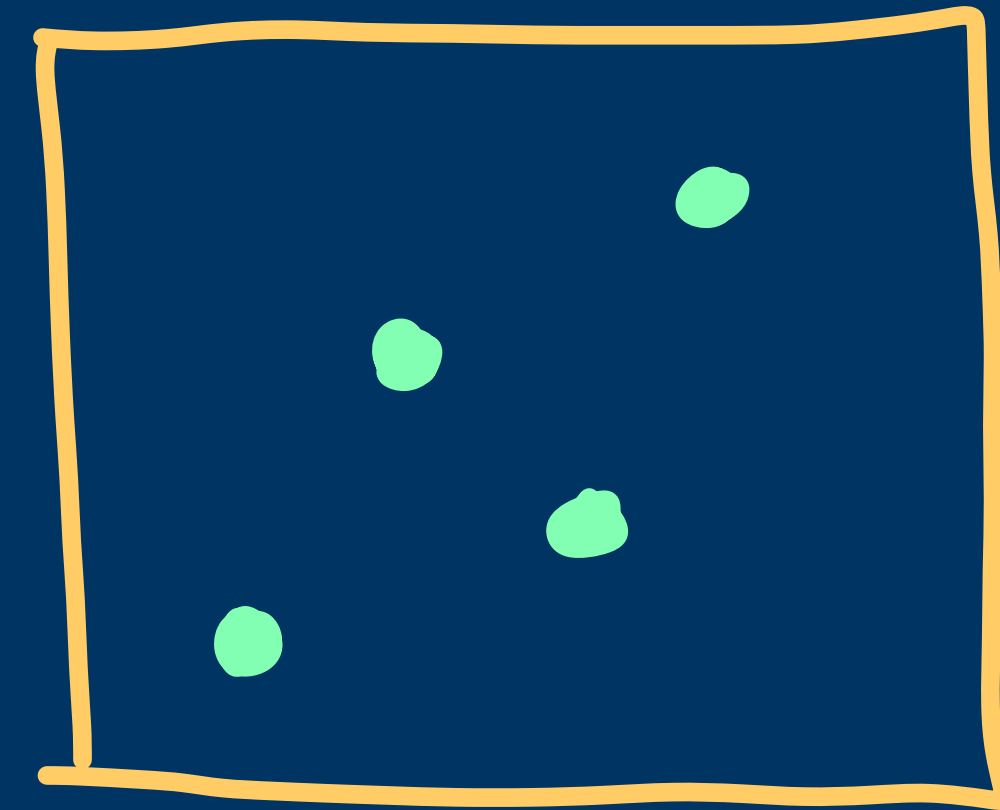
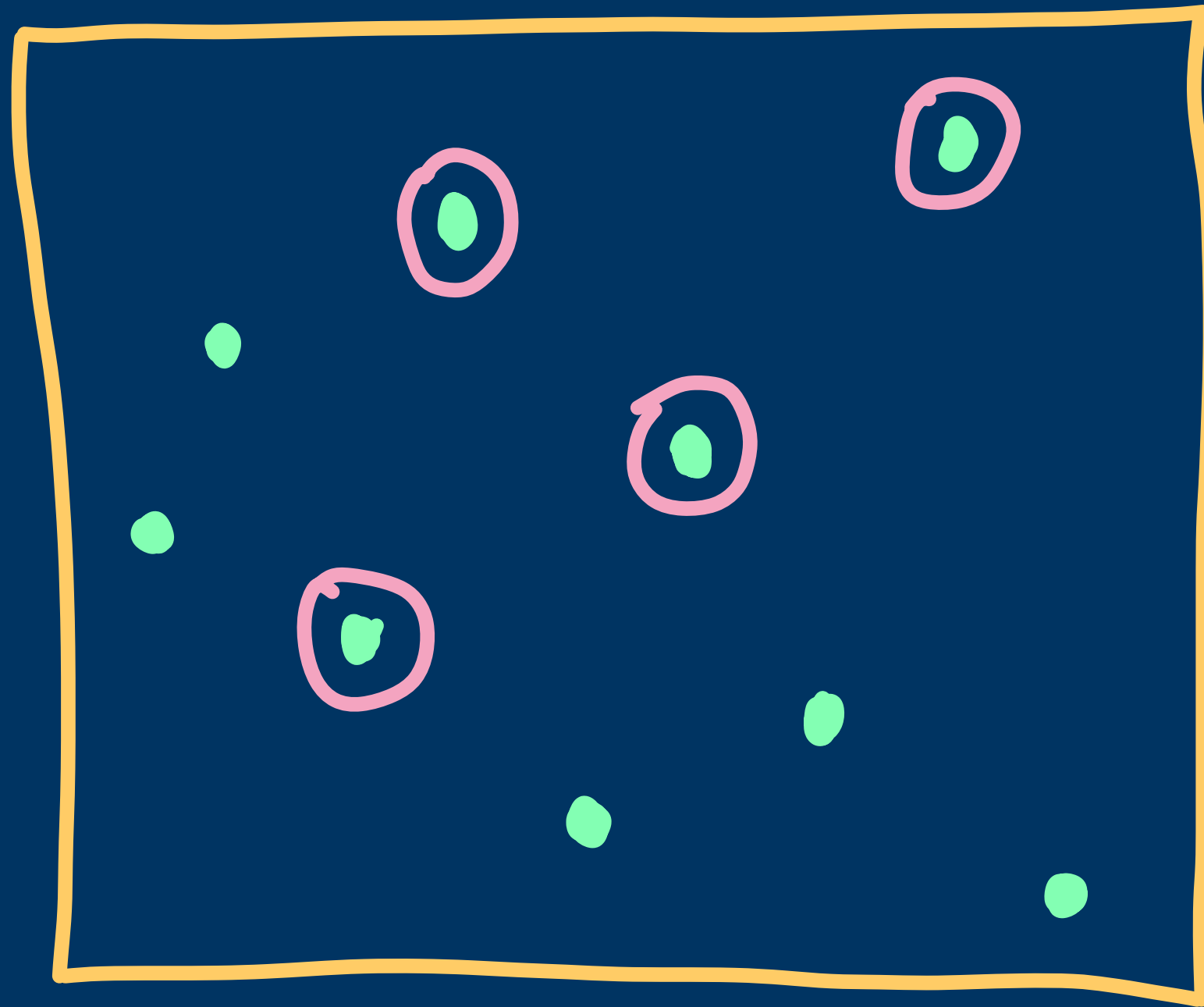


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Otherwise we say  $\pi$  avoids  $\sigma$ .

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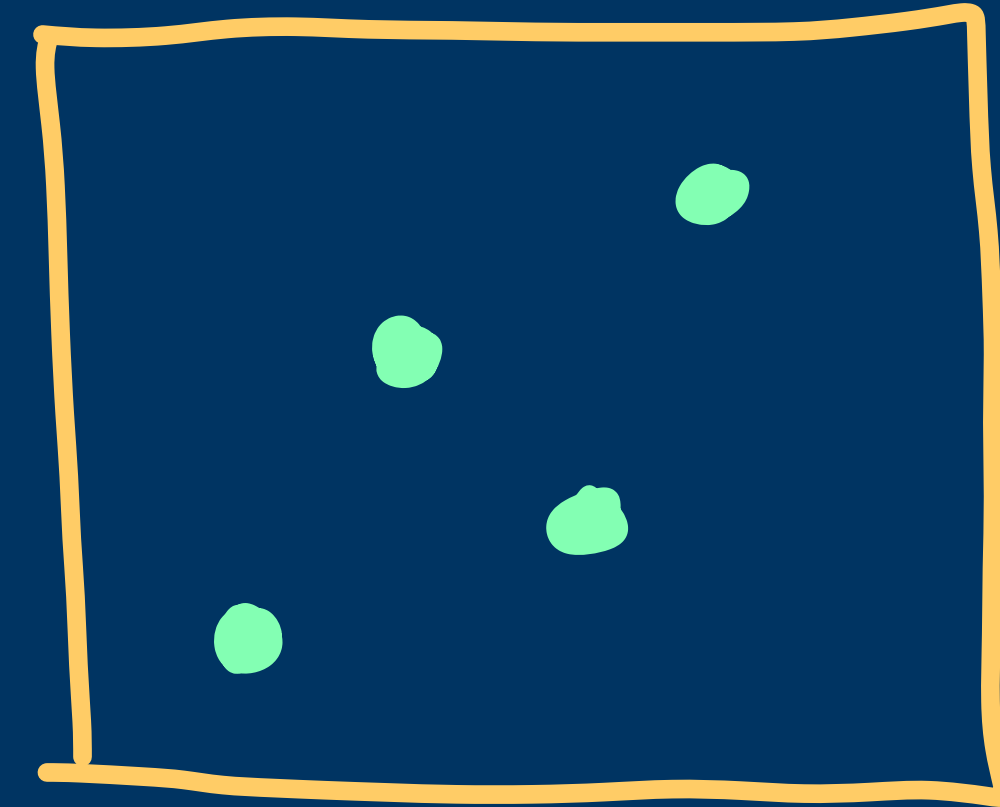
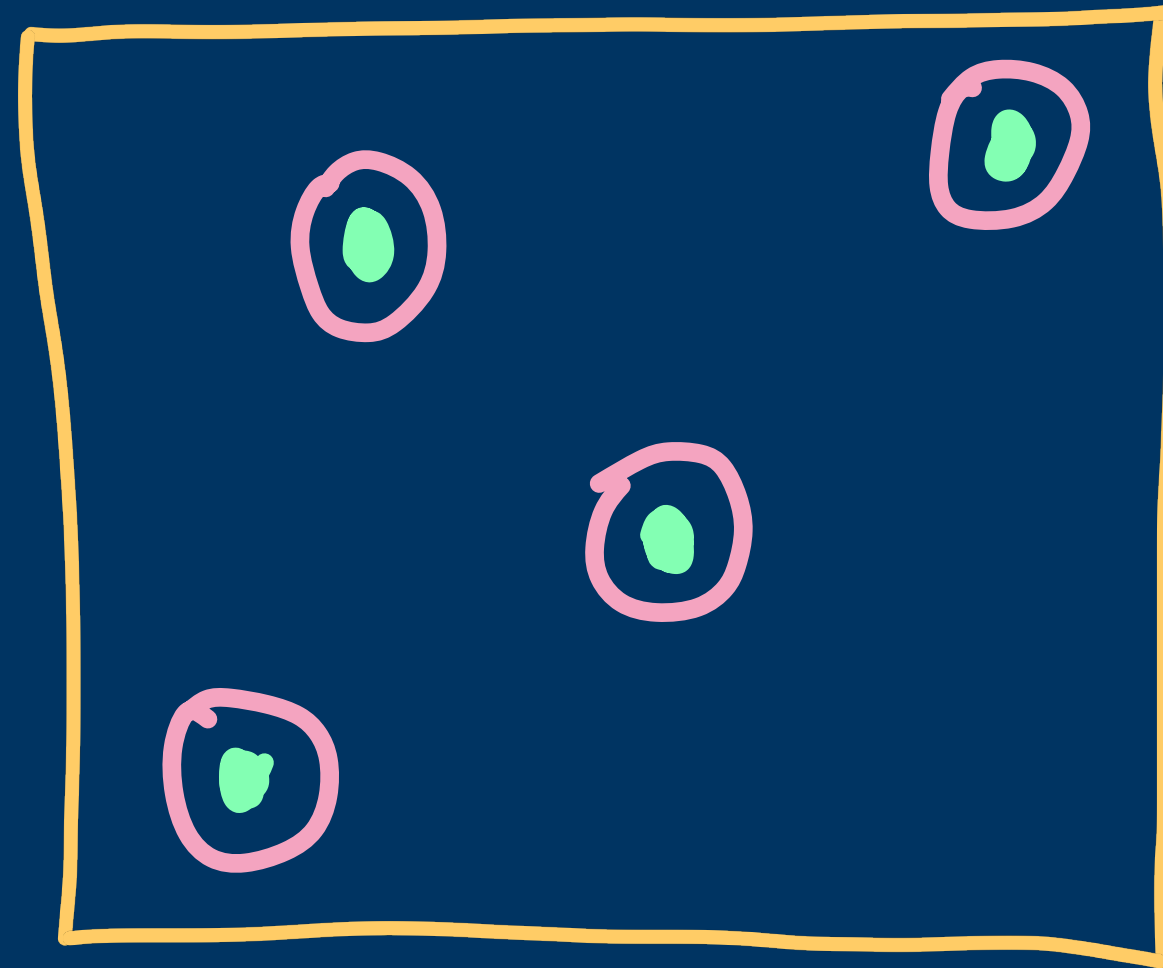


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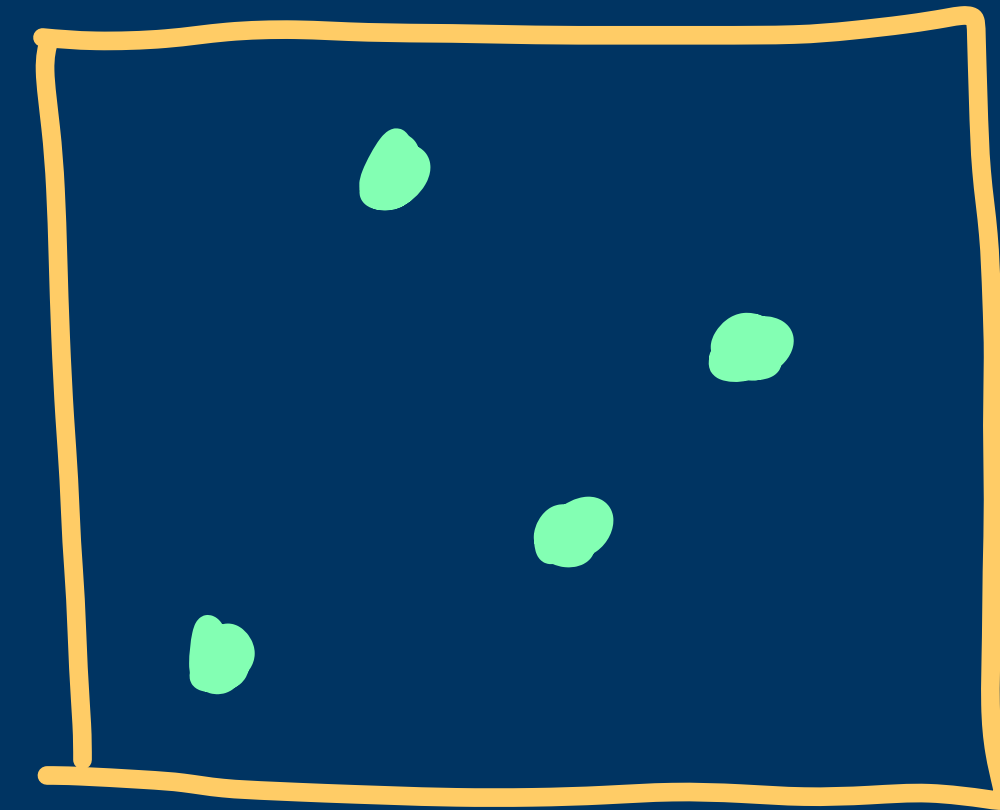
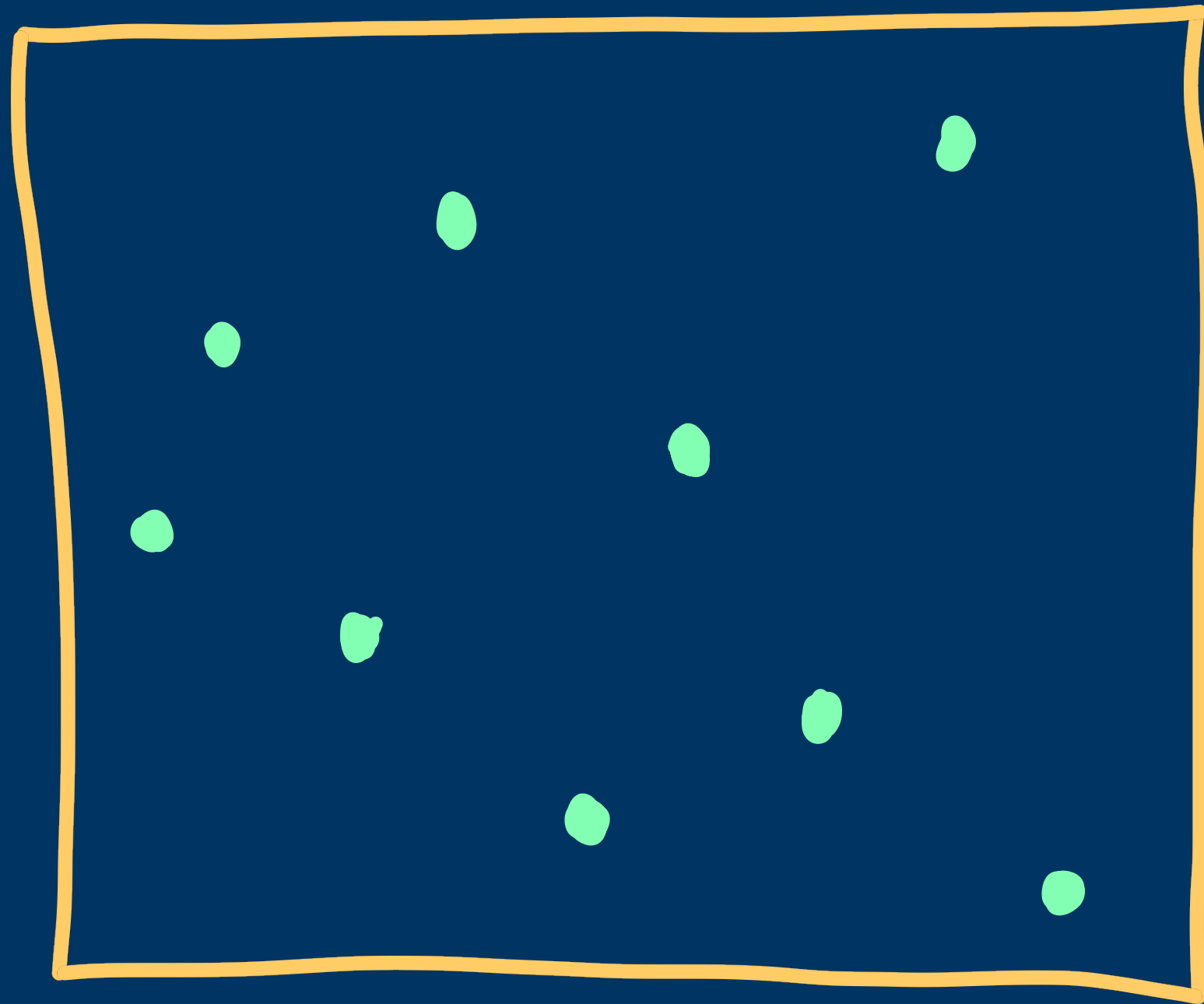


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574826391 avoids 1423

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A **permutation class** is a set of permutations defined by avoiding a set of patterns (permutations).

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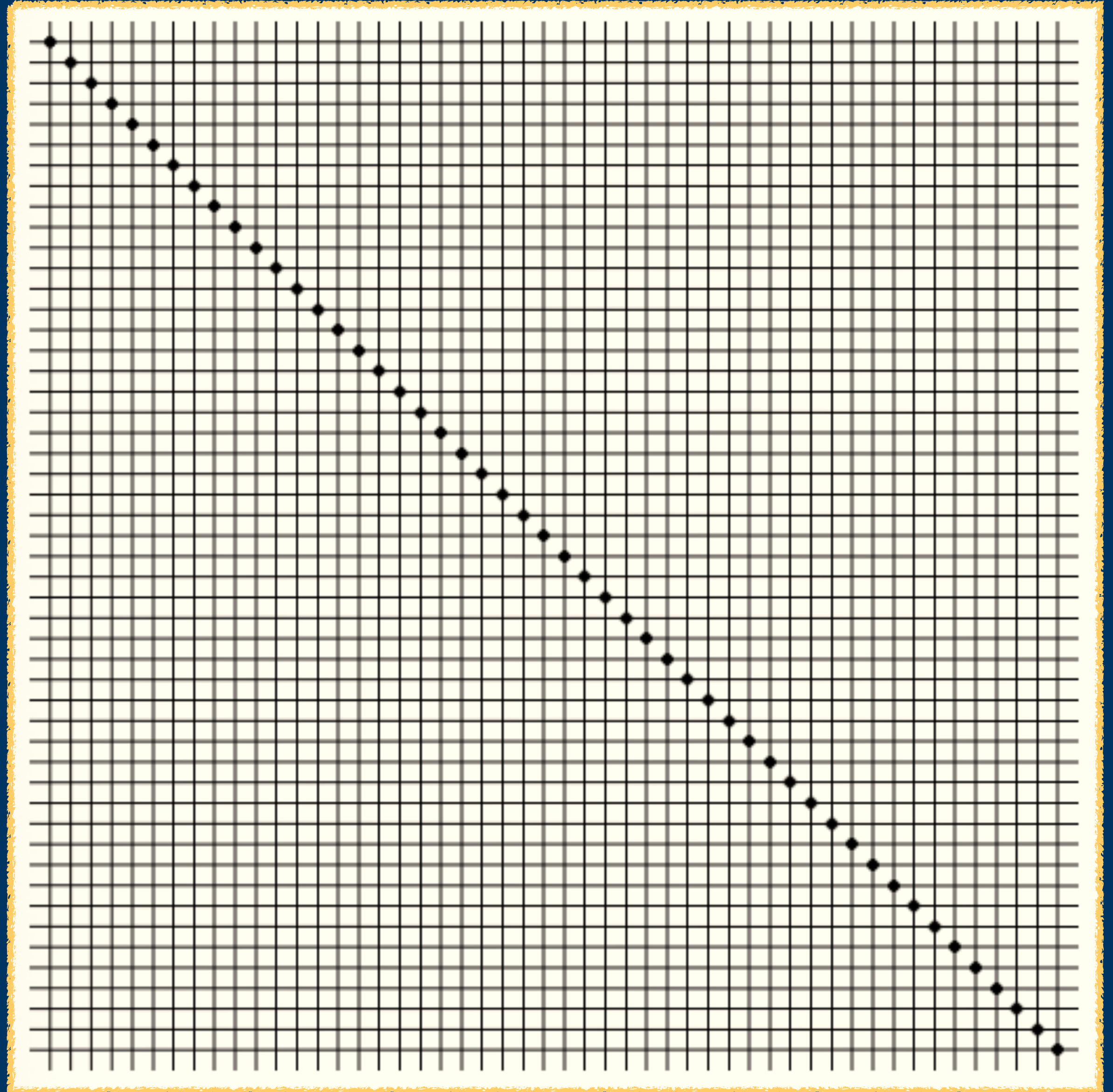
The permutation containment relation forms a poset on the set of all permutations of all lengths, and permutation classes are the downward closed sets in this poset.

# Permutation Patterns

$Av(12)$

$$a_n = 1$$

$$\frac{1}{1-x}$$

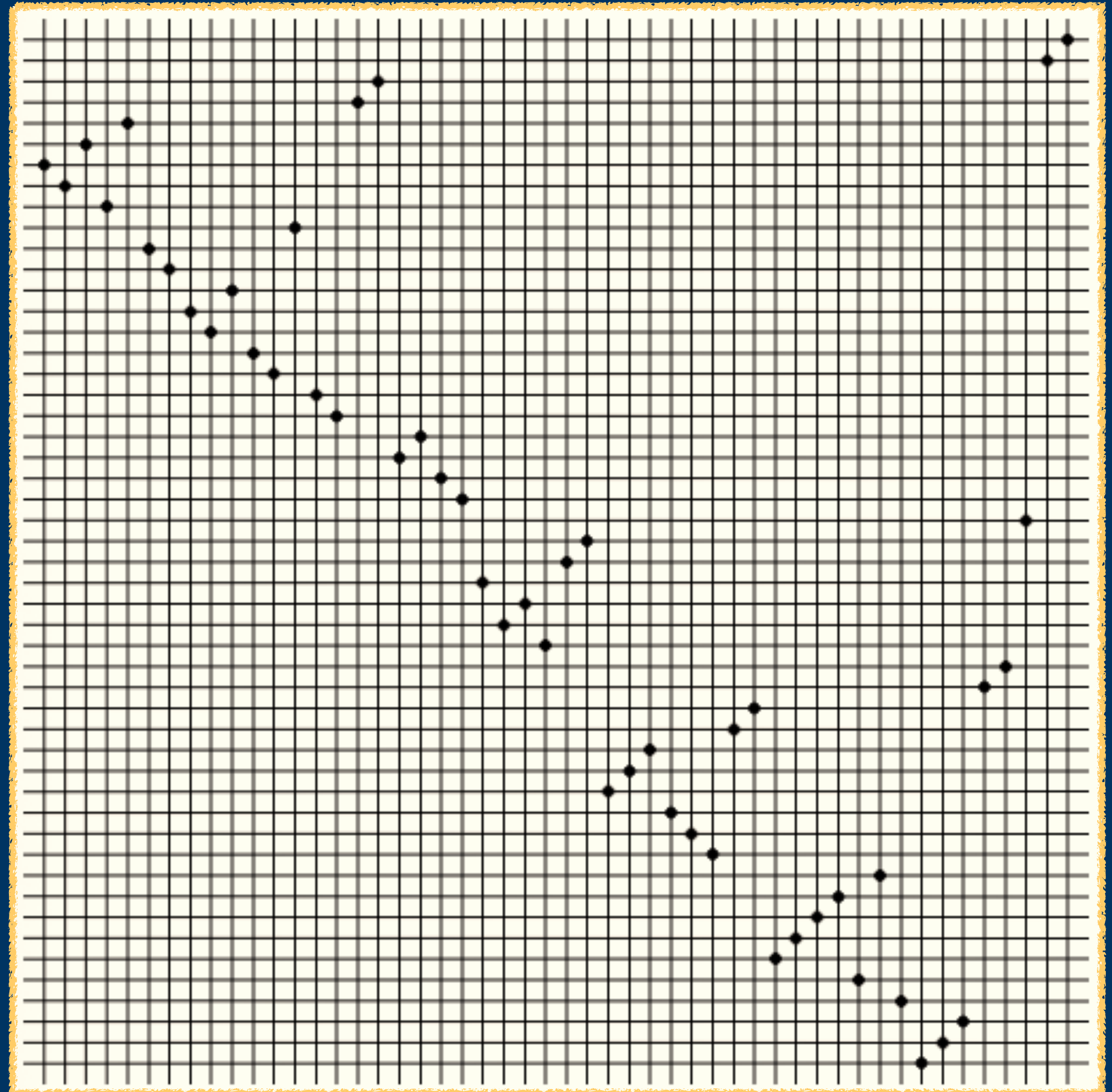


# Permutation Patterns

$Av(132)$

$$a_n = \frac{1}{n+1} \binom{2n}{n}$$

$$\frac{1 - \sqrt{1 - 4x}}{2x}$$

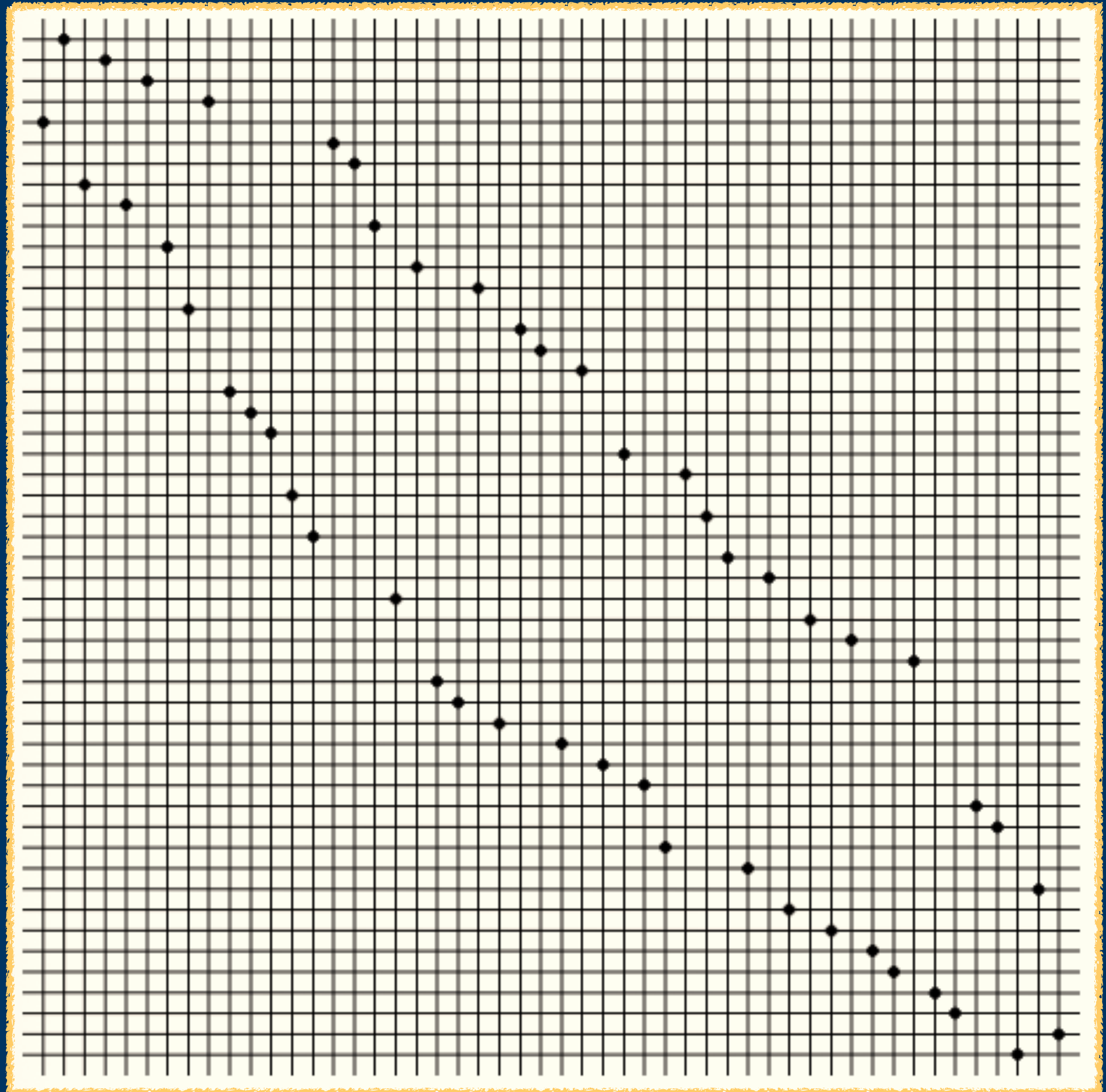


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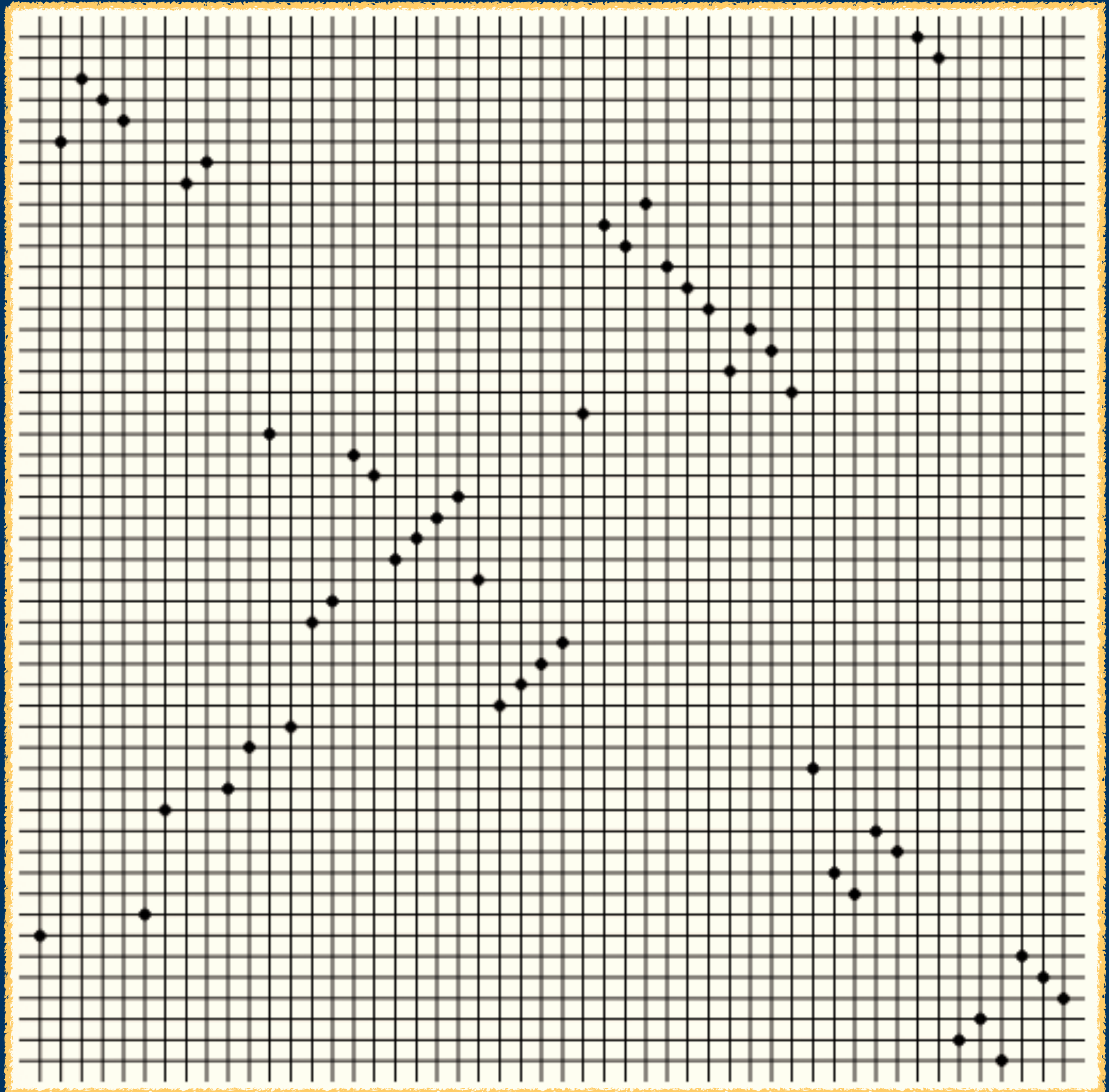


# Permutation Patterns

$\text{Av}(2413, 3142)$

$$a_n = \sum_{k=0}^{n-1} \frac{1}{k+1} \binom{n-1}{k} \binom{n+k}{k}$$

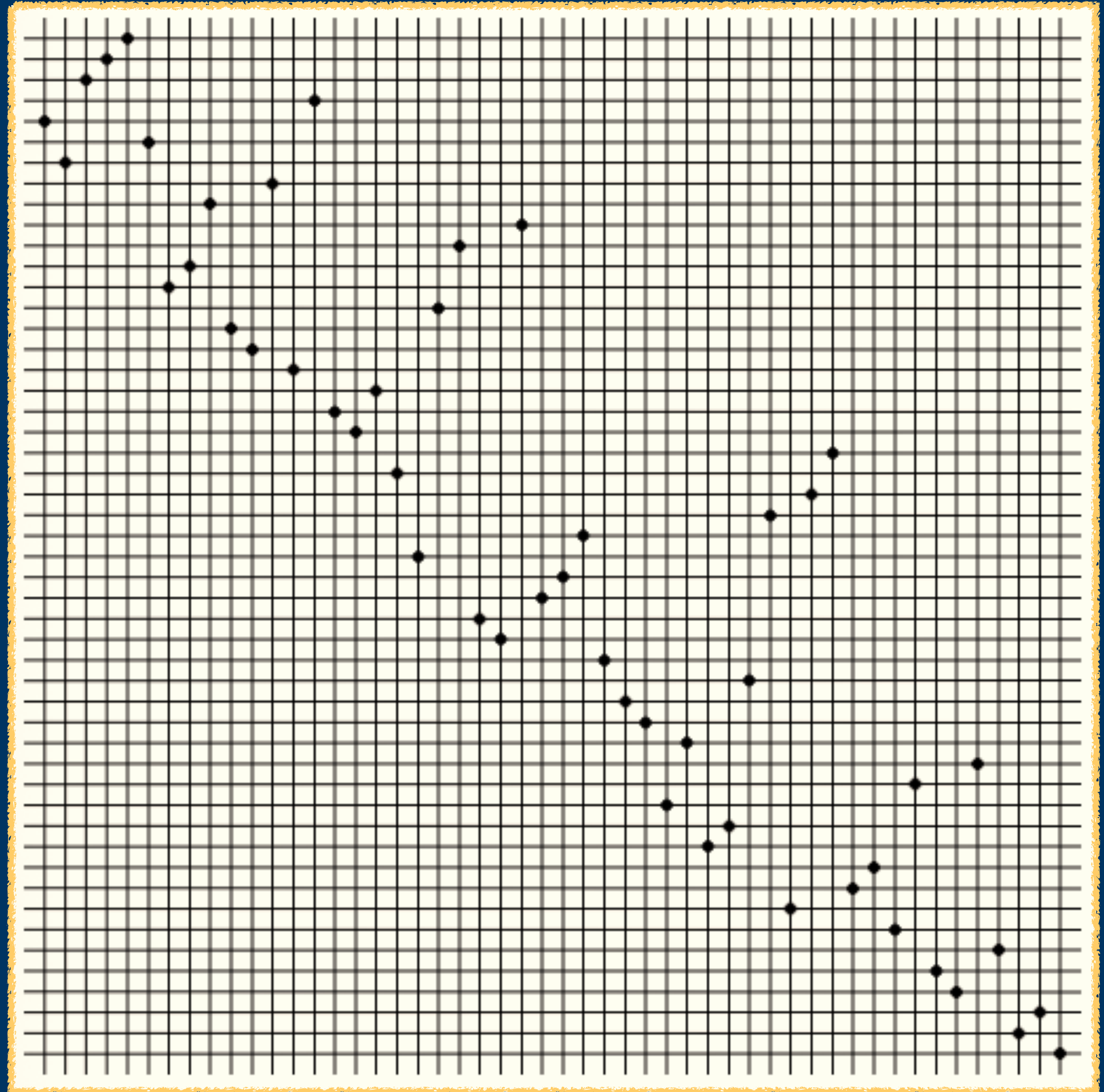
$$\frac{3 - x - \sqrt{1 - 6x + x^2}}{2}$$



# Permutation Patterns

$Av(1324, 1432)$

???



# Enumeration Schemes

Goal: Teach the computer how to search for structure in a permutation class.

## Enumeration Schemes and, More Importantly, Their Automatic Generation

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Goal: Teach the computer how to search for structure in a permutation class.

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Output: An “enumeration scheme” that describes how larger permutations in the class can be recursively described in terms of smaller permutations in the class.

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Enumeration Scheme  A polynomial-time algorithm to compute the number of permutations of length  $n$ .

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“The Most Trivial Non-Trivial Example” –  $Av(123)$

Define  $A(n) := Av_n(123)$ .

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Every non-empty permutation has a minimum entry somewhere.

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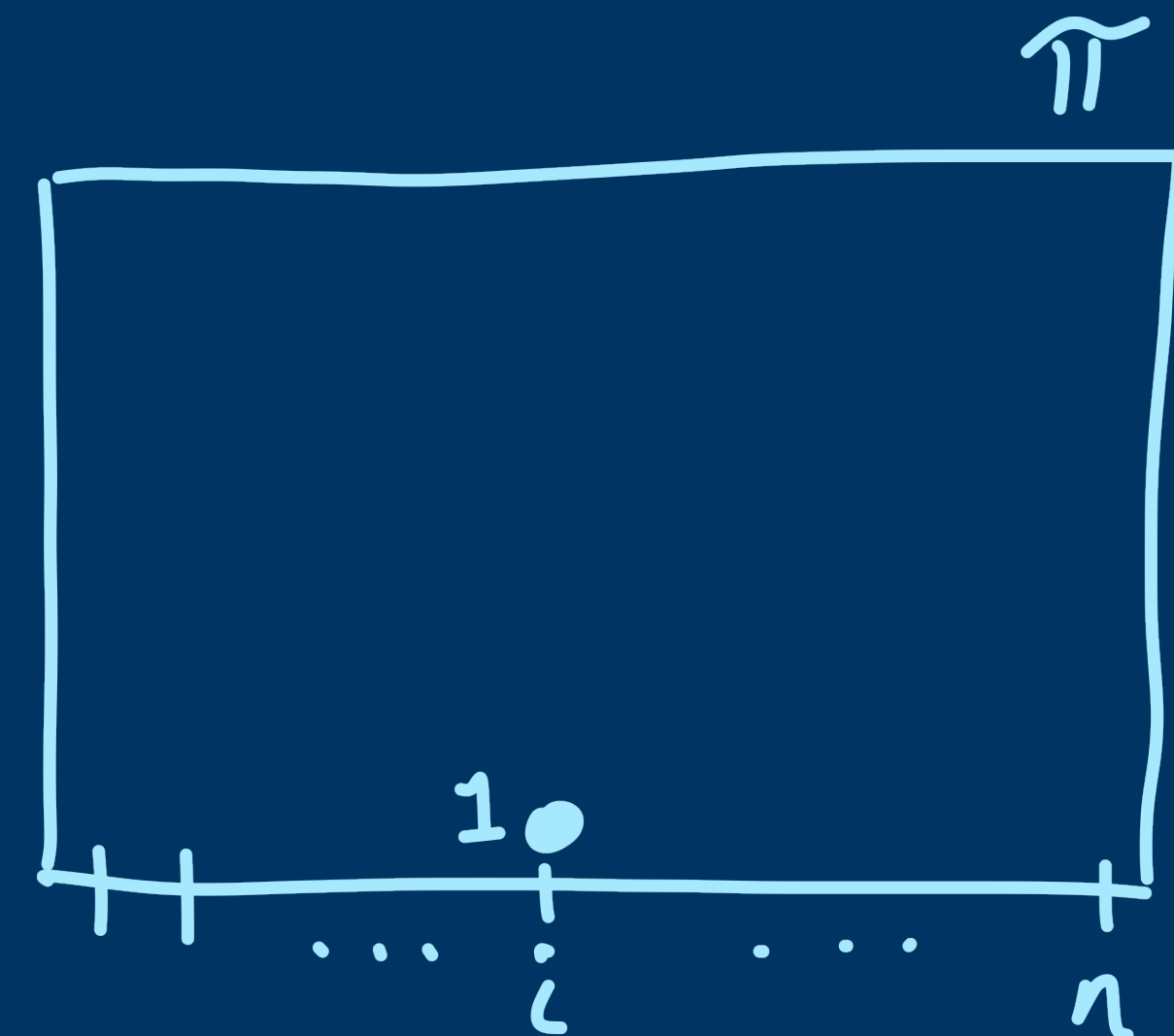
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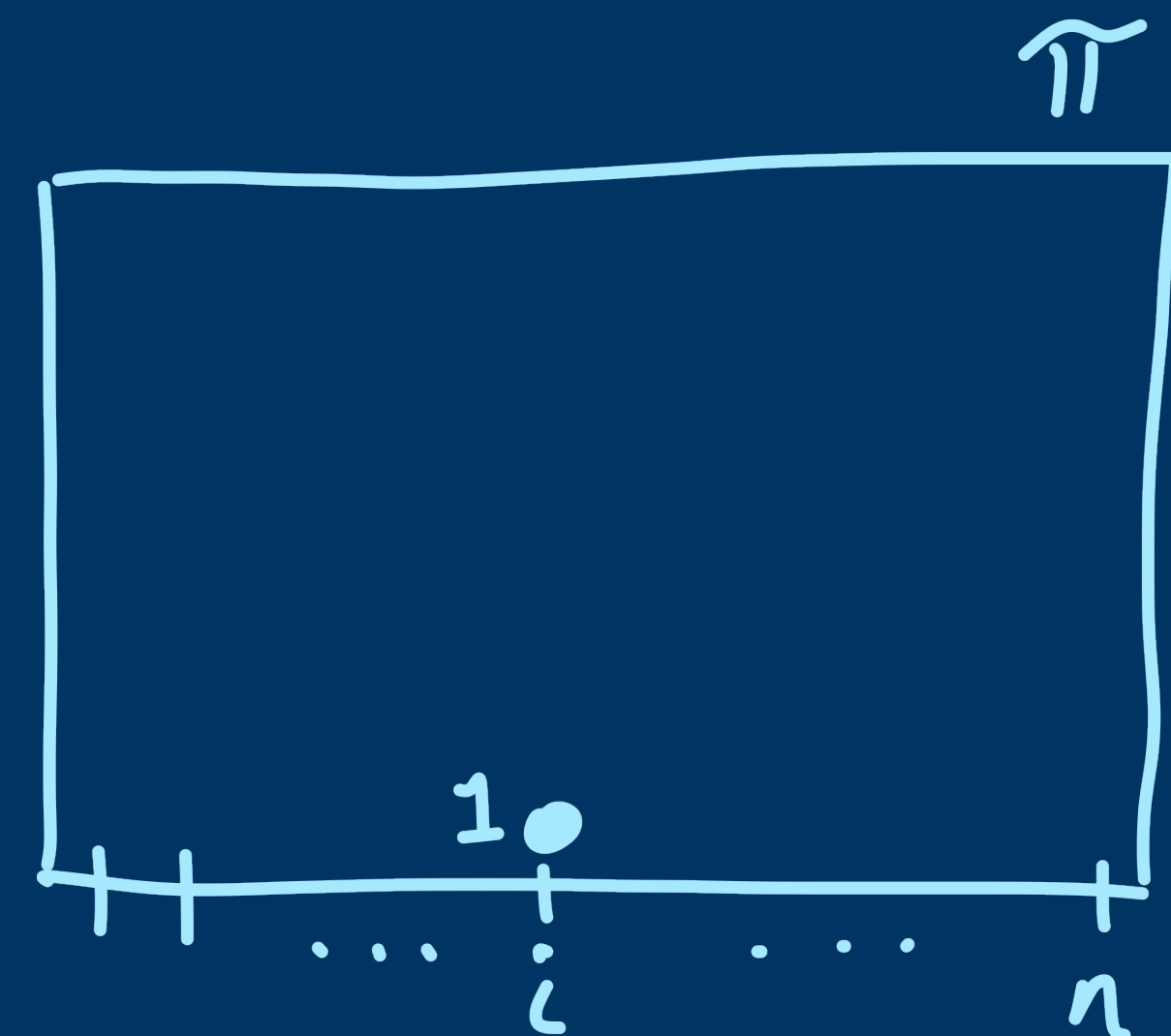
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Obviously  $A(n) = \bigcup_{i=1}^n A_1(n, i)$ .



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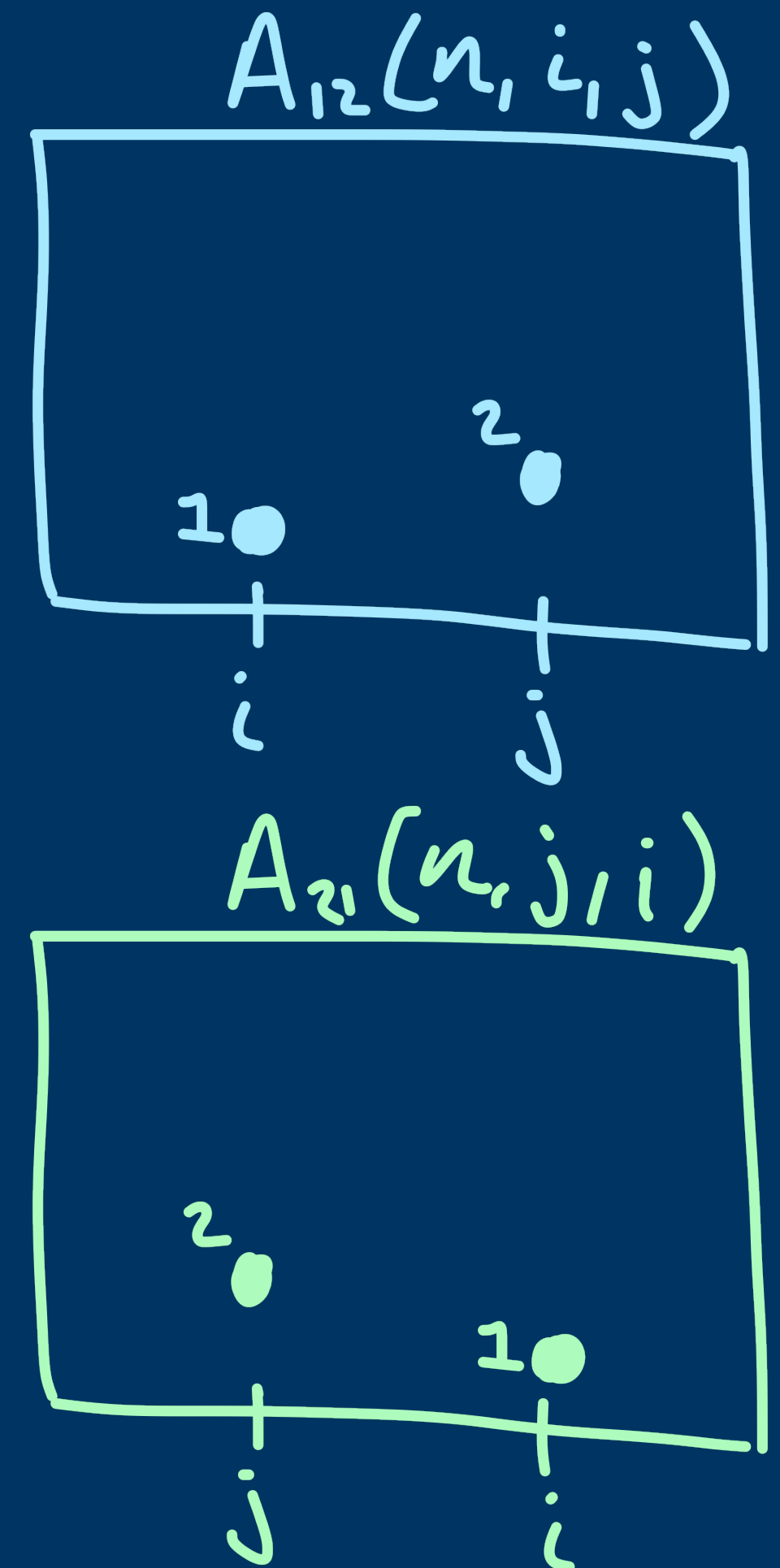
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Define  $A_{12}(n, i, j) := \{\pi \in A(n) : \pi(i) = 1, \pi(j) = 2, i < j\}$   
and  $A_{21}(n, j, i) := \{\pi \in A(n) : \pi(i) = 1, \pi(j) = 2, i > j\}$



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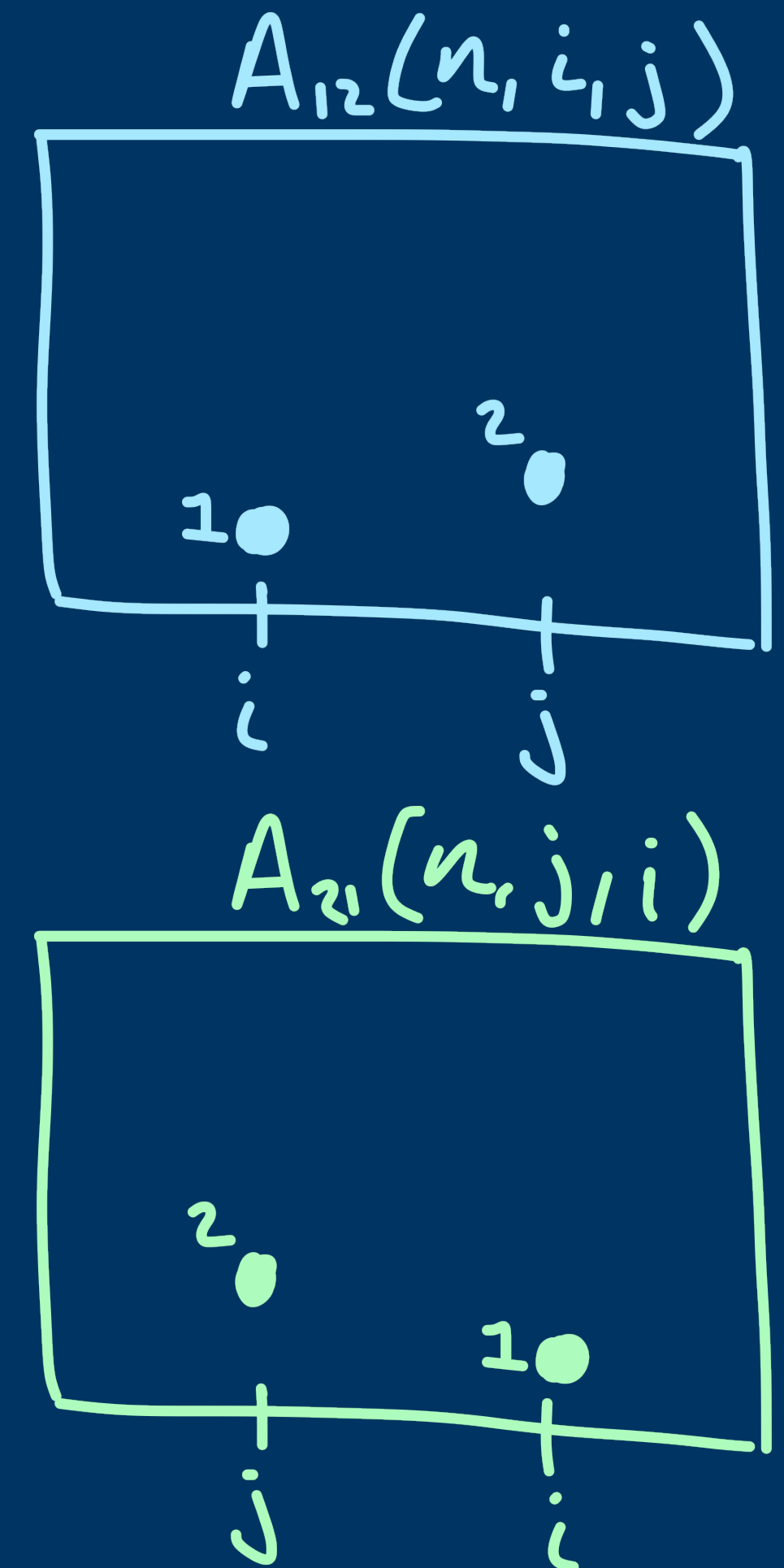
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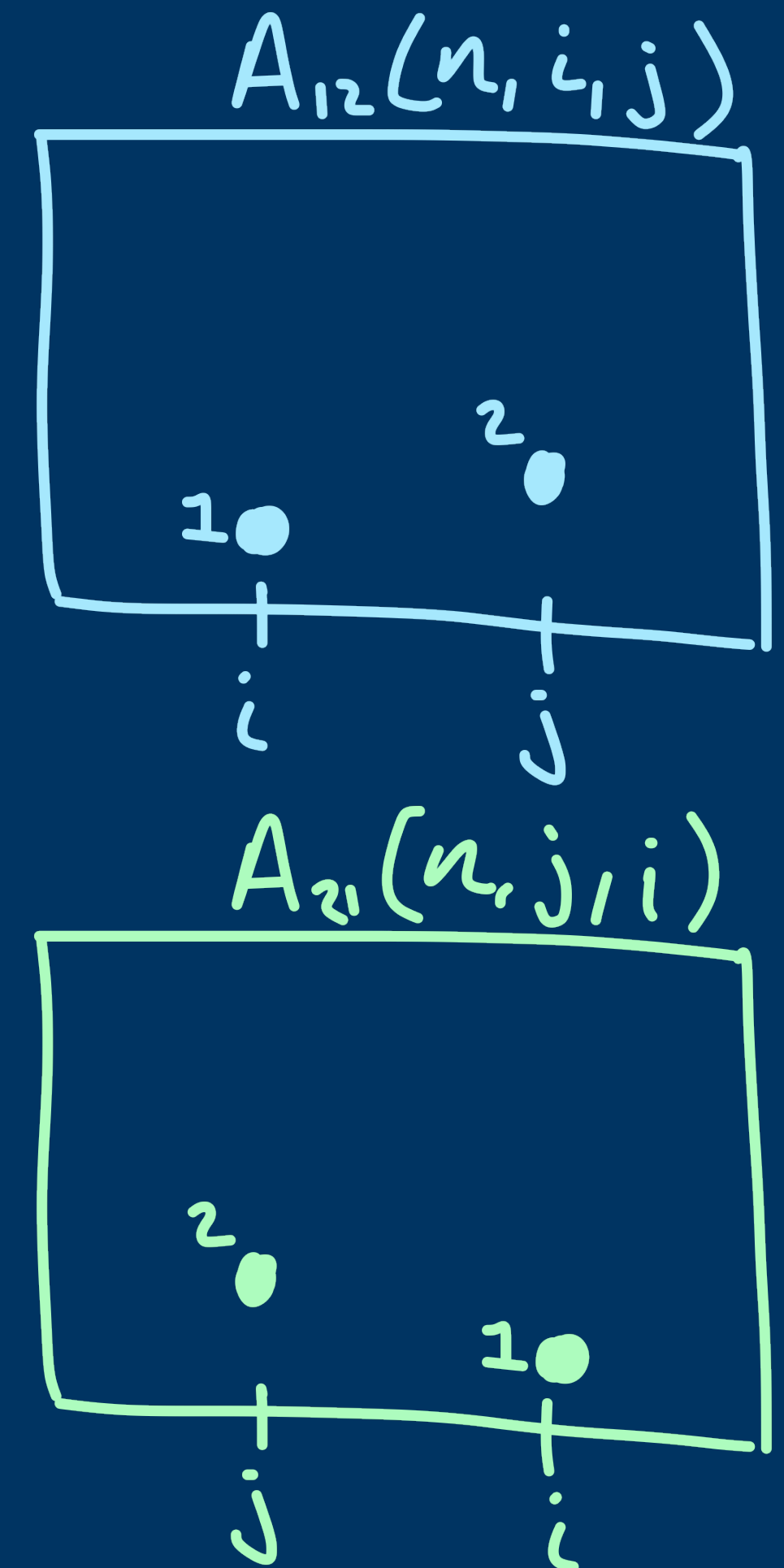
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We could do this forever...



# Enumeration Schemes

“The Most Trivial Non-Trivial Example” –  $Av(123)$

Claim 1:  $|A_{21}(n, j, i)| = |A_1(n - 1, j)|$

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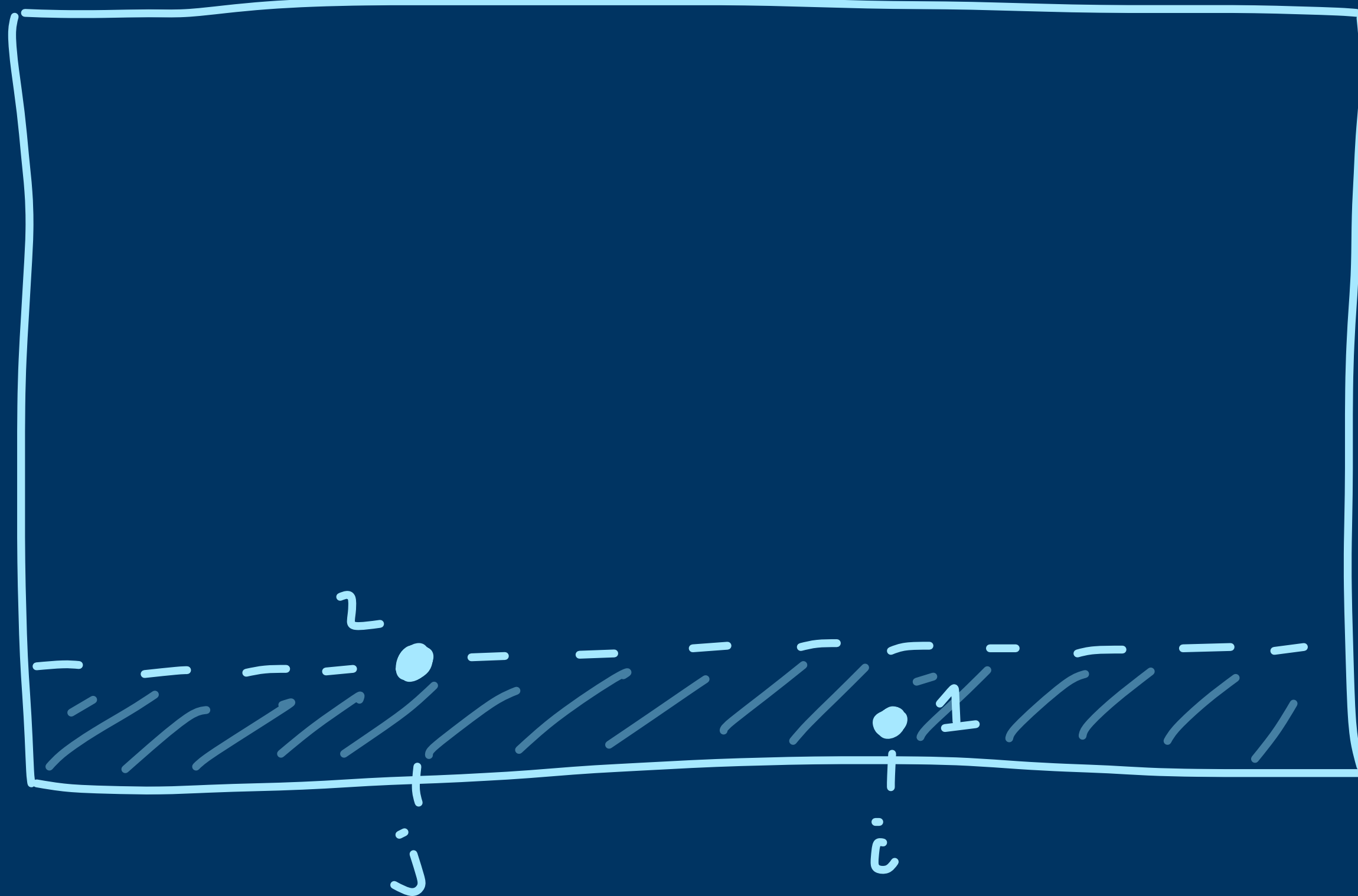
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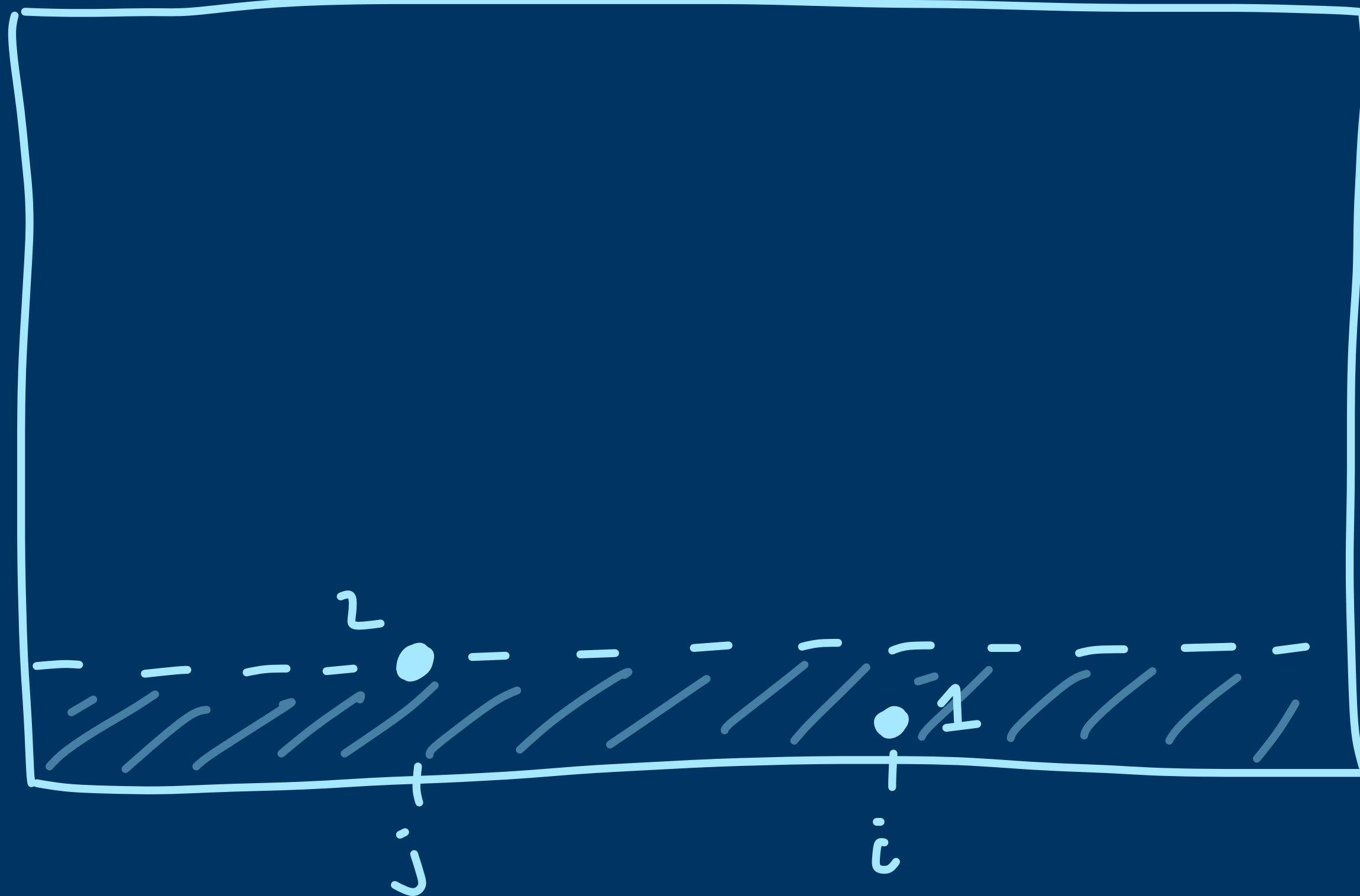
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Let  $\pi$  be any permutation  
with  $\pi(j) = 2$ ,  $\pi(i) = 1$ ,  
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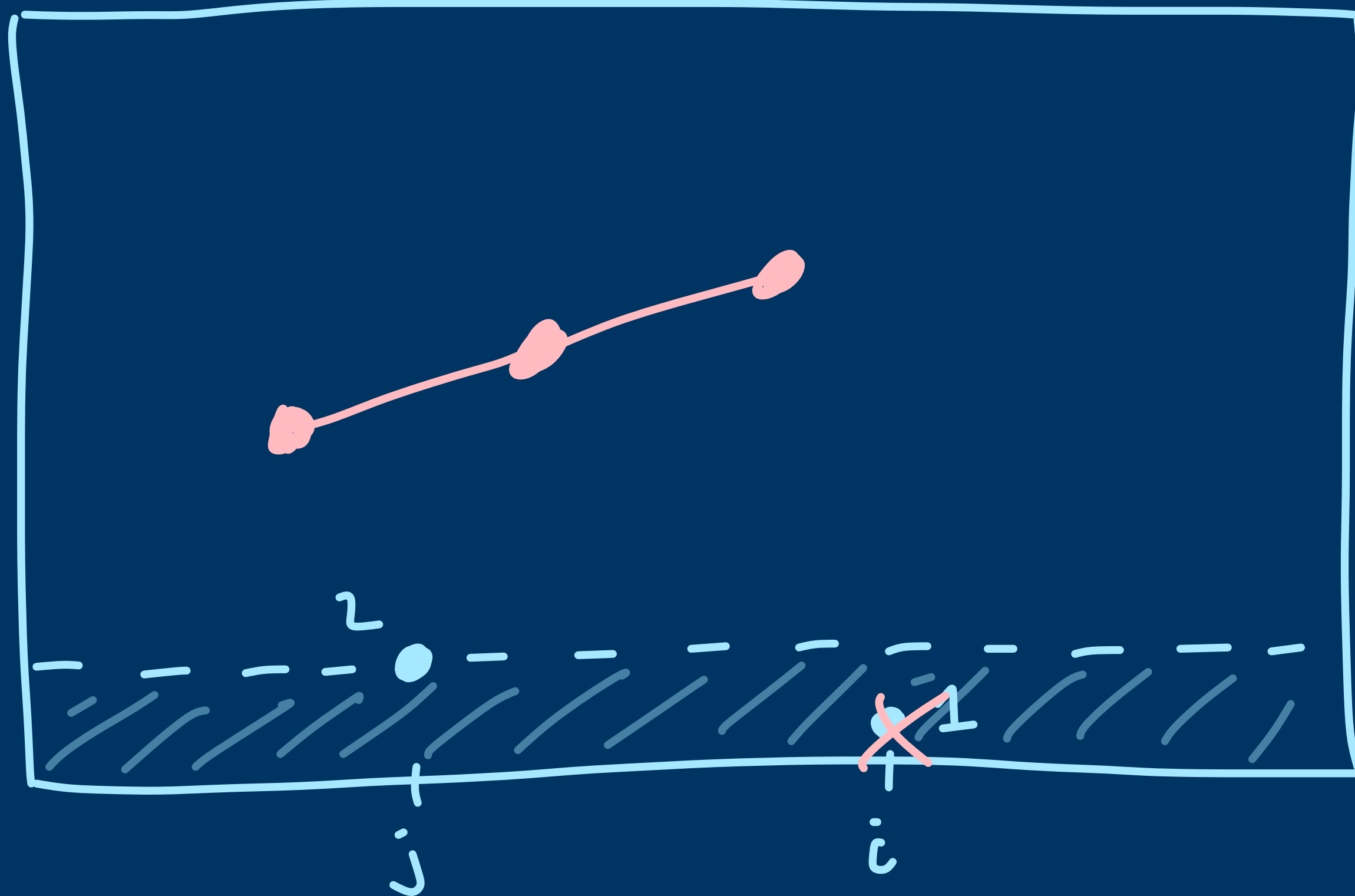
$\pi$  contains 123  
iff

$\pi - \pi(i)$  contains 123

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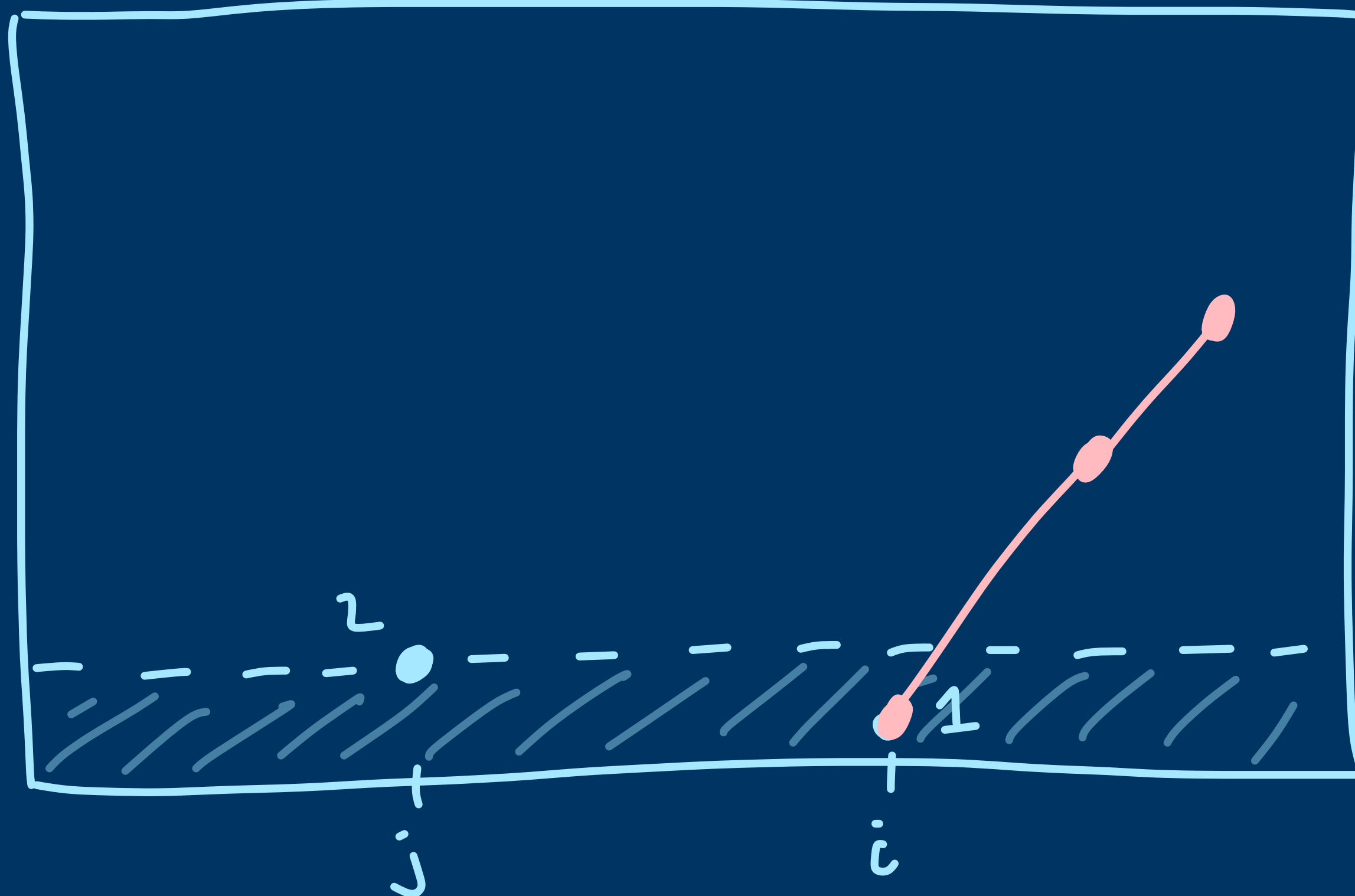
$\pi - \pi(i)$  contains 123

Any 123 that doesn't  
involve  $\pi(i)$  is obviously  
still in  $\pi - \pi(i)$ .

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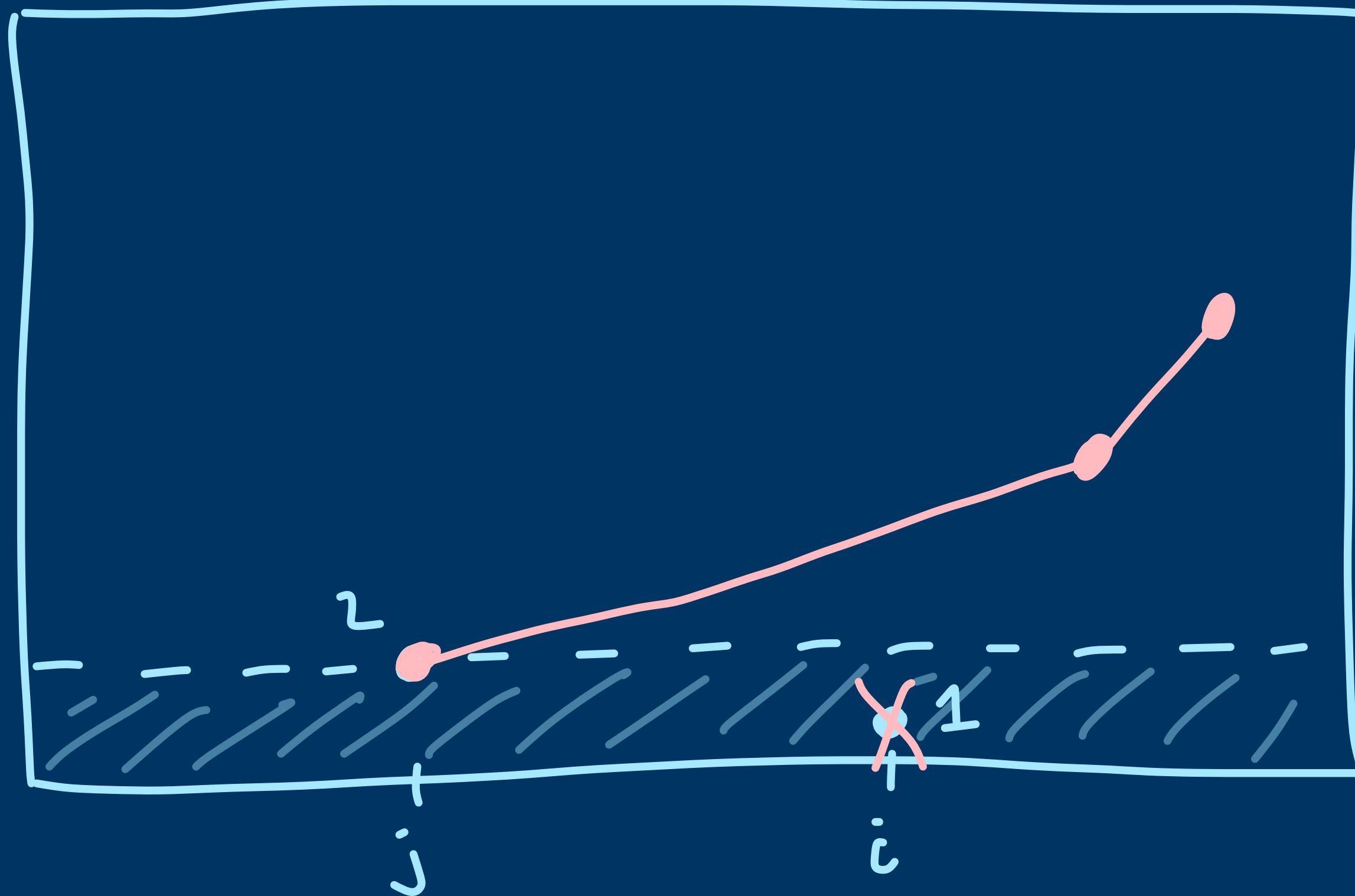
If an occurrence of 123 involves  $\pi(i)$ , it must be the 1.

$\pi - \pi(i)$  has a 123 with  $\pi(j)$  substituting for  $\pi(i)$ .

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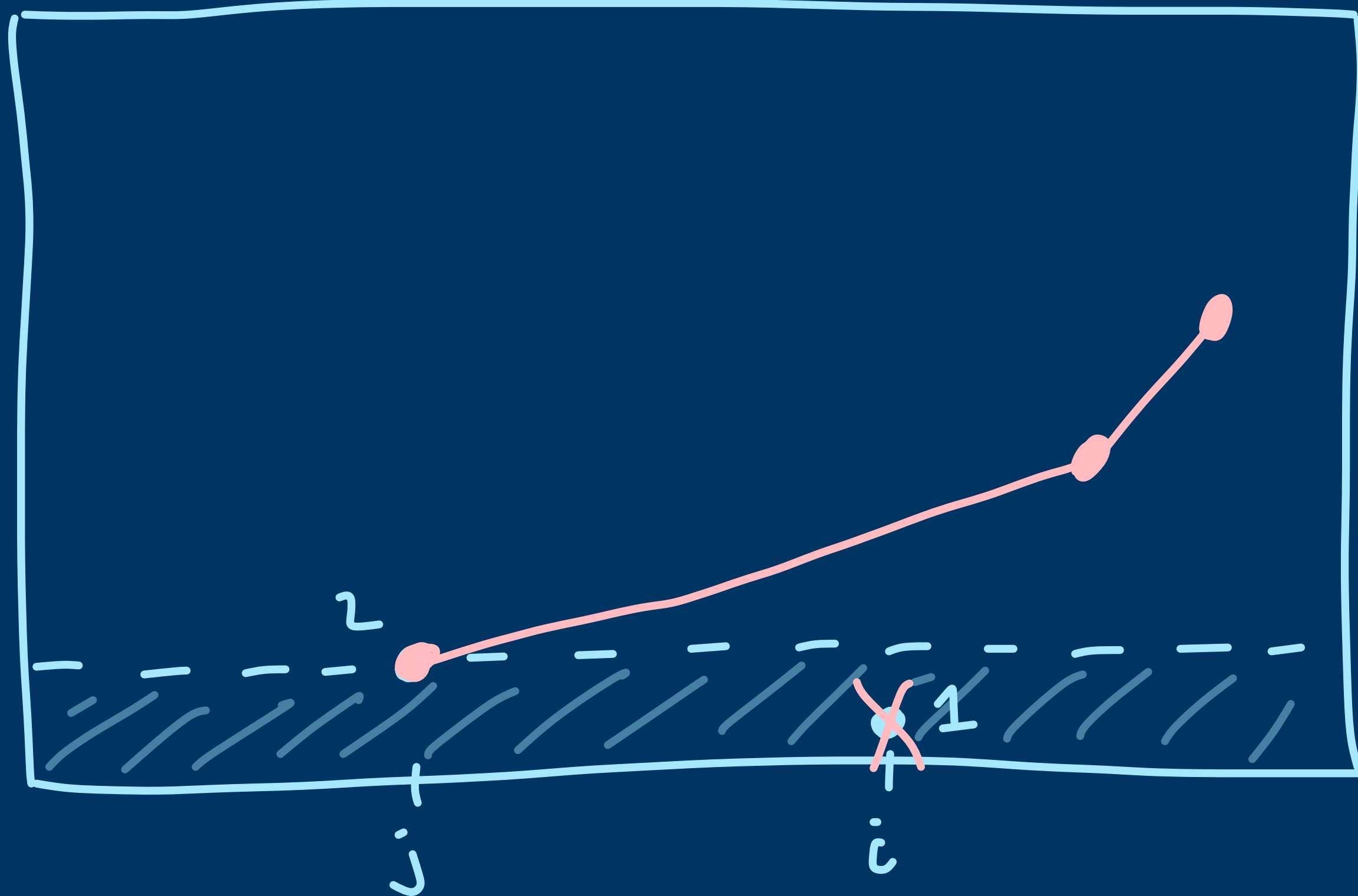
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$\pi$  contains 123  
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So there is a bijection  
between  $A_{21}(n, j, i)$  and  
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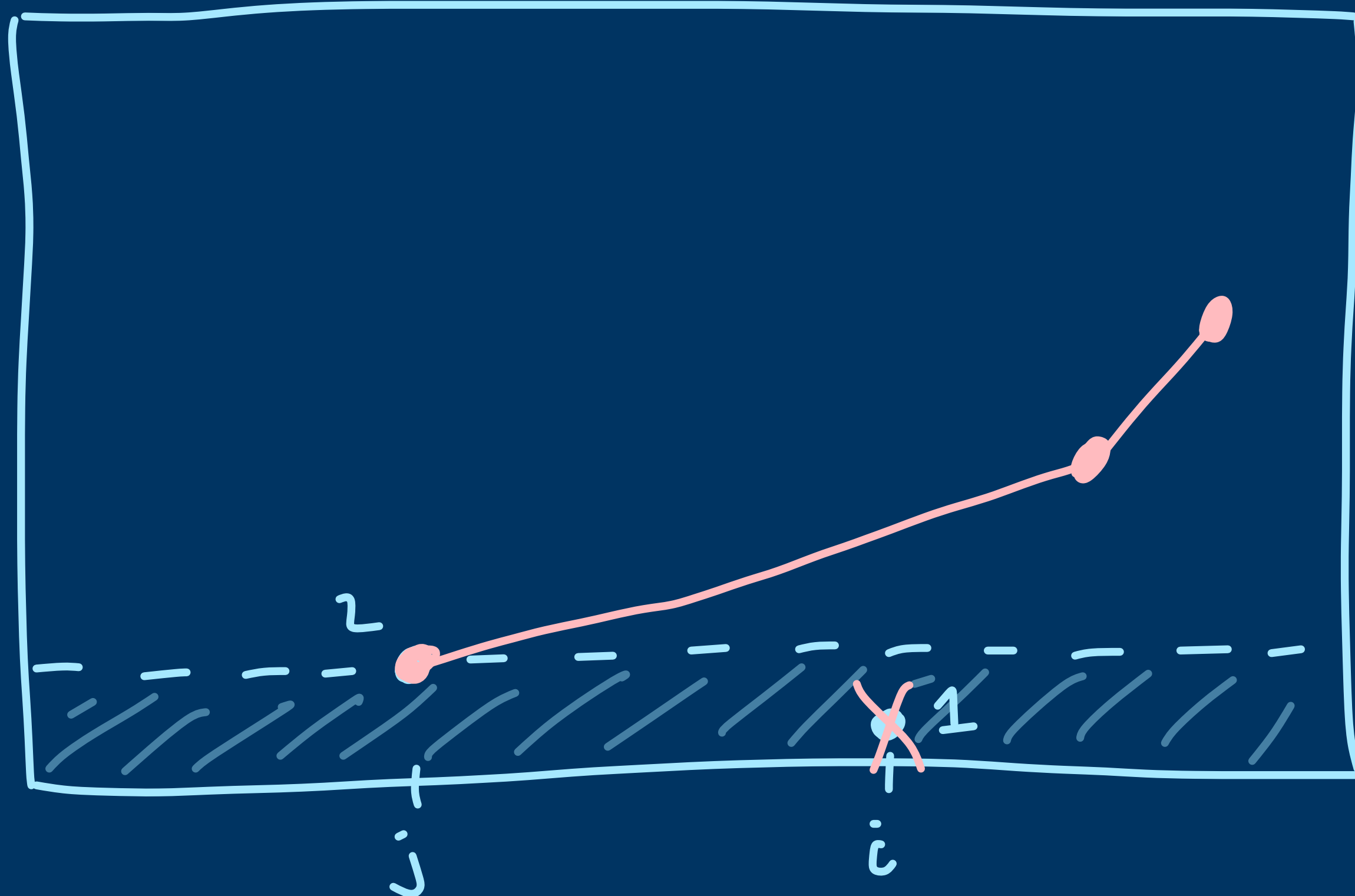
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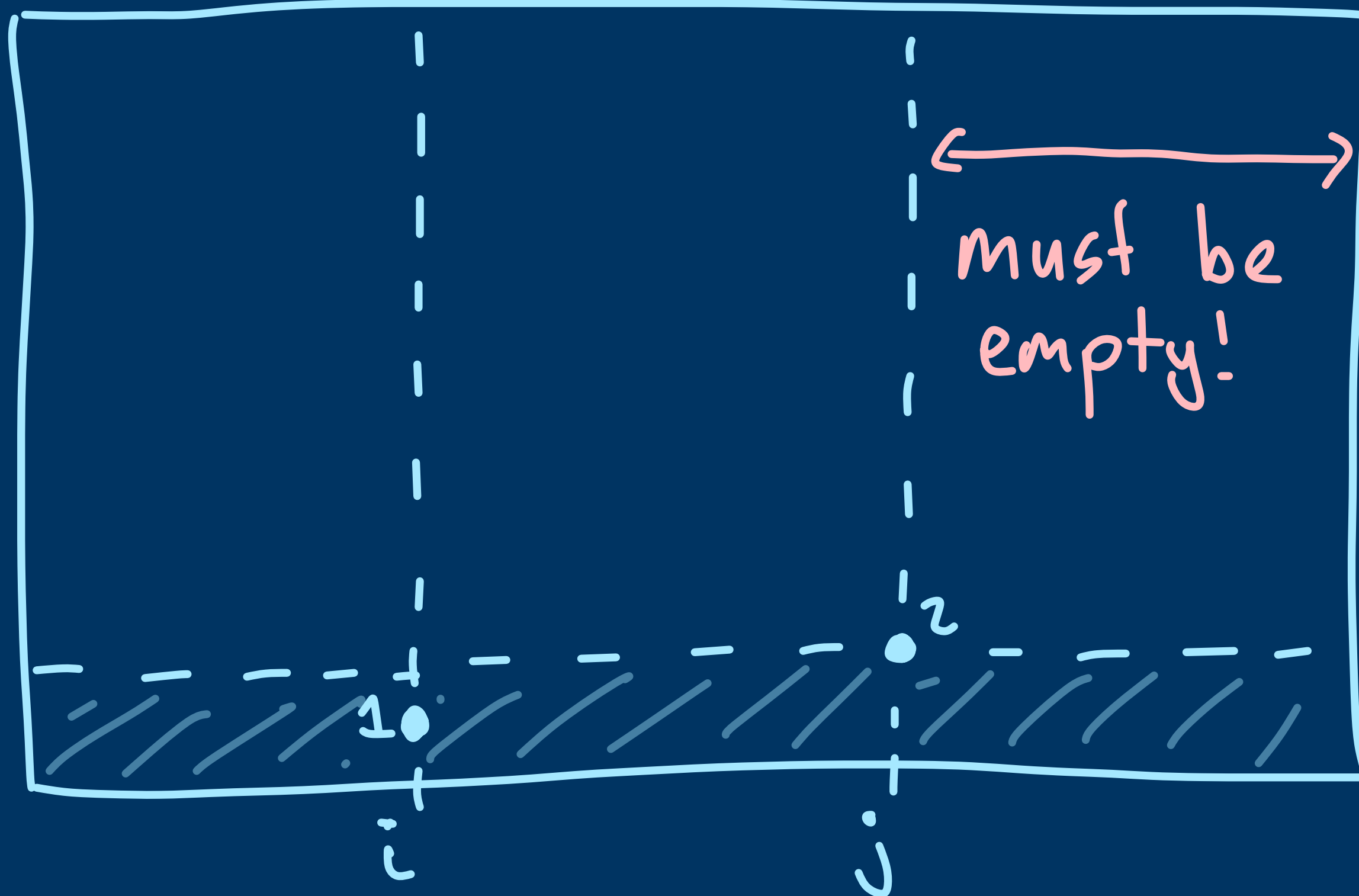
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“The Most Trivial Non-Trivial Example” –  $Av(123)$

$$\text{Claim 2: } |A_{12}(n, i, j)| = \begin{cases} 0, & j < n \\ |A_1(n-1, i)|, & j = n \end{cases}$$



## Enumeration Schemes and, More Importantly, Their Automatic Generation

Doron Zeilberger\*

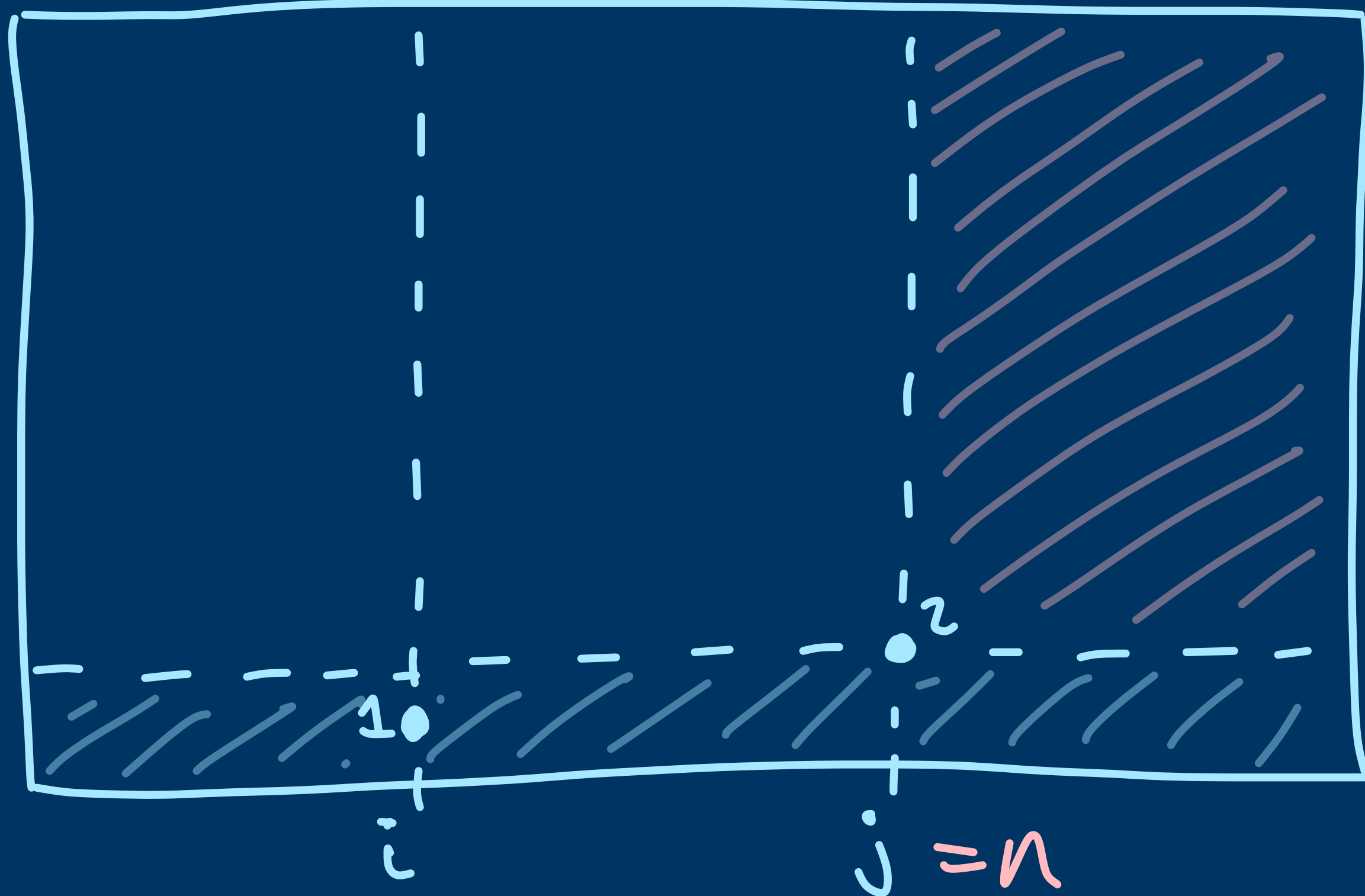
Department of Mathematics, Temple University, Philadelphia, PA 19122, USA  
zeilberg@math.temple.edu, <http://www.math.temple.edu/~zeilberg>

Received May 27, 1998

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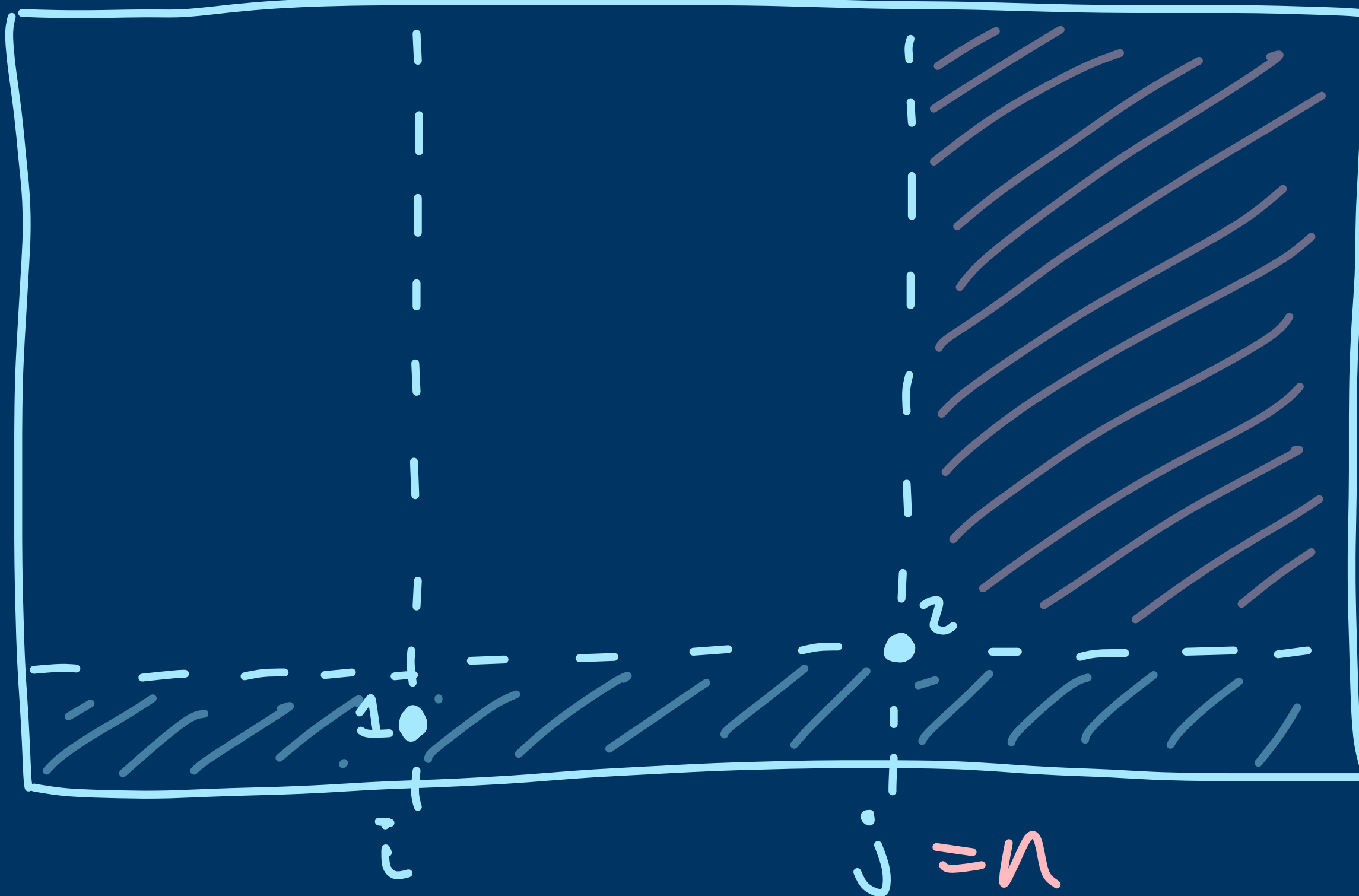
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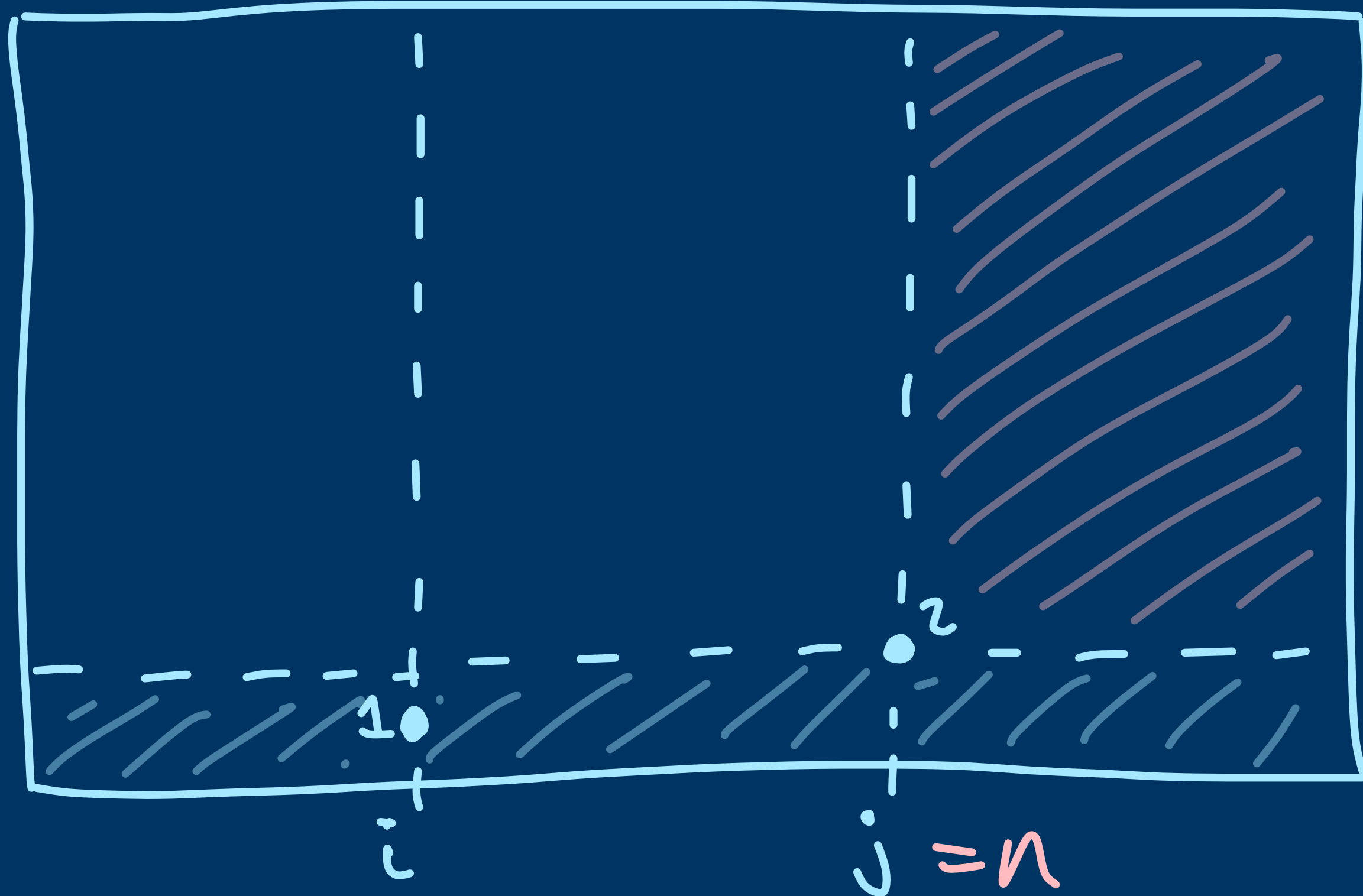
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When  $j = n$ ,  $\pi(j)$  can be deleted without destroying any 123 patterns.



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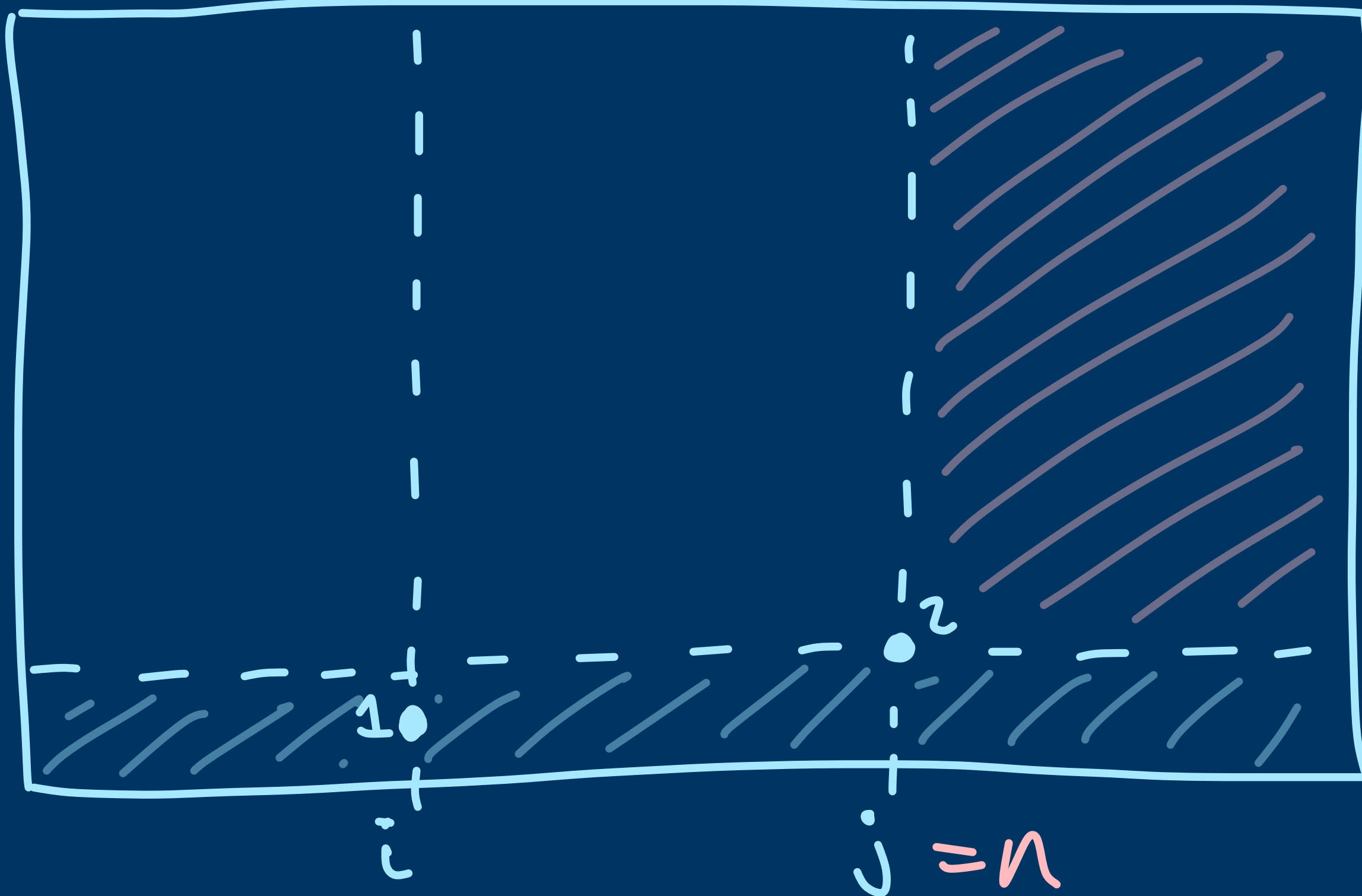
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$$\Rightarrow A_{12}(n, i, n) \cong A_1(n-1, i)$$



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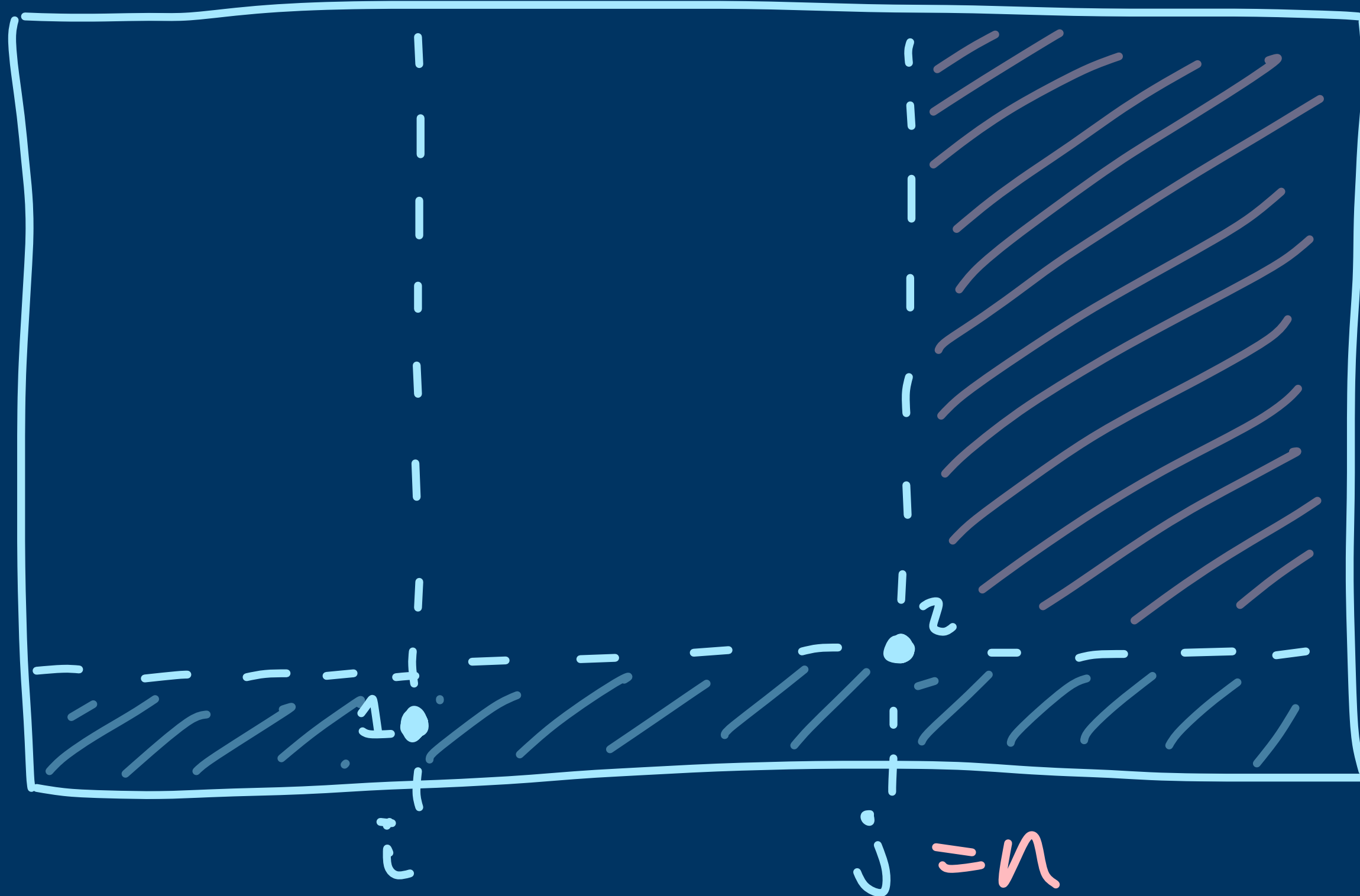
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$$\text{Claim 2: } |A_{12}(n, i, j)| = \begin{cases} 0, & j < n \\ |A_1(n-1, i)|, & j = n \end{cases} \quad \checkmark$$

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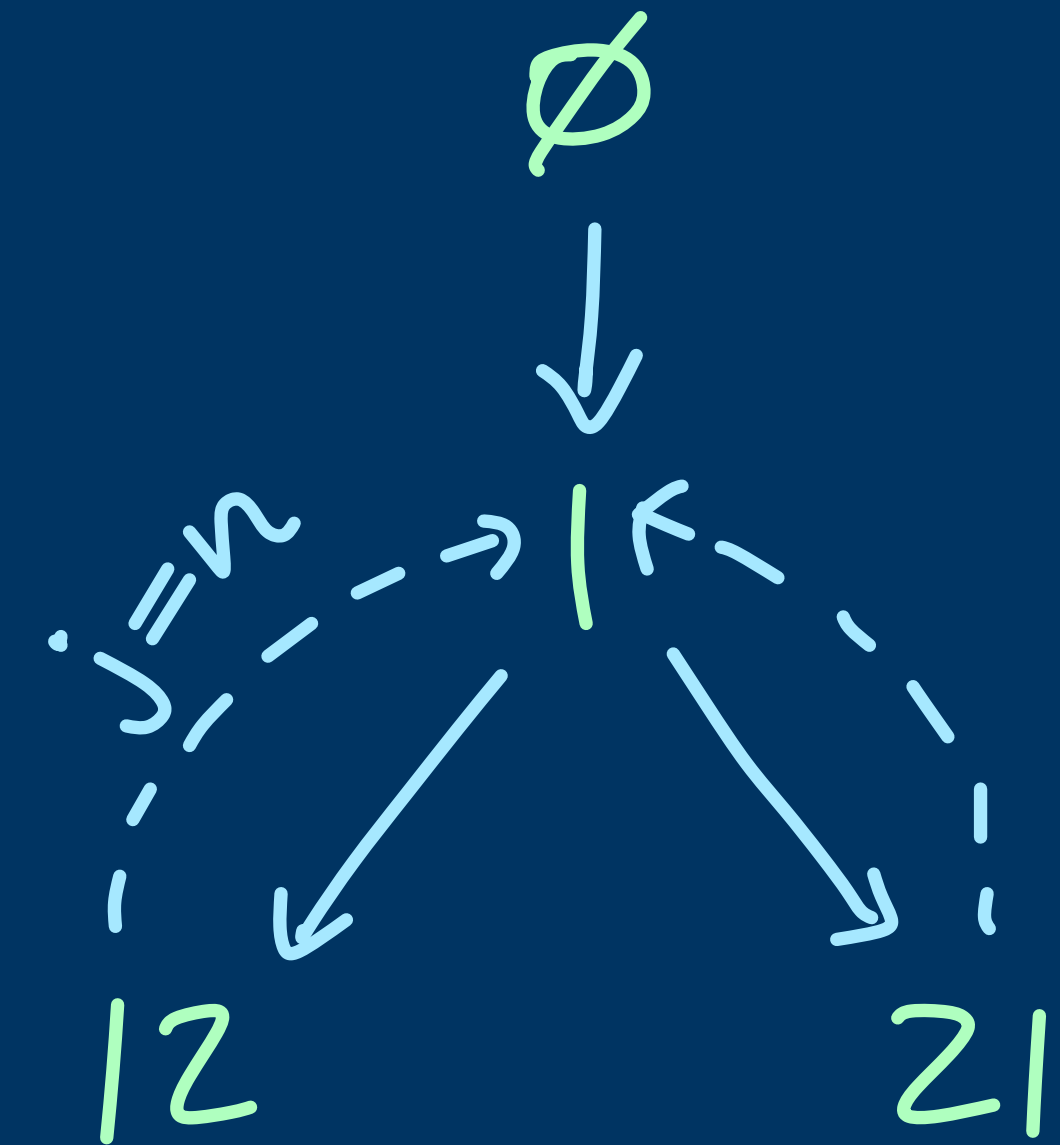
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$$A(n) = \bigcup_{i=1}^n A_1(n, i)$$

$$A_1(n, i) = \left( \bigcup_{j=1}^{i-1} A_{21}(n, j, i) \right) \cup \left( \bigcup_{j=i+1}^n A_{12}(n, i, j) \right)$$

$$A_{21}(n, j, i) \cong A_1(n-1, j)$$

$$A_{12}(n, i, j) \cong \begin{cases} \emptyset & , j < n \\ A_1(n-1, i), j = n \end{cases}$$



# Enumeration Schemes

## Big Picture:

- ▶ The computer splits the whole set  $A(n)$  further and further based on the pattern formed by the bottom entries.

## Enumeration Schemes and, More Importantly, Their Automatic Generation

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## Big Picture:

- ▶ The computer splits the whole set  $A(n)$  further and further based on the pattern formed by the bottom entries.
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## Enumeration Schemes and, More Importantly, Their Automatic Generation

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# Enumeration Schemes

## Big Picture:

- ▶ The computer splits the whole set  $A(n)$  further and further based on the pattern formed by the bottom entries.
- ▶ At each step it checks if any of the entries are “reversibly deletable”. If so, this branch of the search tree doesn’t need to be split further.
- ▶ If all branches finish, we get an enumeration scheme, which gives us a *polynomial-time algorithm* to count the number of permutations of length  $n$ , but does not give us the *generating function*.

## Enumeration Schemes and, More Importantly, Their Automatic Generation

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# Enumeration Schemes

Zeilberger's method is:

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**Experimental:** when you “hit go”, you don't know whether or not it will return an answer

**Rigorous:** if it does give an answer, it's guaranteed to be correct

rigorous

non-rigorous

experimental

non-experimental


rigorous

non-rigorous

experimental

- enumeration schemes  
WILF

non-experimental

- enumeration schemes WILF	

rigorous

non-rigorous

experimental

- enumeration schemes  
WILF

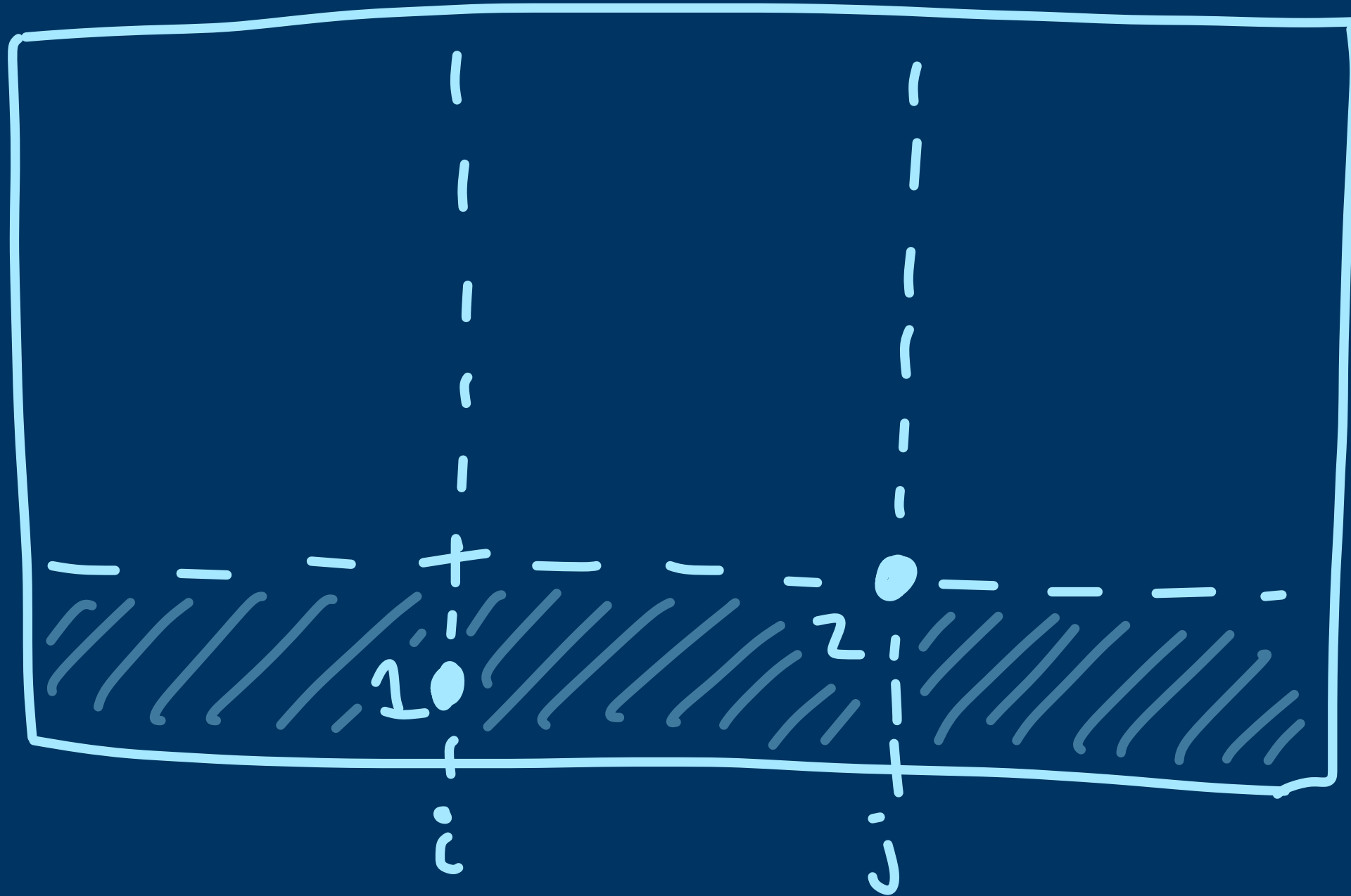
non-experimental

- HERB

# Enumeration Schemes – WILFPLUS

In 2007, Vince Vatter made the method more powerful by increasing the number of situations in which a point can be declared reversibly deletable.

$Av(1342, 1432)$



## Enumeration Schemes for Restricted Permutations

VINCENT VATTER<sup>1†</sup>

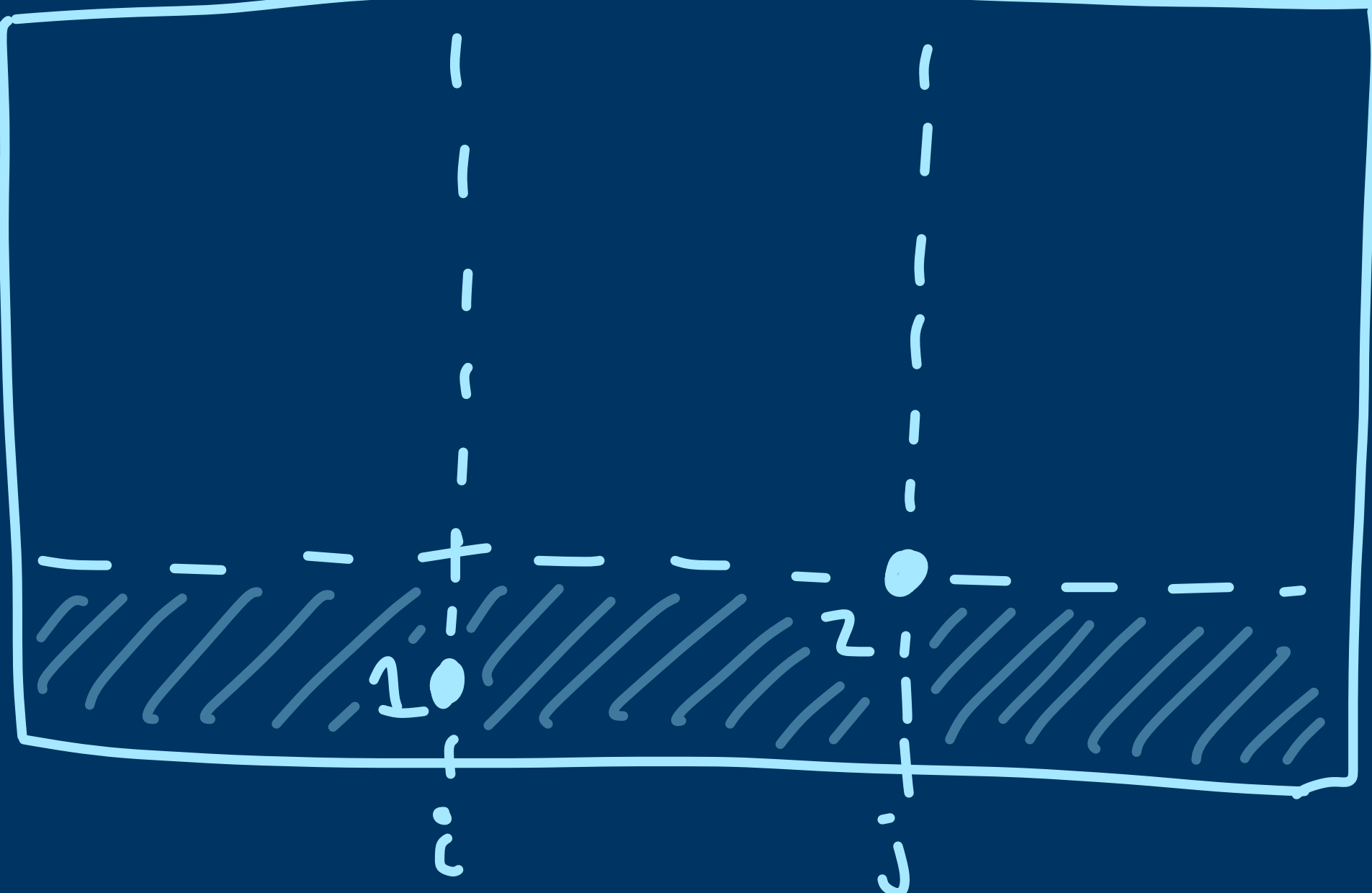
<sup>1</sup>School of Mathematics and Statistics, University of St Andrews  
St Andrews, Fife KY19 9SS, UK  
(e-mail: [vince@mcs.st-and.ac.uk](mailto:vince@mcs.st-and.ac.uk)  
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at most one entry between  $i$  and  $j$



**Enumeration Schemes  
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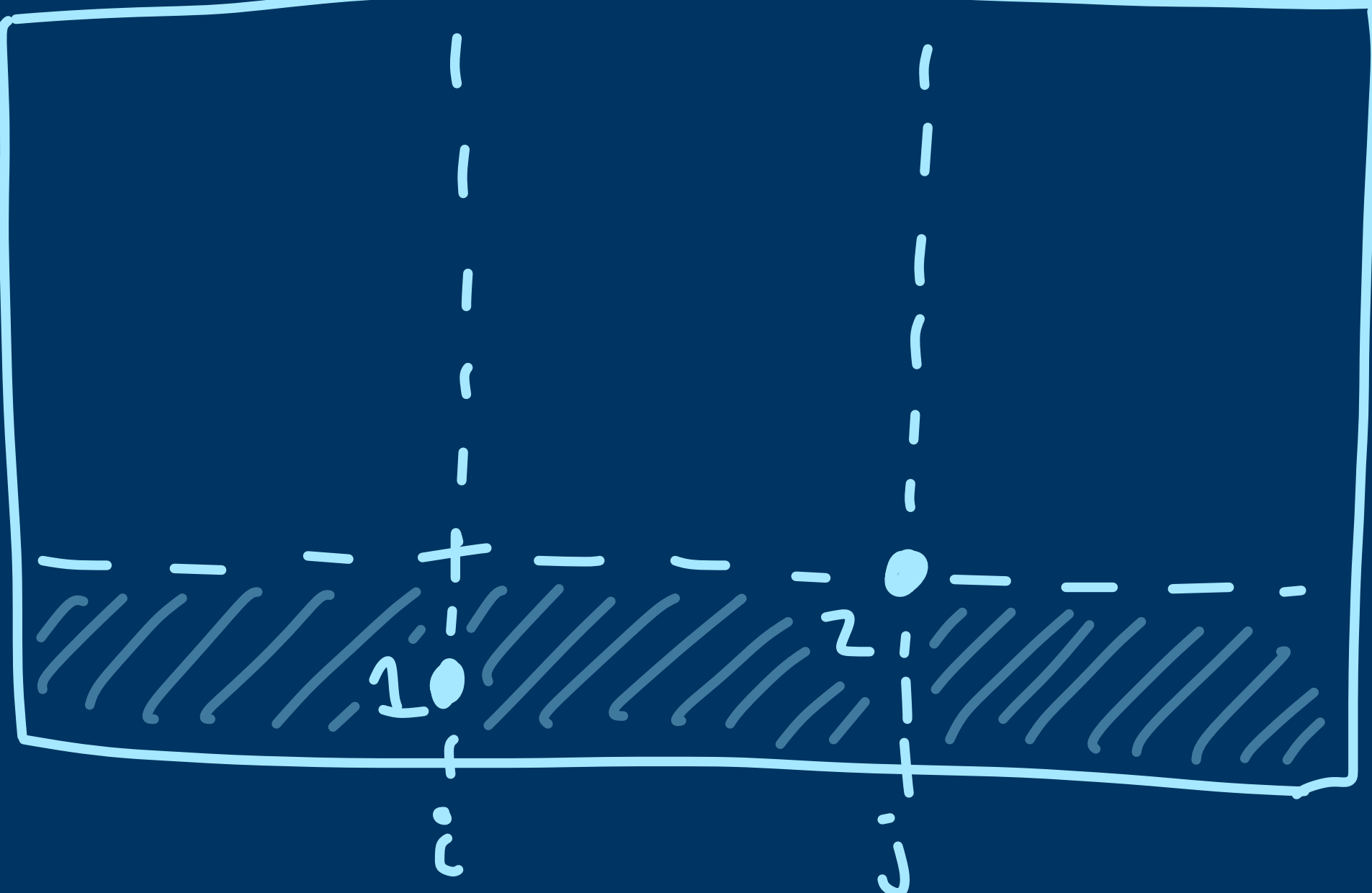
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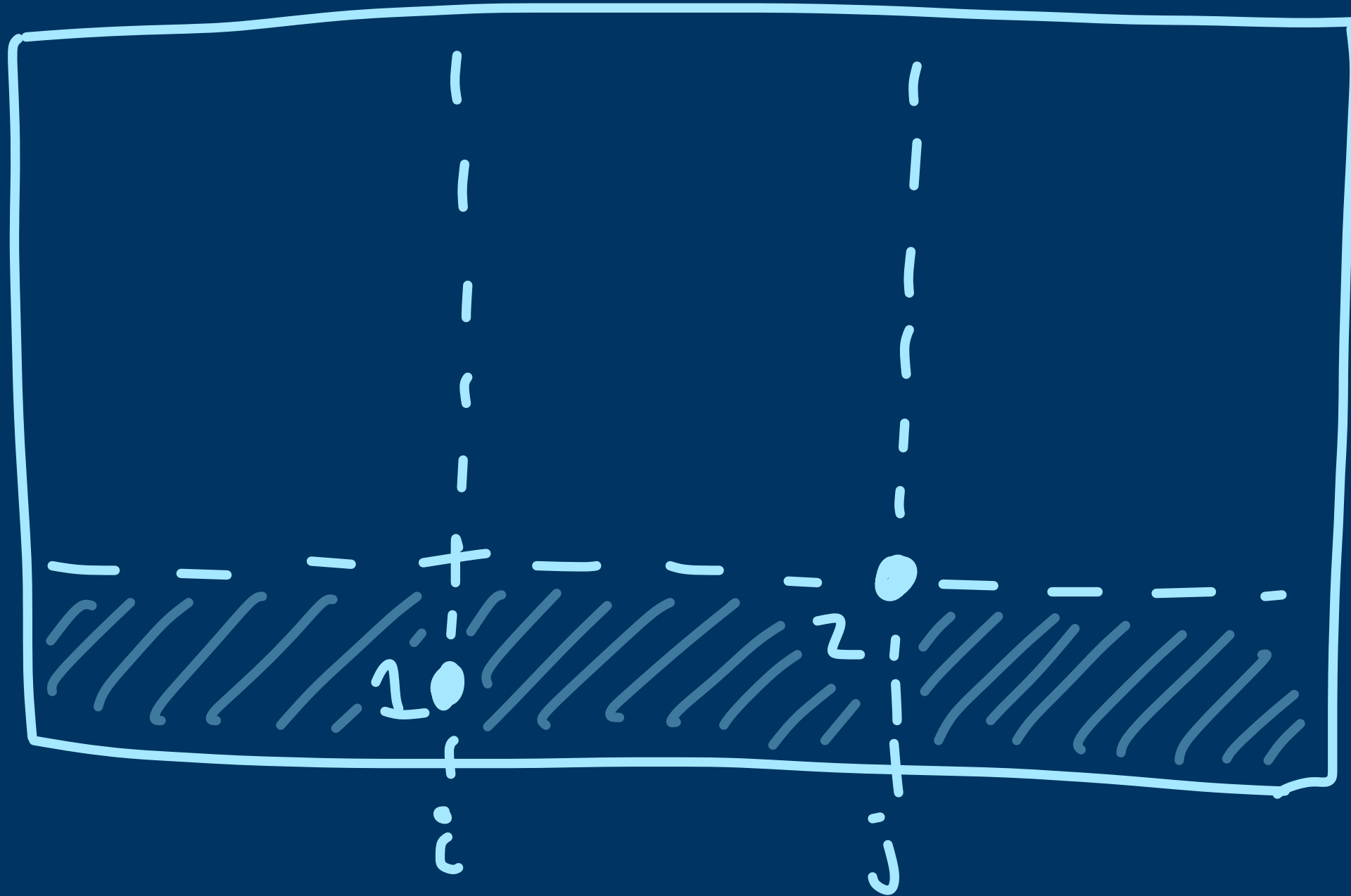


Knowing this: the entry  $\pi(j)$  can be deleted.

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$Av(1342, 1432)$



at most one entry between  $i$  and  $j$

Knowing this: the entry  $\pi(j)$  can be deleted.

Zeilberger's "logical reasoning" won't notice this.

## Enumeration Schemes for Restricted Permutations

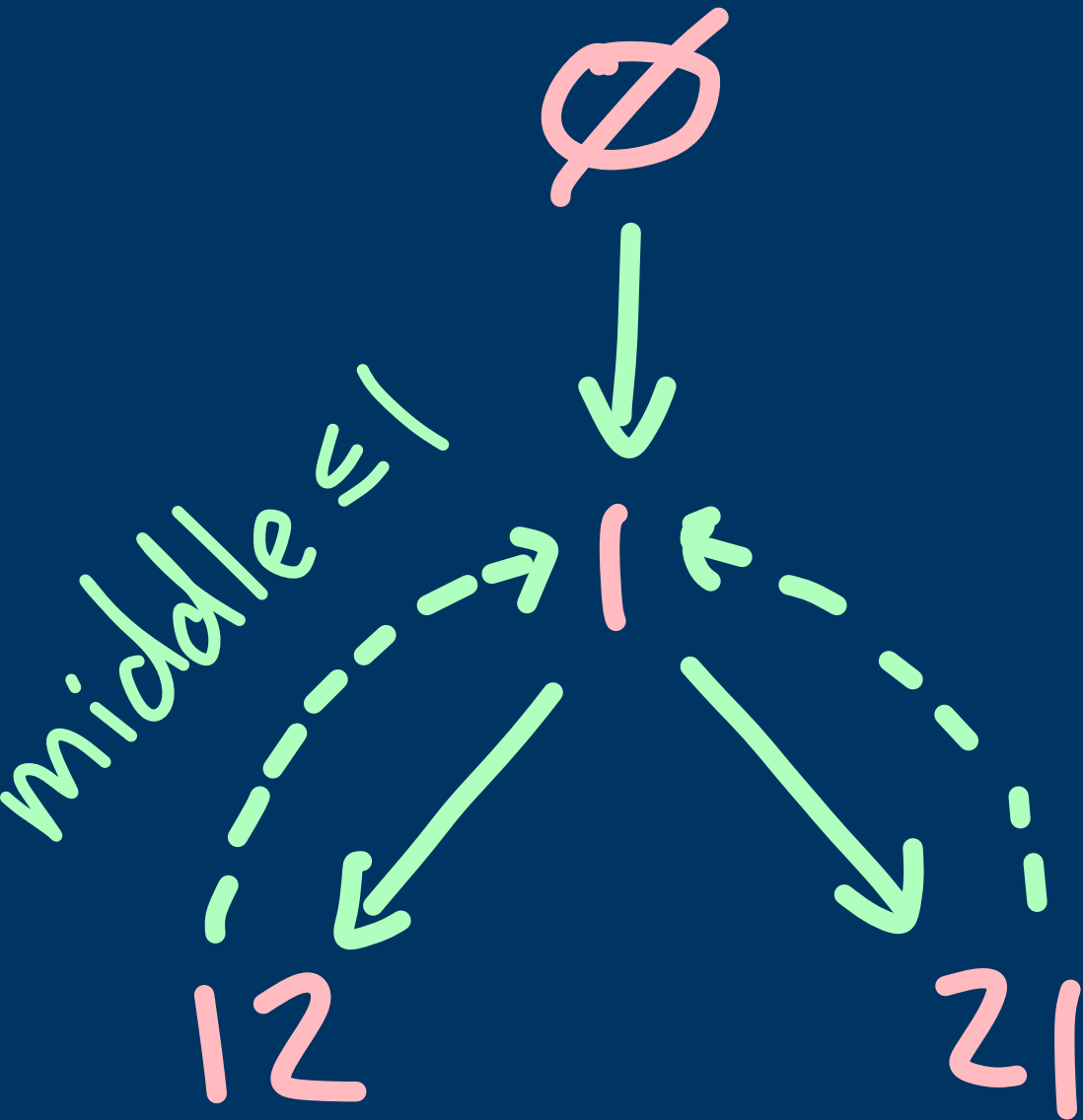
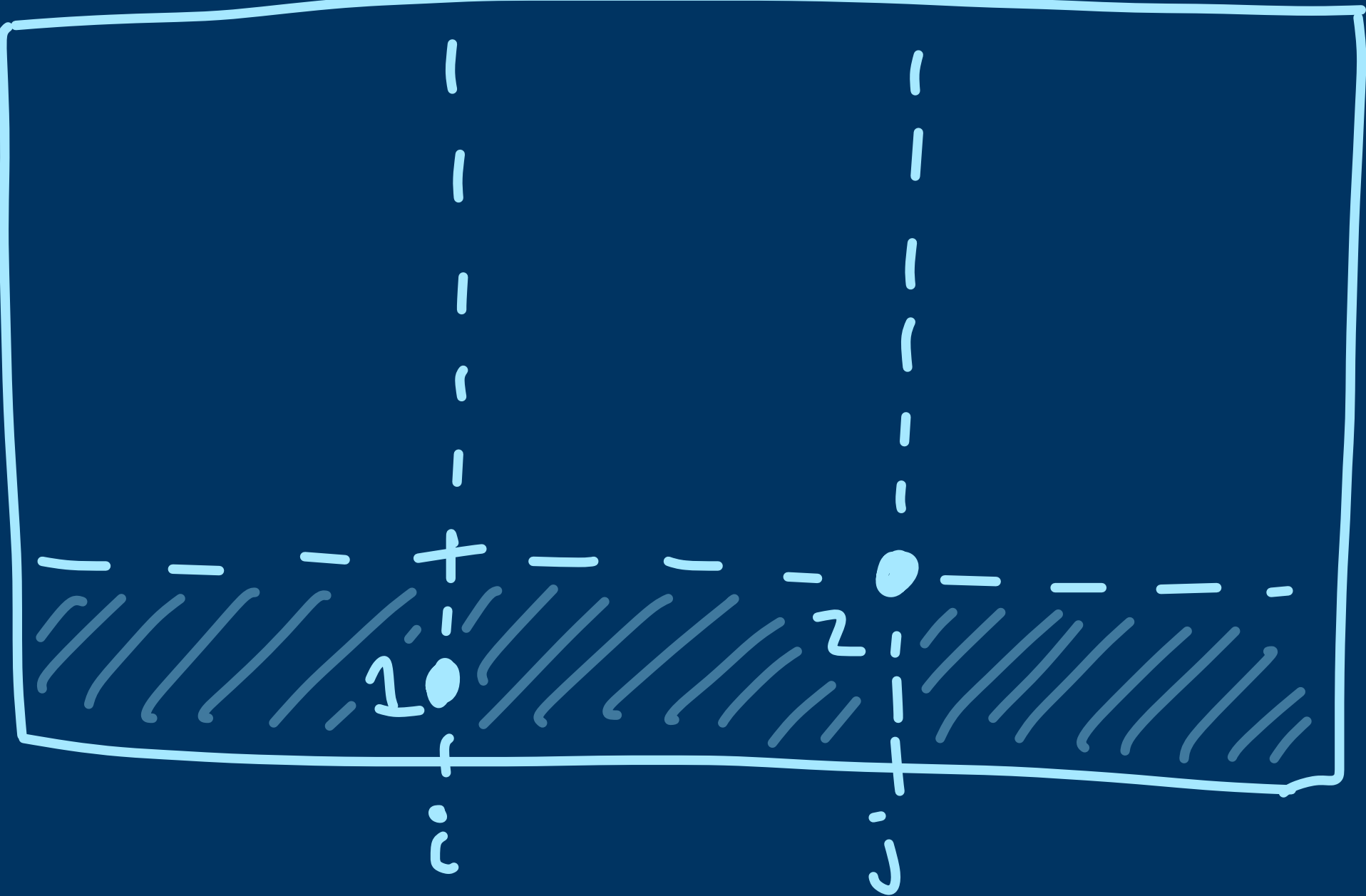
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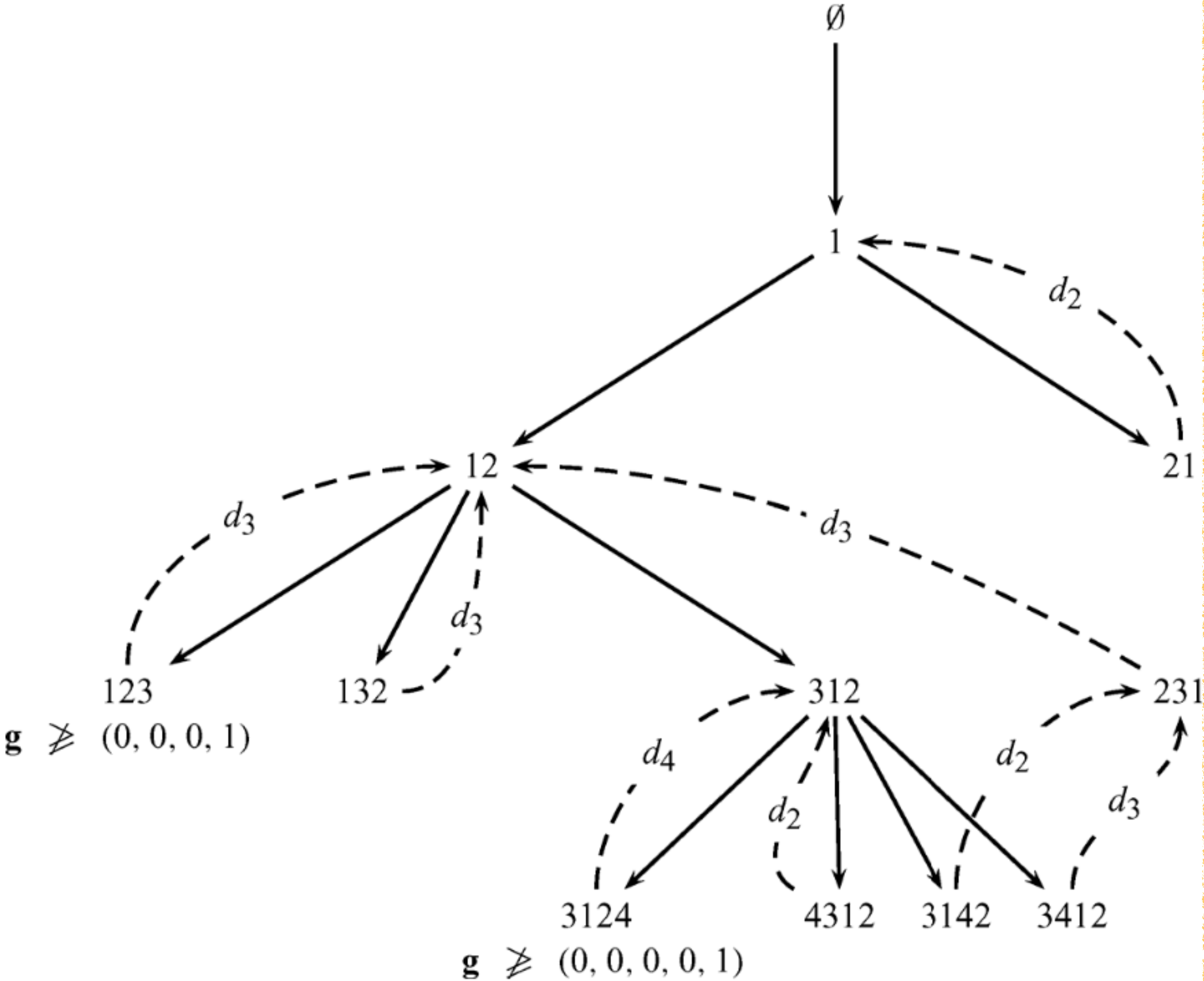


Figure 5. The enumeration scheme for  $\text{Av}(1234)$ .

# Enumeration Schemes – Flexible Schemes

Z: When checking if a point is reversibly deletable, can take into account whether a gap between two entries must be empty.

*can do only a few simple classes*

**FLEXIBLE SCHEMES AND BEYOND:  
EXPERIMENTAL ENUMERATION OF PATTERN  
AVOIDANCE CLASSES**

By

**YONAH BIERS-ARIEL**

# Enumeration Schemes – Flexible Schemes

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*can do only a few simple classes*

V: Can take into account when a gap is constrained to have a finite number of entries (and more complicated similar constraints)

*can do more classes*

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B-A: Sometimes if a gap is constrained to a finite number of possibilities, there could be one entry deletable for some of these possibilities, and a *different entry* deletable for the other possibilities.

*can do even more classes*

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V: Can be constrained to have a finite number of entries (pts)

Pat length <sup>1</sup>	Sym Classes <sup>2</sup>	Ins. Enc.	ES	FS	New with FS
[3]	2	0	2	2	0
[4]	7	0	2	2	0
[5]	23	0	2	2	0
[3], [3]	5	5	5	5	0
[4], [4]	56	13	33	44	9
[4], [5]	434	30	112	173	59

B-A: Sometimes if a gap is constrained to a finite number of possibilities, there could be one entry deletable for some of these possibilities, and a *different entry* deletable for the other possibilities.

*can do even more classes*

rigorous

non-rigorous

experimental

- enumeration schemes  
WILF, WILFPLUS, (E)  
Flexible Schemes

non-experimental

- HERB

rigorous

non-rigorous

- enumeration schemes

## Enumeration schemes for vincular patterns

Andrew M. Baxter<sup>a,1</sup>, Lara K. Pudwell<sup>b,\*</sup>

<sup>a</sup> Mathematics Department, Pennsylvania State University, State College, PA 08902, United States

<sup>b</sup> Department of Mathematics and Computer Science, Valparaiso University, Valparaiso, IN 46383, United States

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## Refining enumeration schemes to count according to permutation statistics

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## Enumeration Schemes for Permutations Avoiding Barred Patterns

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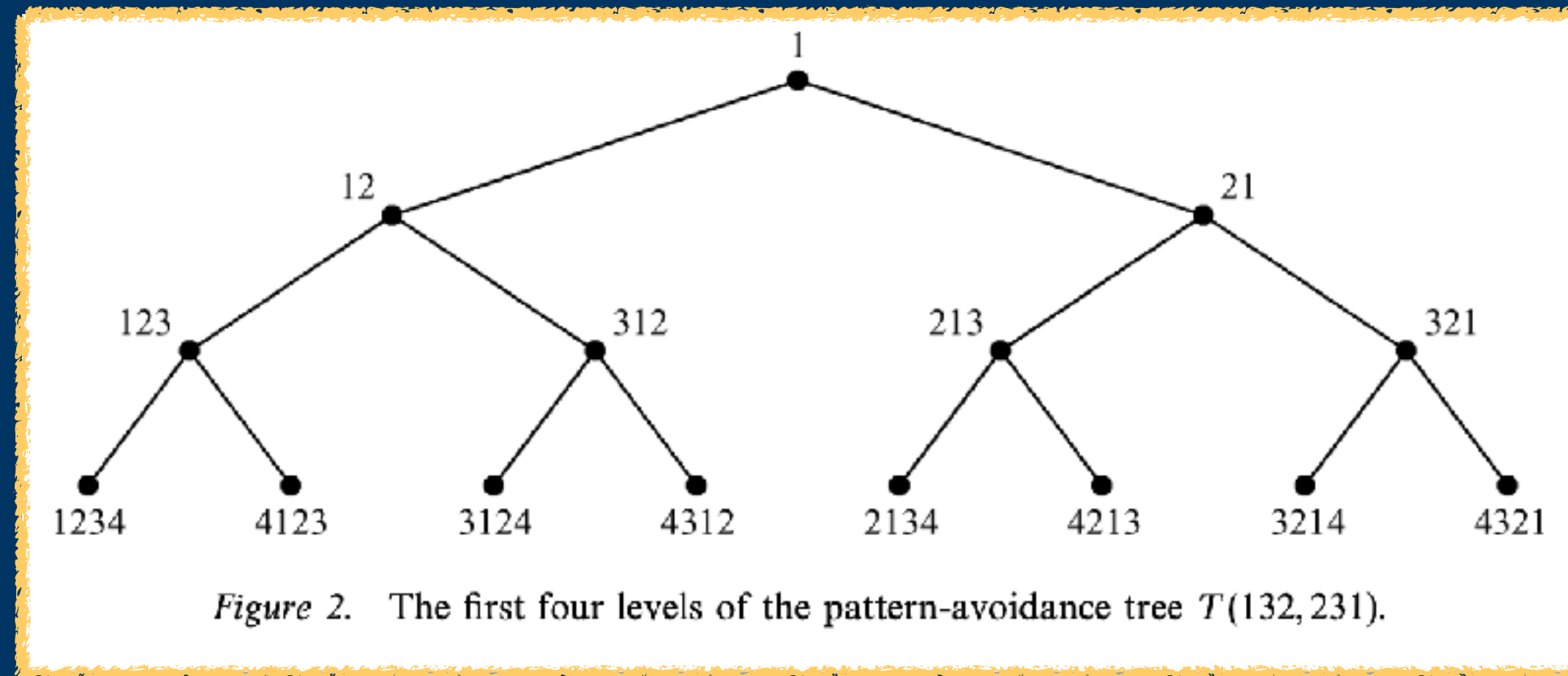
baxter@math.psu.edu

exp

non-experimental

# Generating Trees

- ▶ A “generating tree” for a set of permutations is a way of rigorously representing its structure. It describes where new maximum entries can be inserted into permutations so that they remain in the set.



(Vatter 2007)

# Generating Trees

- ▶ 1978: Chung, Graham, Hoggatt Jr., and Kleiman invented generating trees to enumerate the *Baxter permutations*.

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- ▶ 1995/1996: West uses generating trees to enumerate several permutation classes.
- ▶ 2006: Vatter categorizes the permutation classes that have finitely labeled generating trees and writes the Maple package FINLABEL to enumerate them automatically.

# Generating Trees

- 1998 – present: ECO Method

Exports the idea of generating trees to other combinatorial objects and uses them to do many things: enumeration, generating functions, exhaustively generating all objects in a fast way, ...

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## ECO: A Methodology for the Enumeration of Combinatorial Objects

ELENA BARCUCCI, ALBERTO DEL LUNGO, ELISA PERGOLA  
and RENZO PINZANI\*

*Dipartimento di Sistemi e Informatica, Via Lombroso 6/17, 50134 Firenze, Italy*

# Generating Trees

- ▶ 1999 Some applications arising from the interactions between the theory of Catalan-like numbers and the ECO method\*

Luca Ferrari<sup>†</sup>   Elisa Pergola<sup>‡</sup>   Renzo Pinzani<sup>‡</sup>  
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generating trees to other combinatorial objects and uses enumeration, generating functions, exhaustively generating all objects in a fast way, ...

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generating all objects in a fast way, ...

## Integer Partitions in Discrete Dynamical Models and ECO Method\*

Le Manh Ha<sup>1</sup> and Phan Thi Ha Duong<sup>2</sup>

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generating all objects in a family

ECO Method and the Exhaustive Generation of  
Convex Polyominoes

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Via del Capitano, 15, 53100, Siena, Italy  
{dellungo,frosini,rinaldi}@unisi.it

ECO: A Method  
for Enumerating

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ECO-generation for some restricted classes of compositions

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trees to  
eration, g

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trees to

*Generating involutions, derangements, and relatives by ECO*

Vincent Vajnovszki

LE2I – UMR CNRS, Université de Bourgogne, B.P. 47 870, 21078 DIJON-Cedex France.  
Email: vvajnov@u-bourgogne.fr

Integer Partitions in Discrete Dynamical Models and ECO Method\*

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- Finite Simples - Poly Classes

- HERB

# Struct

Most of the methods described so far:

“expand a particular structure tree and hope it ends up being finite”

Struct is a software package that takes a permutation class as input and searches for a *set cover* that decomposes it into simpler disjoint parts.

MATHEMATICS OF COMPUTATION

Volume 88, Number 318, July 2019, Pages 1967–1990

<https://doi.org/10.1090/mcom/3386>

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## AUTOMATIC DISCOVERY OF STRUCTURAL RULES OF PERMUTATION CLASSES

CHRISTIAN BEAN, BJARKI GUDMUNDSSON, AND HENNING ULFARSSON

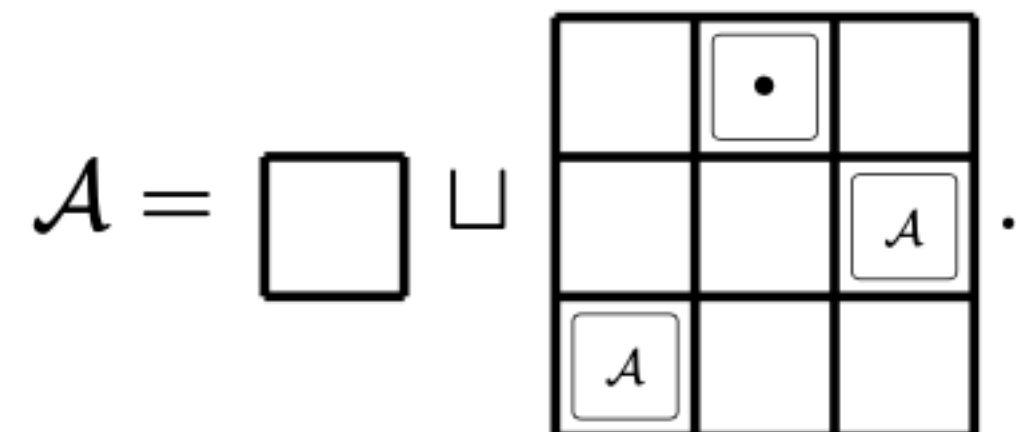


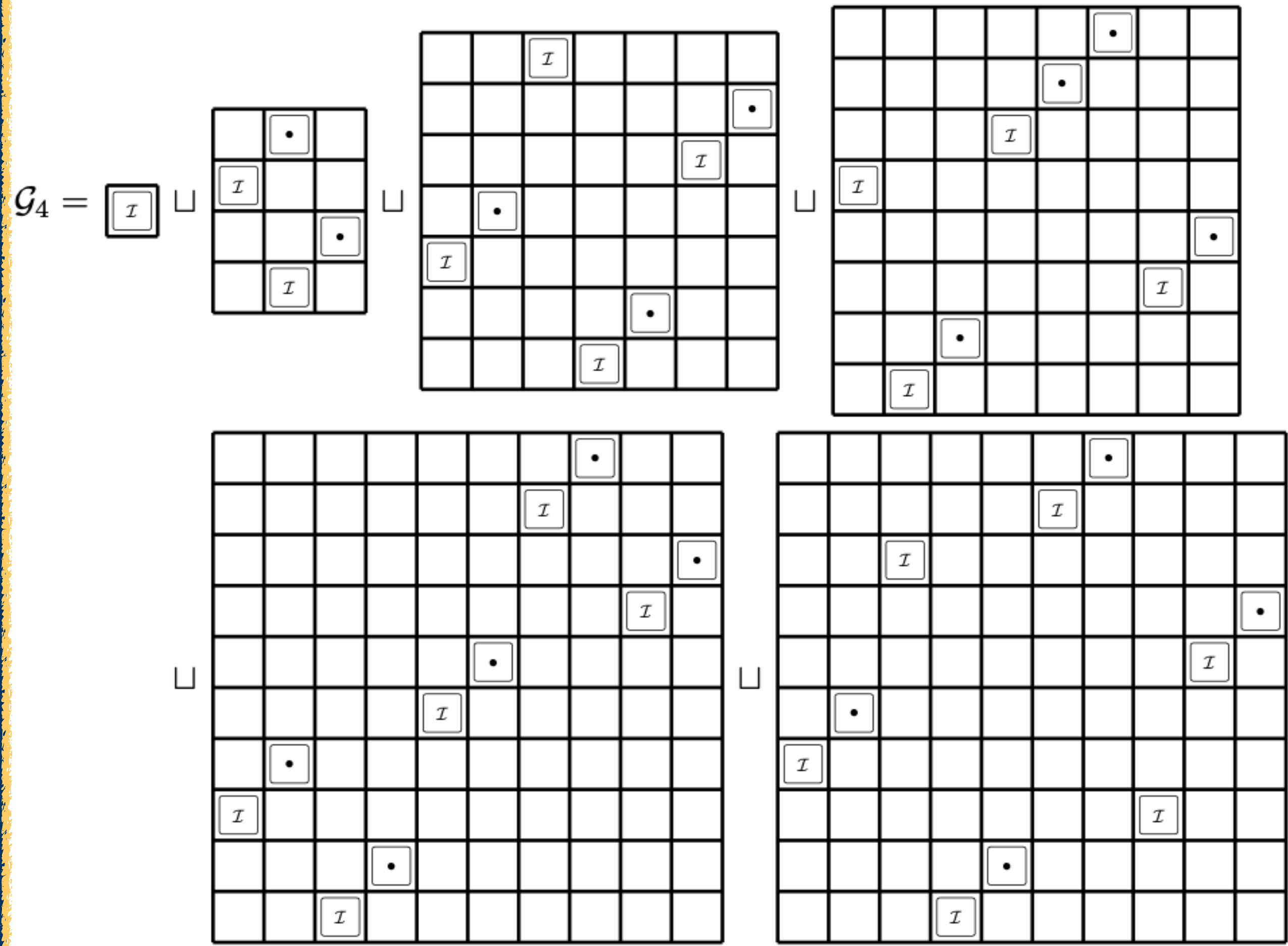
FIGURE 1. The structure of  $\mathcal{A}v(231)$

# Struct

$$\mathcal{G}_4 = Av(321, 1324)$$

## AUTOMATIC DISCOVERY OF STRUCTURAL RULES OF PERMUTATION CLASSES

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# Struct

## Method:

- ▶ Construct a big list of grids that make subsets of the input class.
- ▶ Set up an integer linear programming problem to pick a subset of grids that forms a set cover (each permutation in the class gives one constraint).
- ▶ Feed it into an ILP solver like Gurobi and wait patiently for a solution.

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## AUTOMATIC DISCOVERY OF STRUCTURAL RULES OF PERMUTATION CLASSES

CHRISTIAN BEAN, BJARKI GUDMUNDSSON, AND HENNING ULFARSSON

rigorous

non-rigorous

experimental

- enumeration schemes  
WILF, WILFPLUS, Flexible Schemes (E)

- Struct
- BiSC

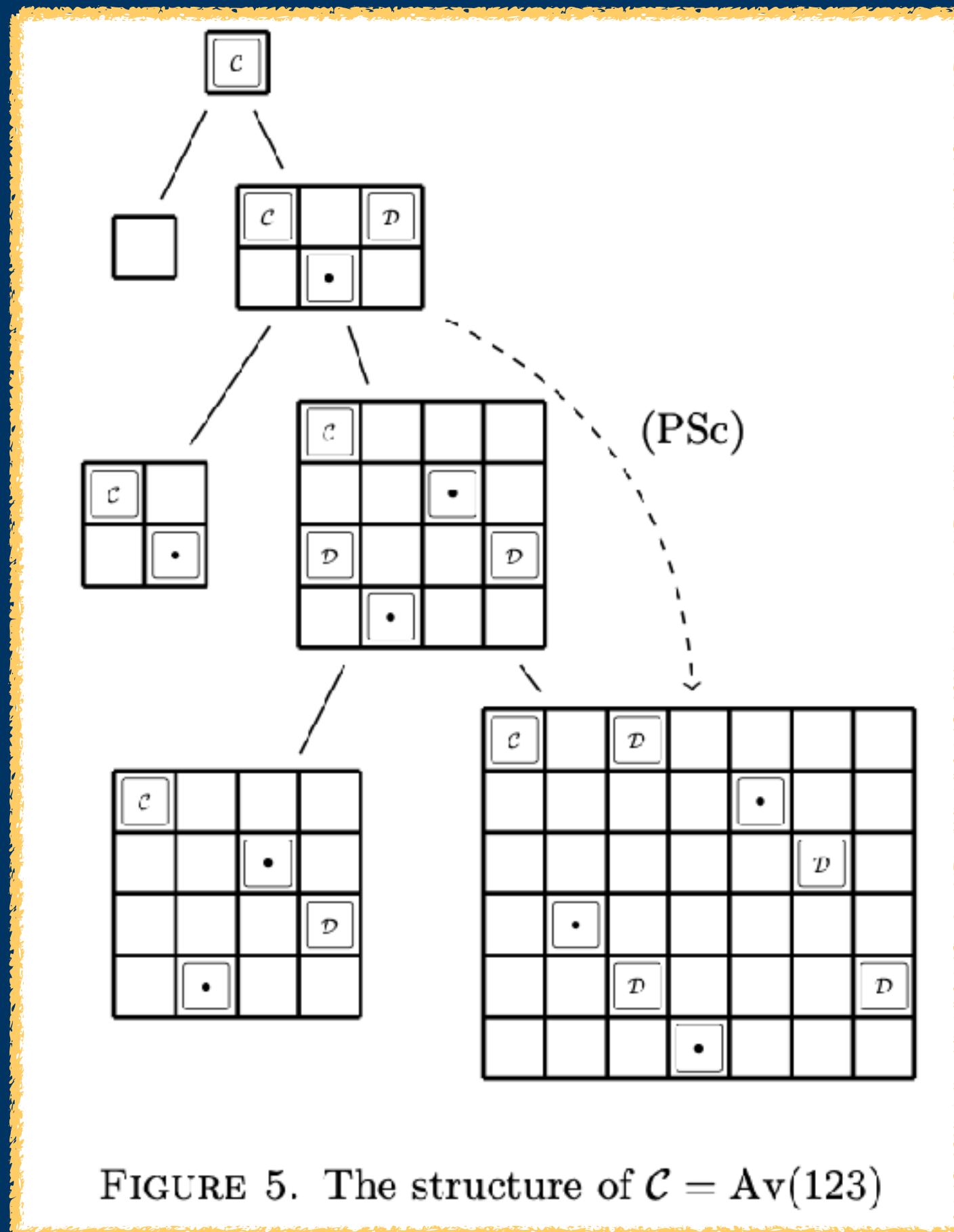
non-experimental

- generating trees (E)
- FINLABEL
- ECO Method
- Combinatorial Generation
- Regular Insertion Enc.
- Finite Simples - Poly Classes

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# Combinatorial Exploration

At the end of the Struct paper, the authors discuss some classes that Struct can't do along with a possible future approach.

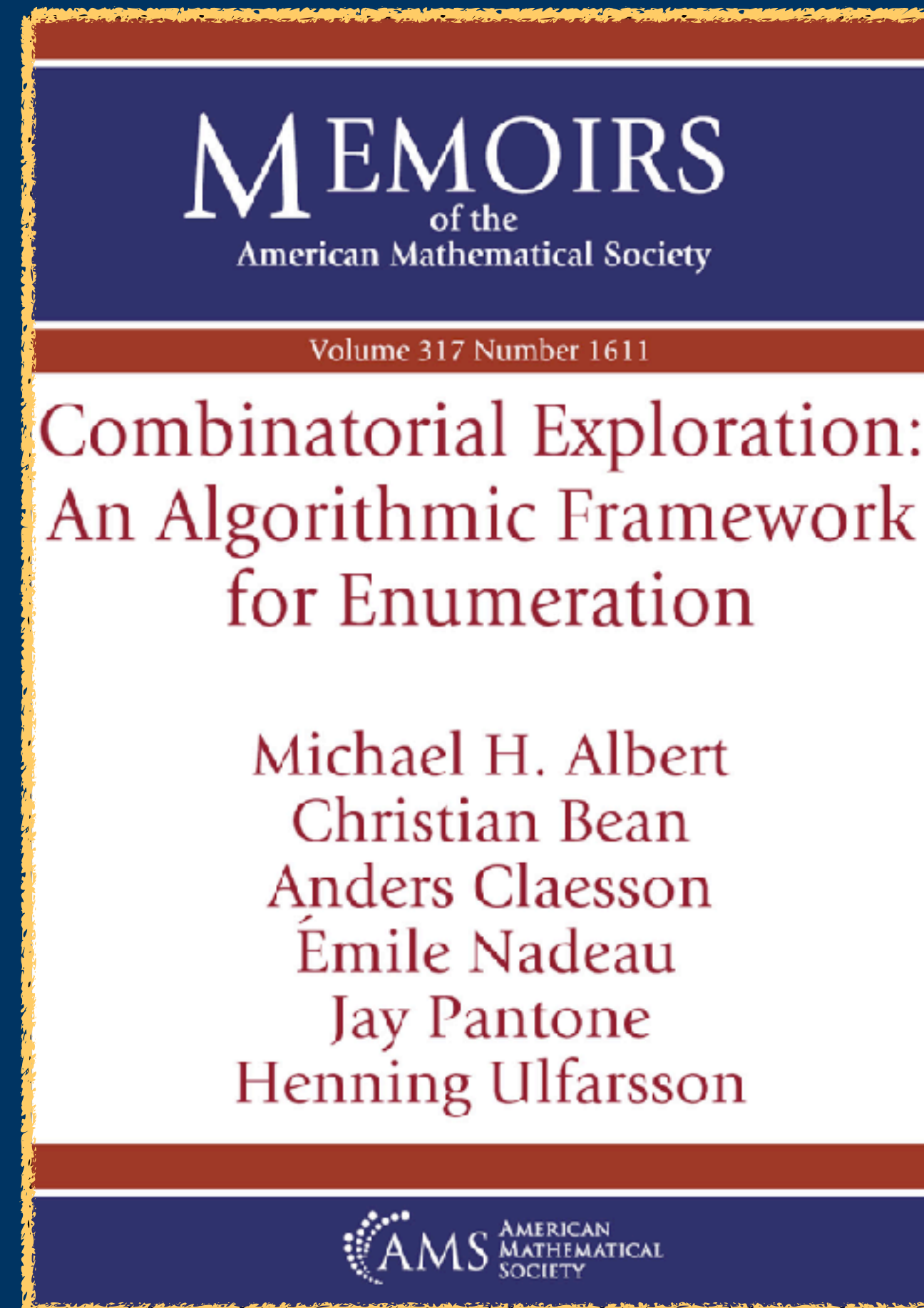


“proof tree”

# Combinatorial Exploration

I started talking with Henning Ulfarsson and Christian Bean at PP 2016.

Many years later...



# Combinatorial Exploration

Key insights:

1. Instead of expanding one particular structure tree and hoping it ends up **being finite**: produce a bunch of independent “rules” that relate a parent set to child sets, and hope that some subset of these rules can be assembled into a tree

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# Combinatorial Exploration

Key insights:

1. Instead of expanding one particular structure tree and hoping it ends up **being finite**: produce a bunch of independent “rules” that relate a parent set to child sets, and hope that some subset of these rules can be assembled into a tree
2. We need a much more efficient way to represent sets of permutations.
3. If (1) and (2) are done correctly, then the result can still be fully rigorous.

$C$

$$C = Av(132)$$

$C^+ = \text{nonempty perms}$

empty or not  
point placement  
row/col separation  
factor



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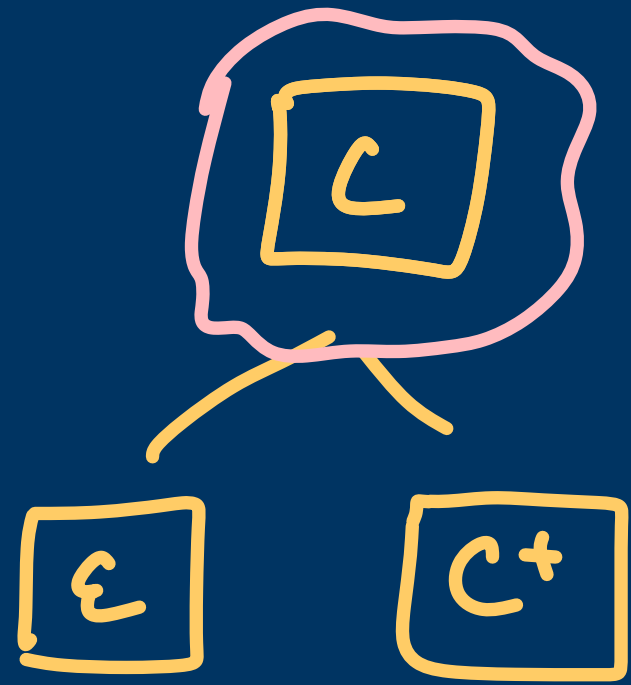
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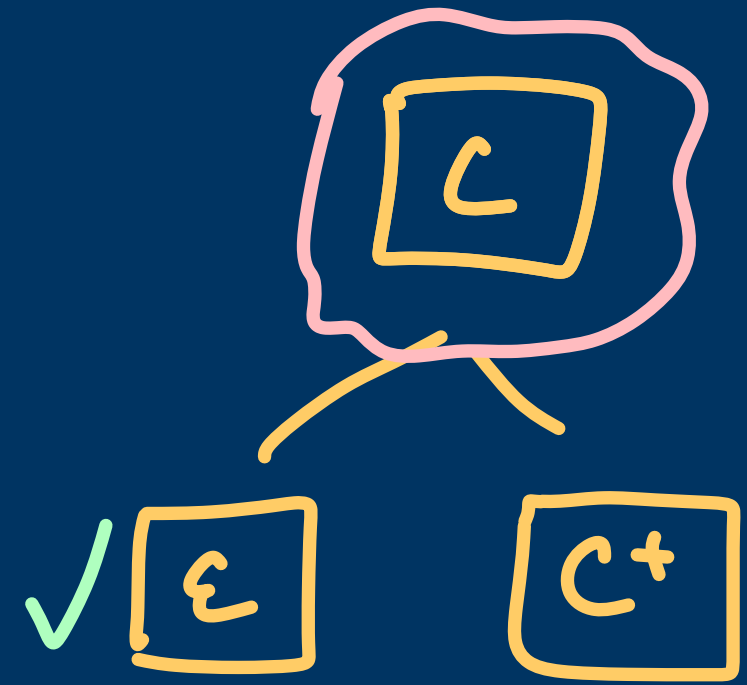
row/col separation

factor



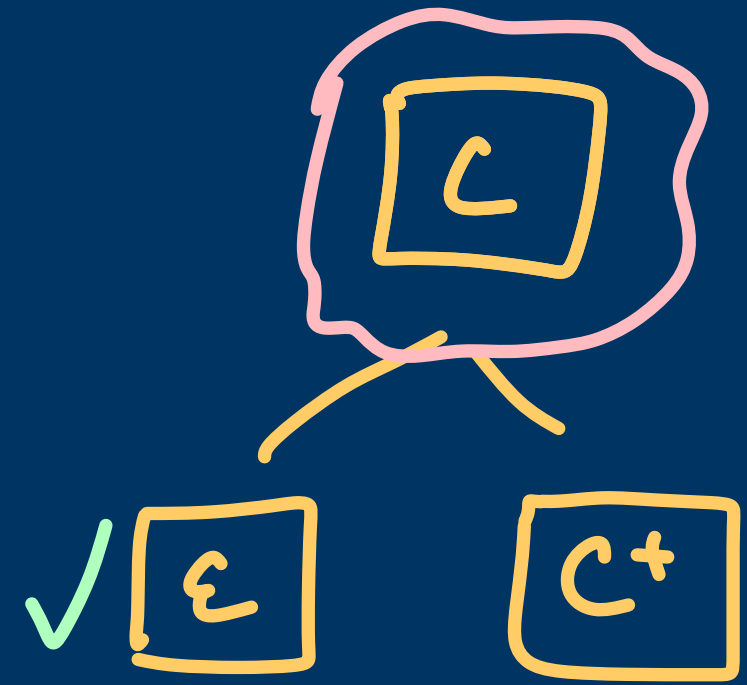
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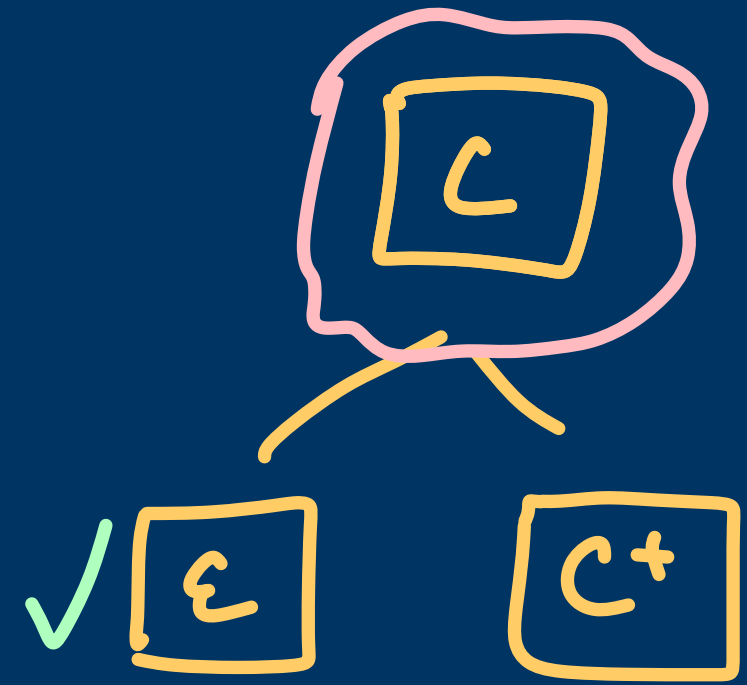
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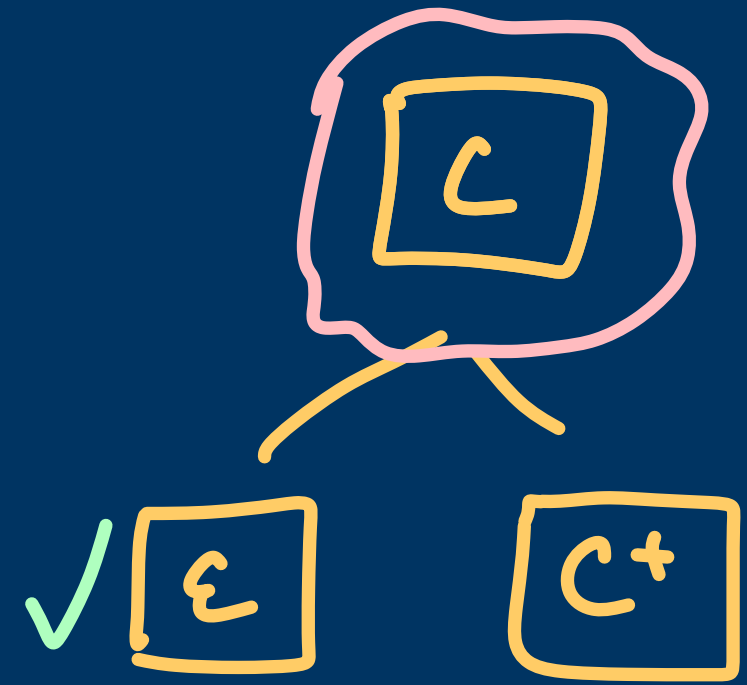
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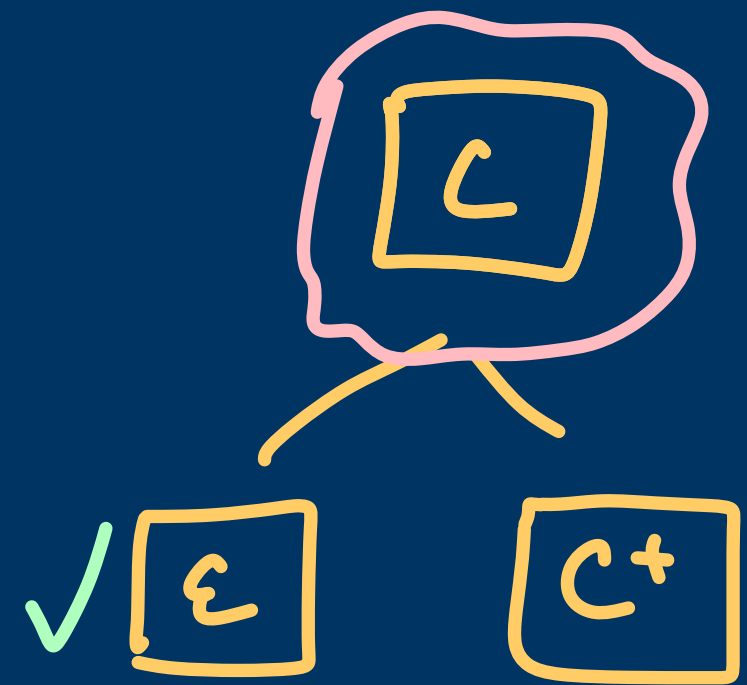
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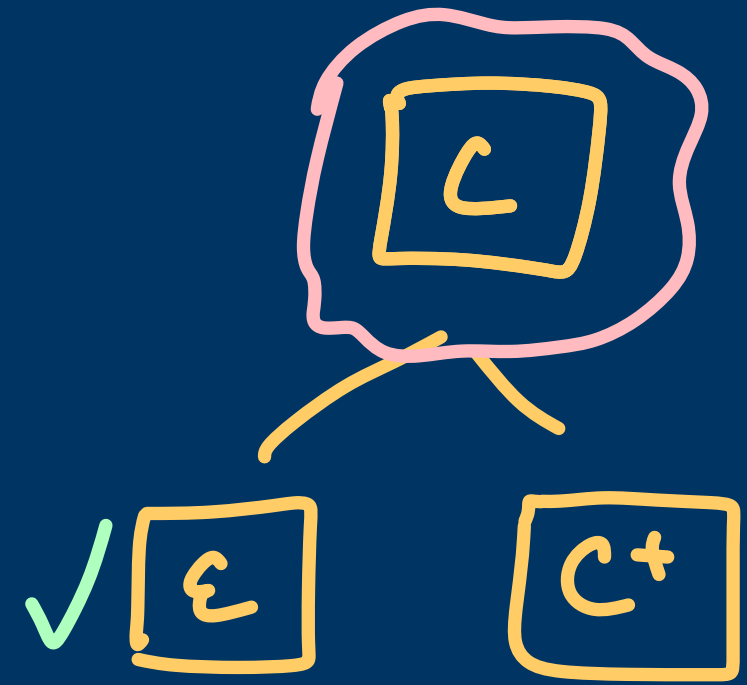
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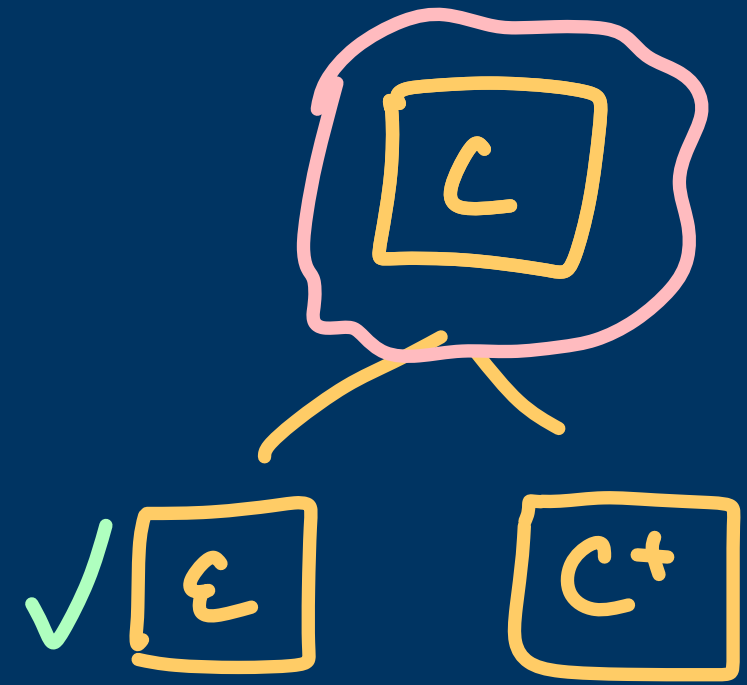
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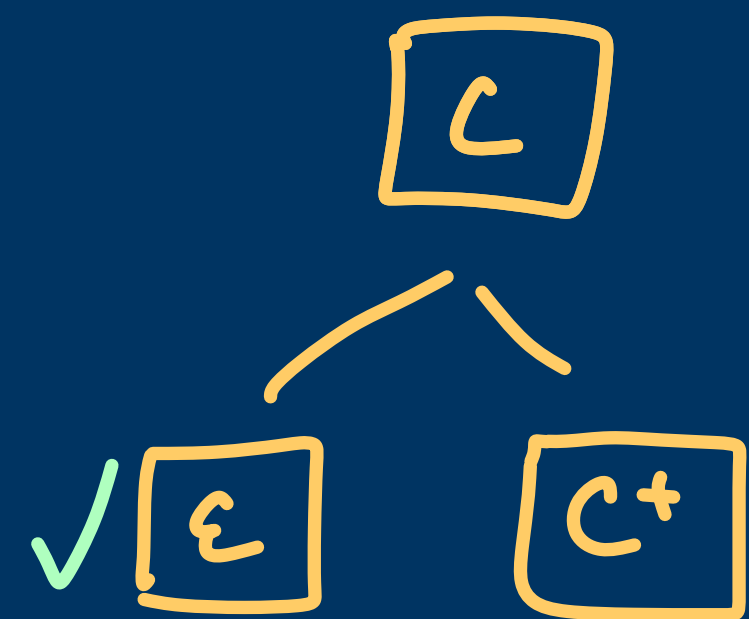
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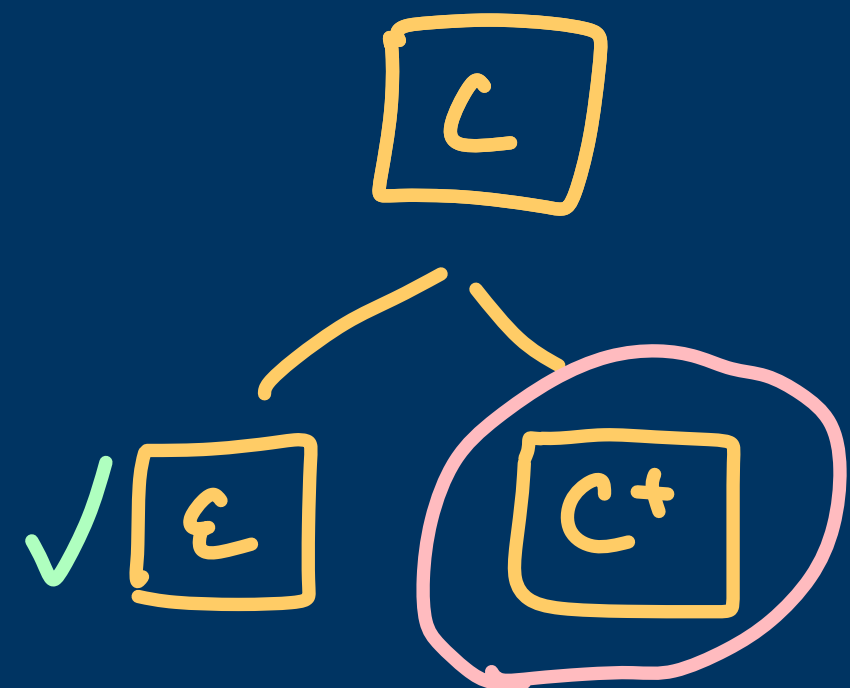
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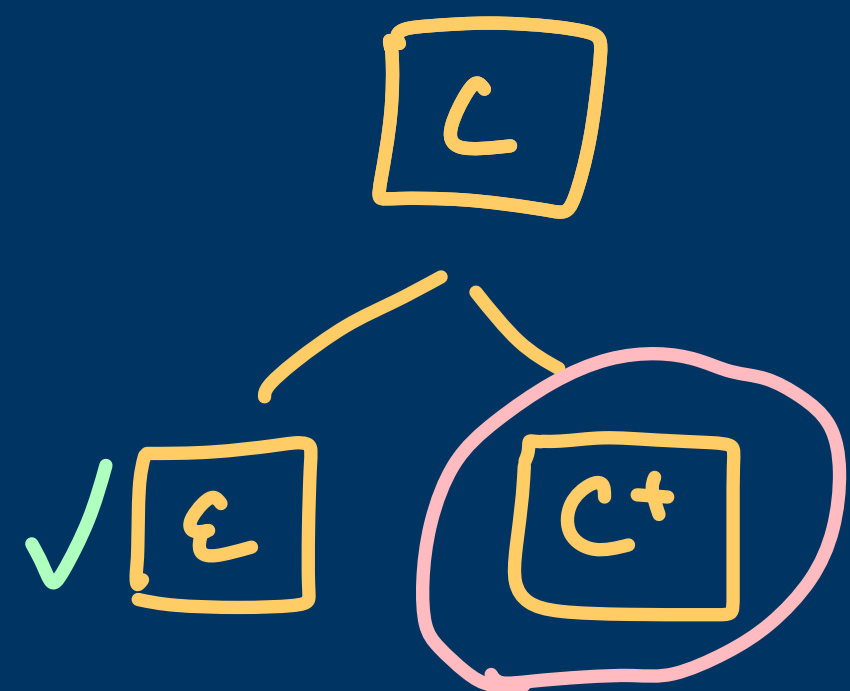
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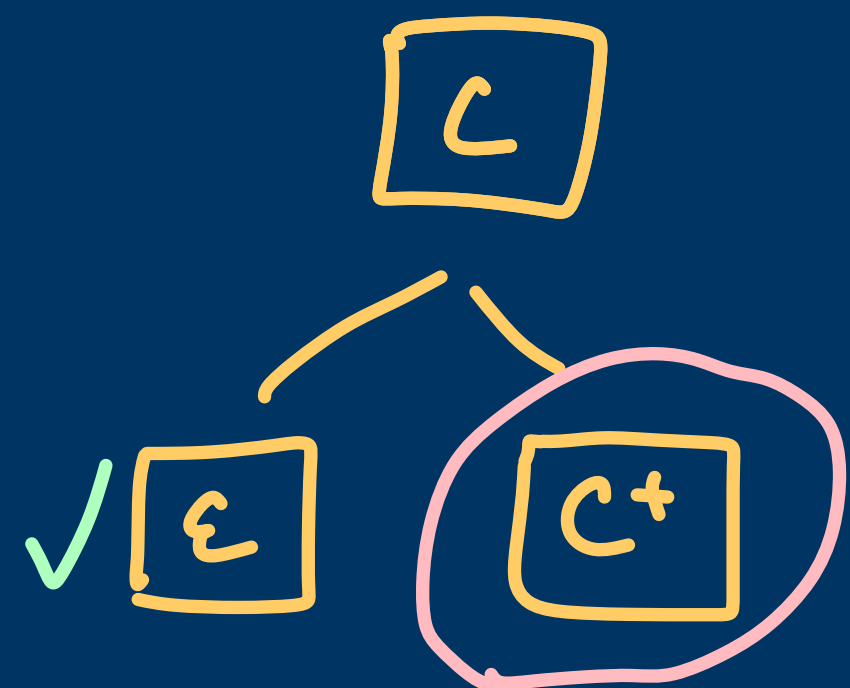
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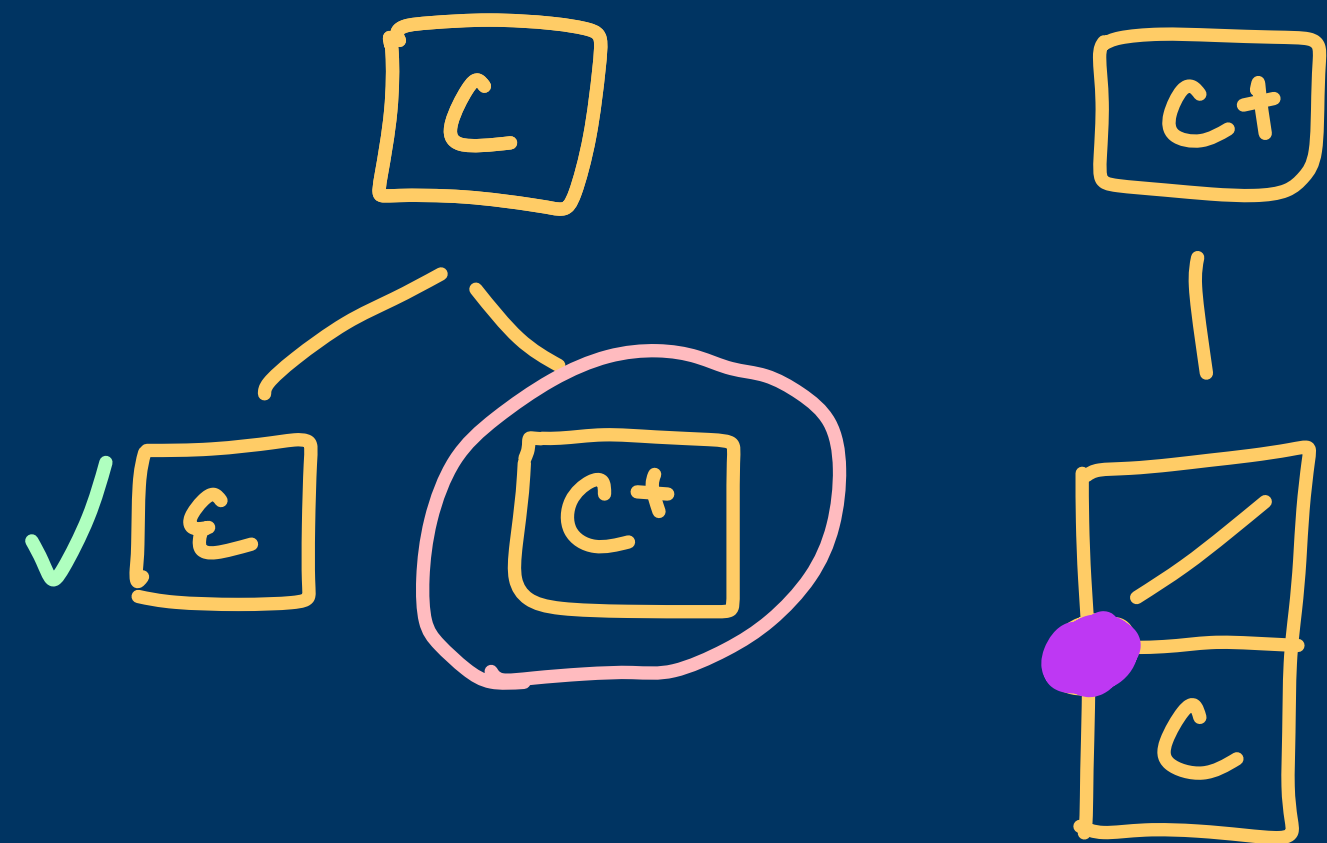
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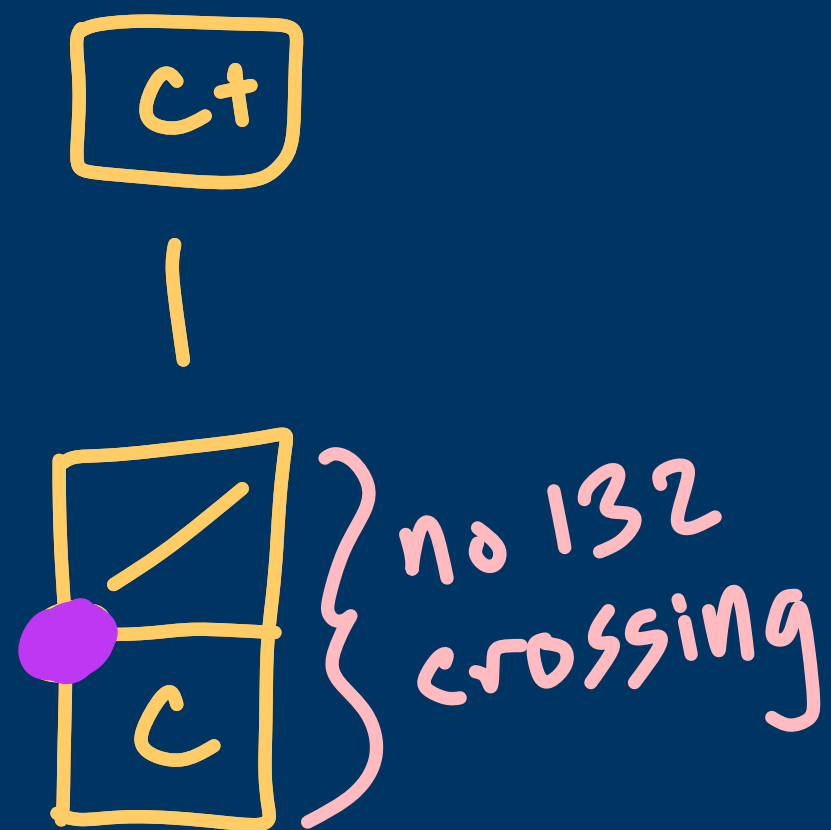
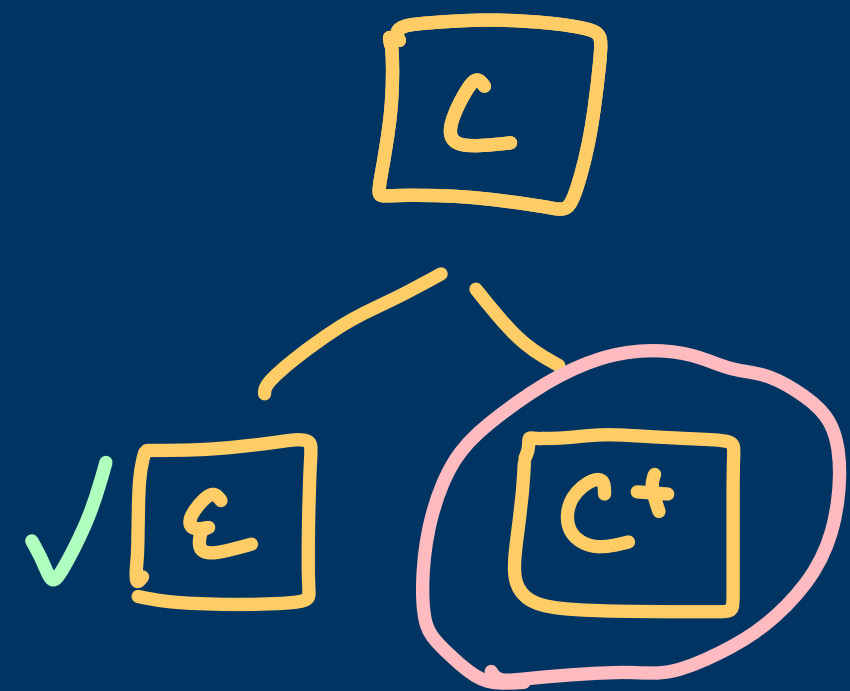
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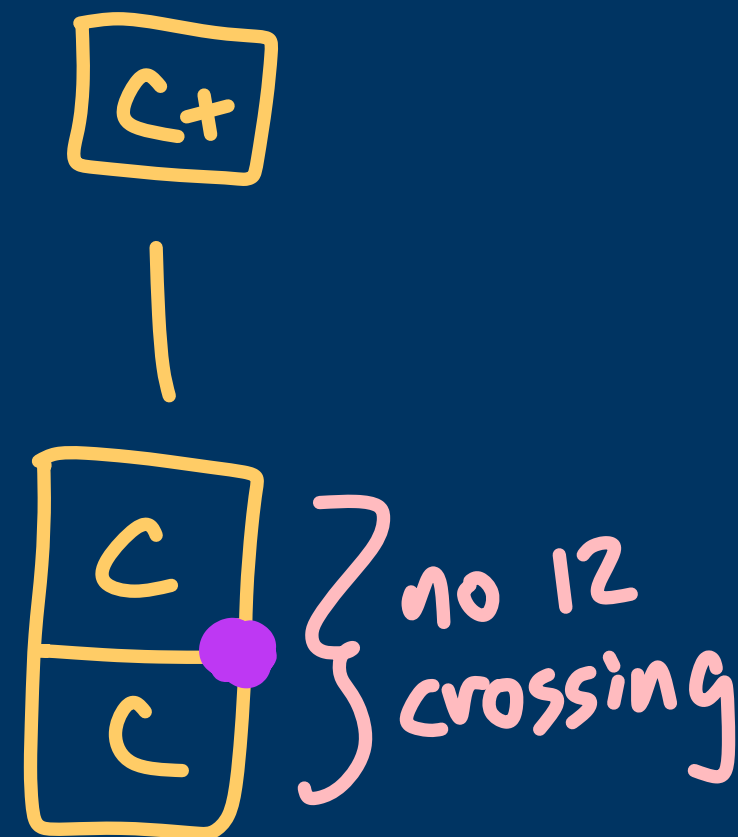
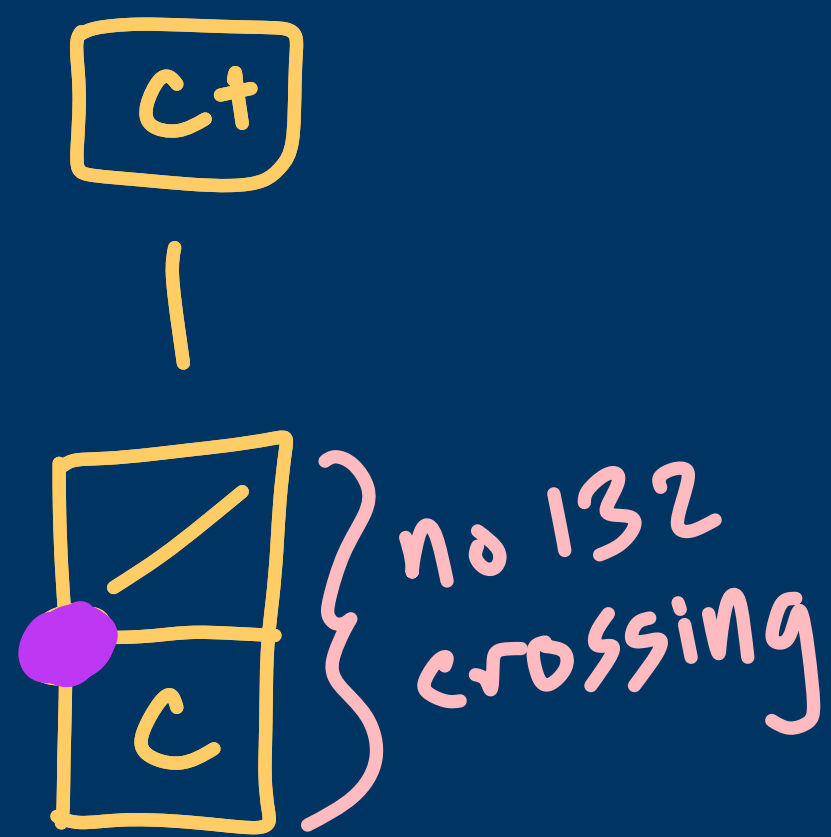
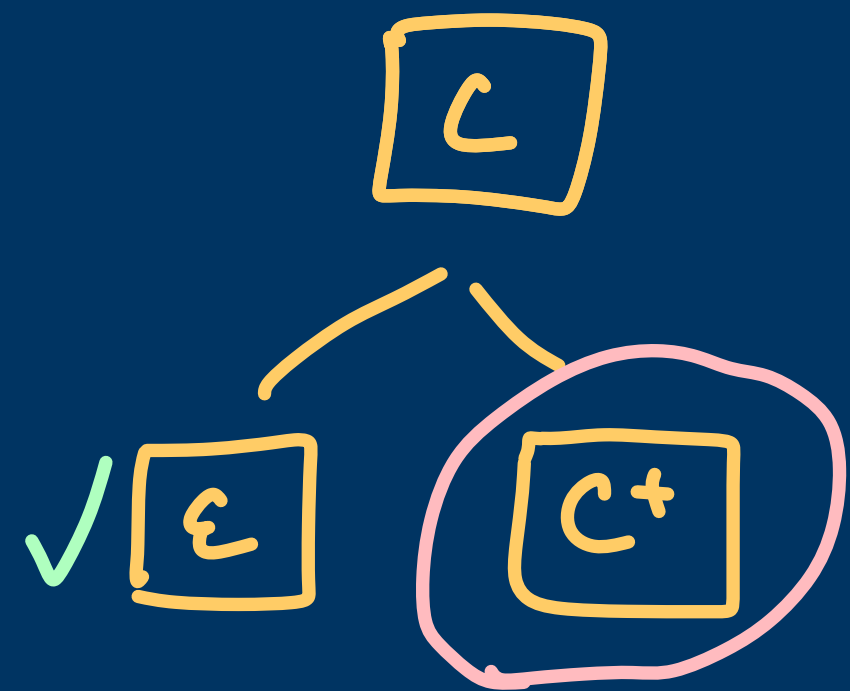
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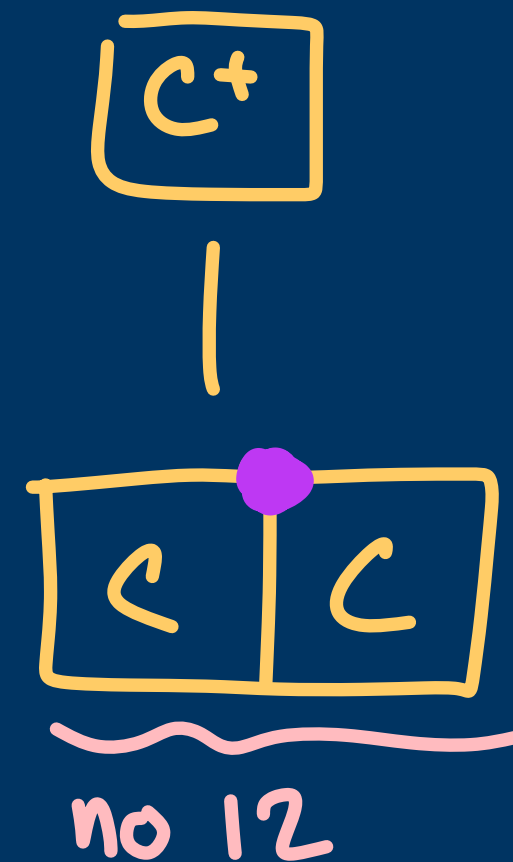
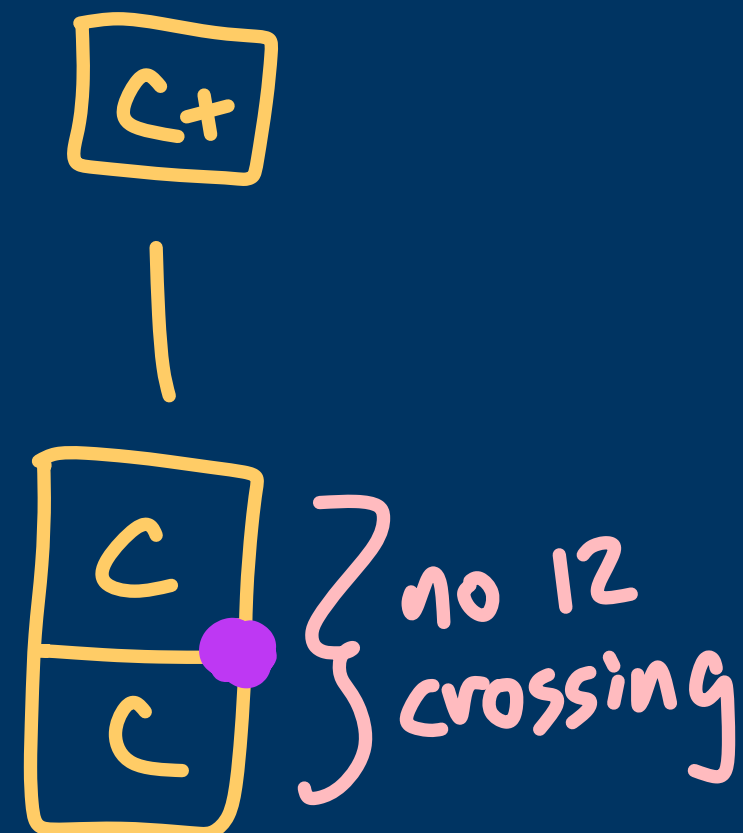
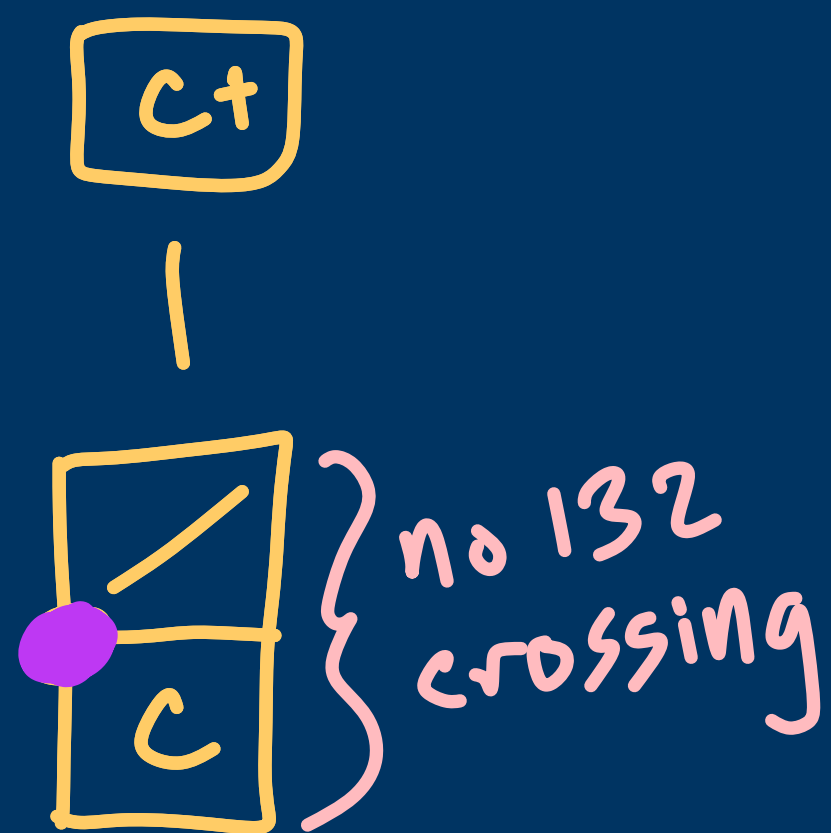
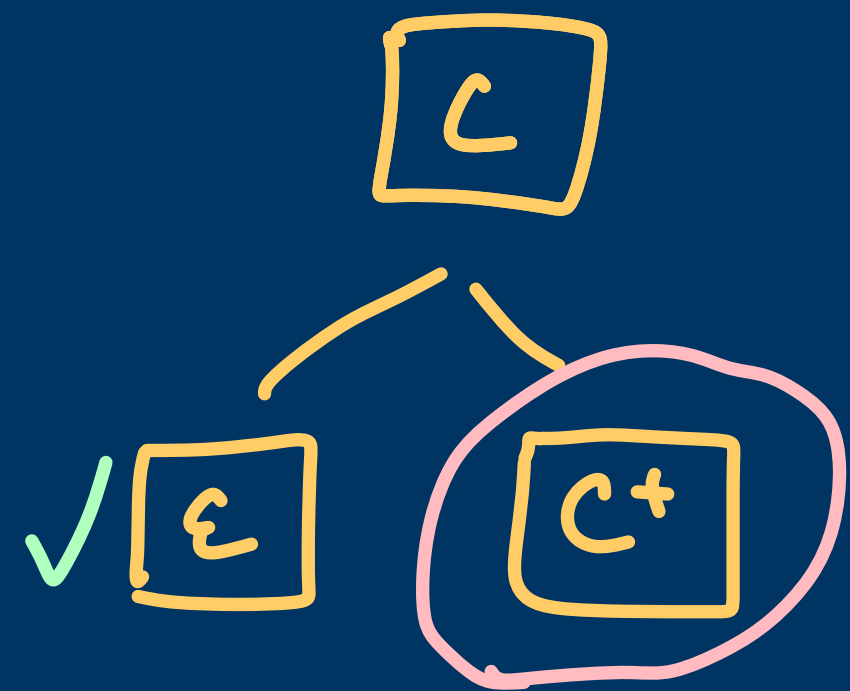
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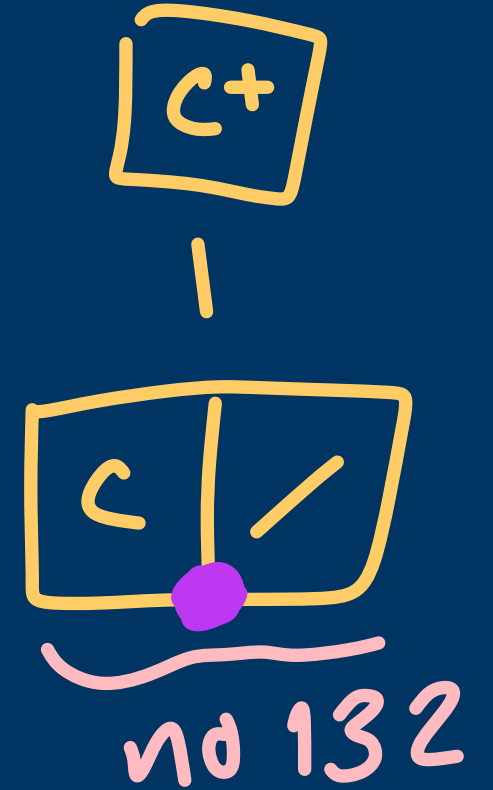
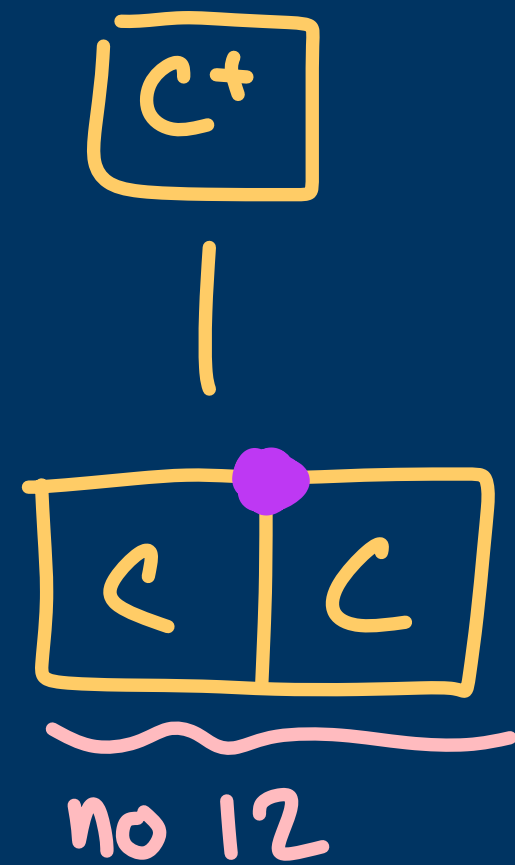
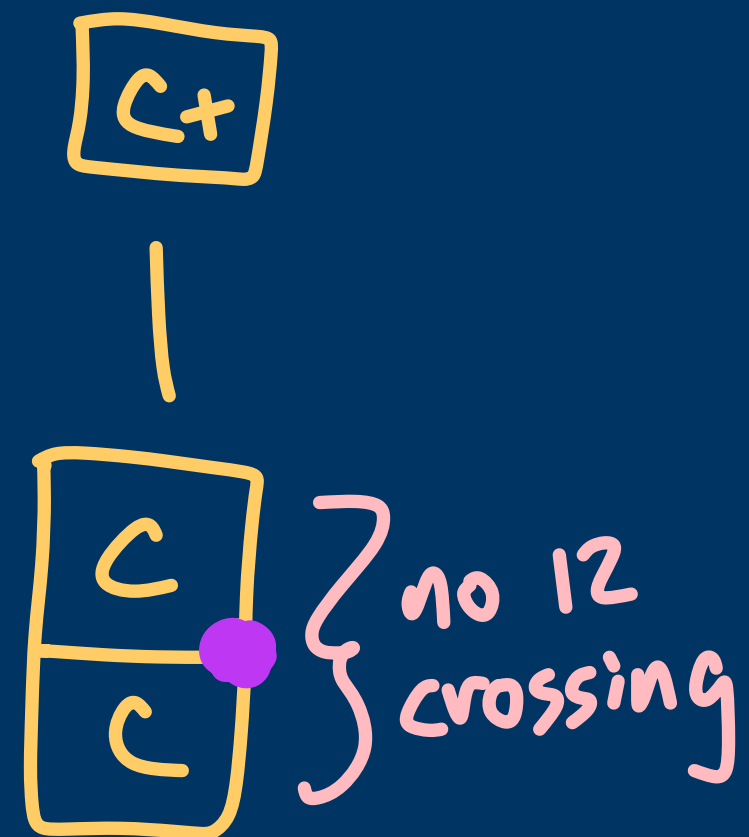
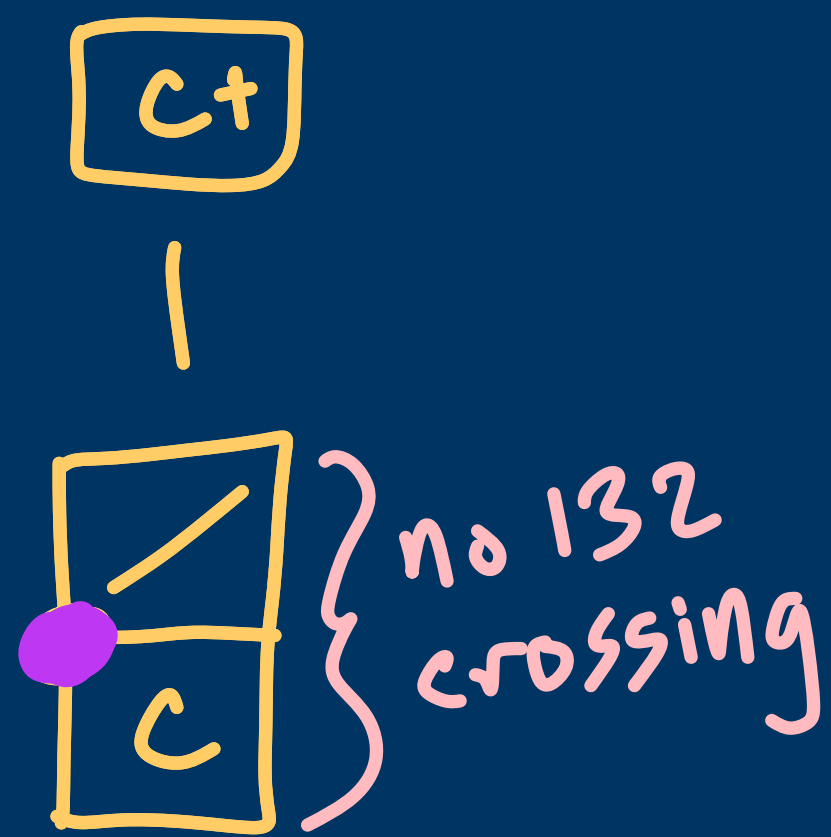
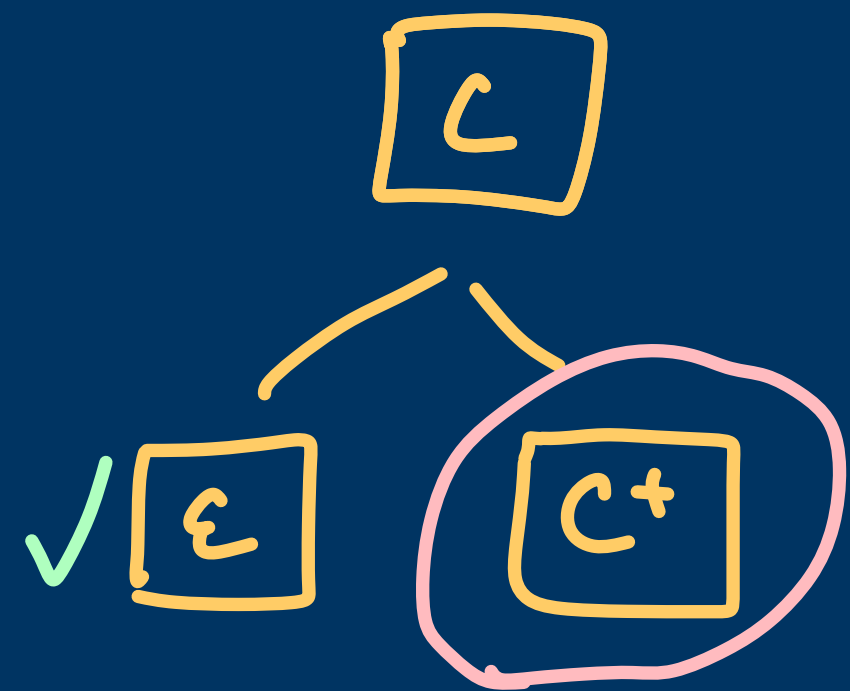
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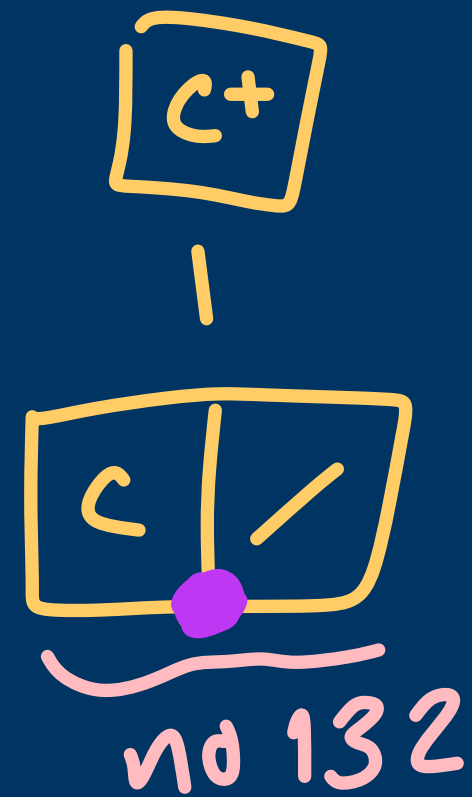
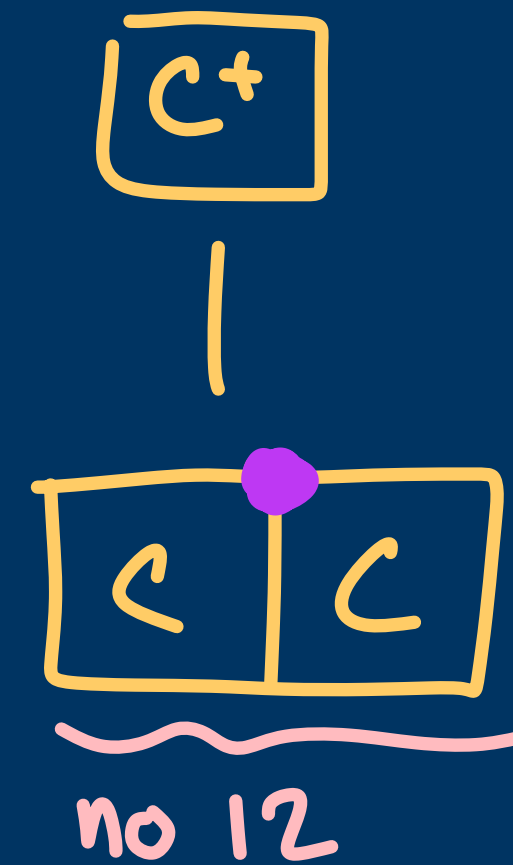
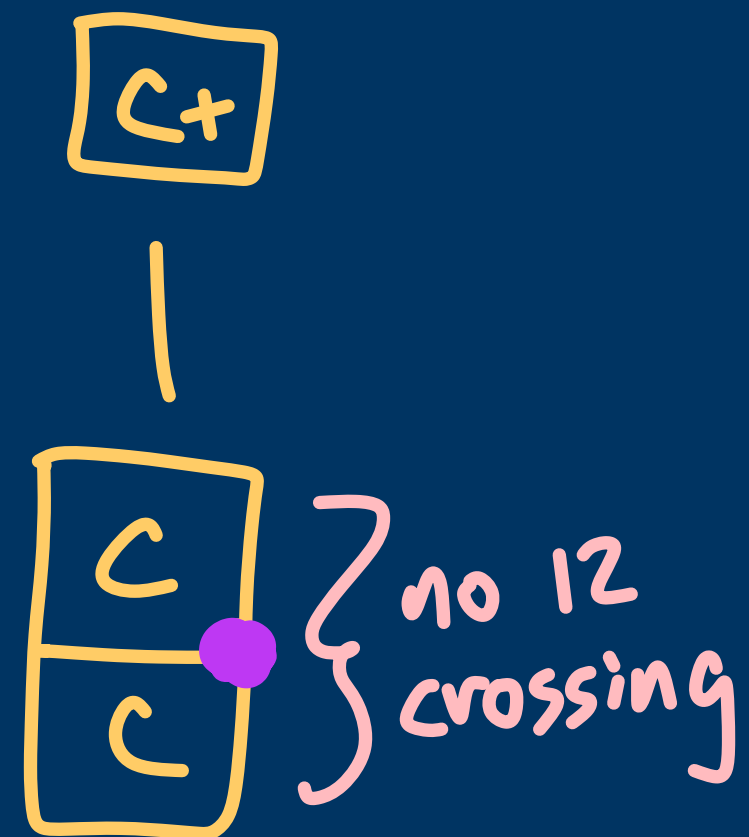
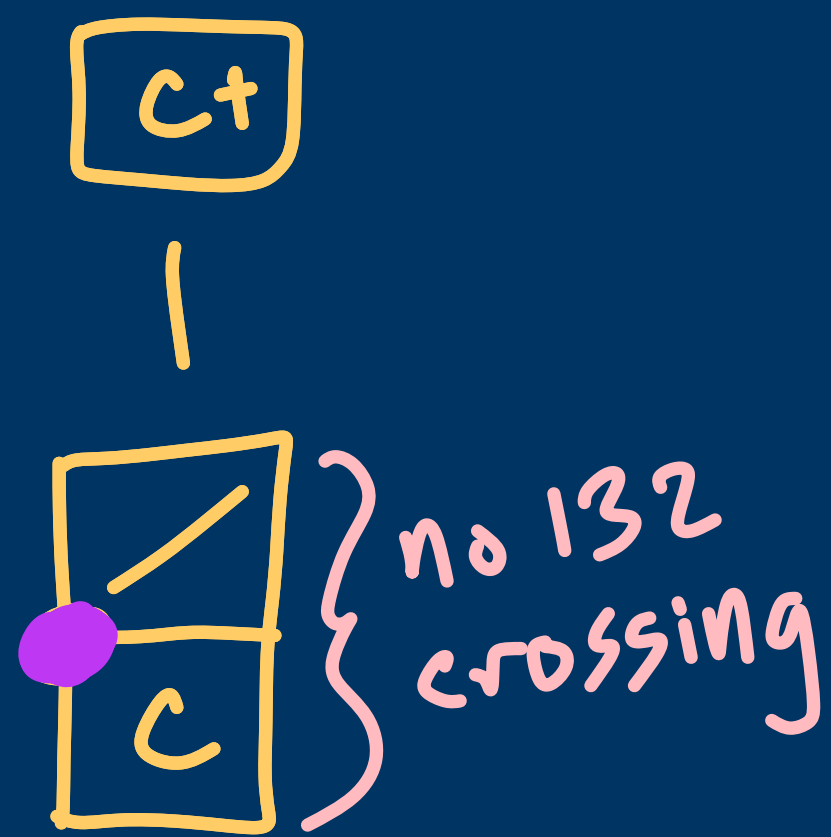
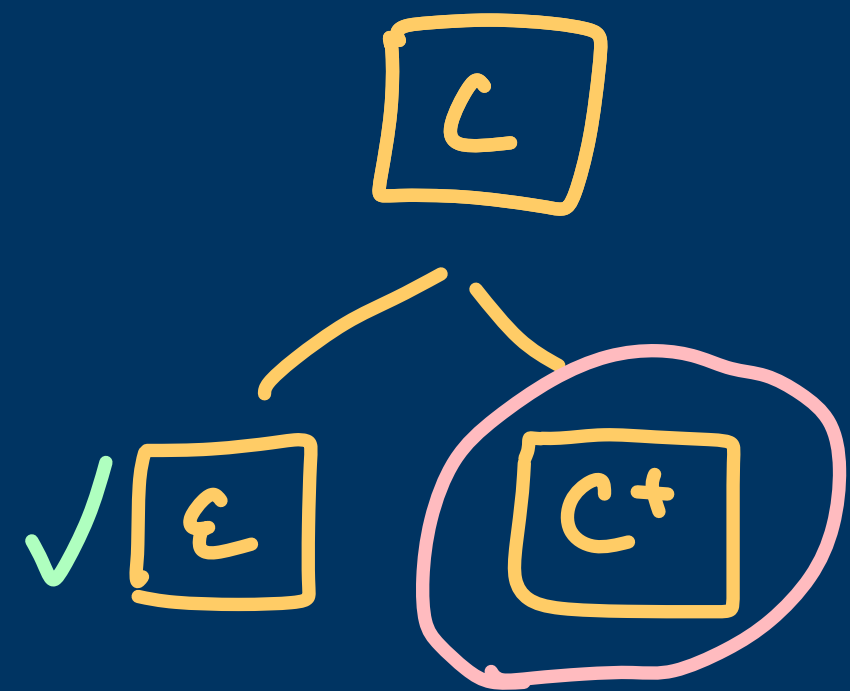
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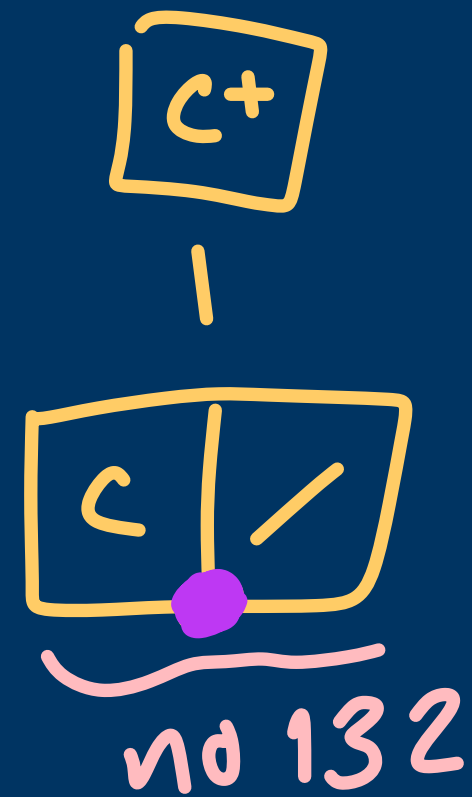
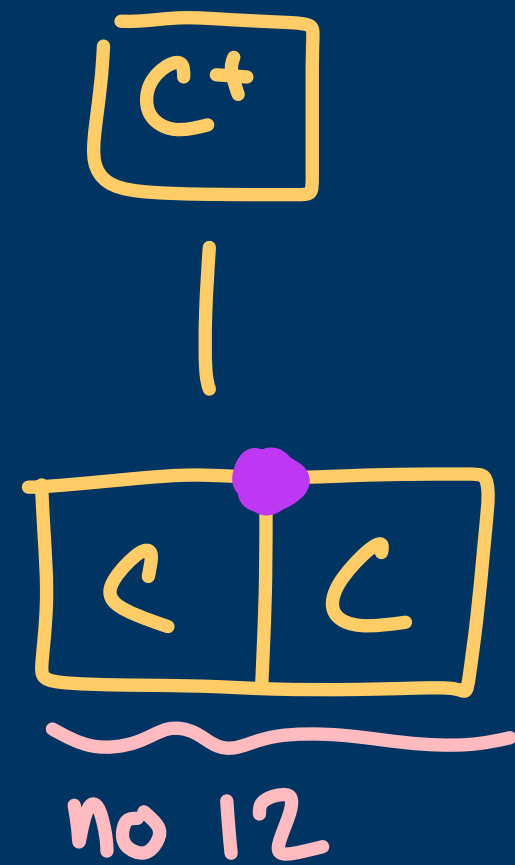
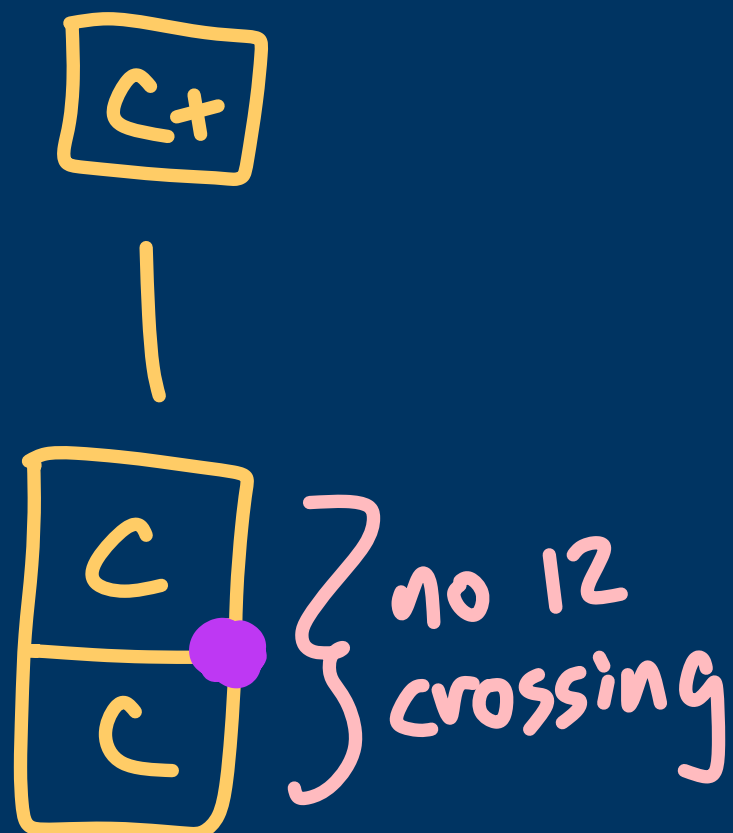
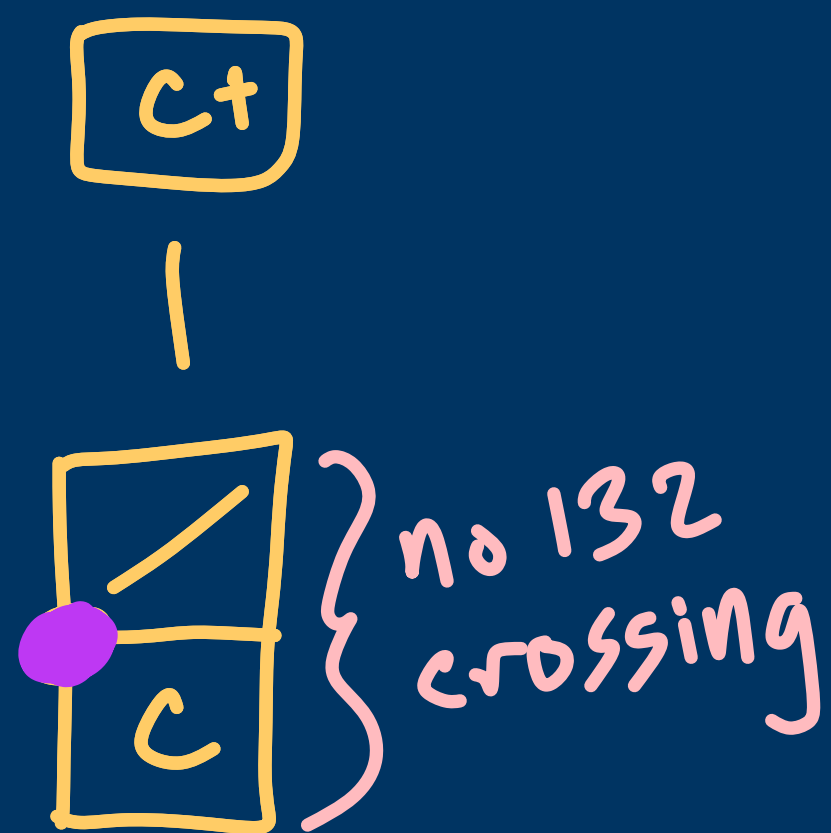
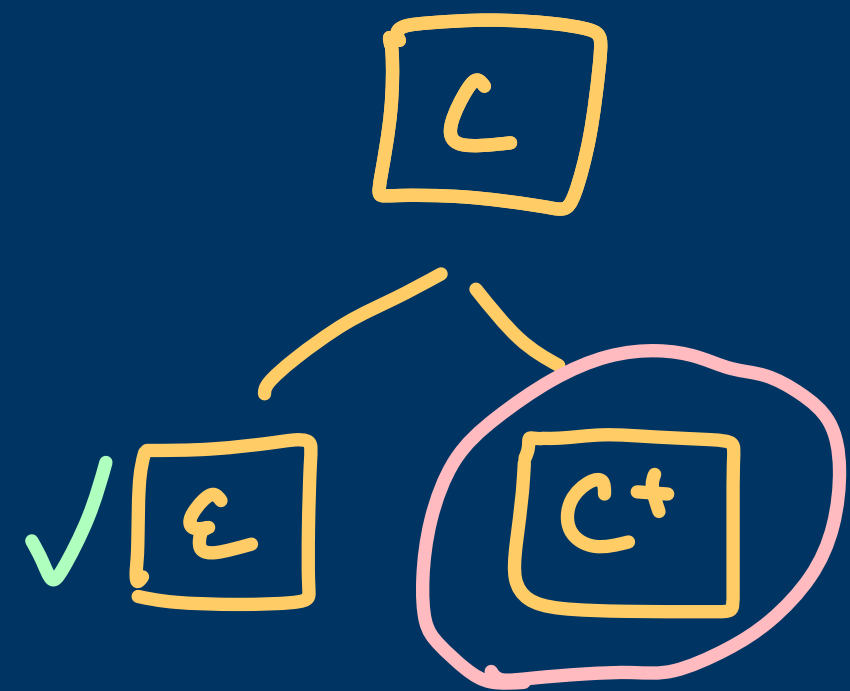
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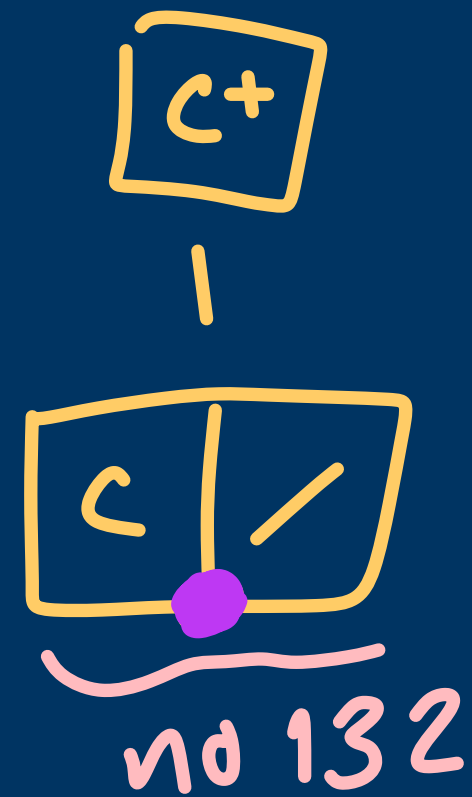
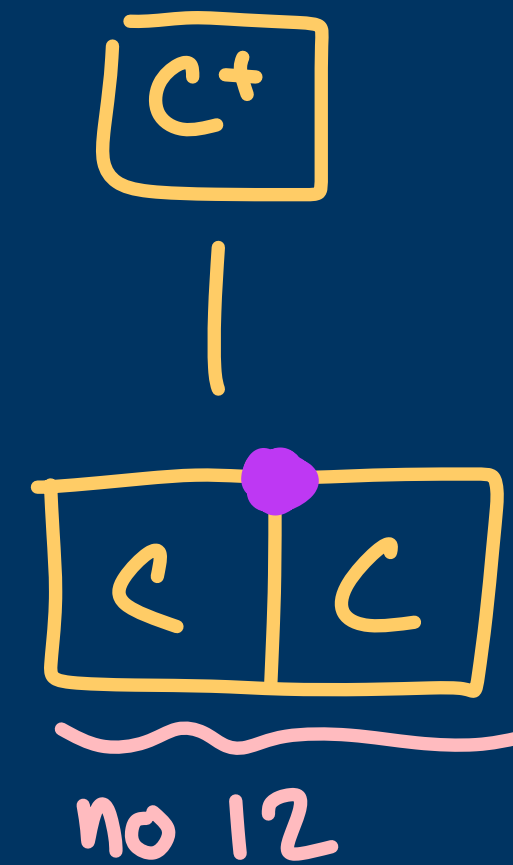
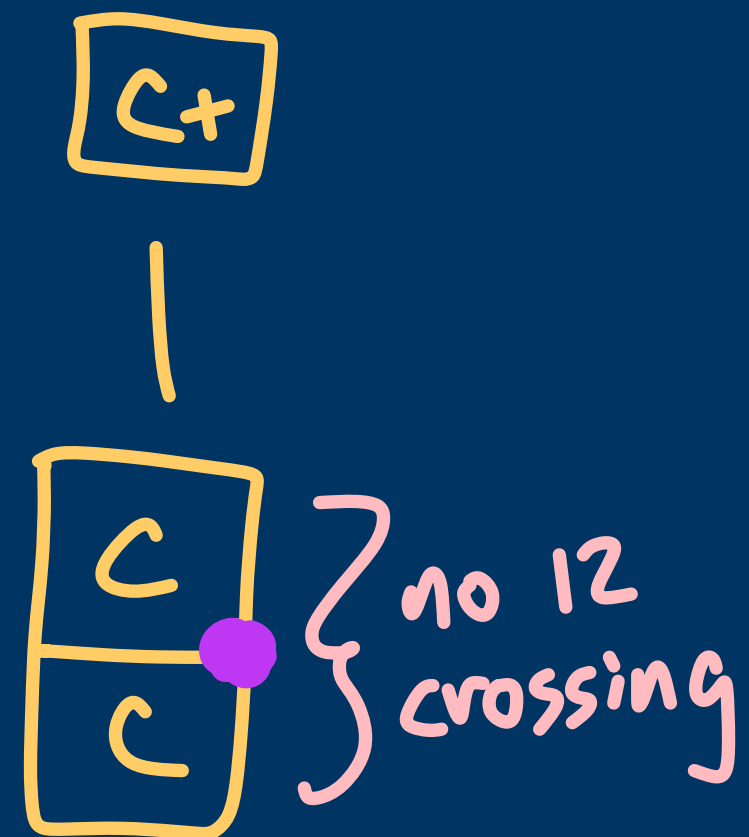
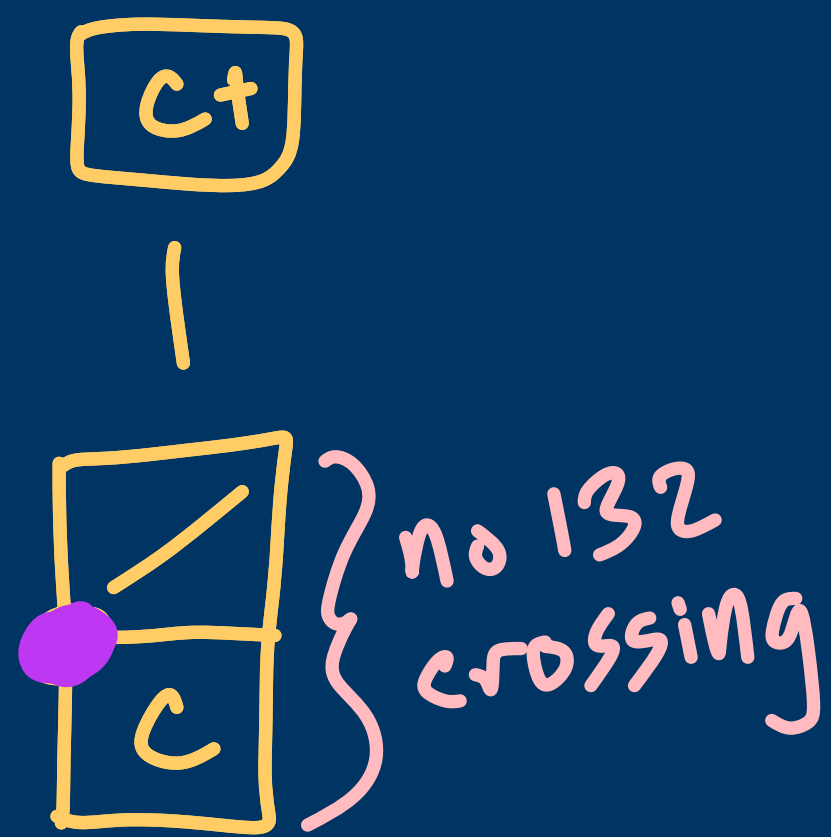
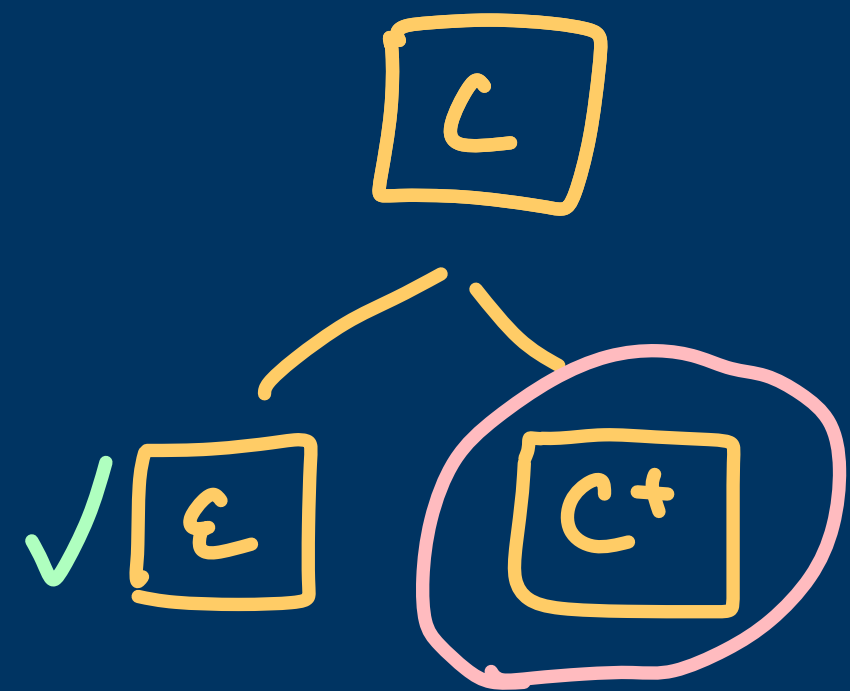
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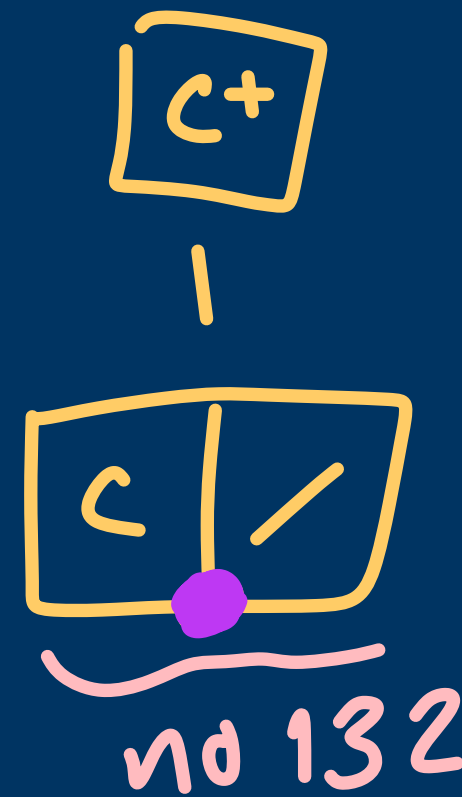
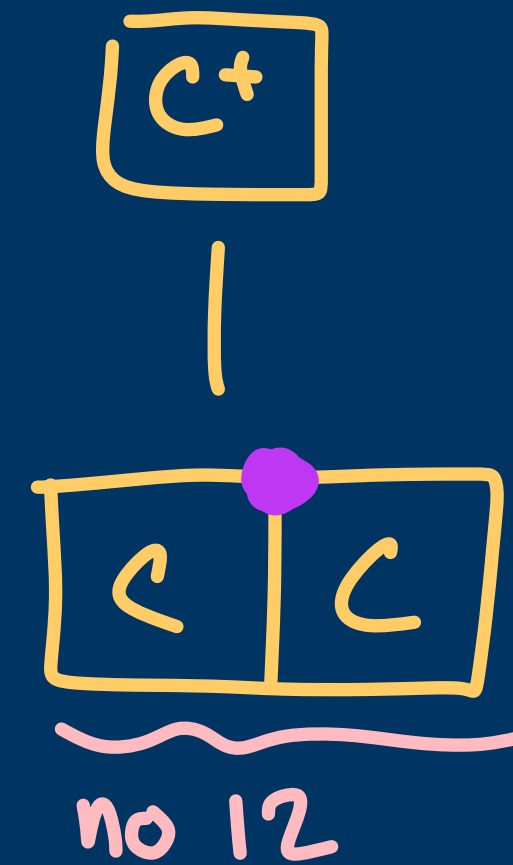
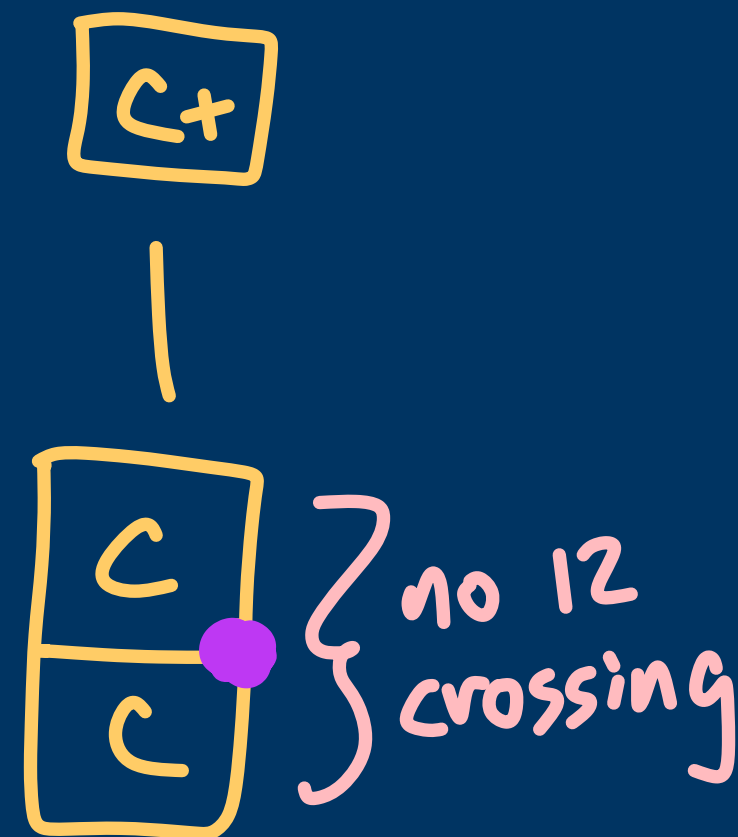
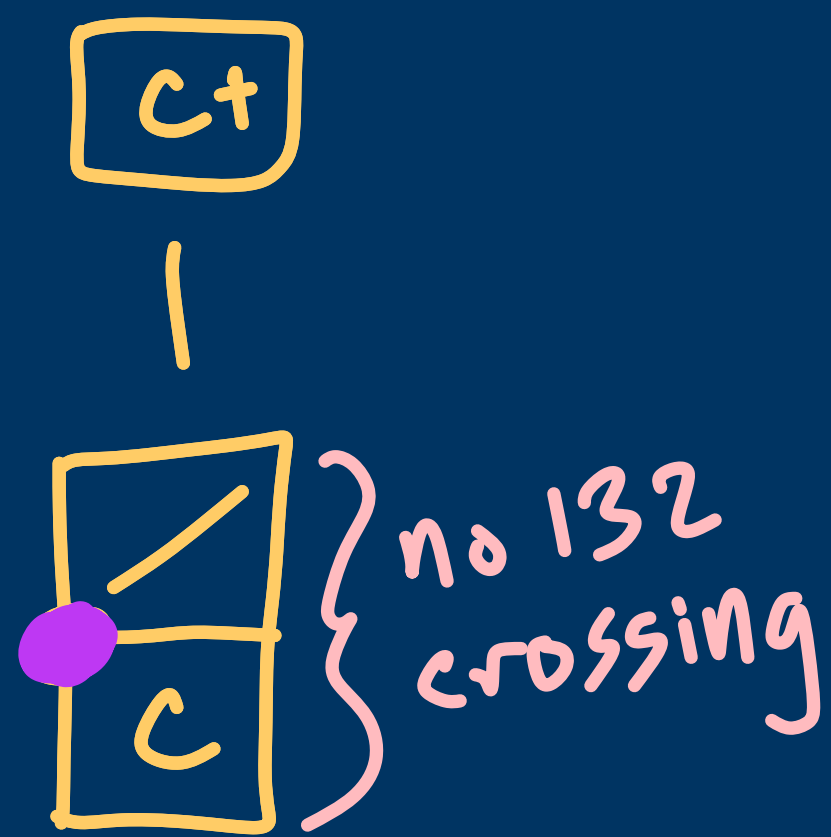
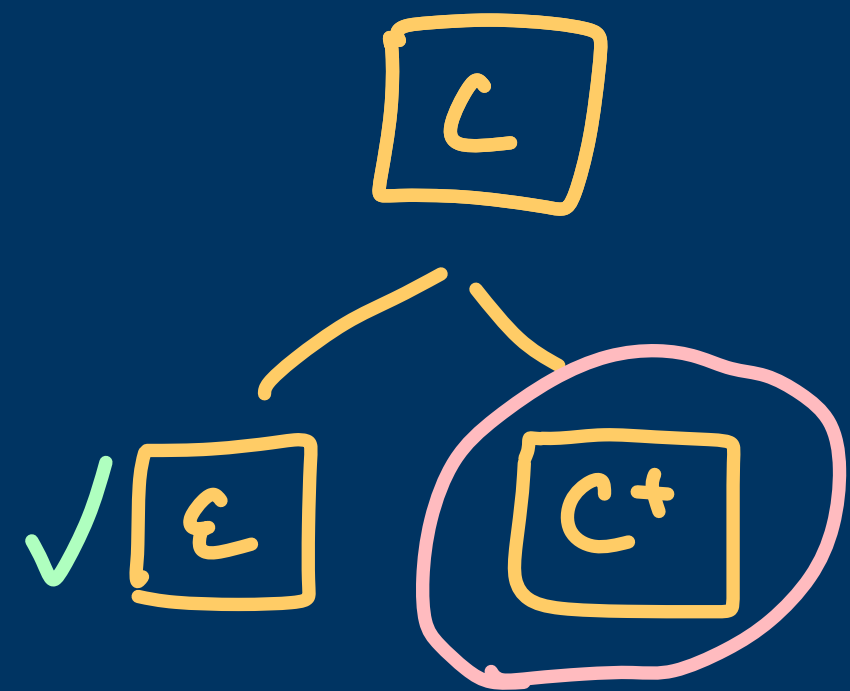
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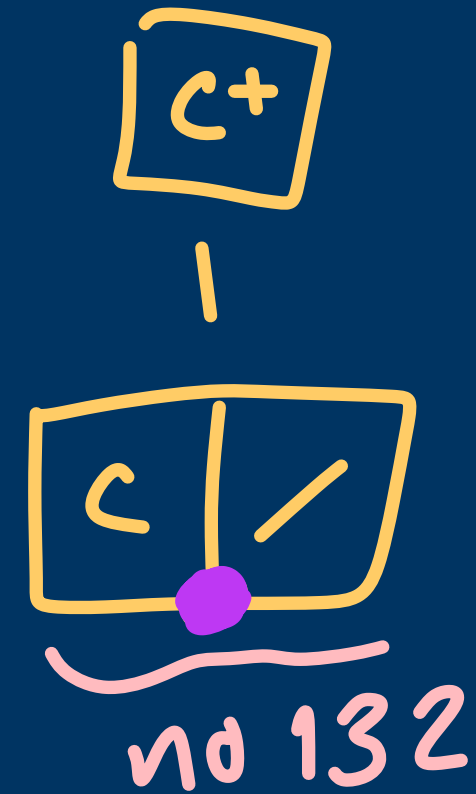
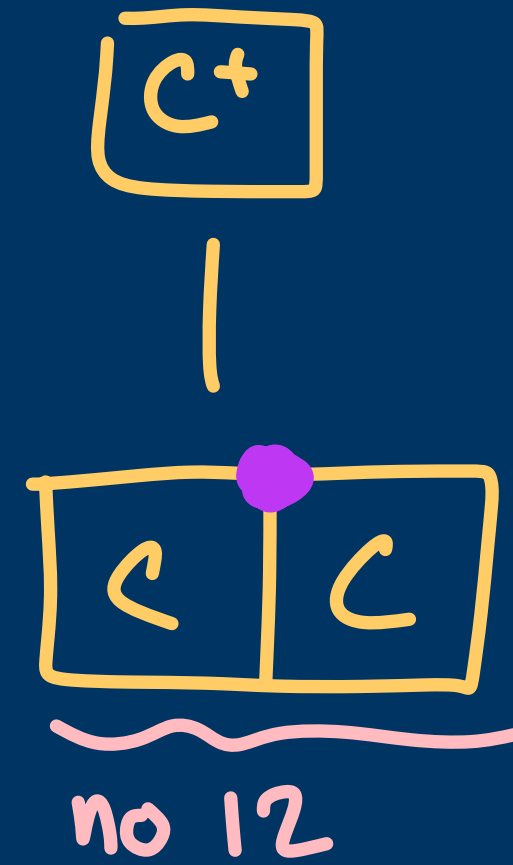
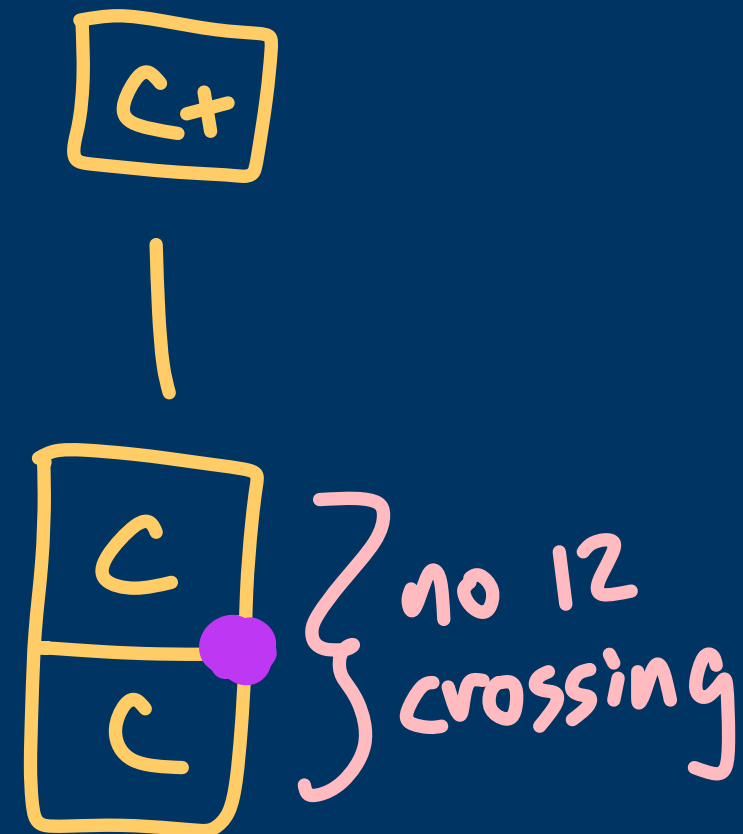
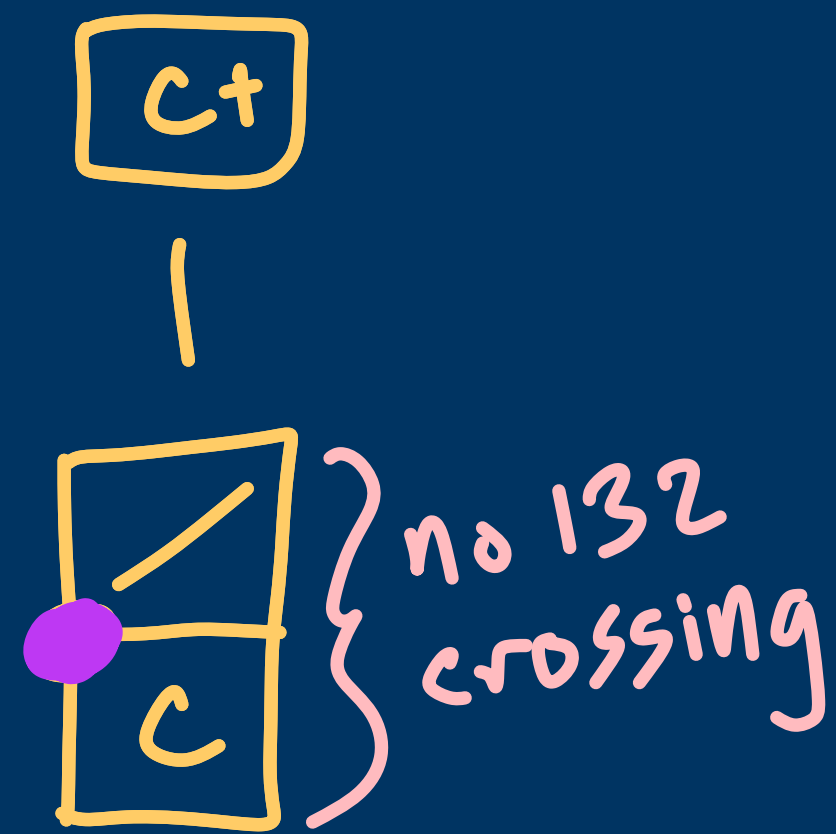
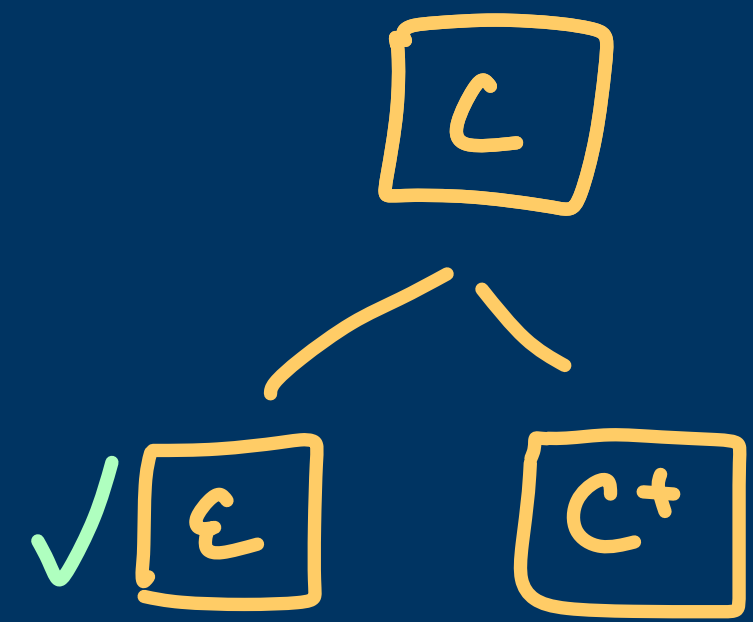
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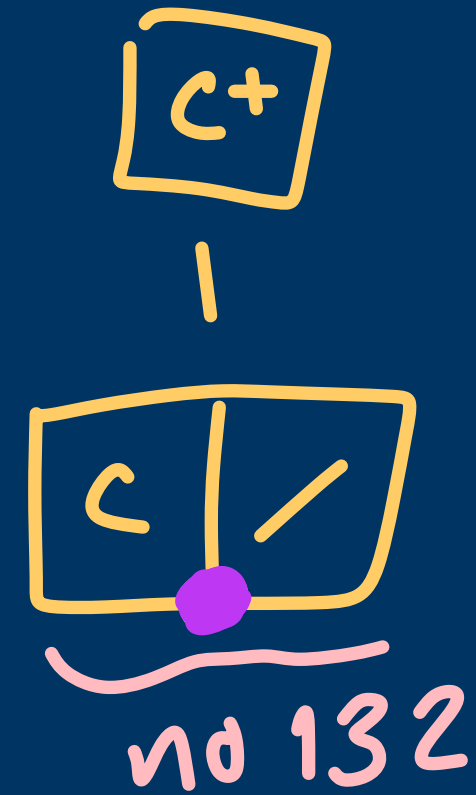
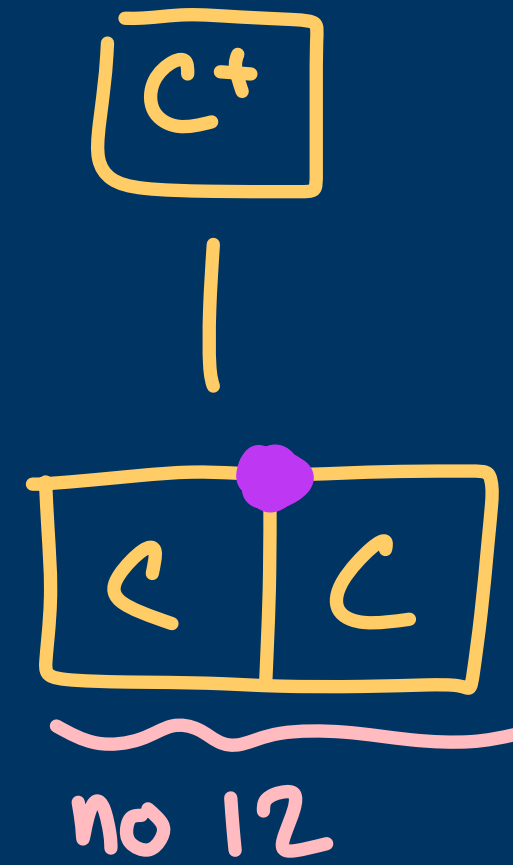
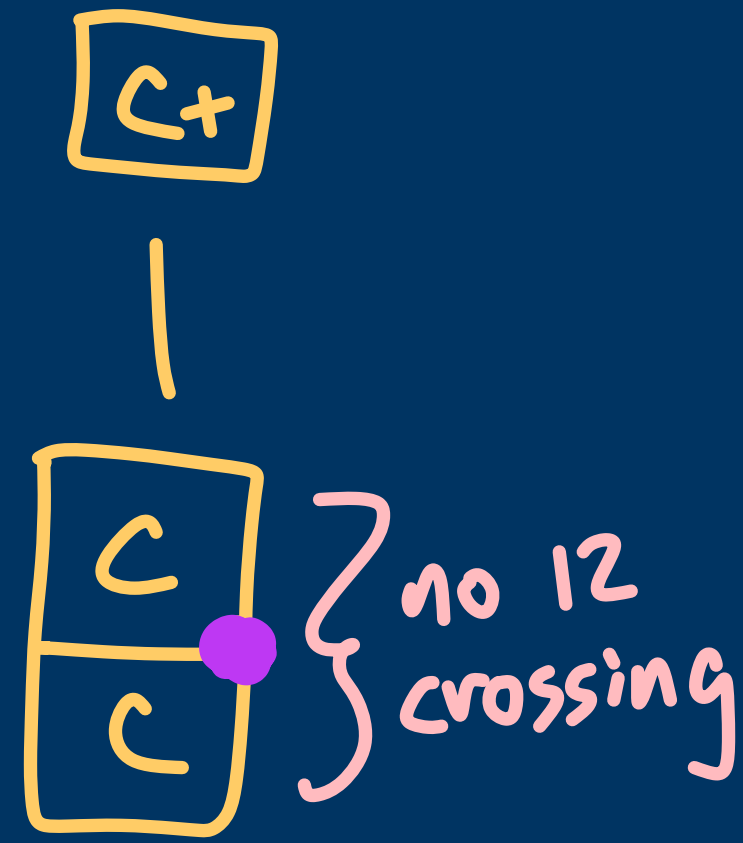
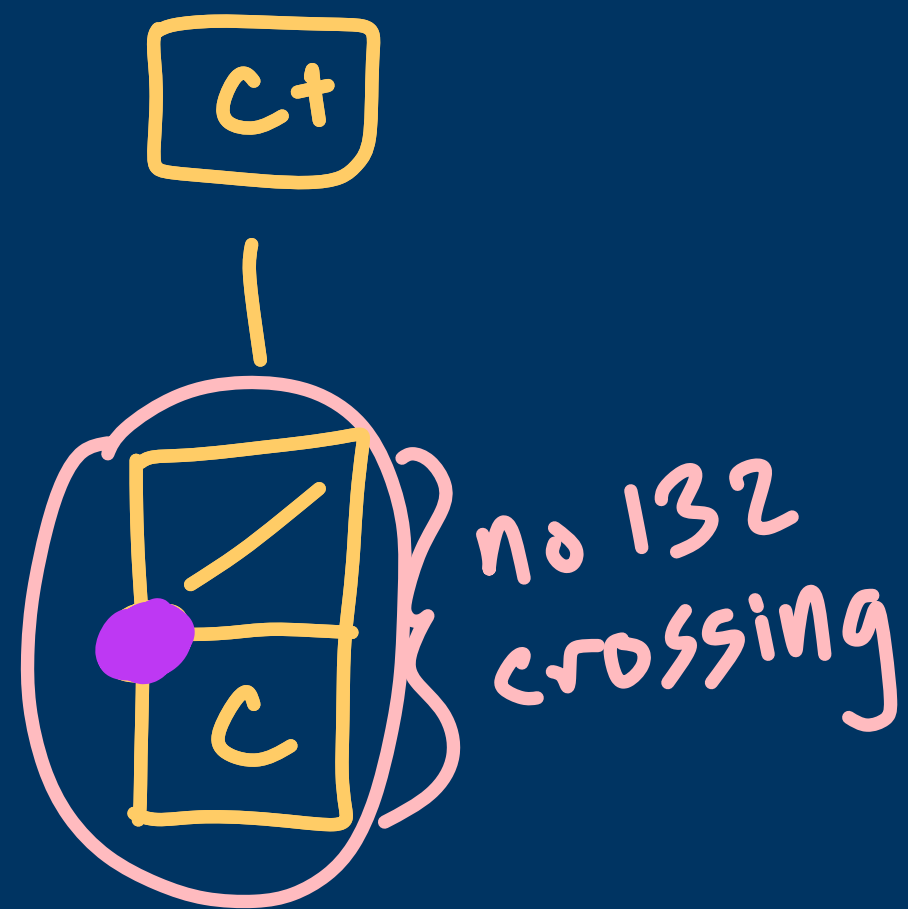
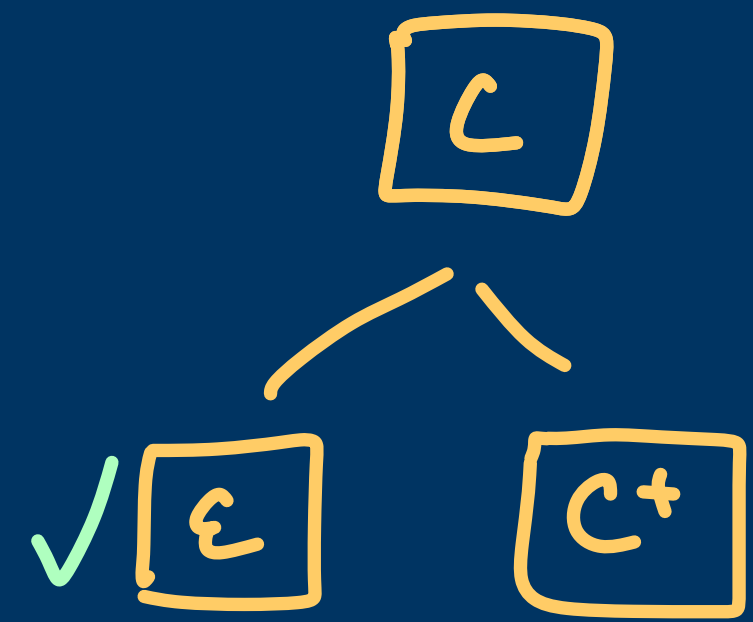
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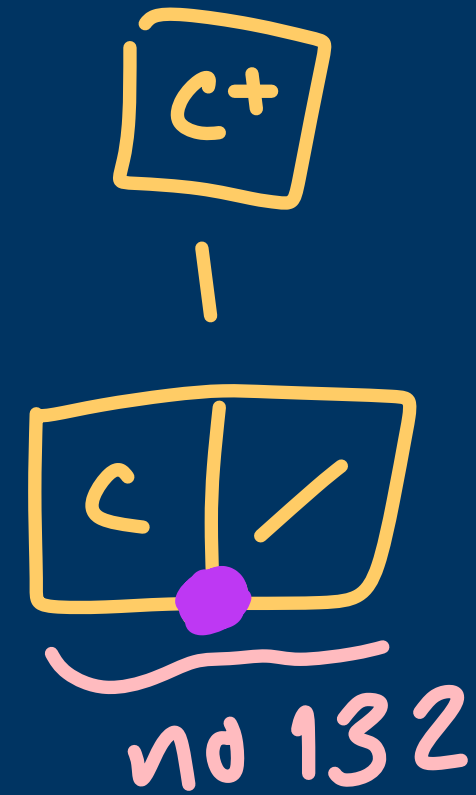
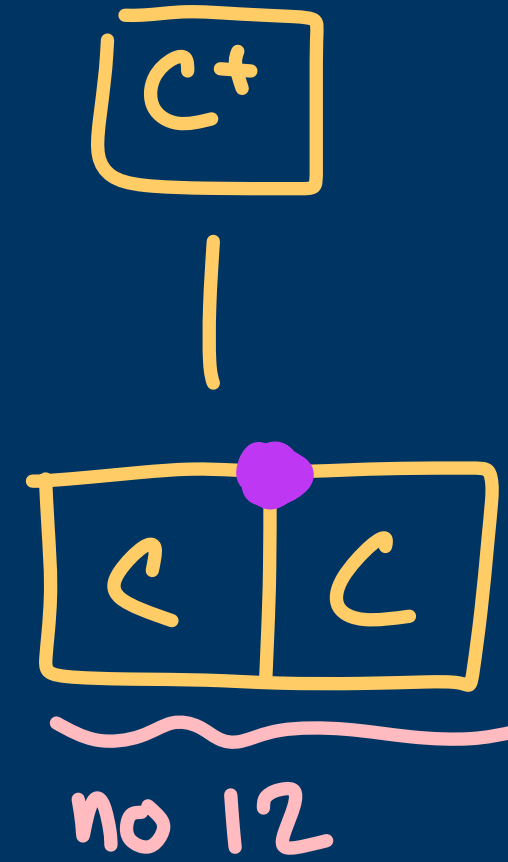
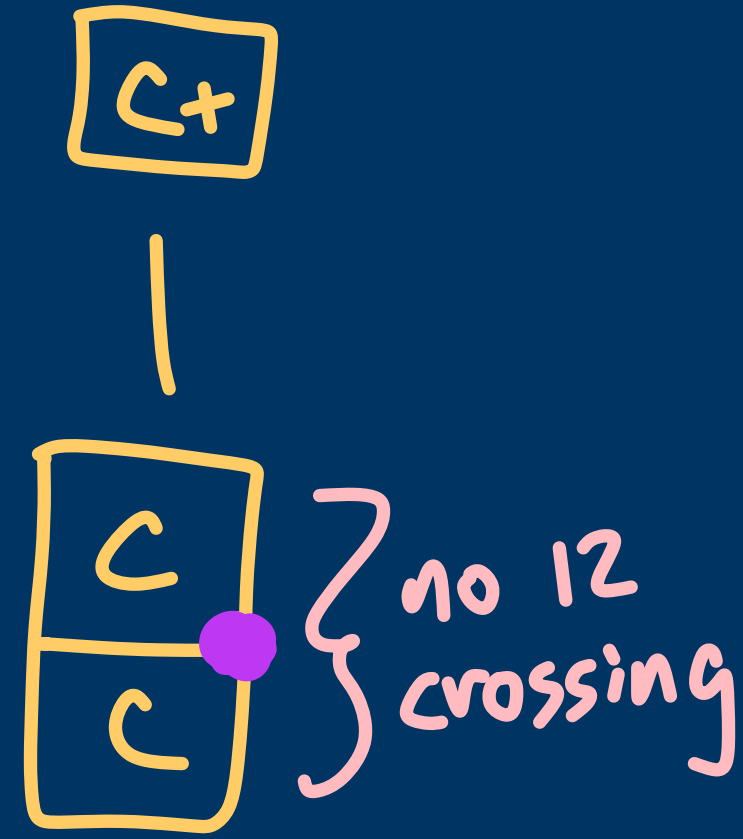
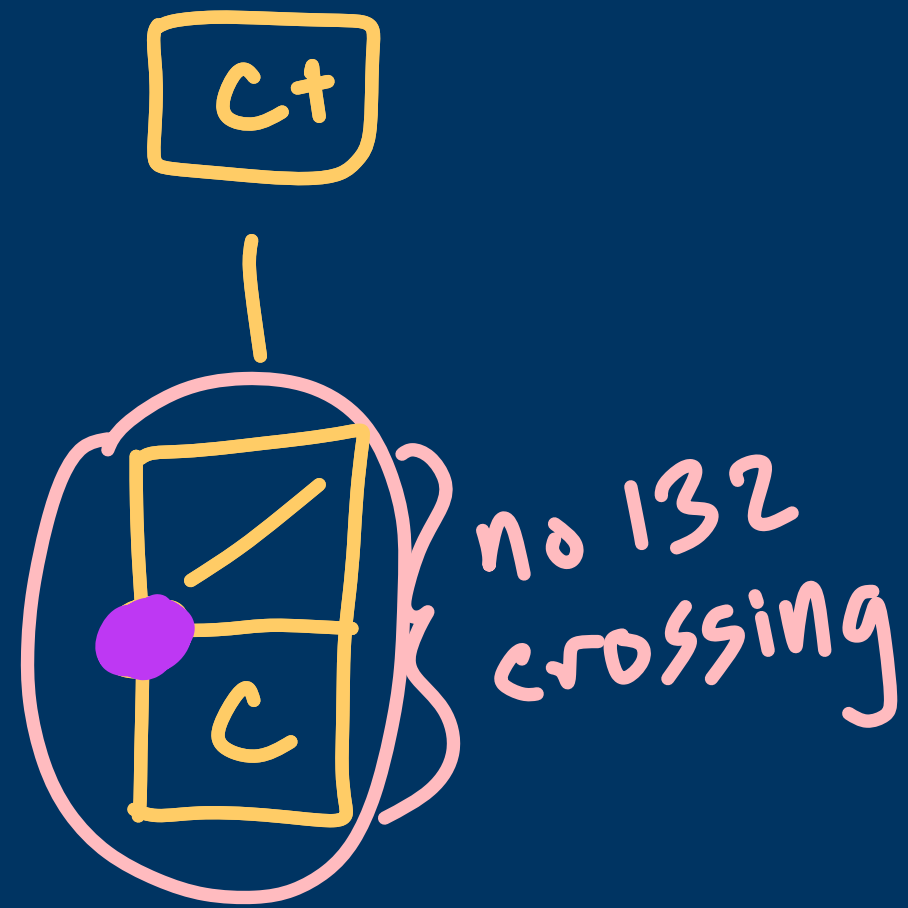
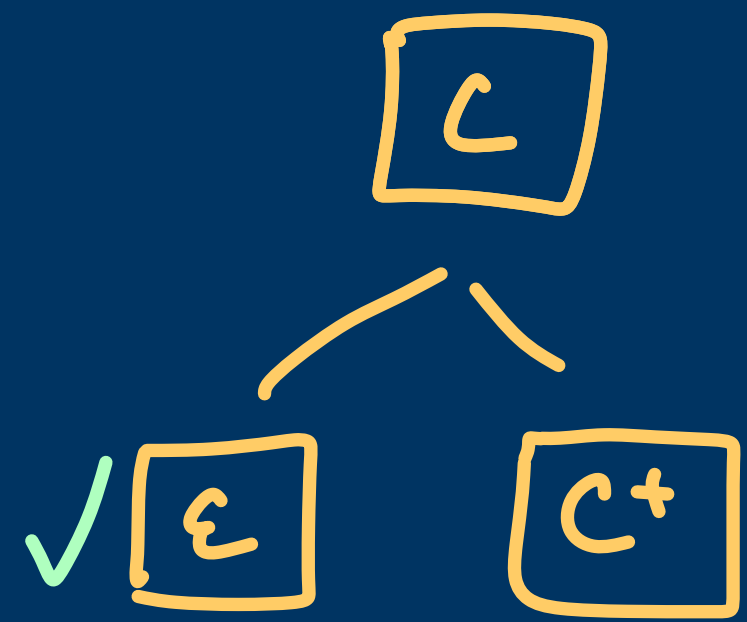
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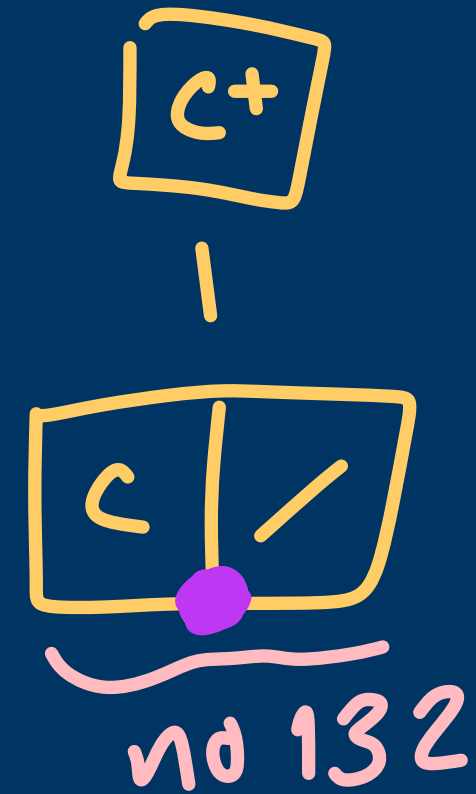
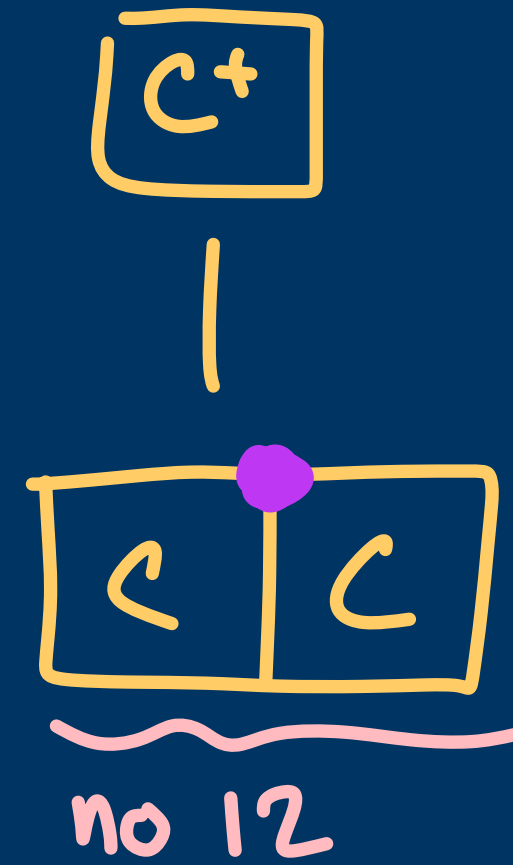
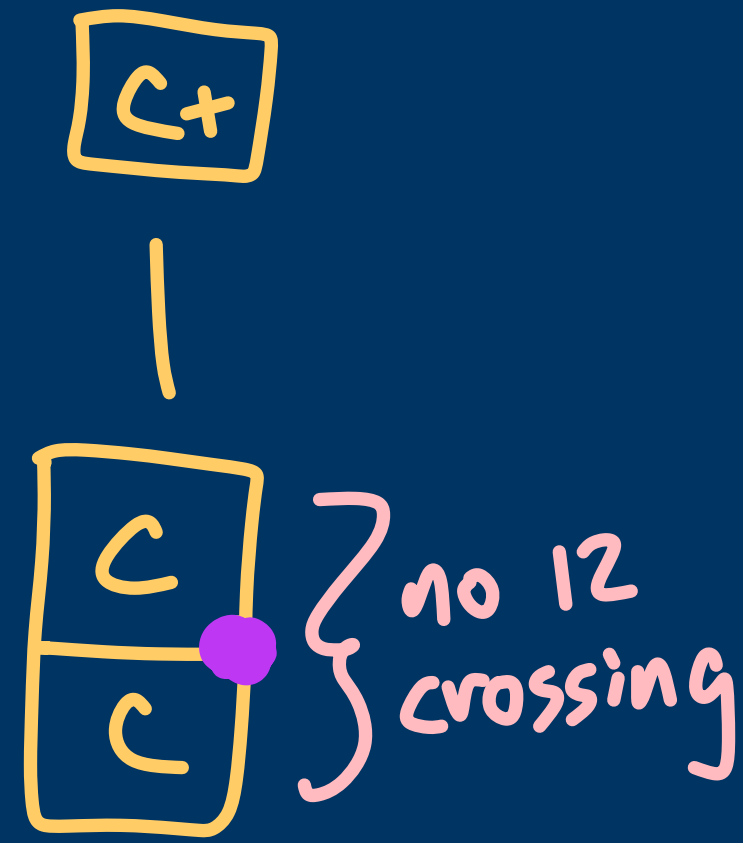
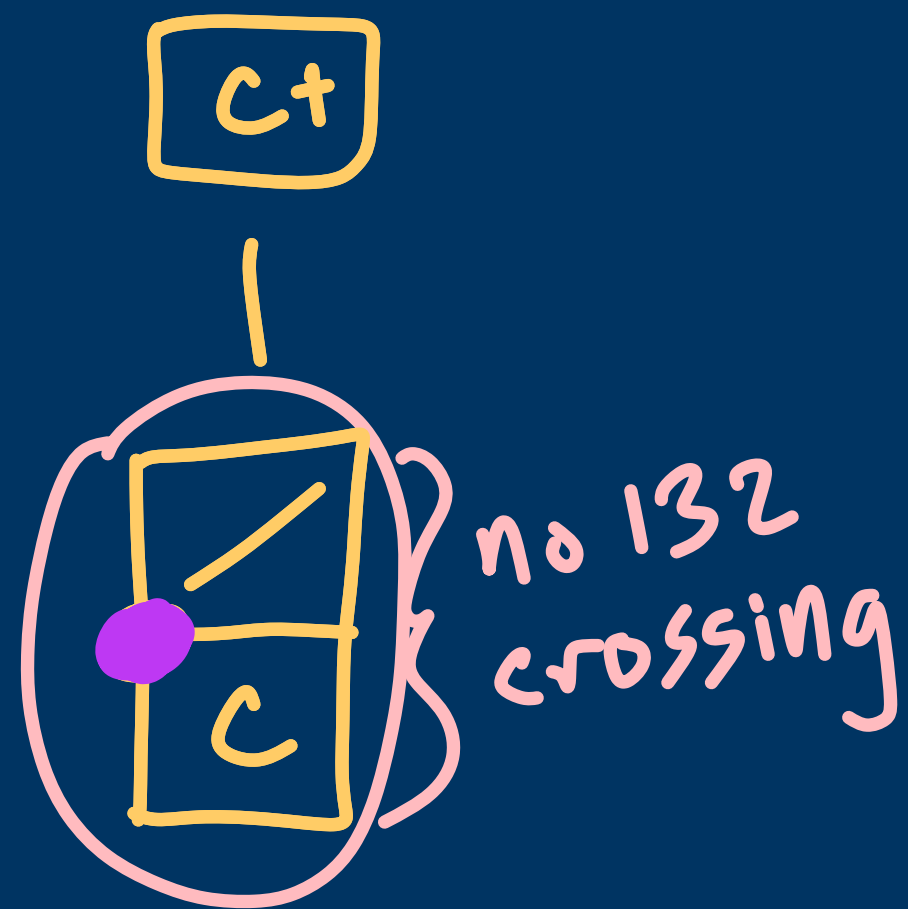
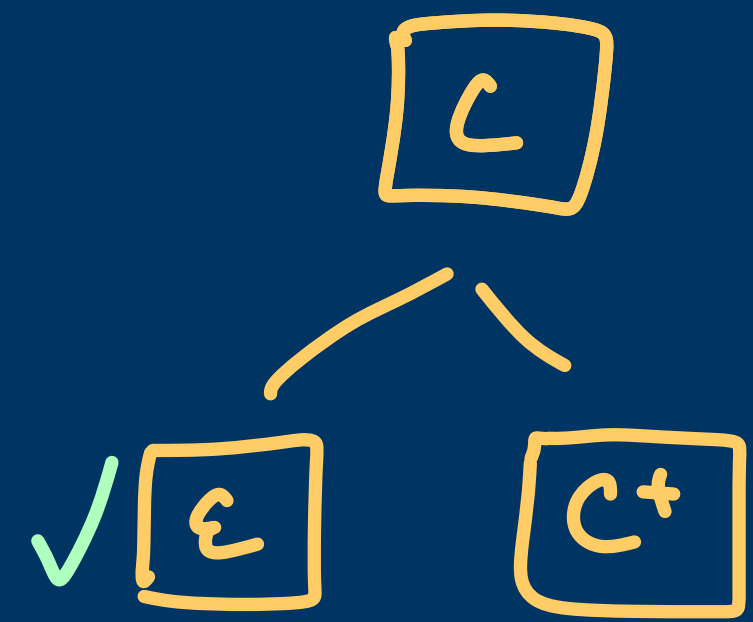
$C = Av(132)$   
 $C^+ = \text{nonempty perms}$

empty or not  
 point placement  
 row/col separation  
 factor

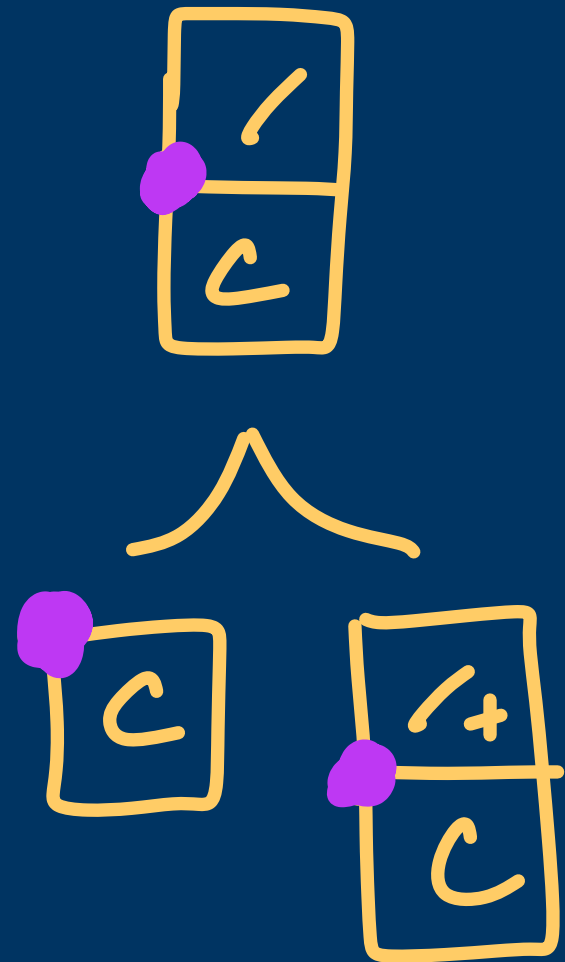


$C = Av(132)$   
 $C^+ = \text{nonempty perms}$

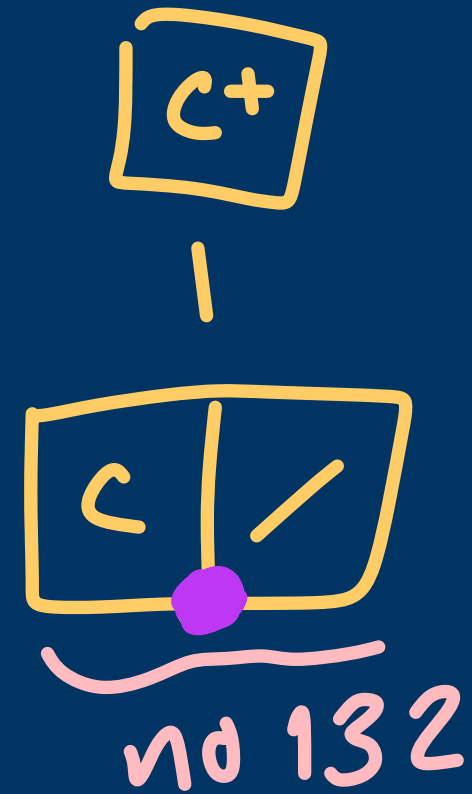
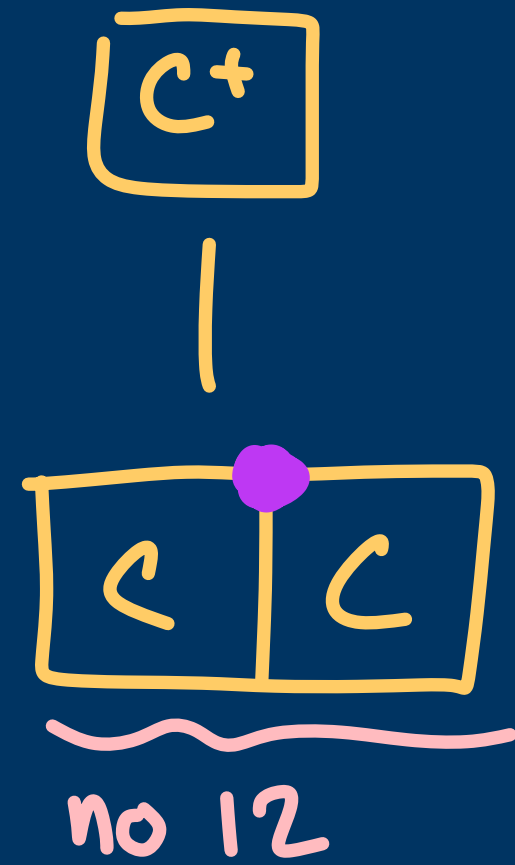
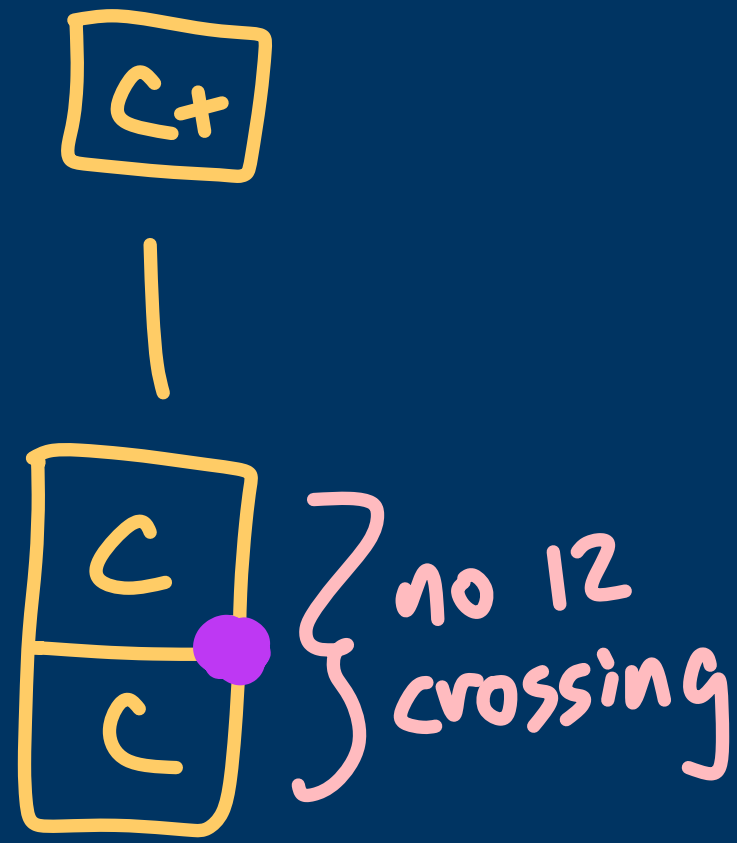
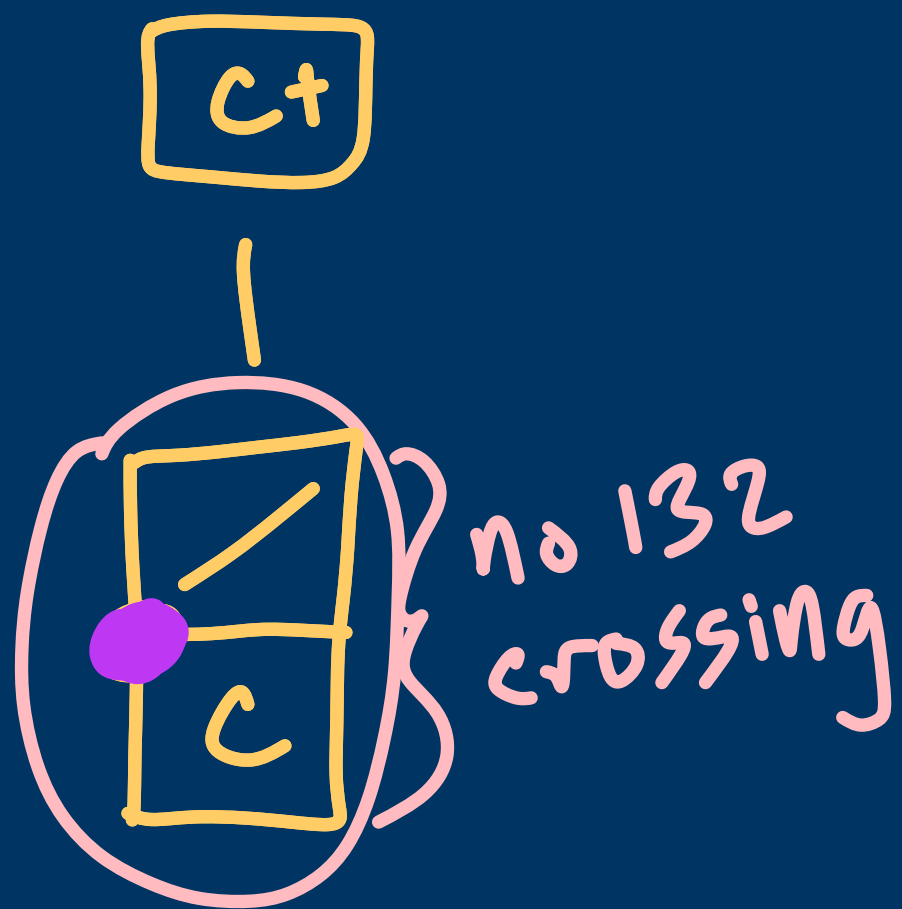
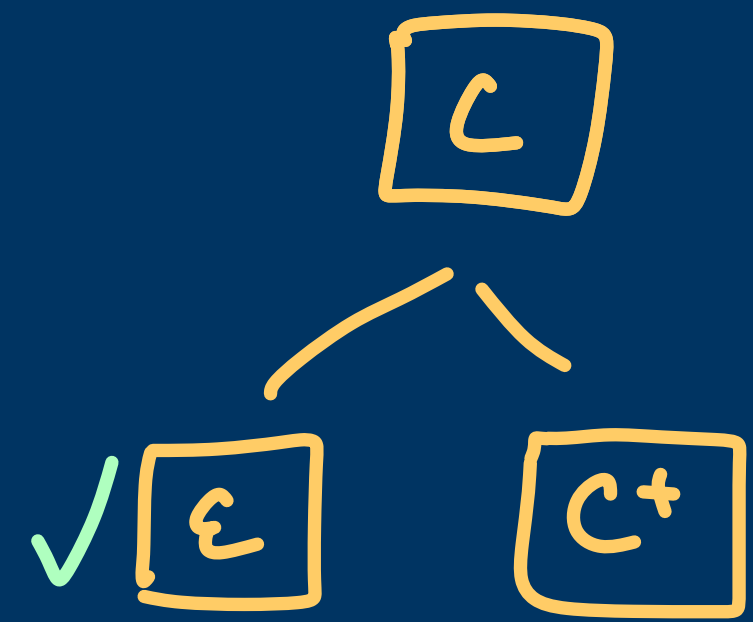
empty or not  
 point placement  
 row/col separation  
 factor



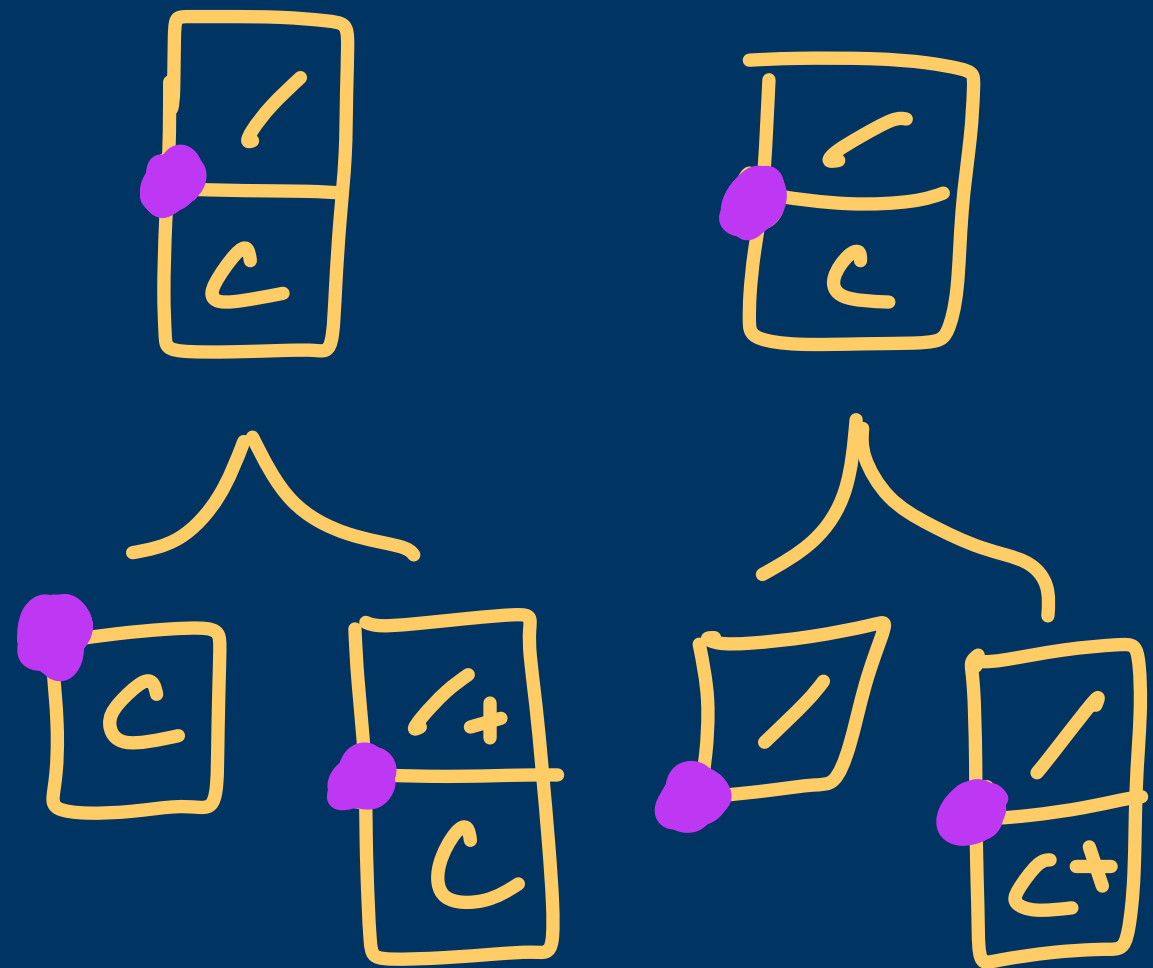
$C = Av(132)$   
 $C^+ = \text{nonempty perms}$



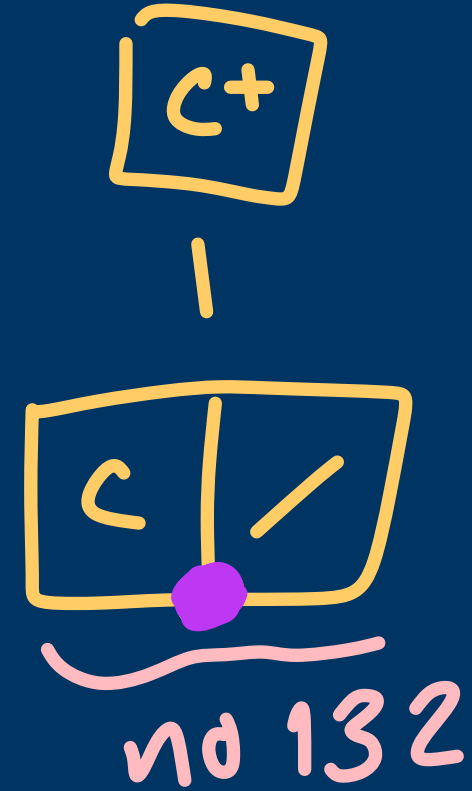
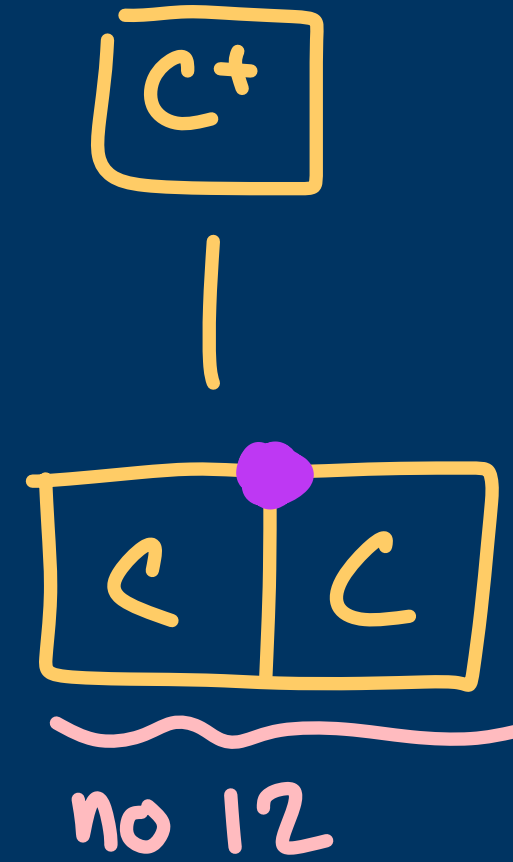
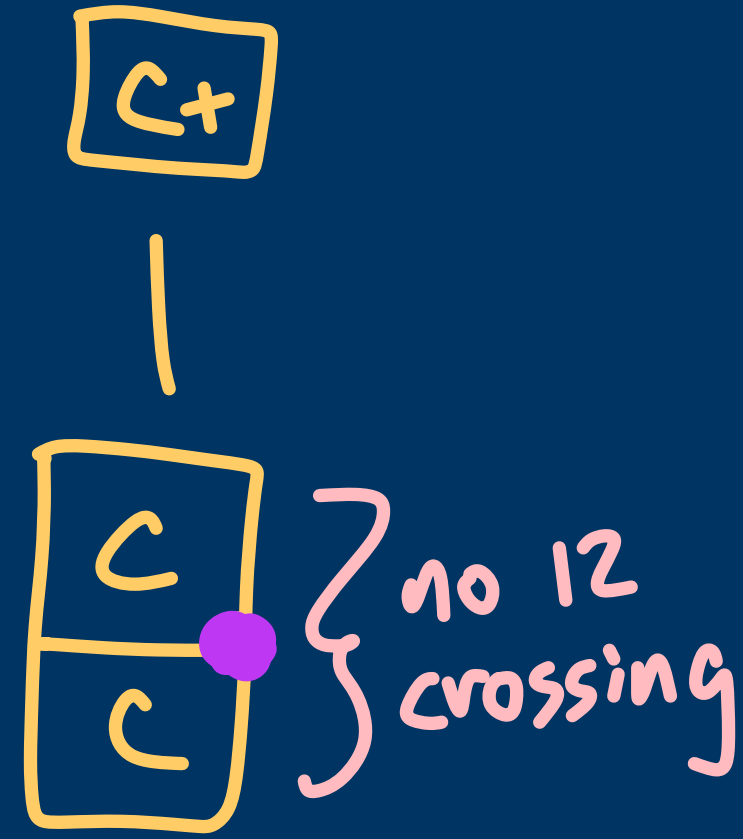
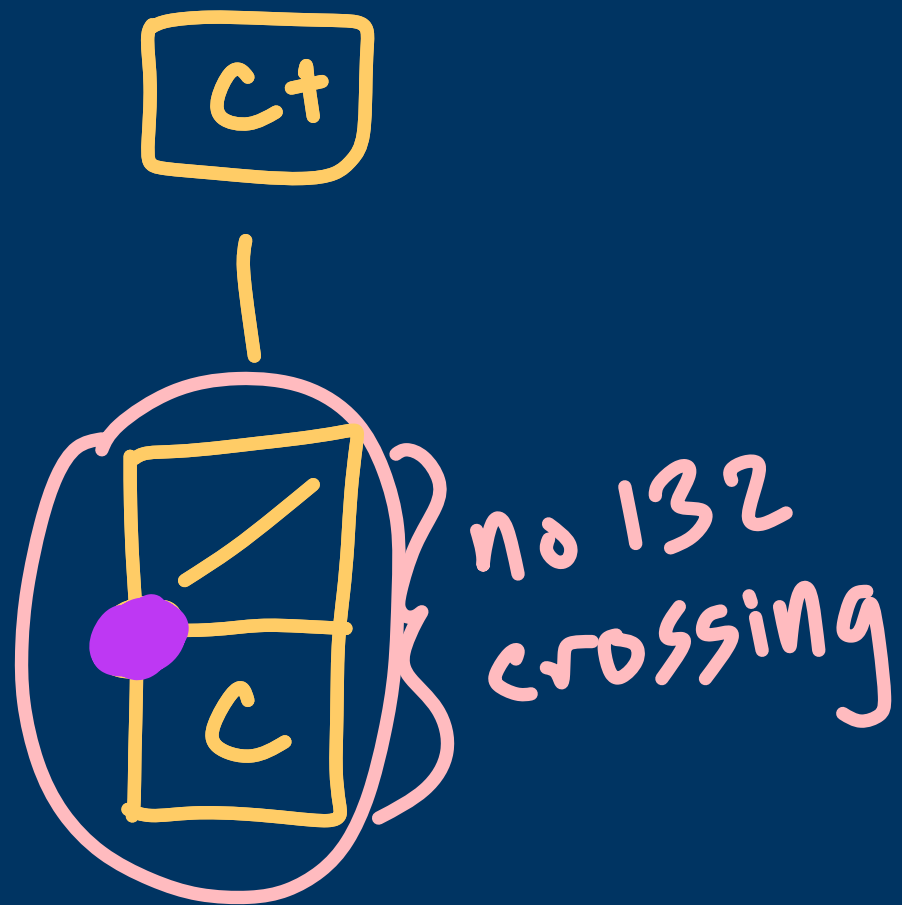
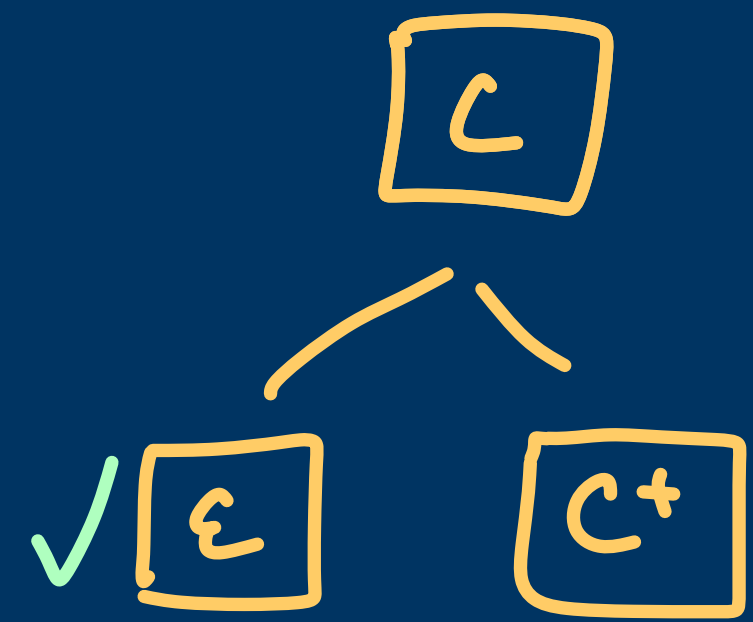
empty or not  
 point placement  
 row/col separation  
 factor



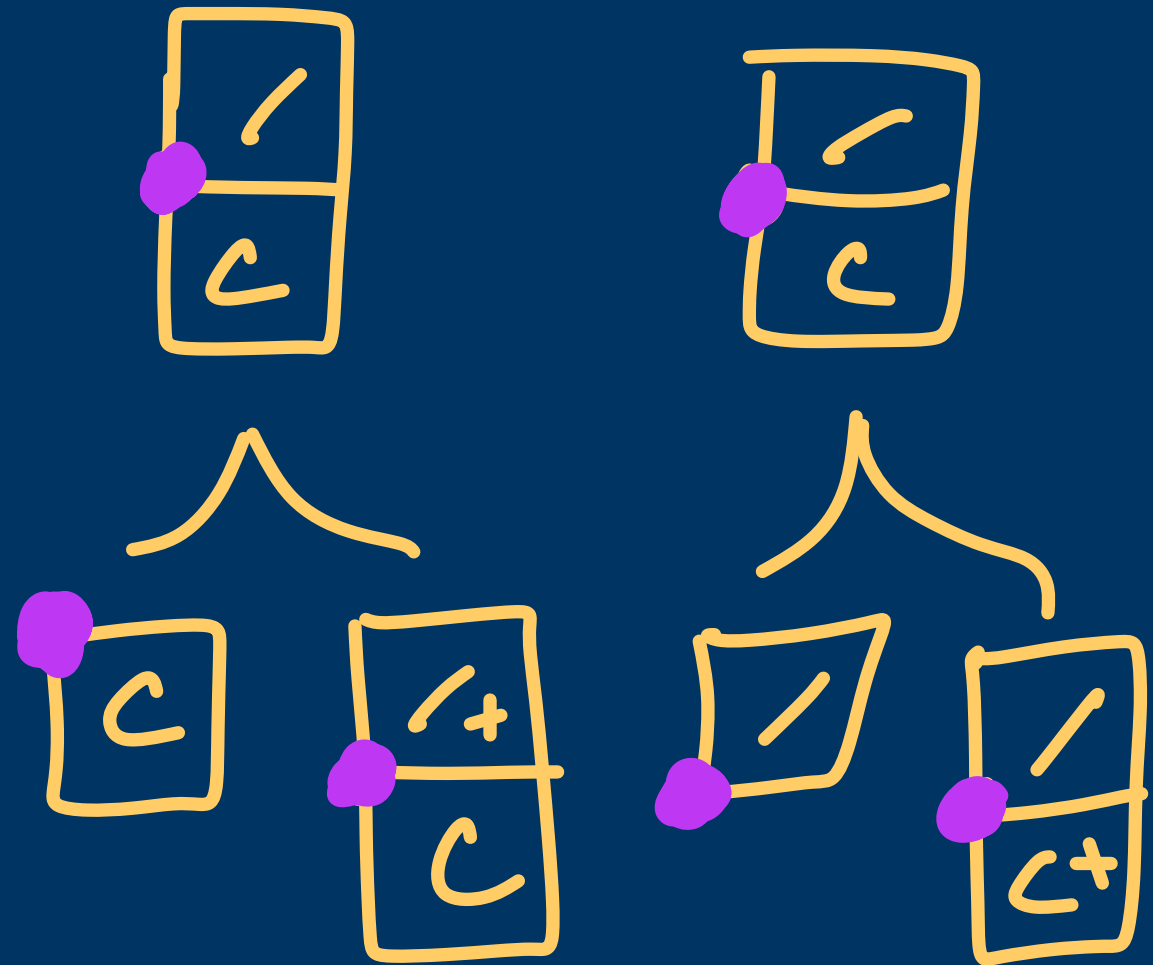
$C = Av(132)$   
 $C^+ = \text{nonempty perms}$



empty or not  
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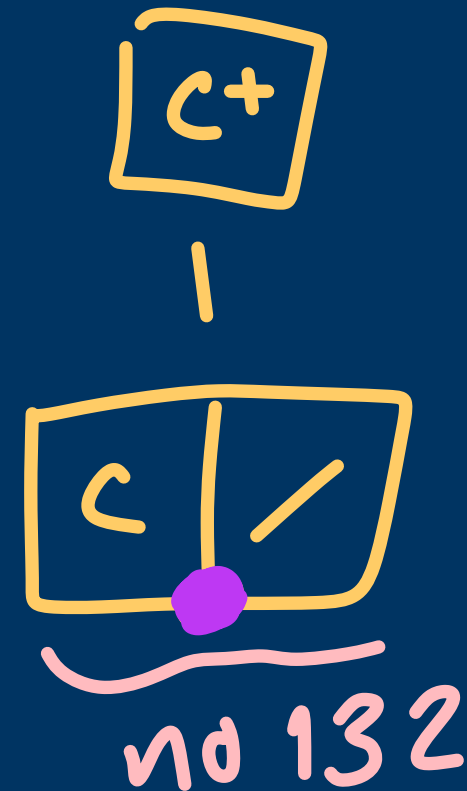
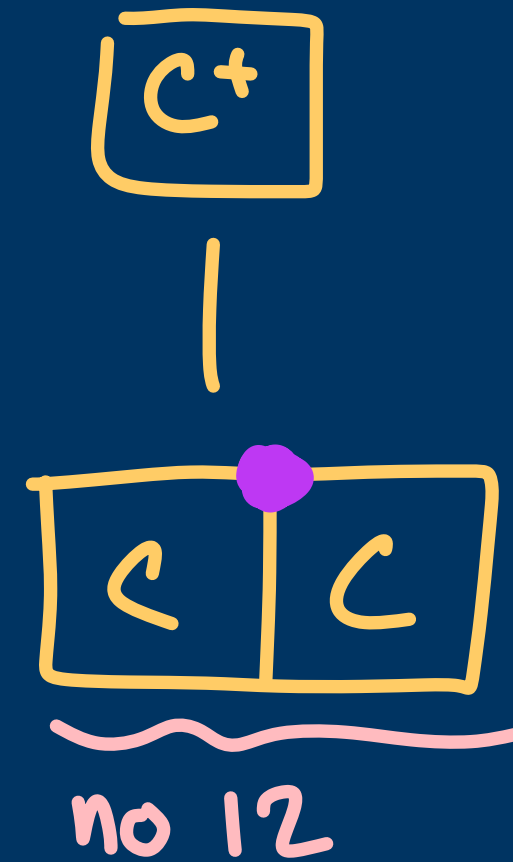
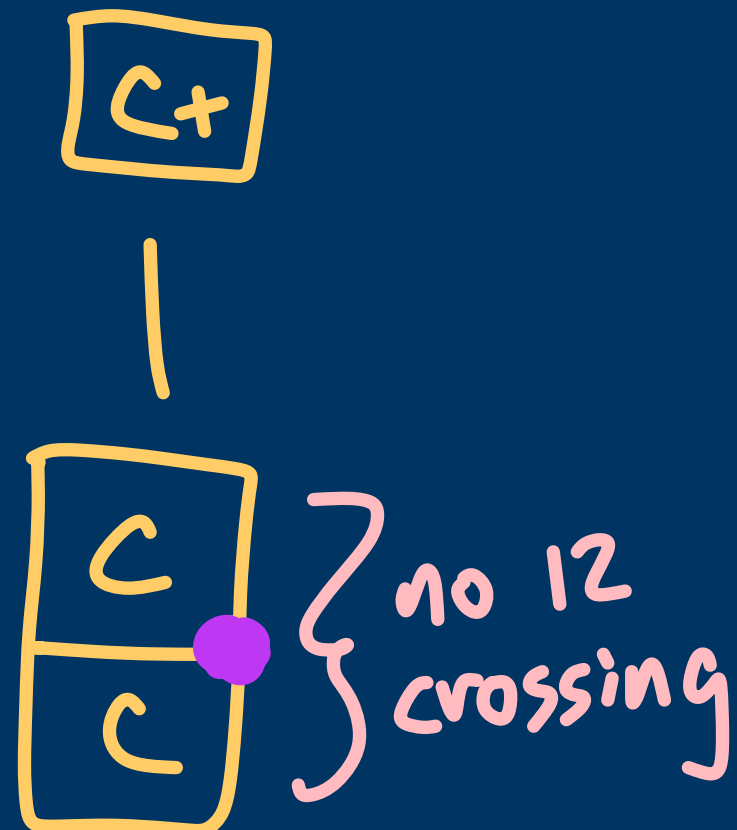
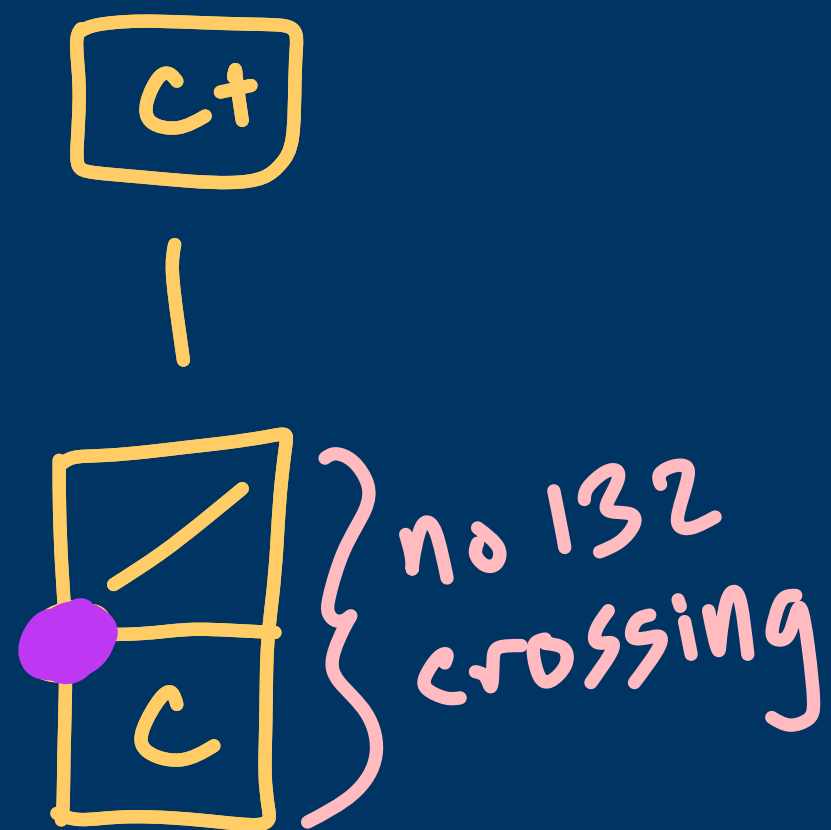
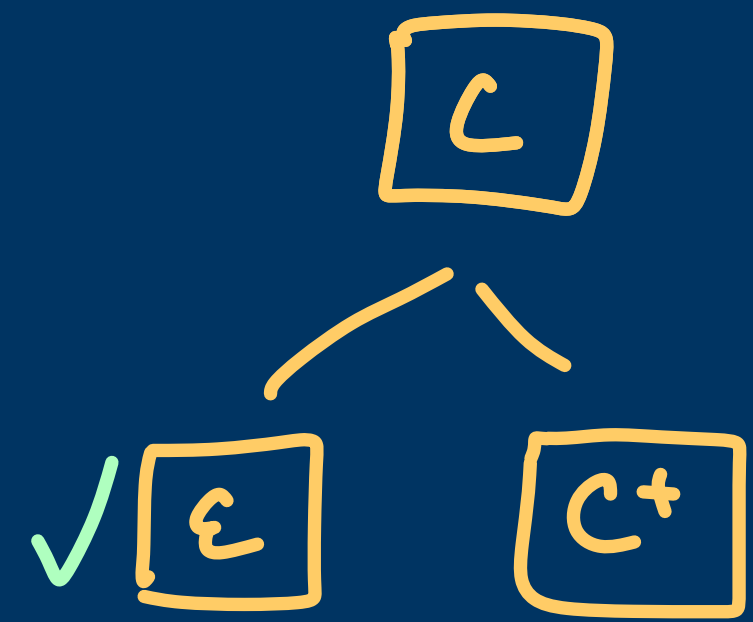


$C = Av(132)$   
 $C^+ = \text{nonempty perms}$

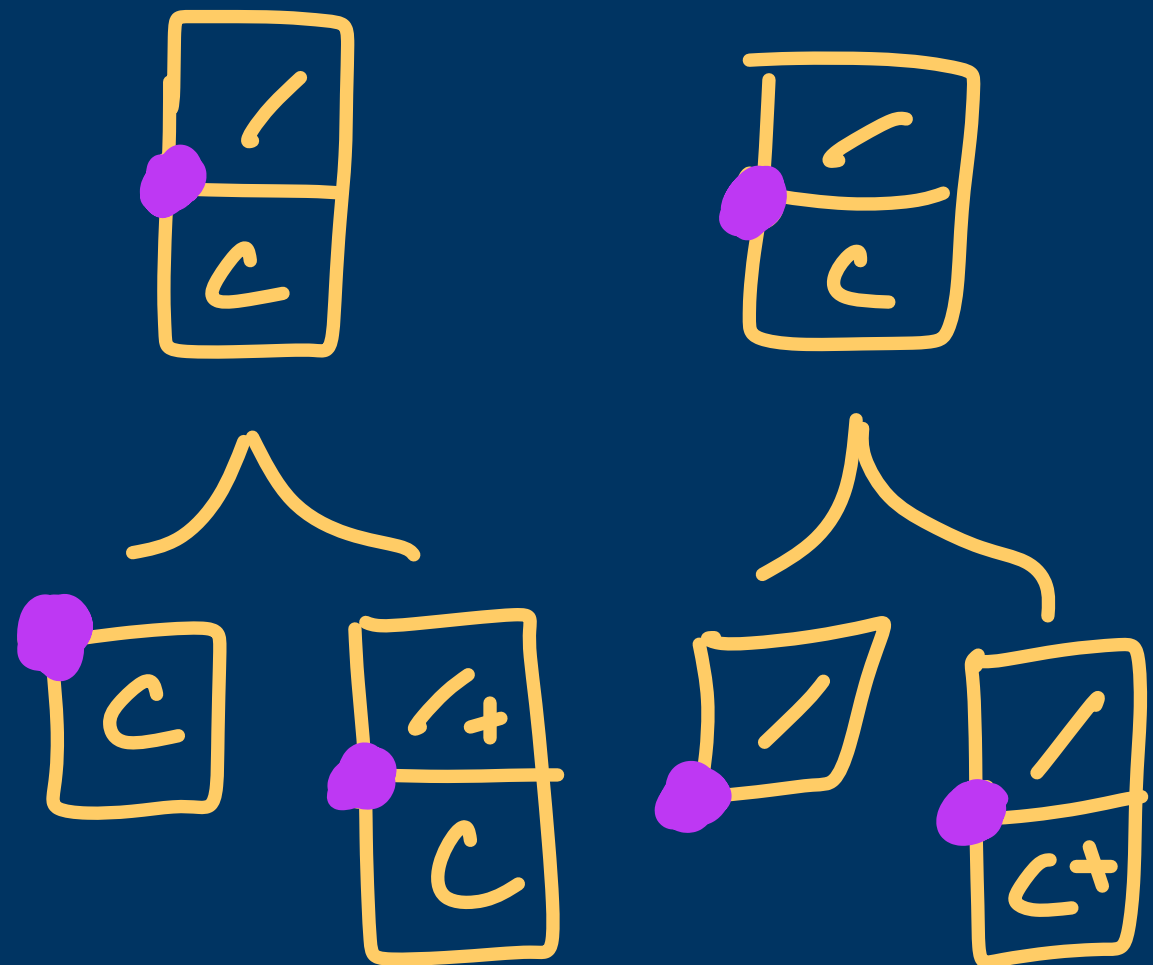


skipping ahead...

empty or not  
 point placement  
 row/col separation  
 factor



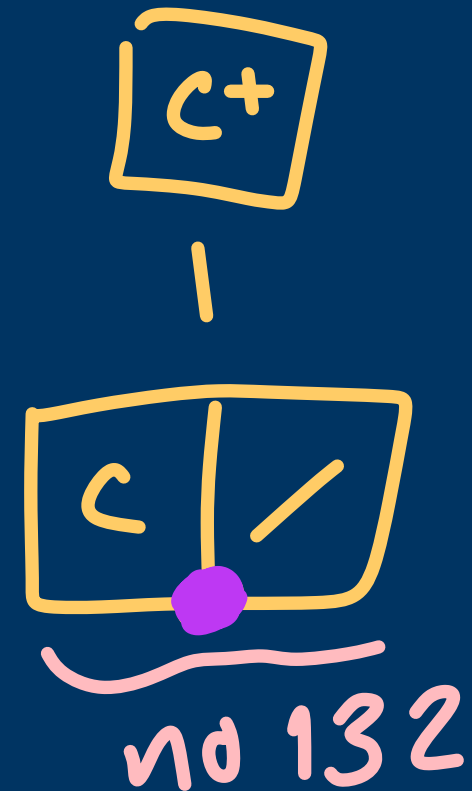
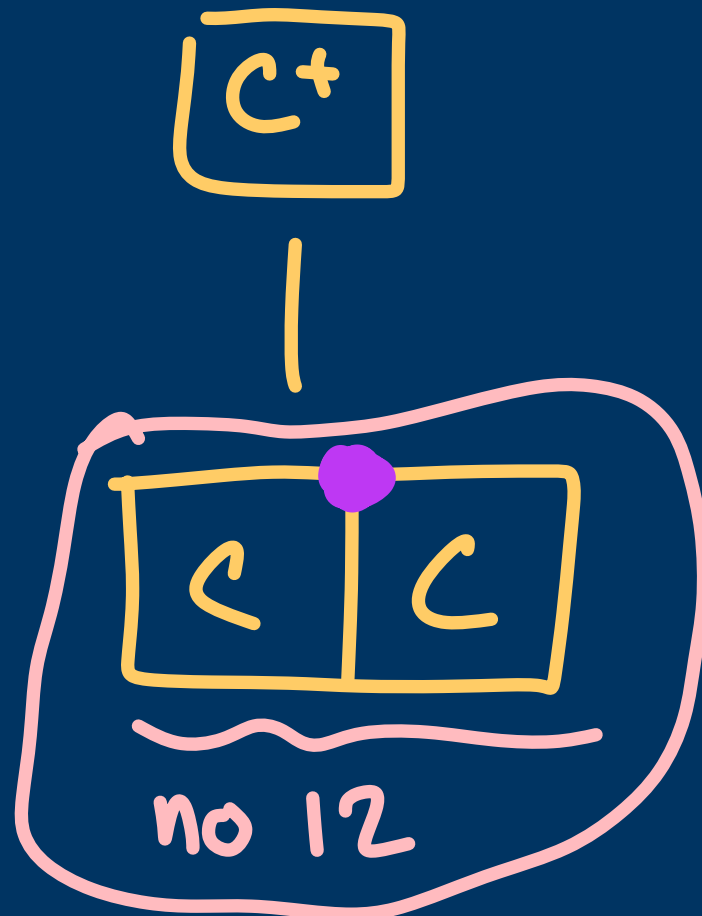
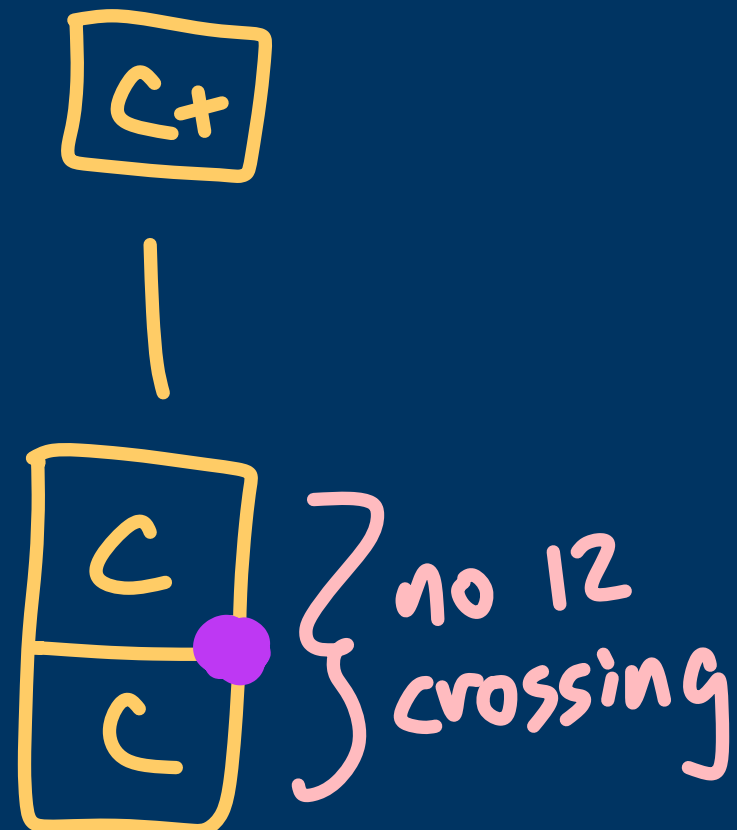
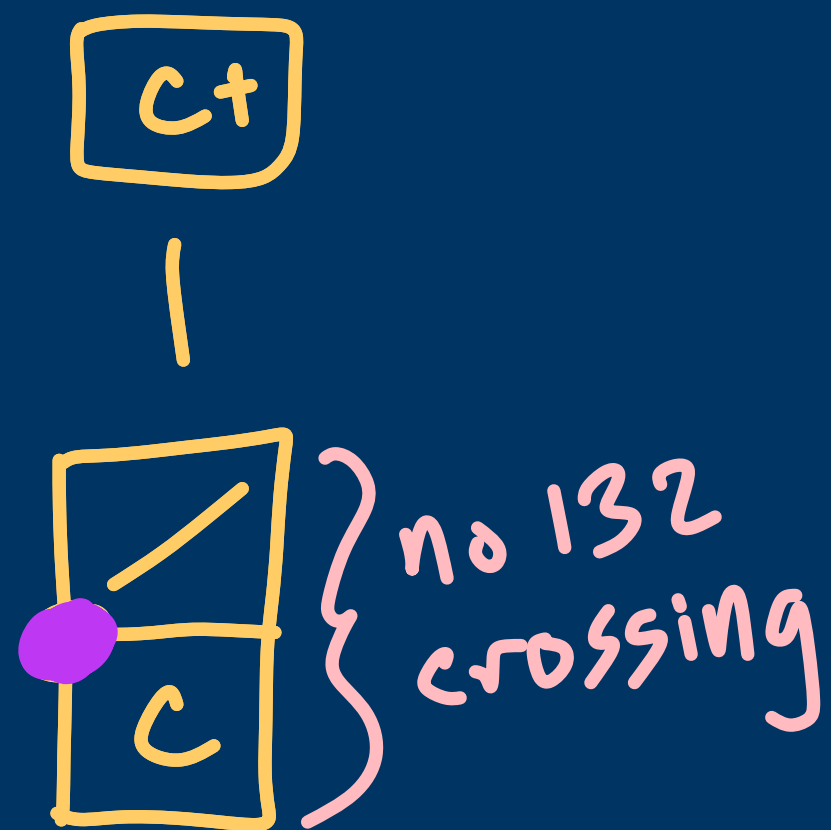
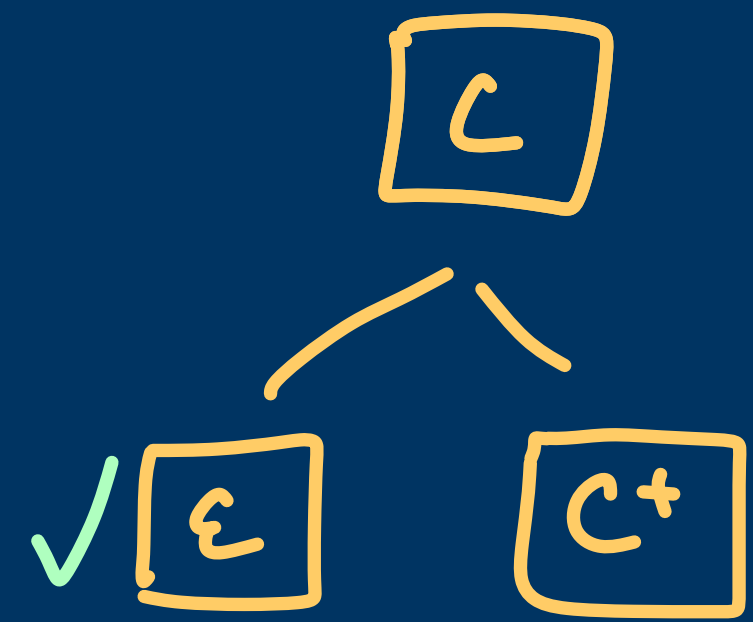
$C = Av(132)$   
 $C^+ = \text{nonempty perms}$



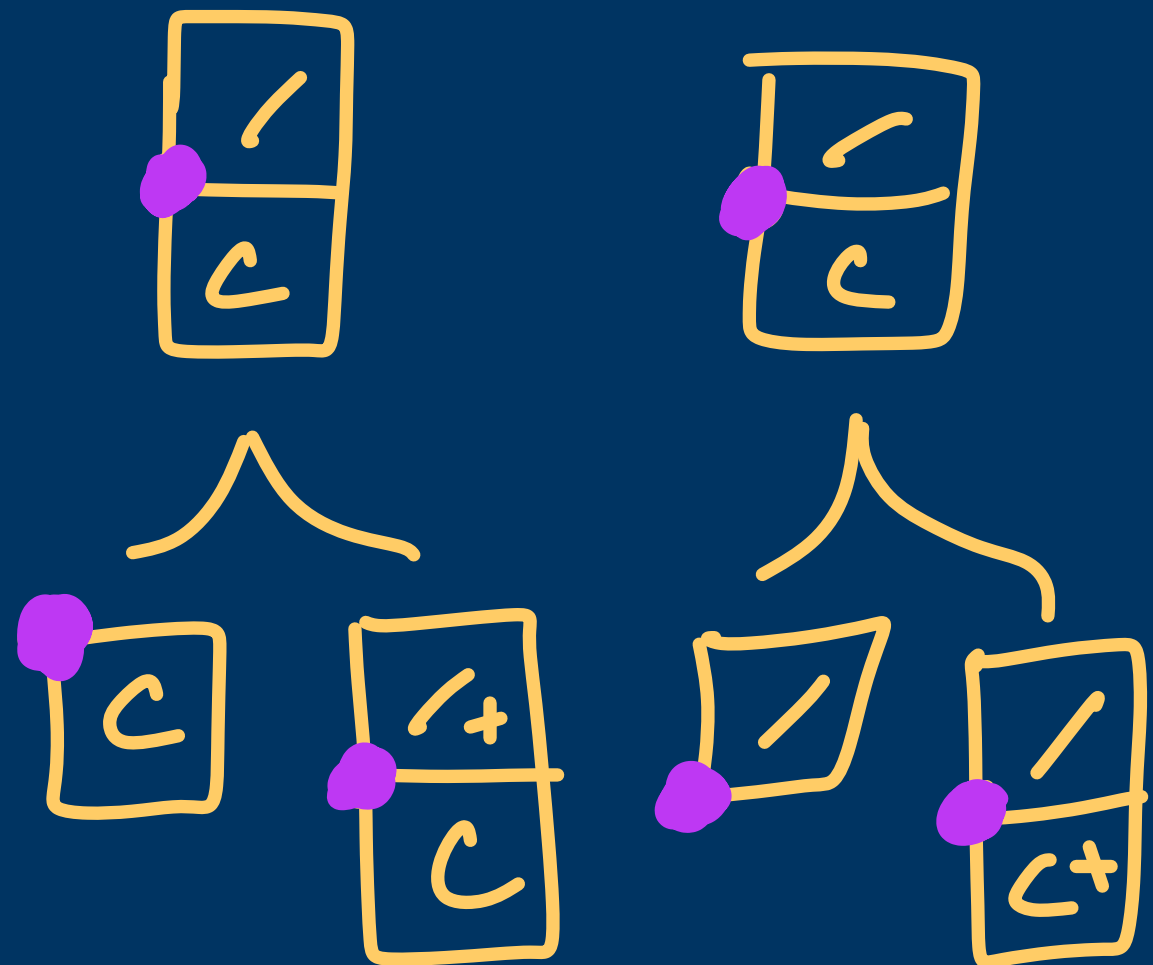
...

skipping ahead...

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$C = Av(132)$   
 $C^+ = \text{nonempty perms}$

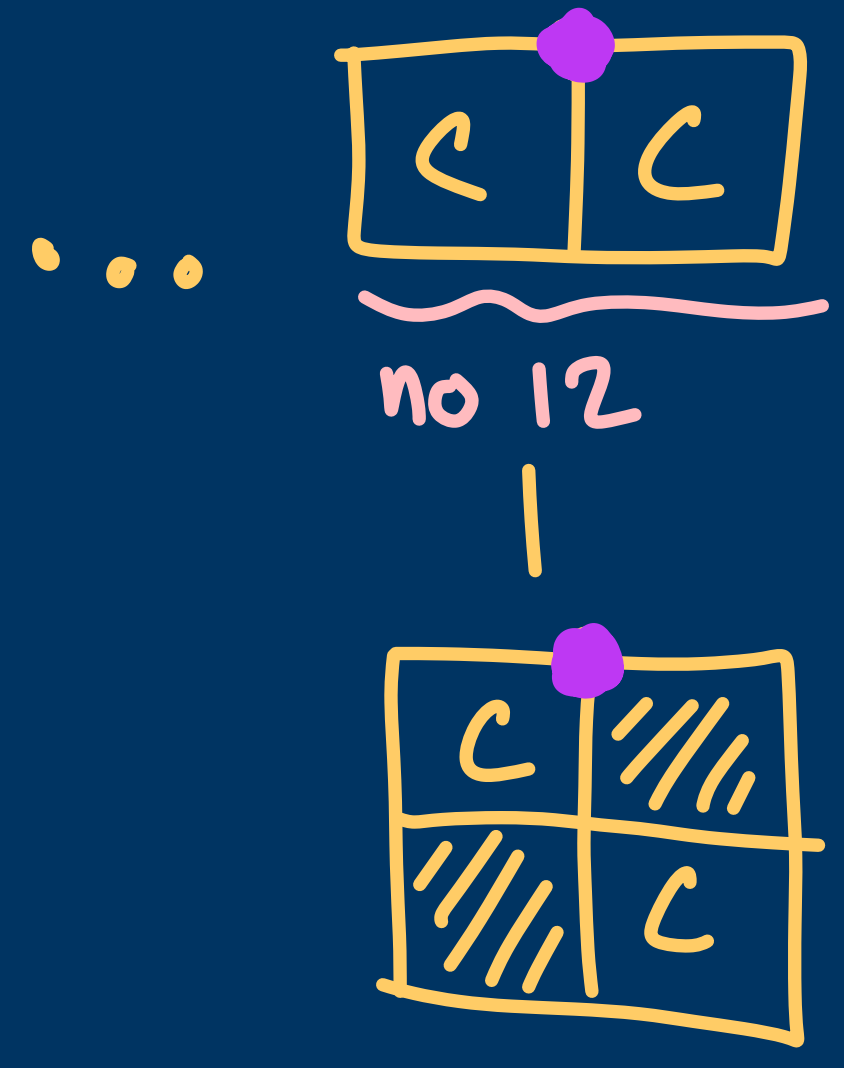
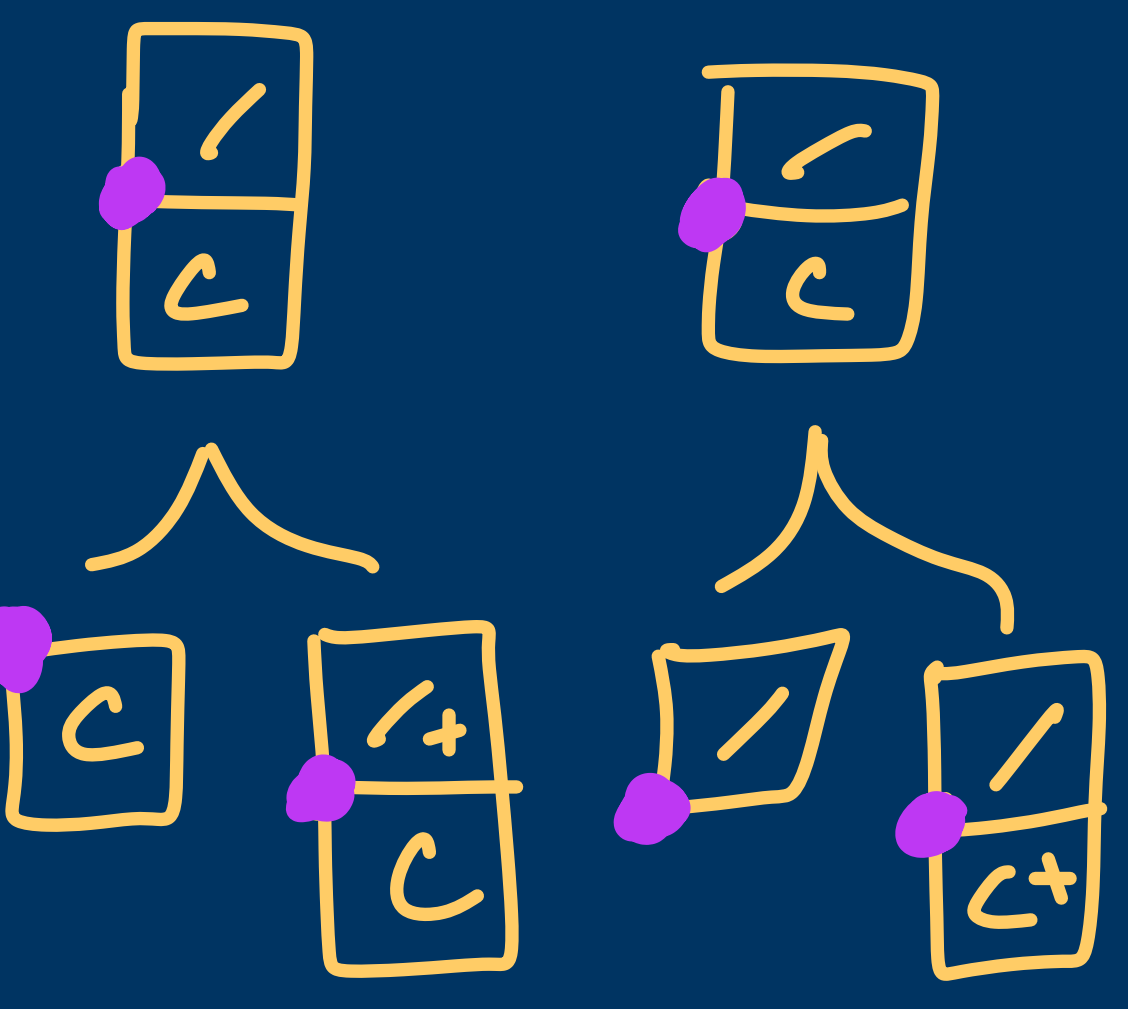
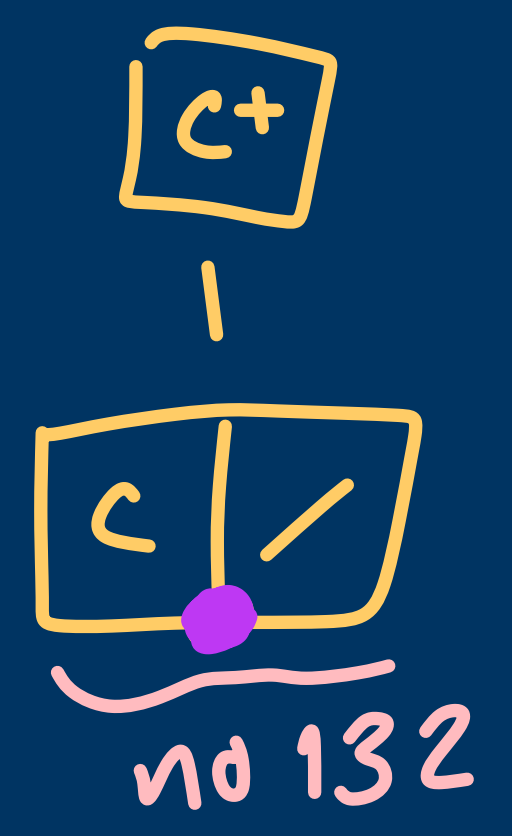
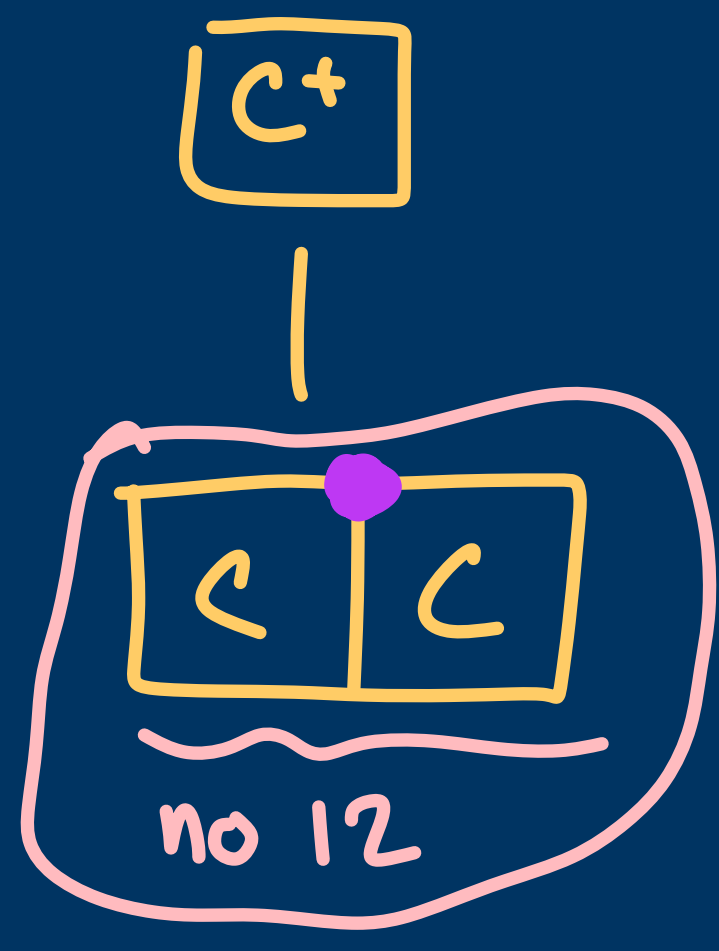
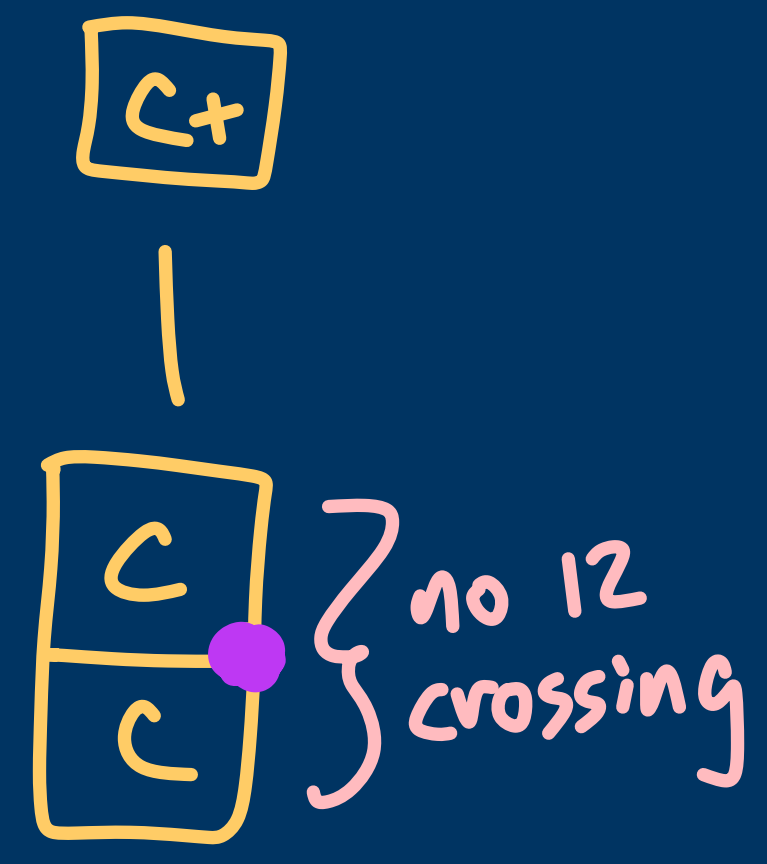
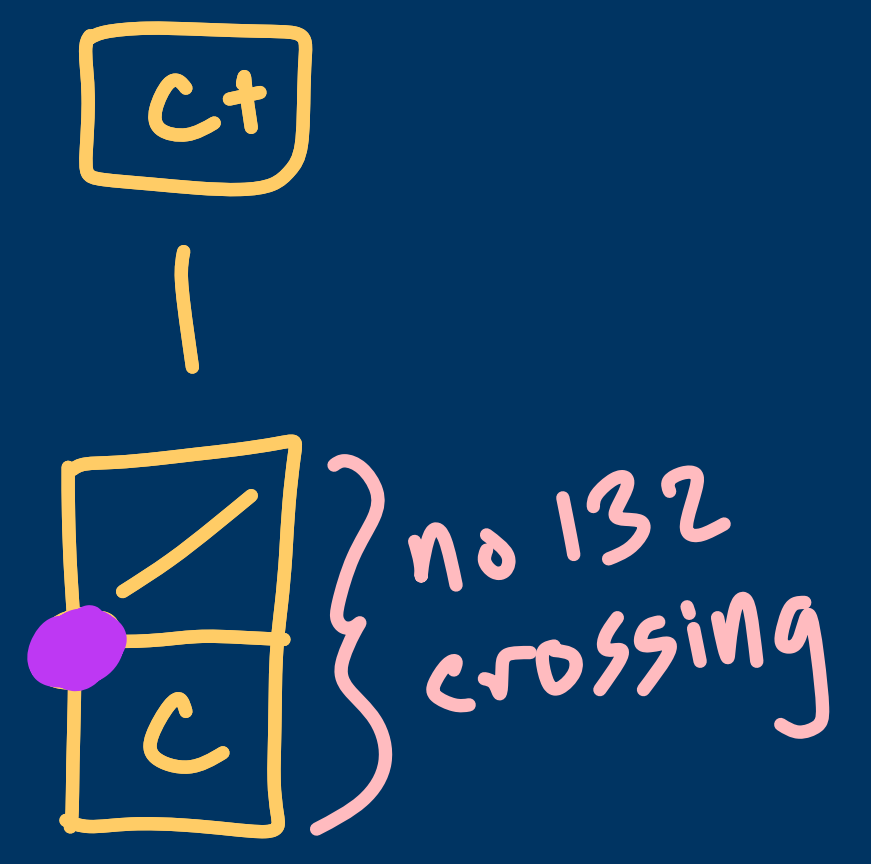
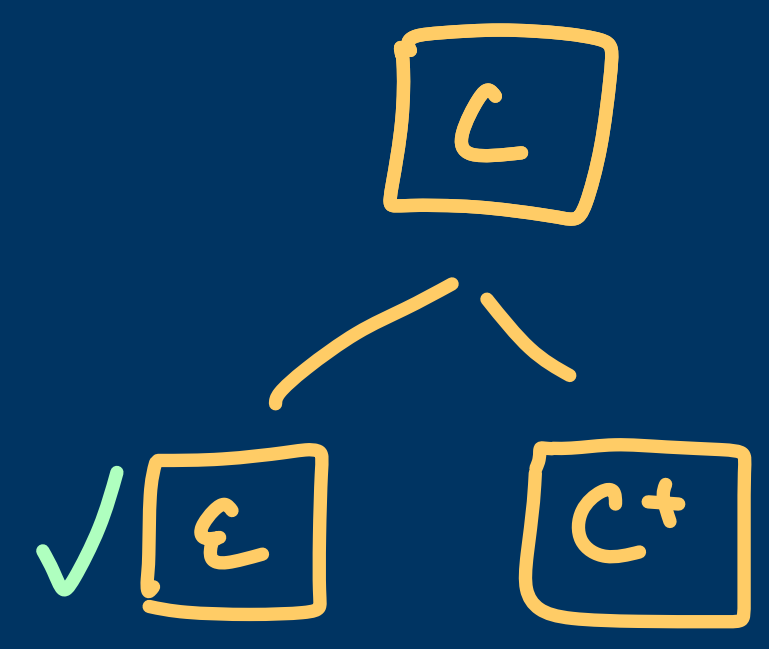


skipping ahead...

empty or not  
 point placement  
row/col separation  
 factor

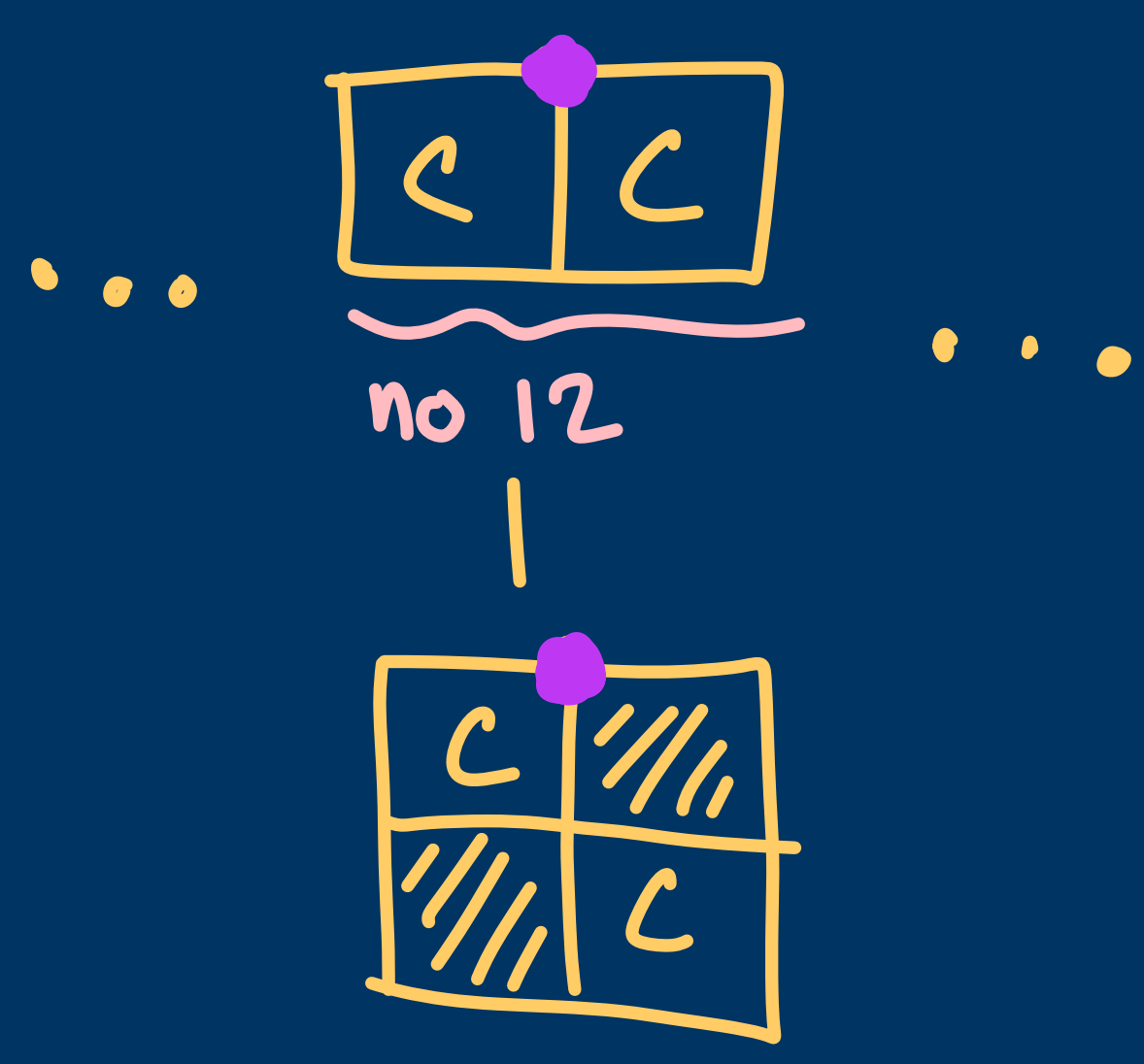
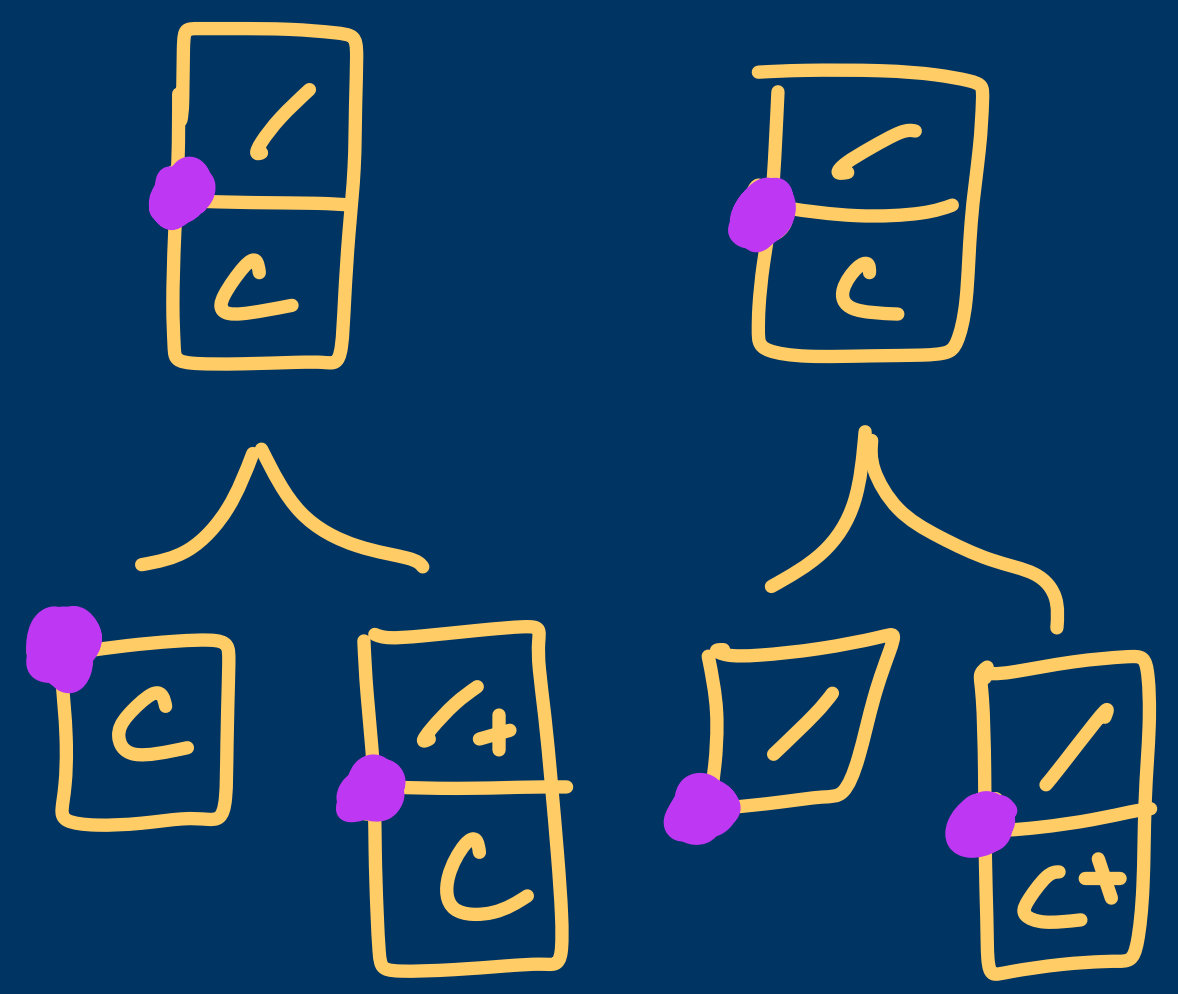
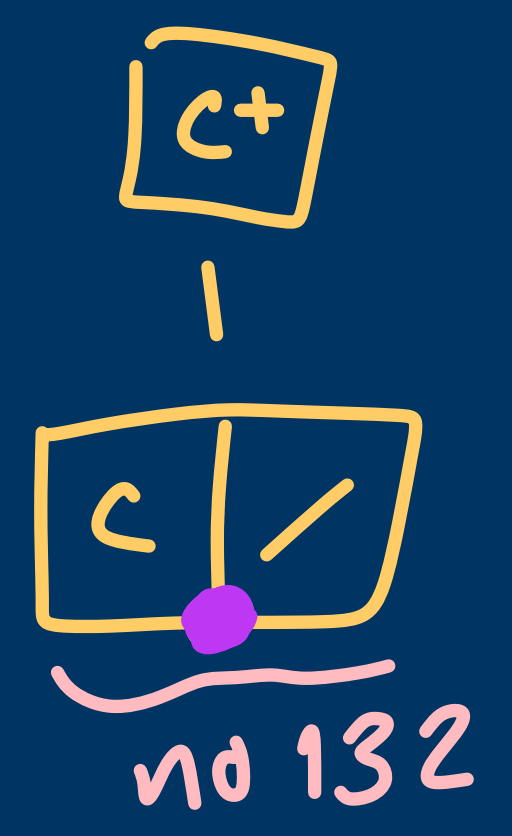
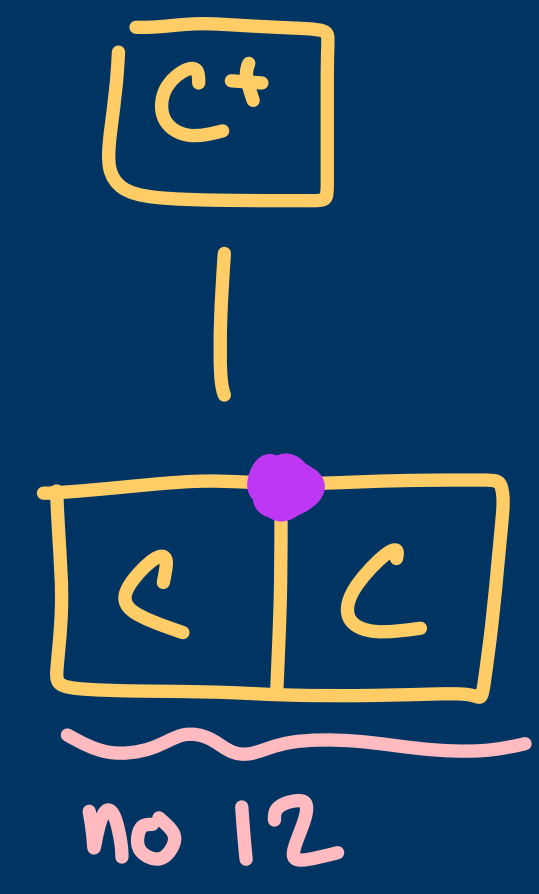
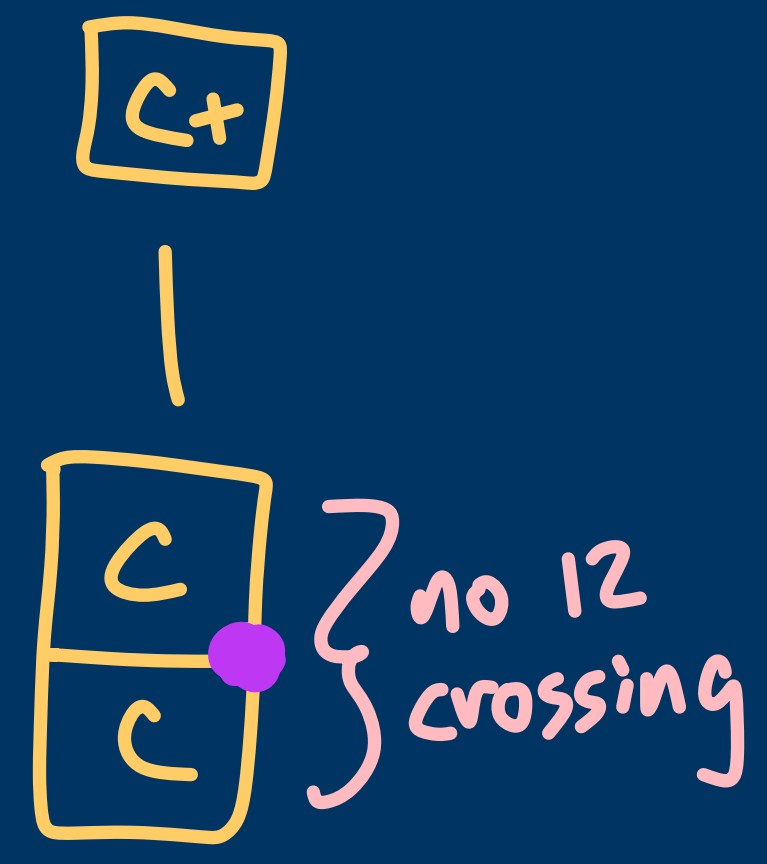
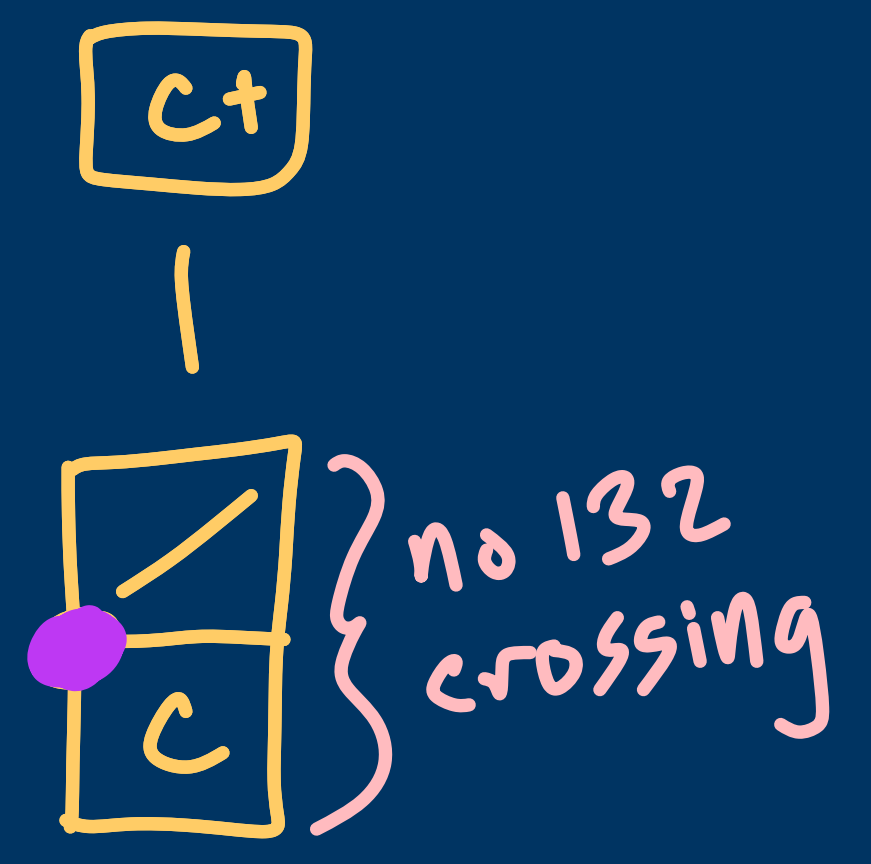
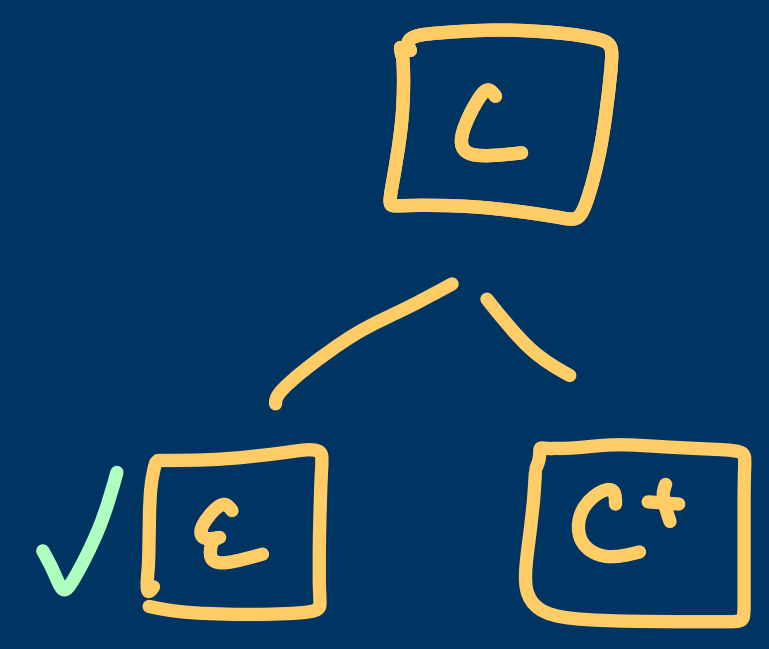
$$C = Av(132)$$

$C^+ = \text{nonempty perms}$



skipping ahead...  
 empty or not  
 point placement  
row/col separation  
 factor

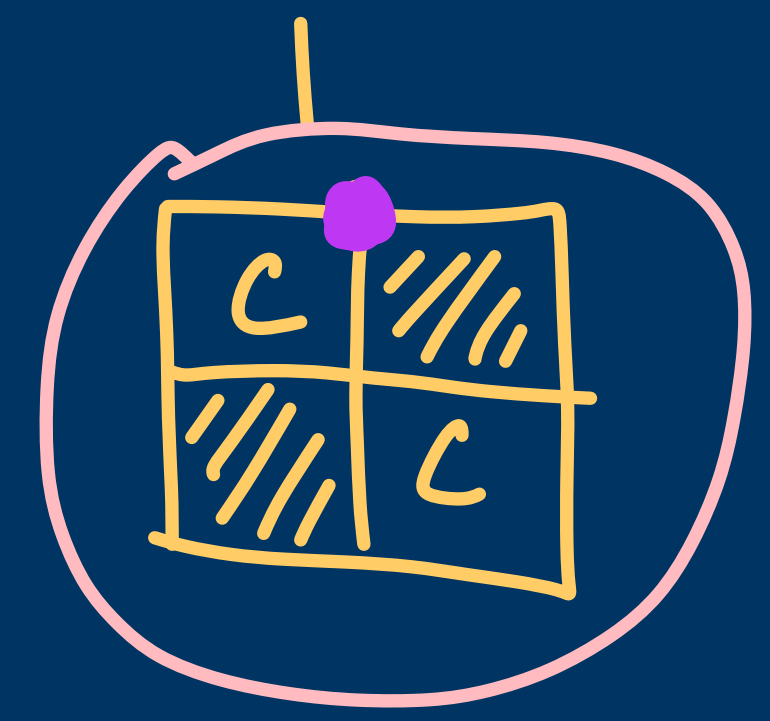
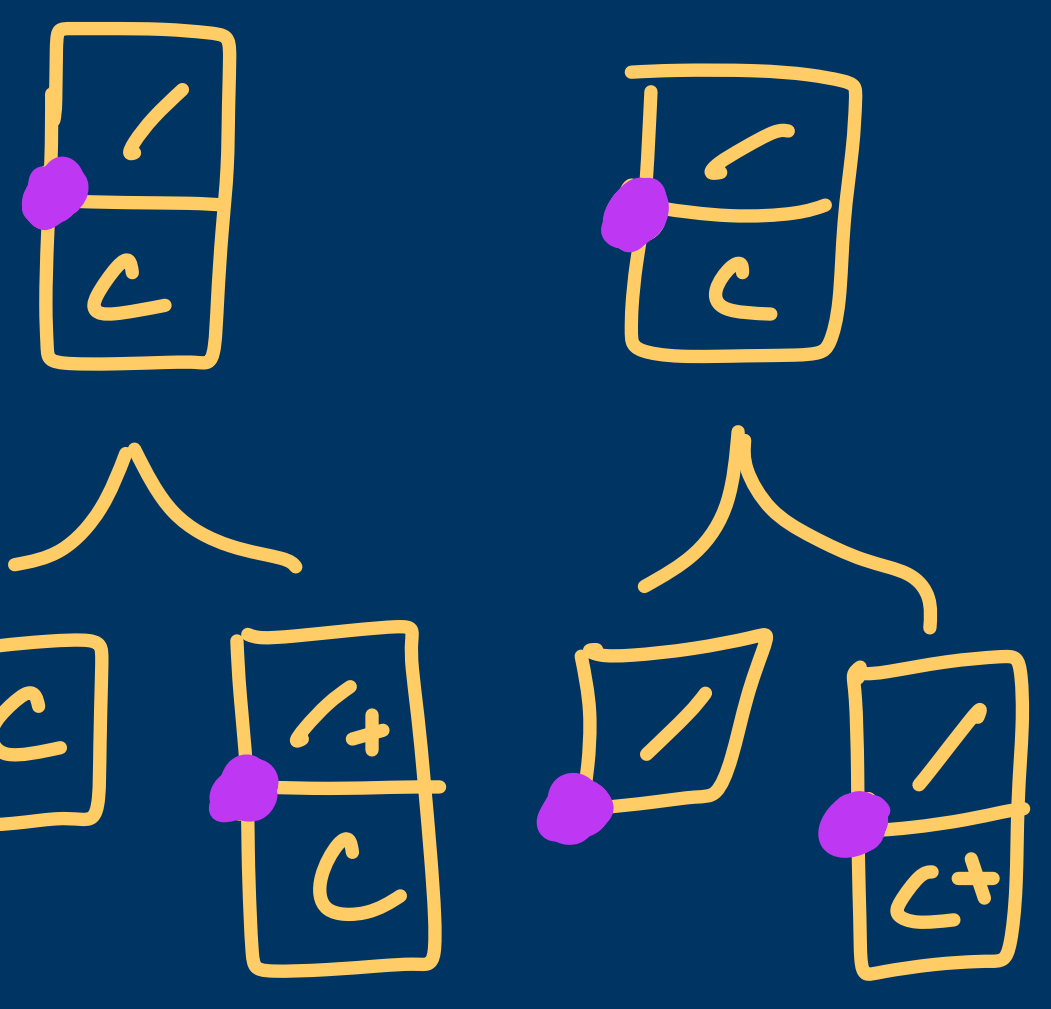
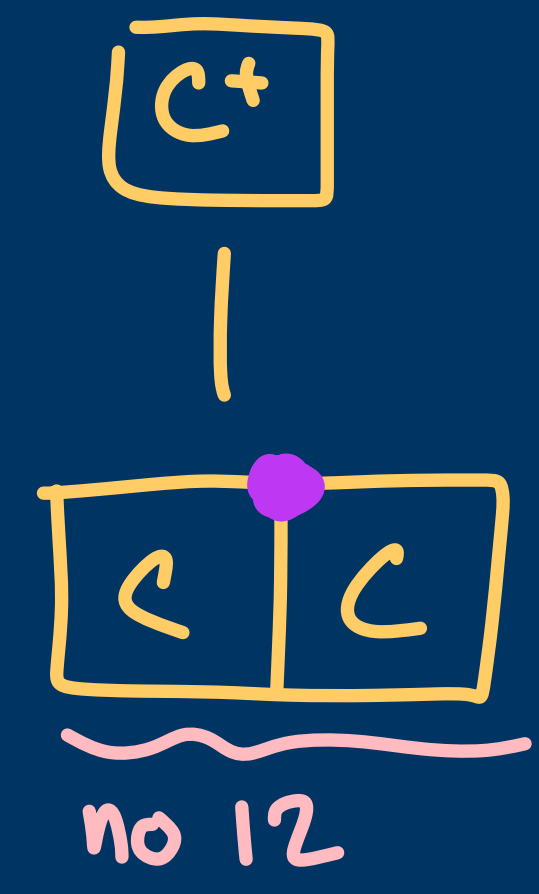
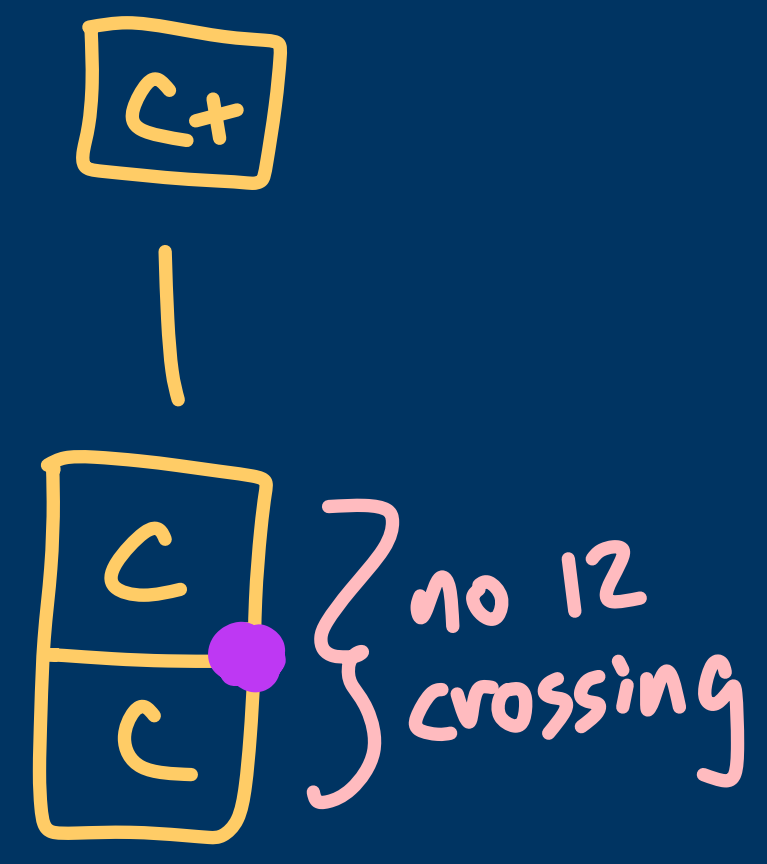
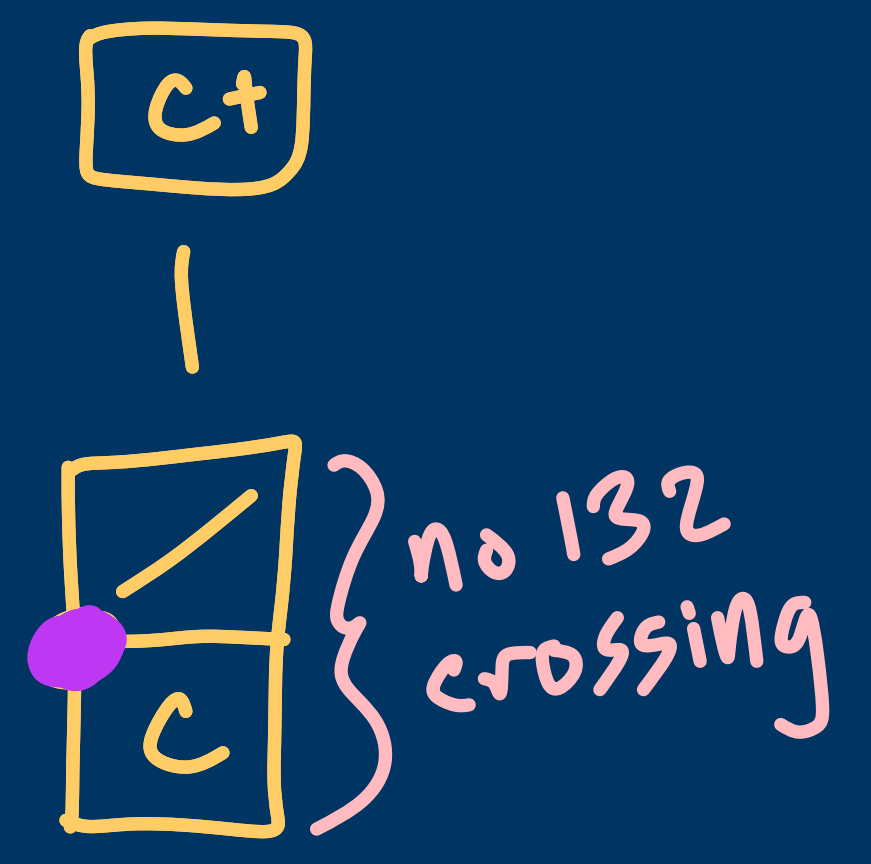
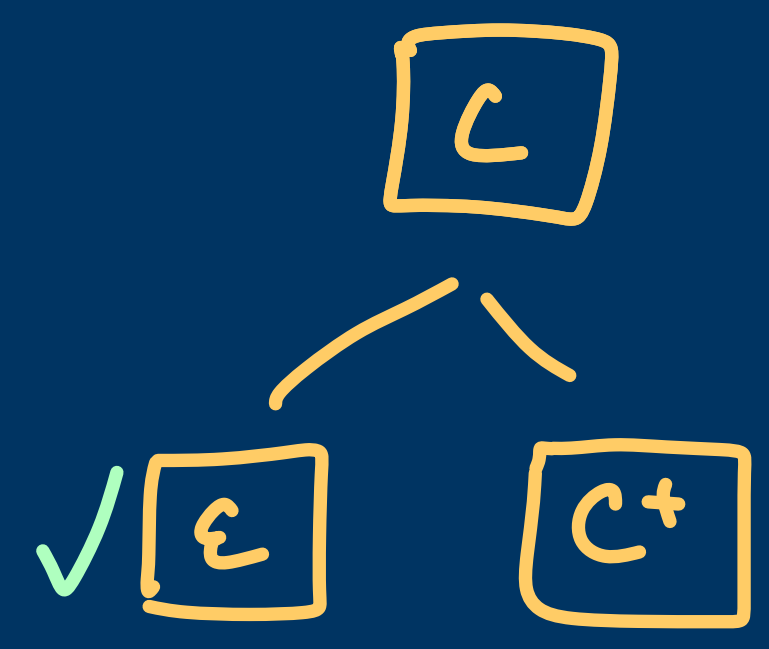
$C = Av(132)$   
 $C^+ = \text{nonempty perms}$



skipping ahead...

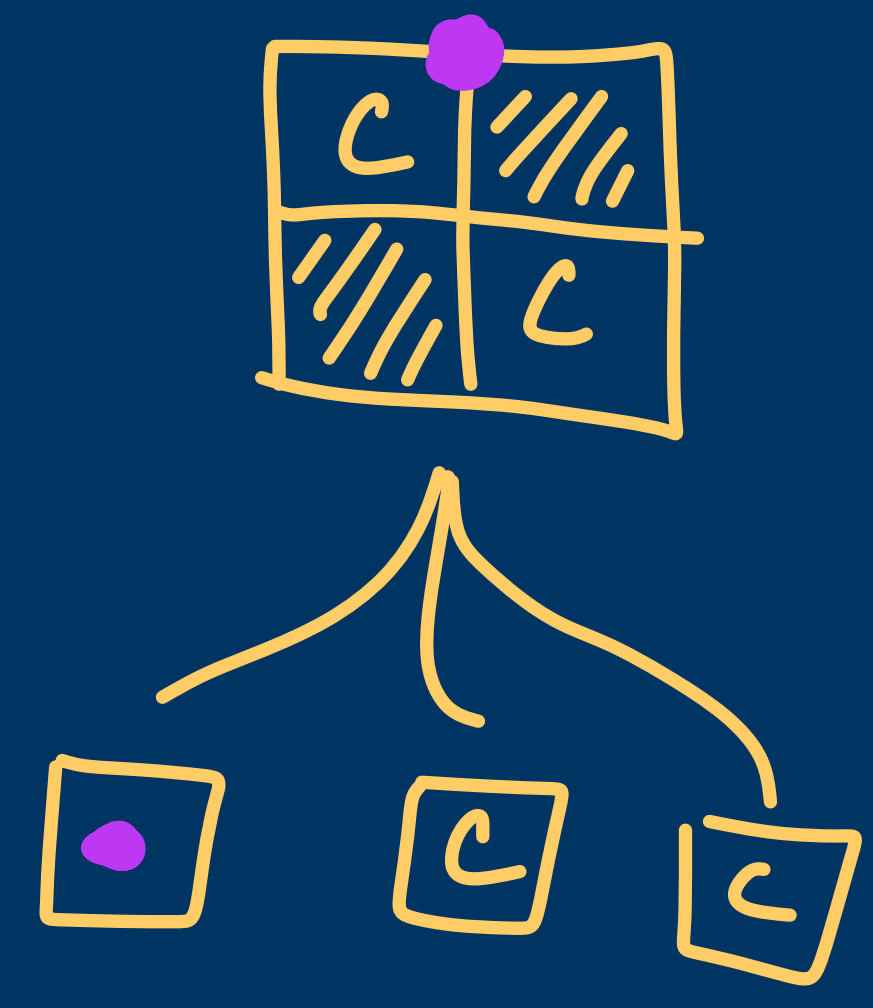
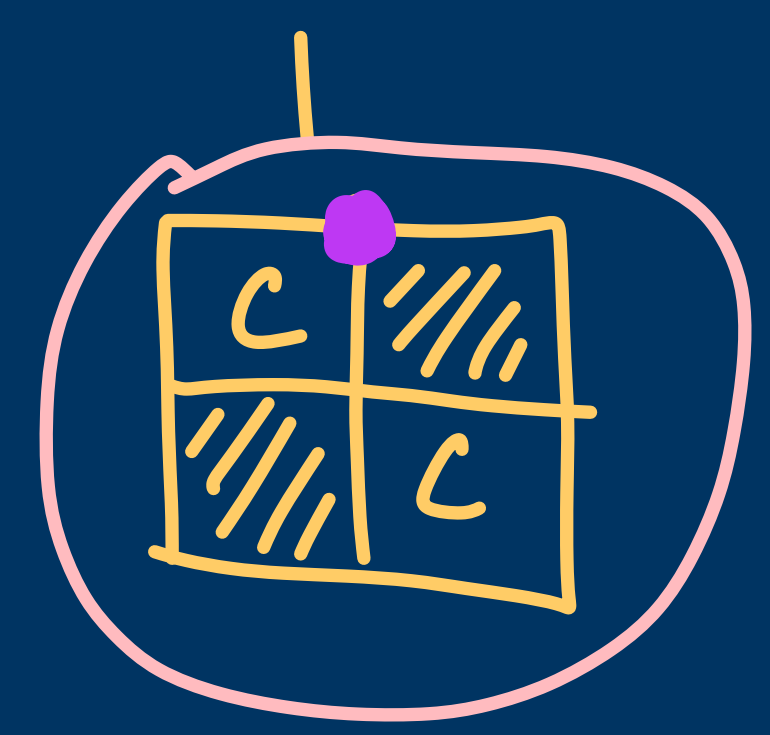
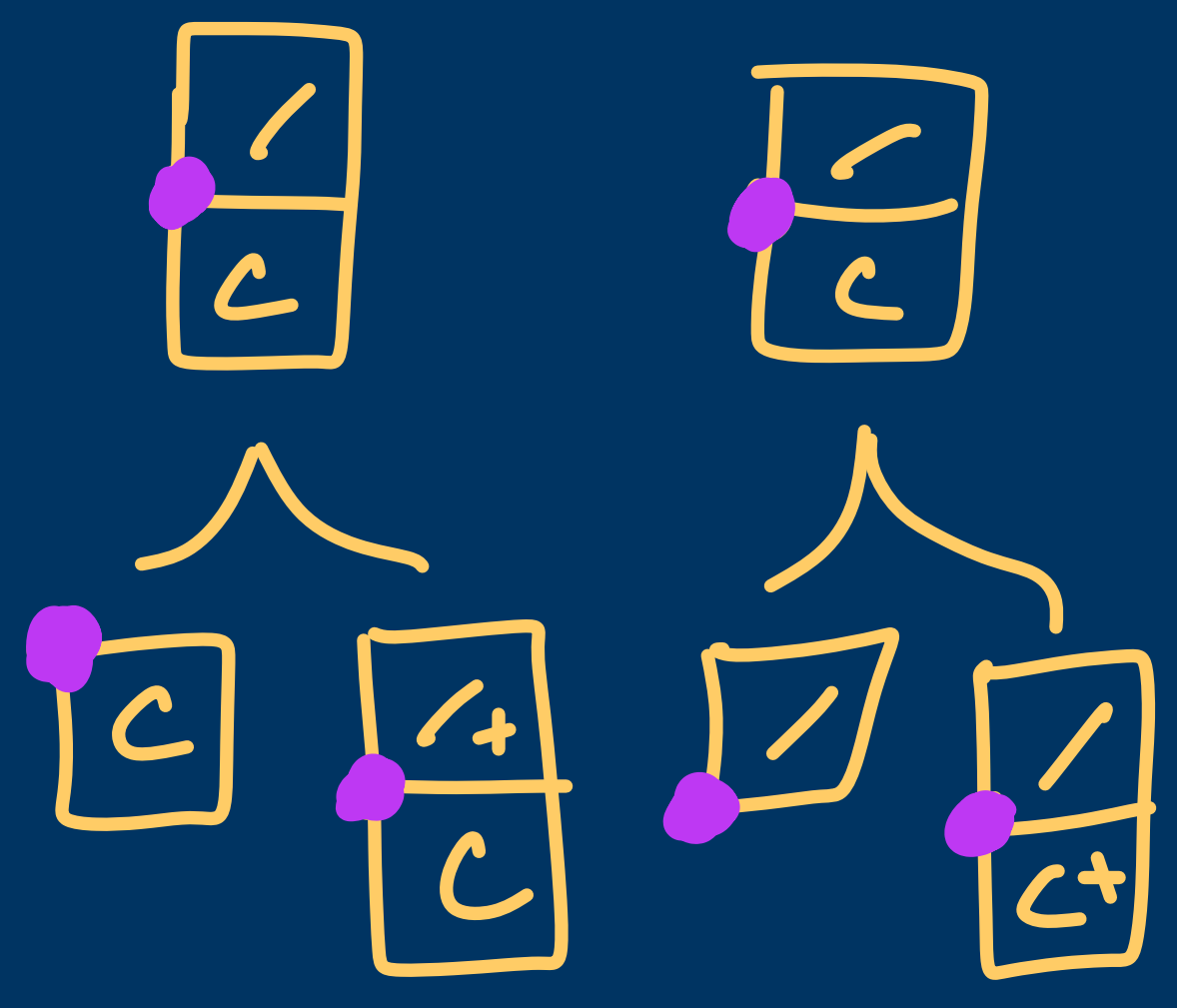
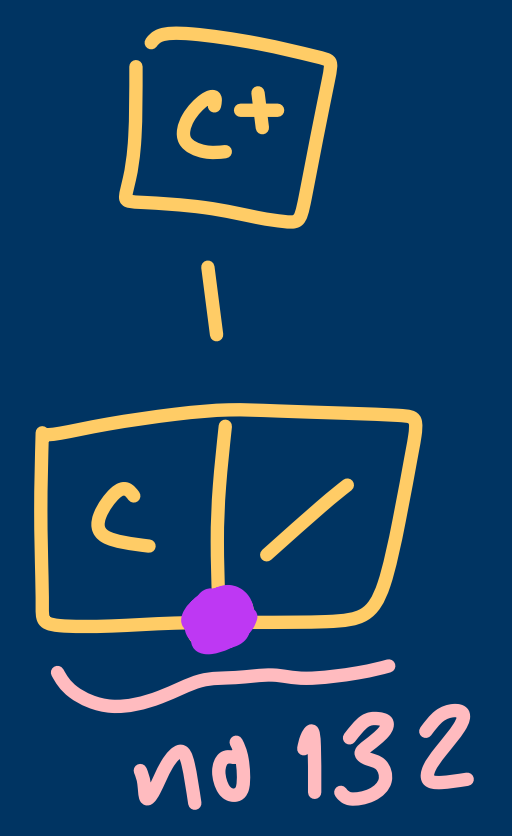
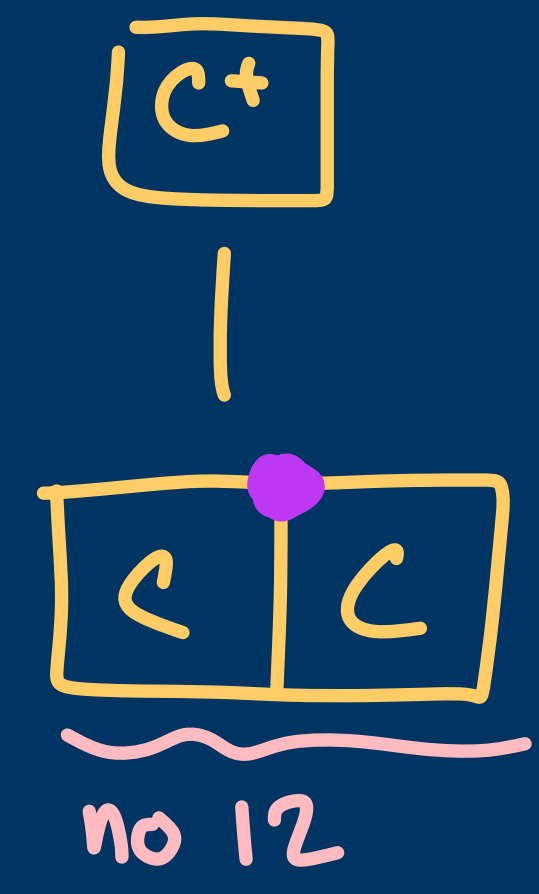
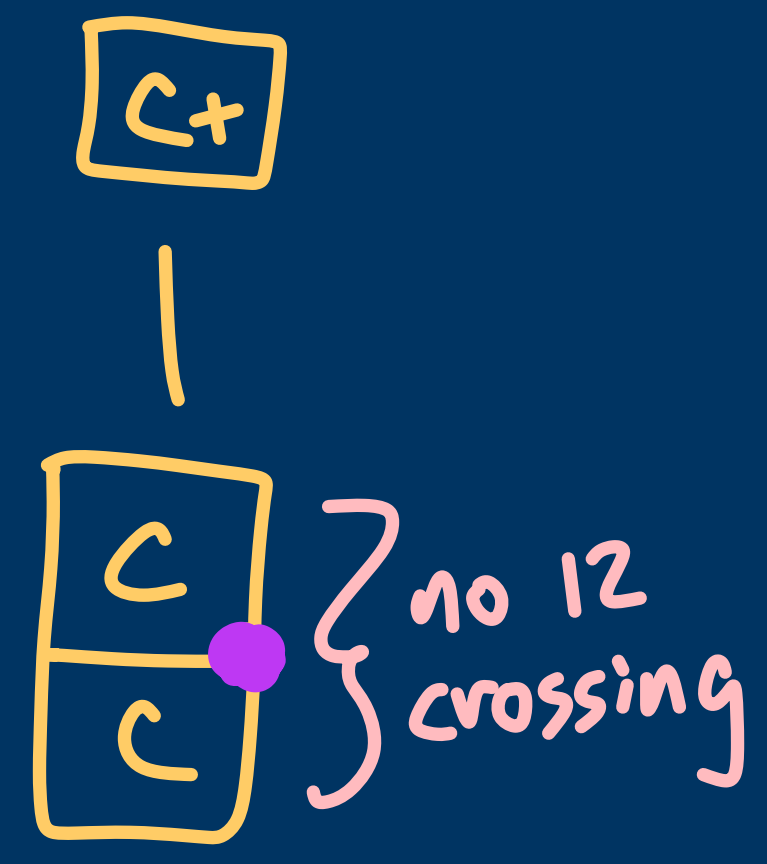
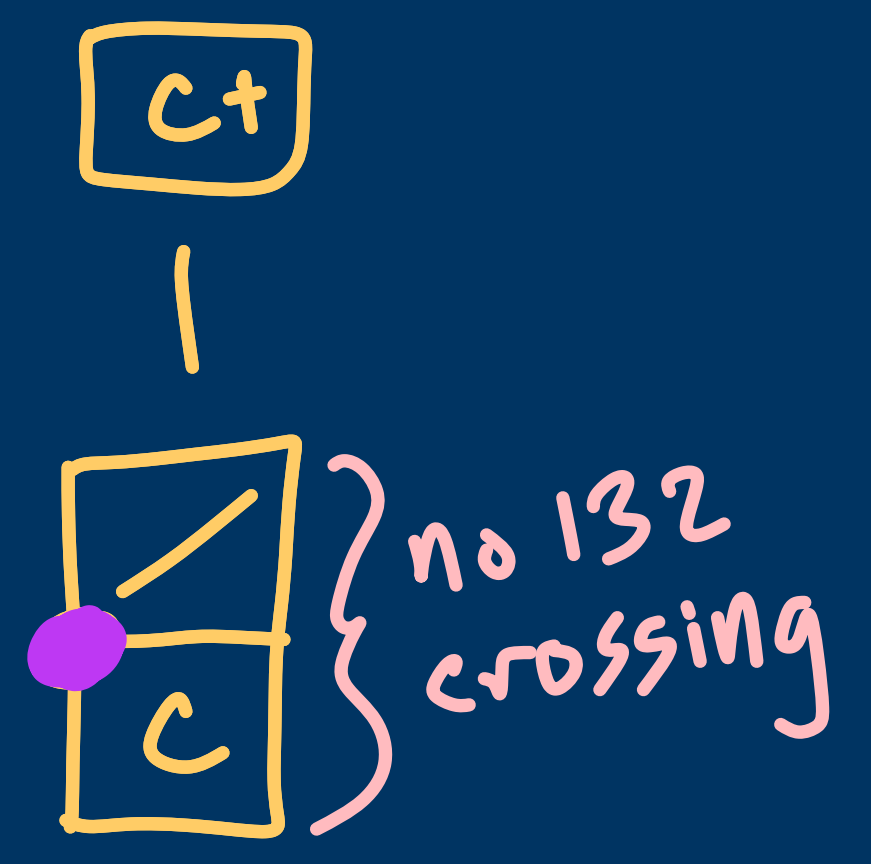
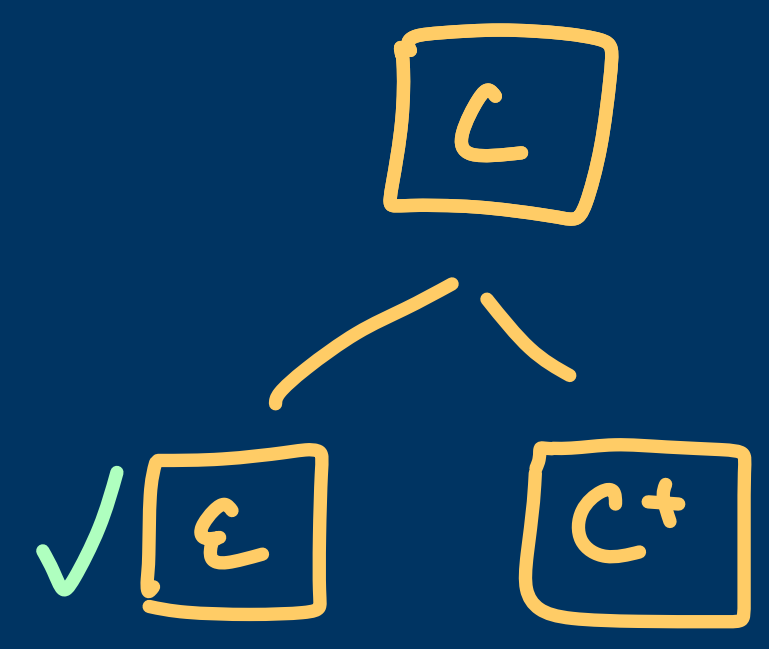
empty or not  
 point placement  
 row/col separation  
 factor

$C = Av(132)$   
 $C^+ = \text{nonempty perms}$



skipping ahead...  
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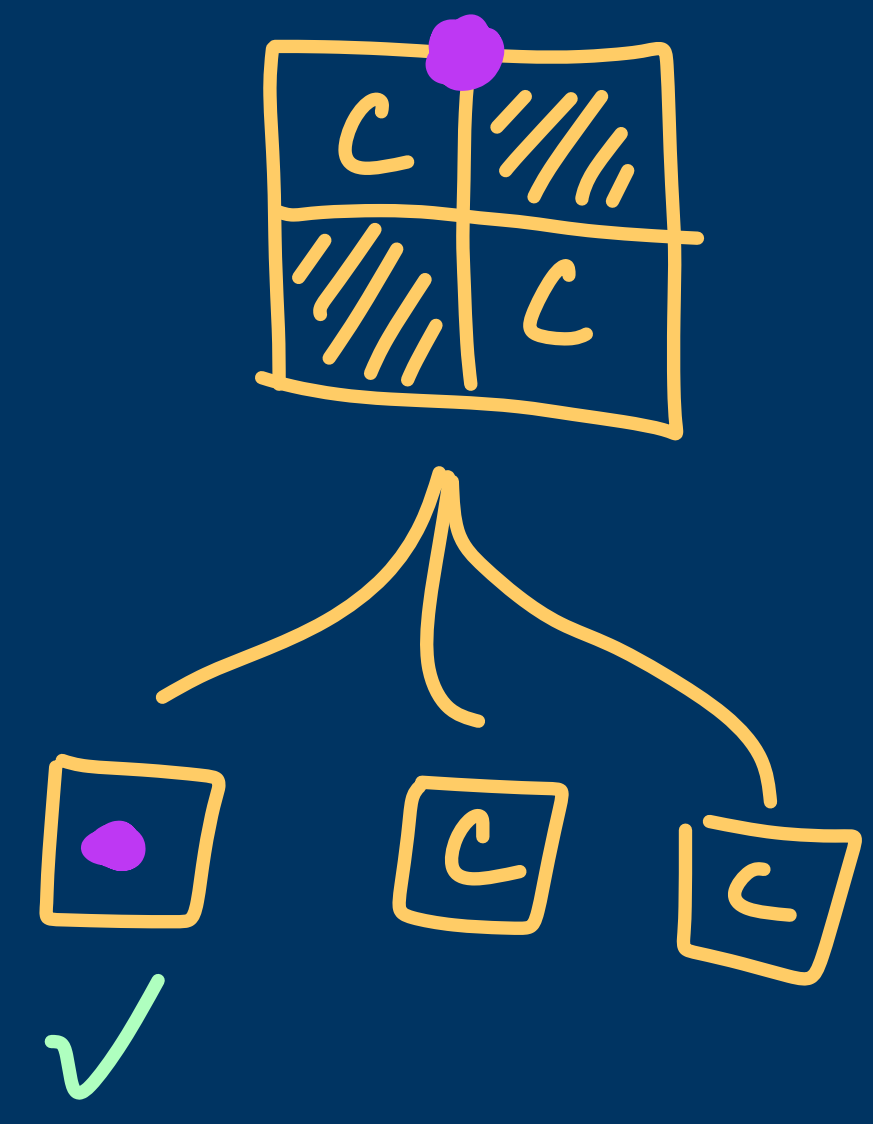
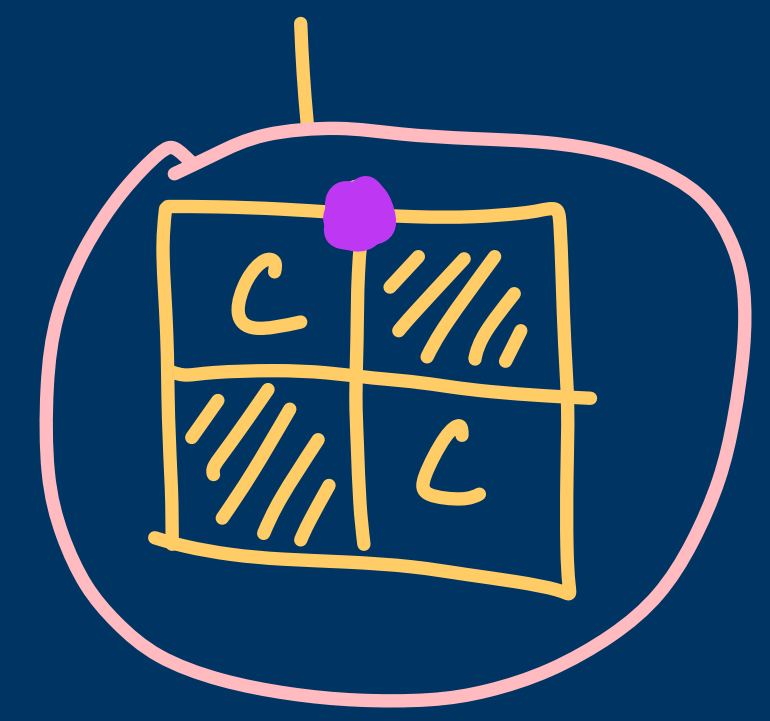
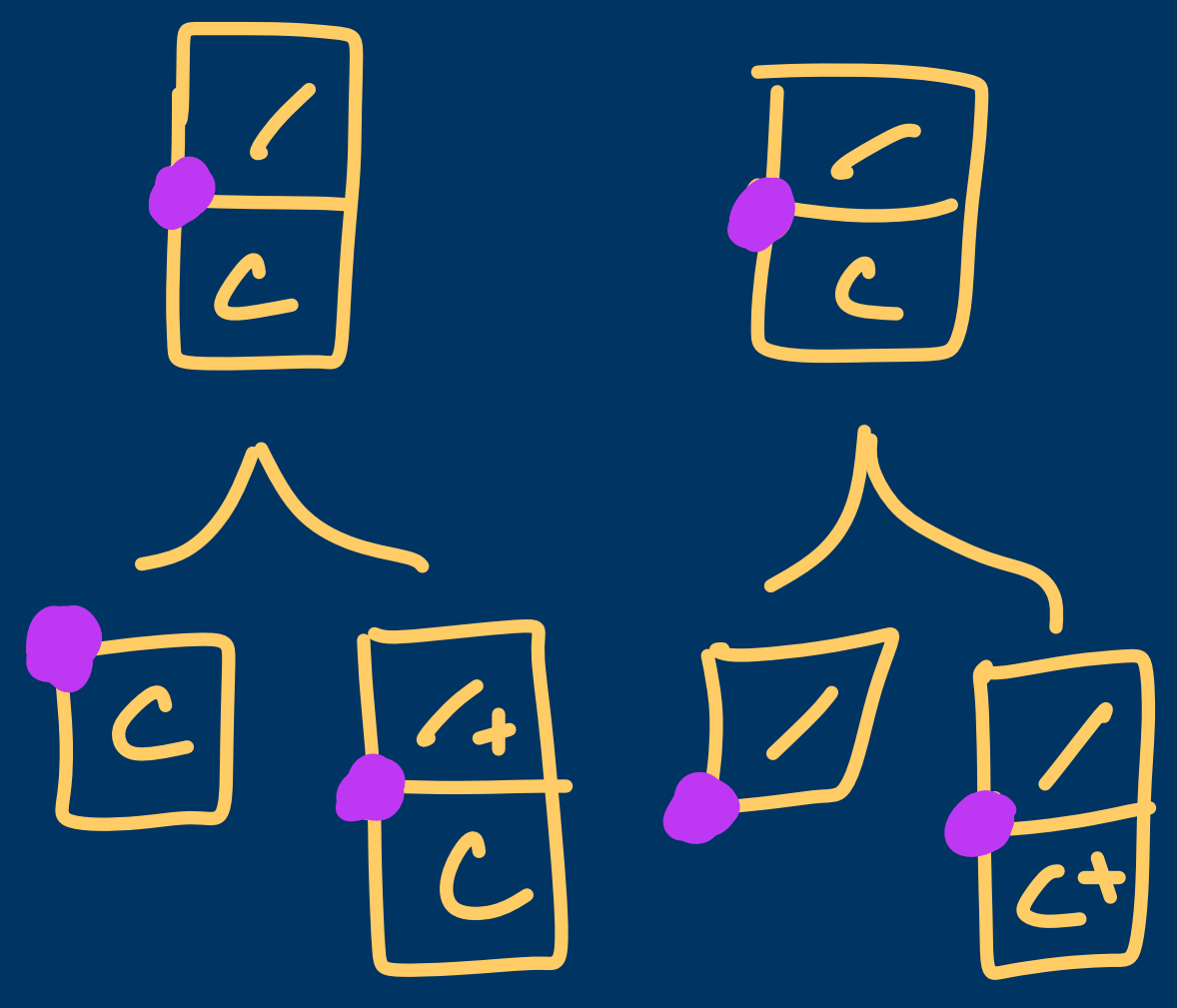
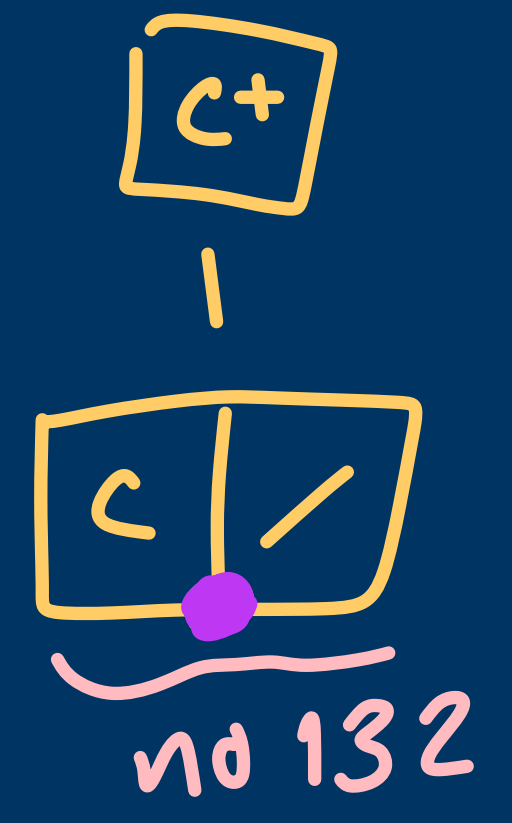
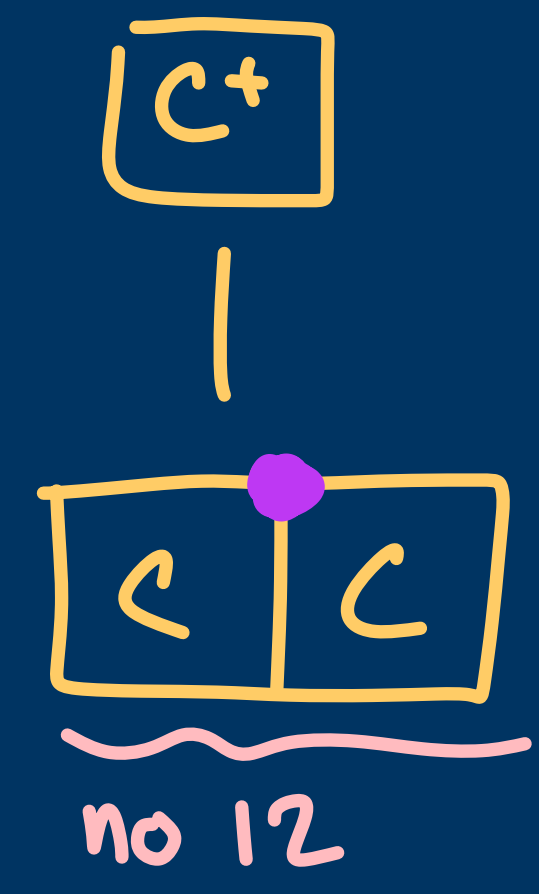
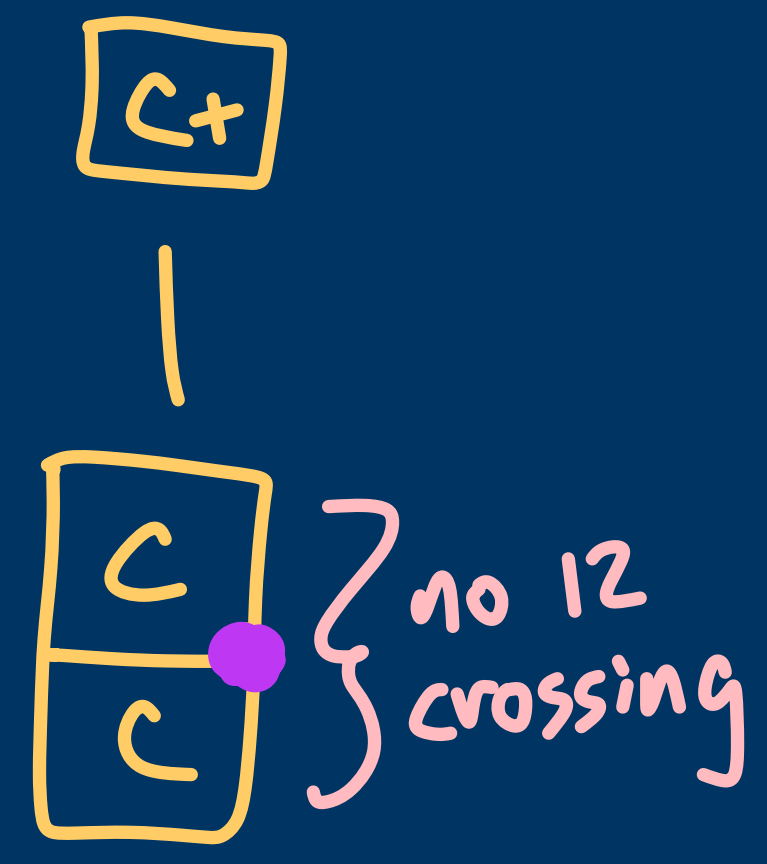
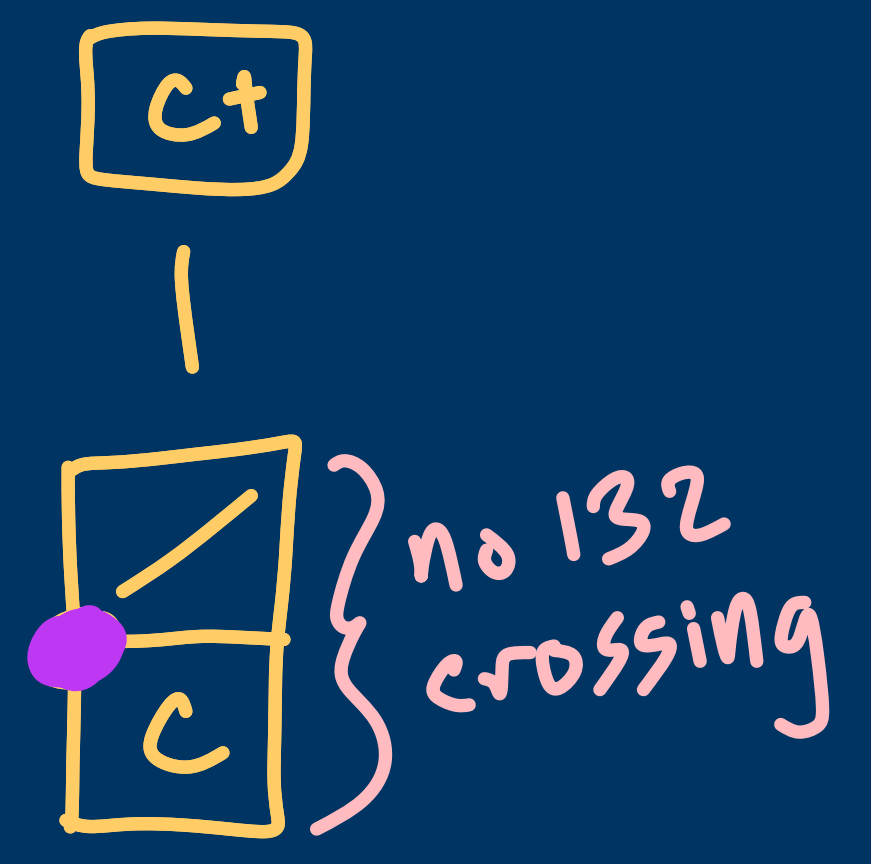
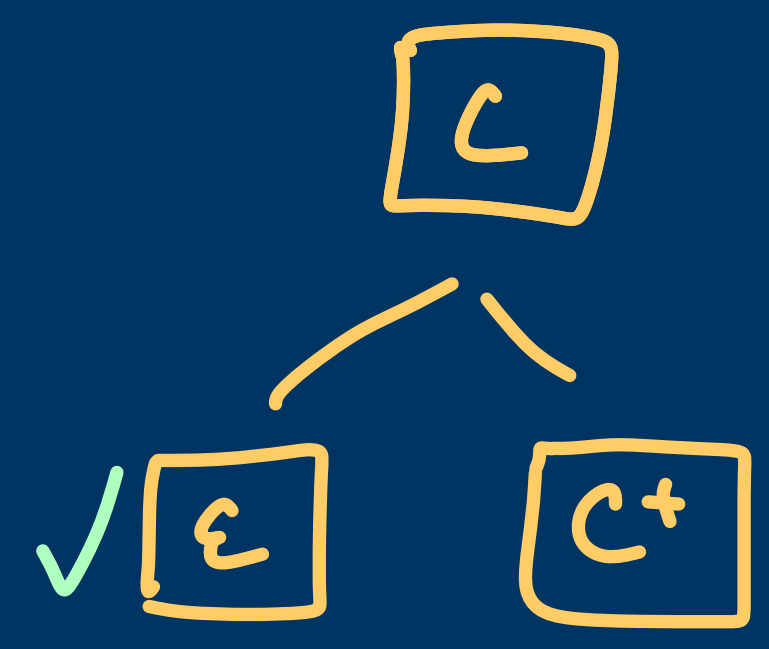
$C = Av(132)$   
 $C^+ = \text{nonempty perms}$



skipping ahead...

empty or not  
 point placement  
 row/col separation  
 factor

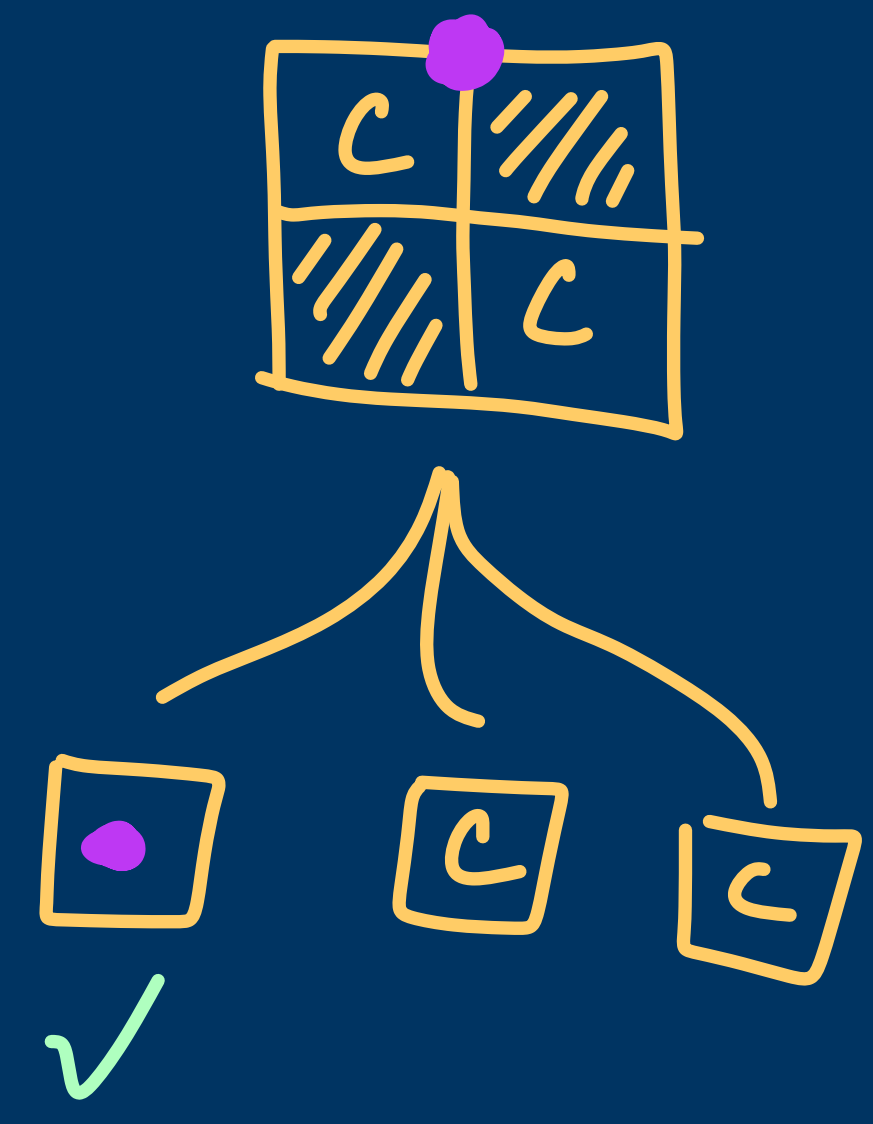
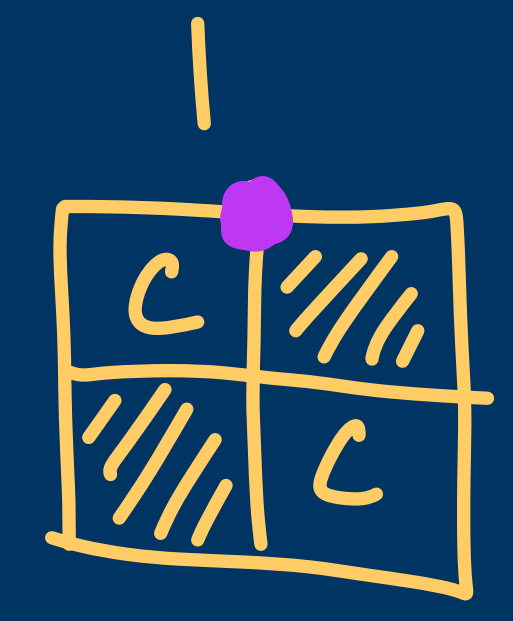
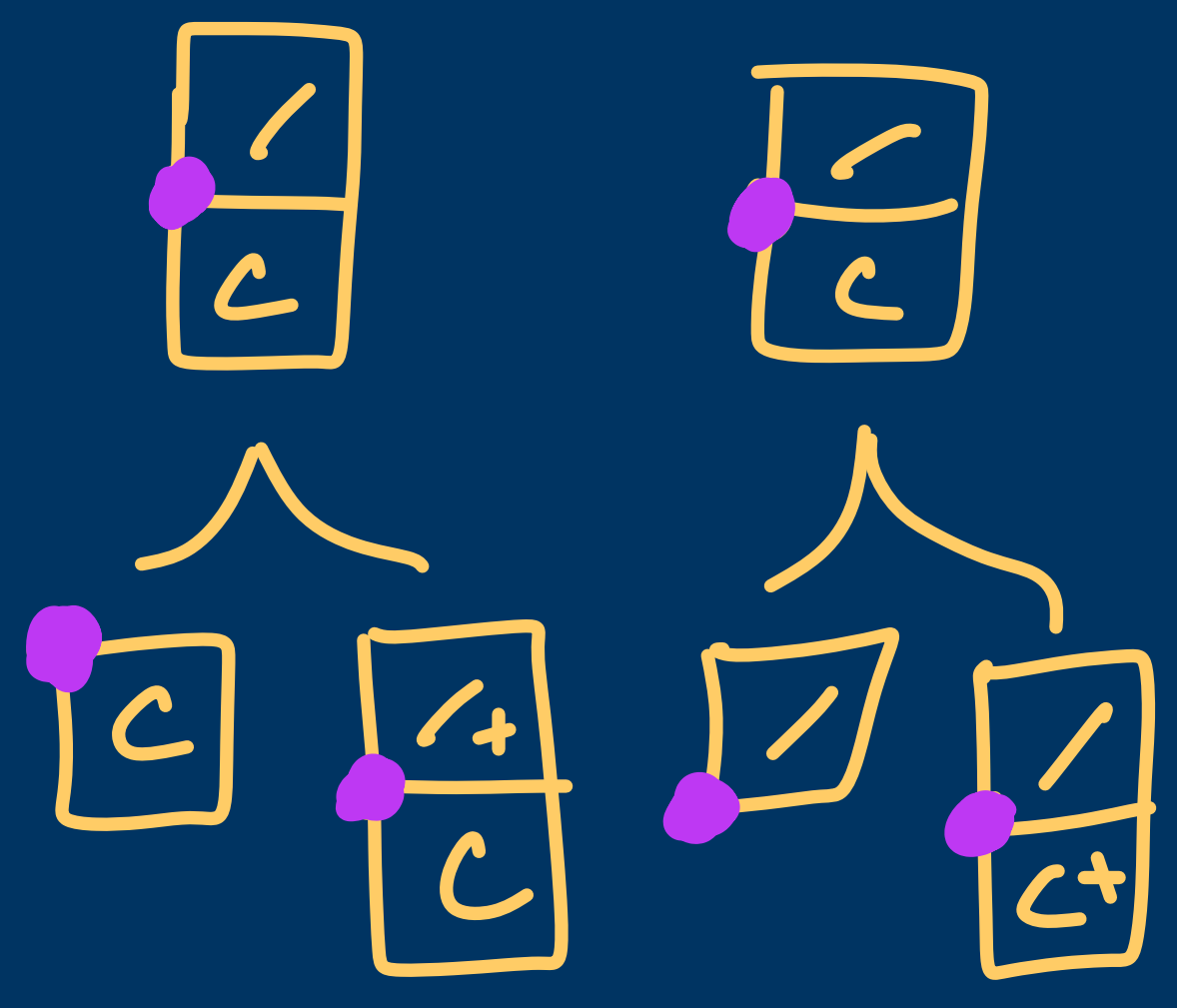
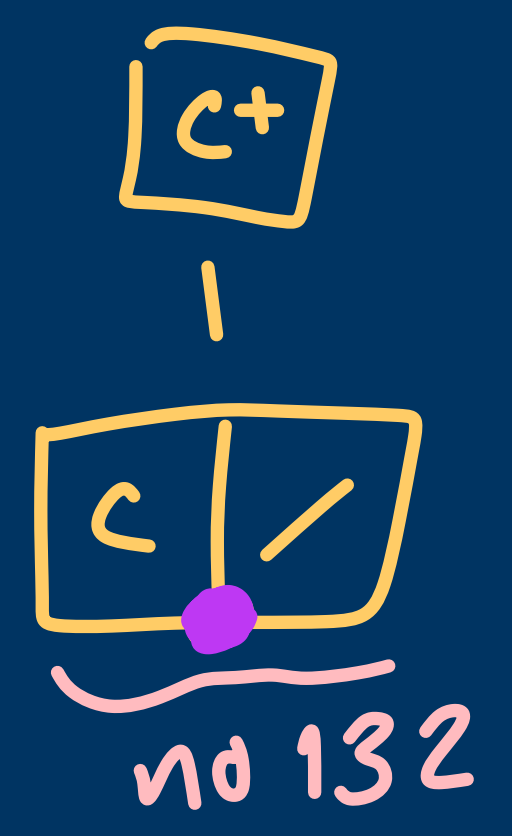
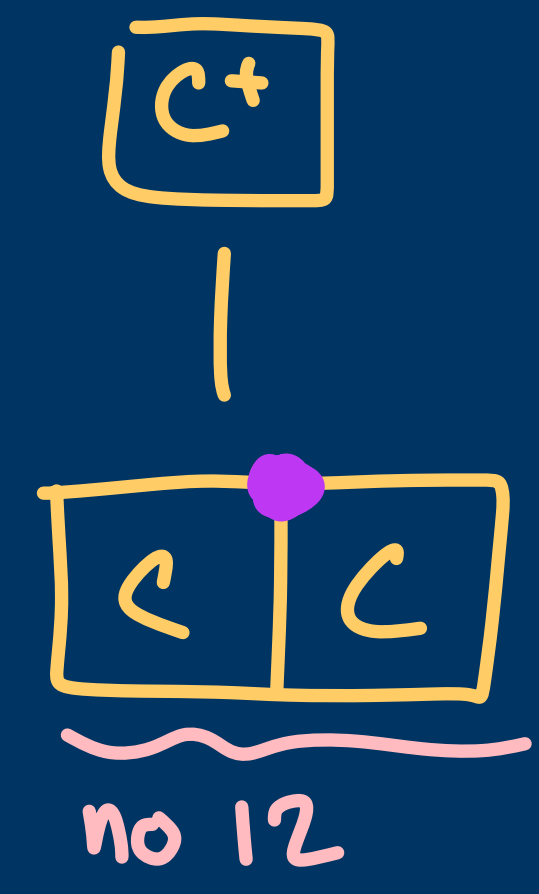
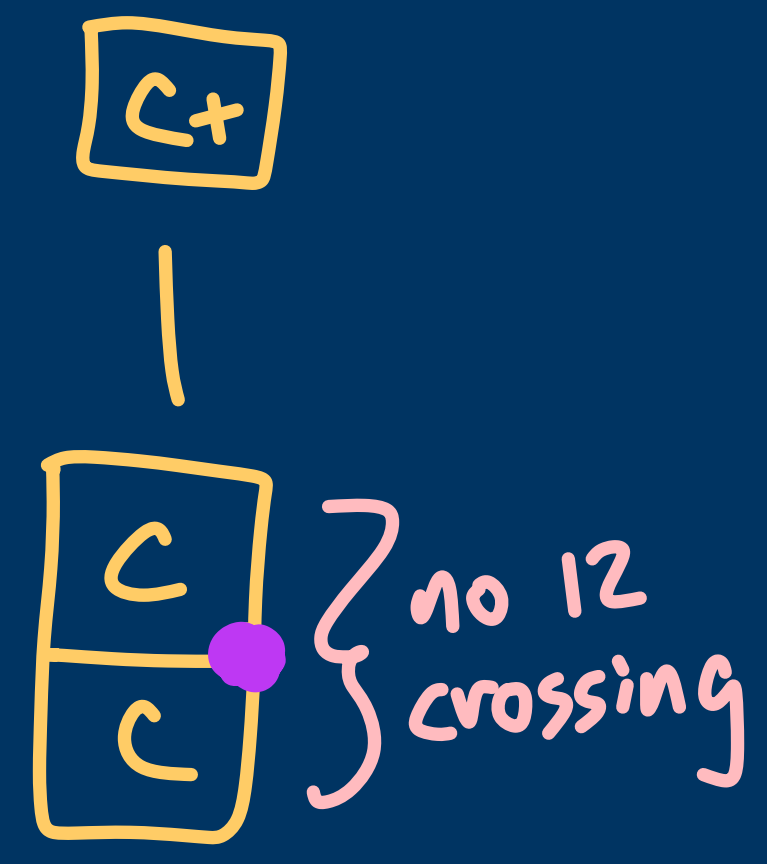
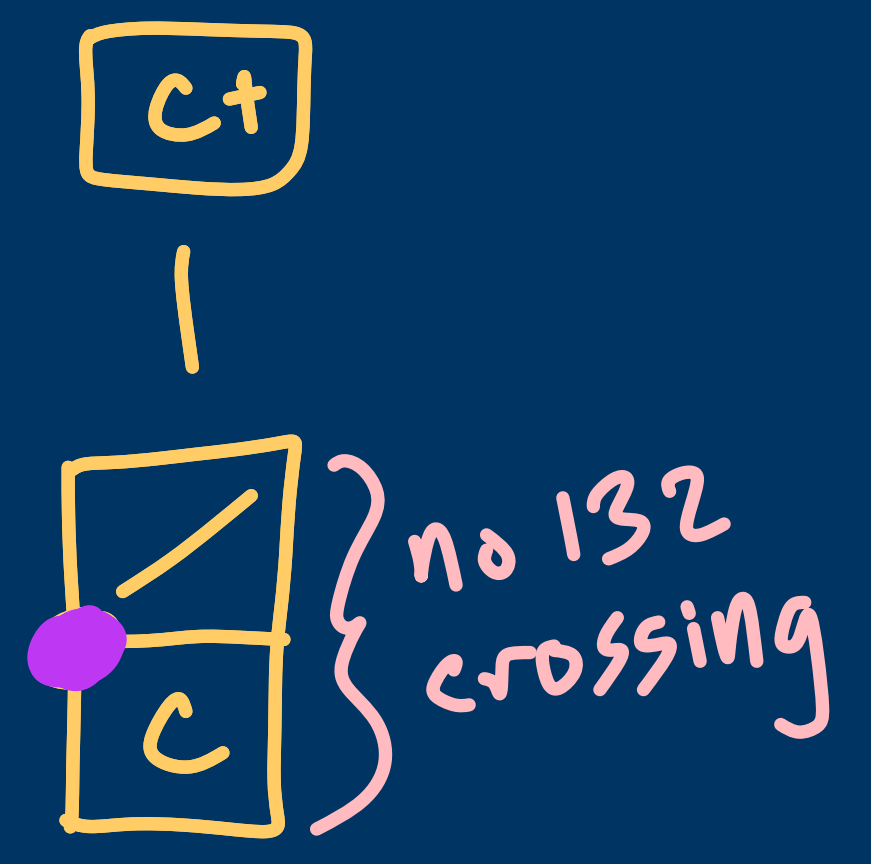
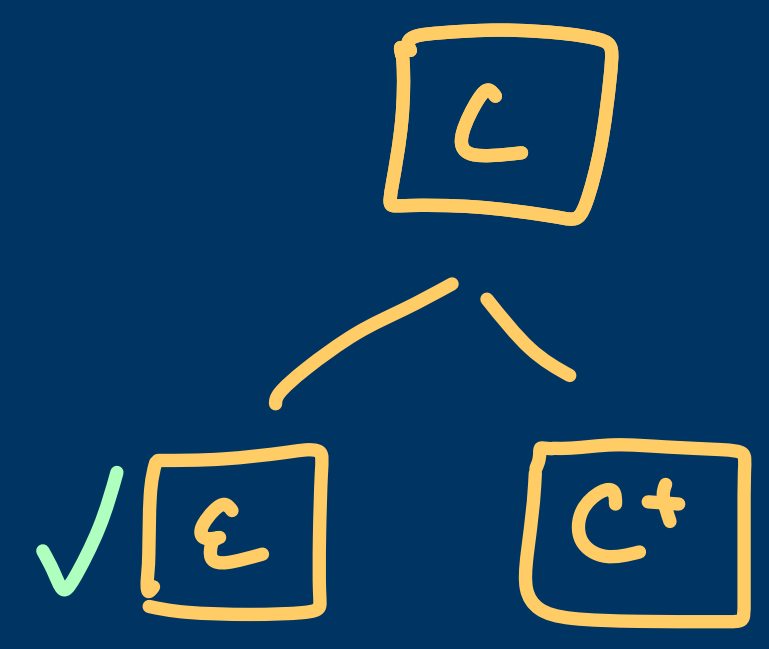
$C = Av(132)$   
 $C^+ = \text{nonempty perms}$



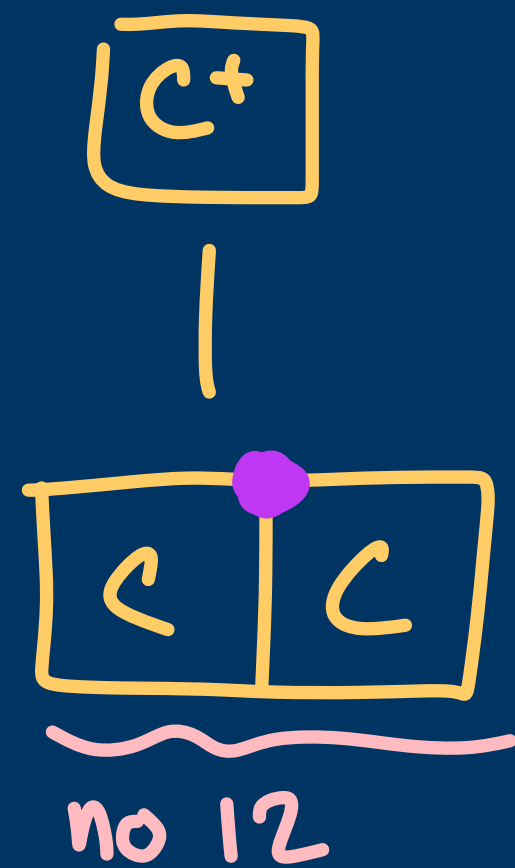
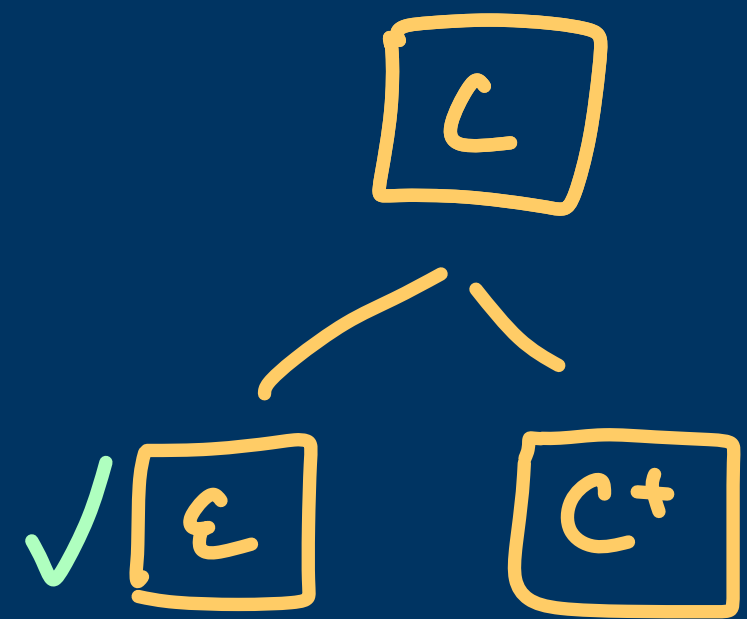
skipping ahead...

empty or not  
 point placement  
 row/col separation  
 factor

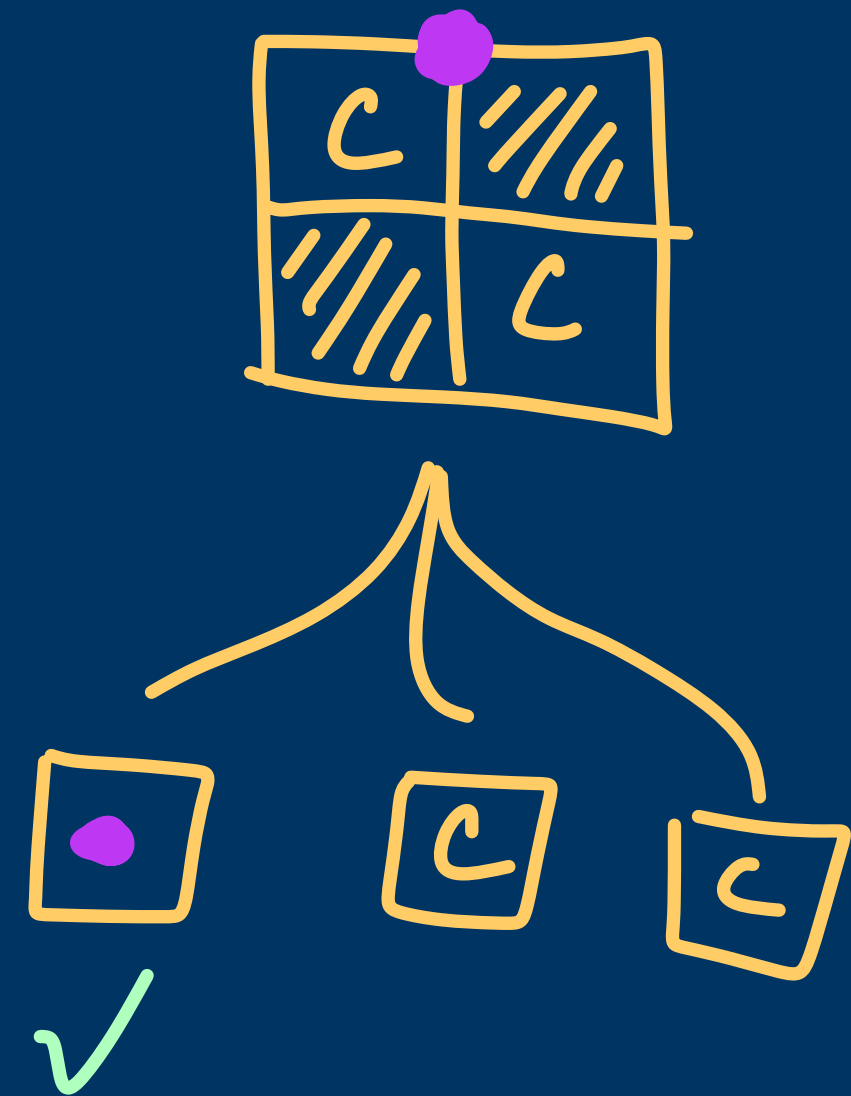
$C = Av(132)$   
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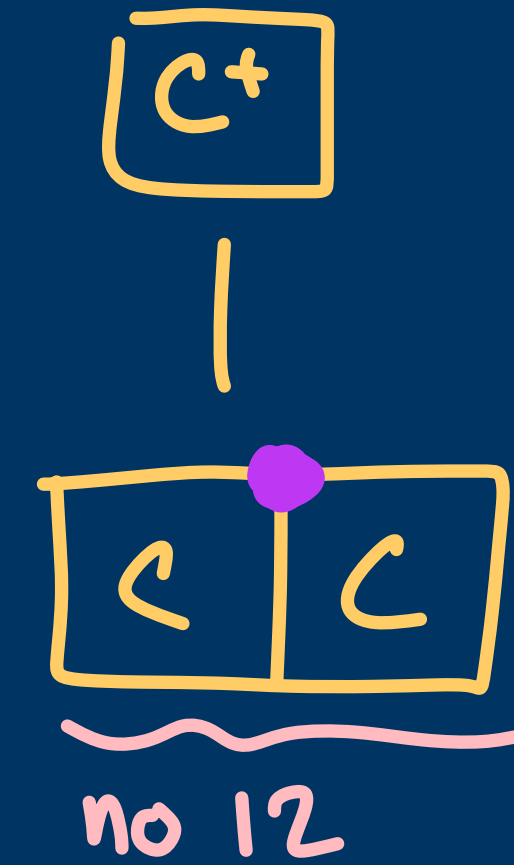
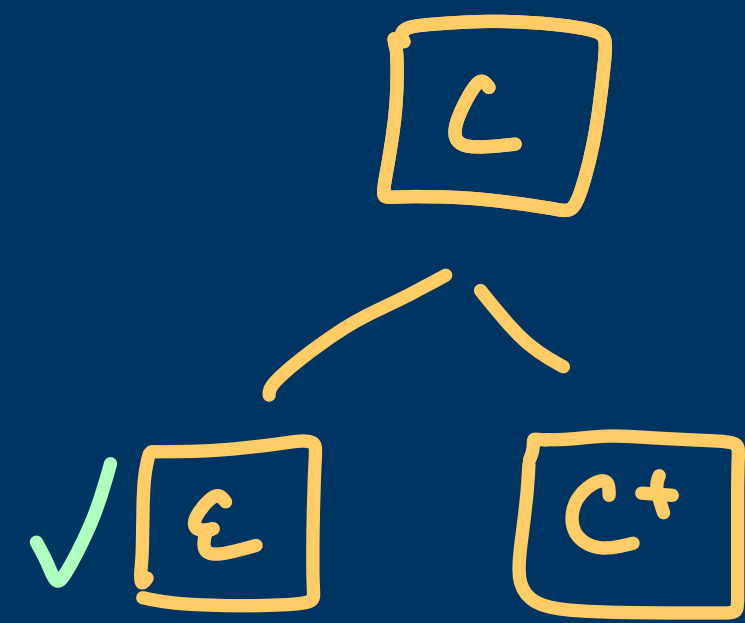
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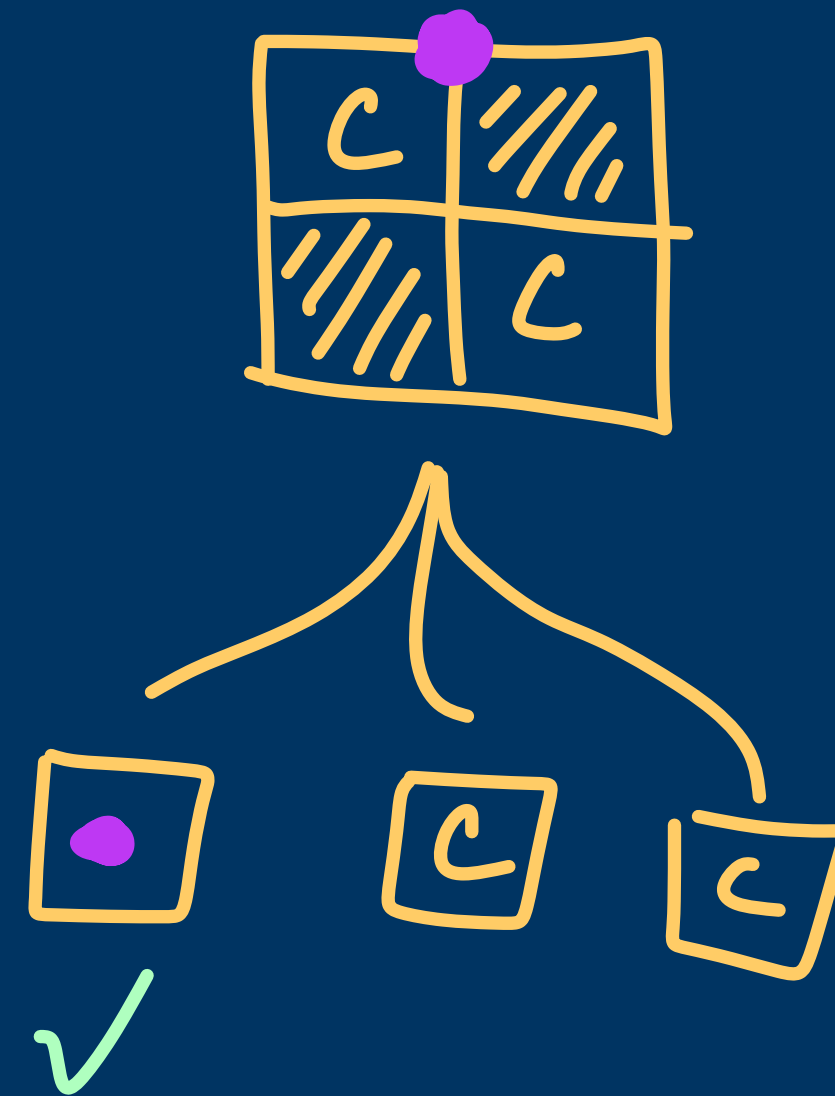
$C = Av(132)$   
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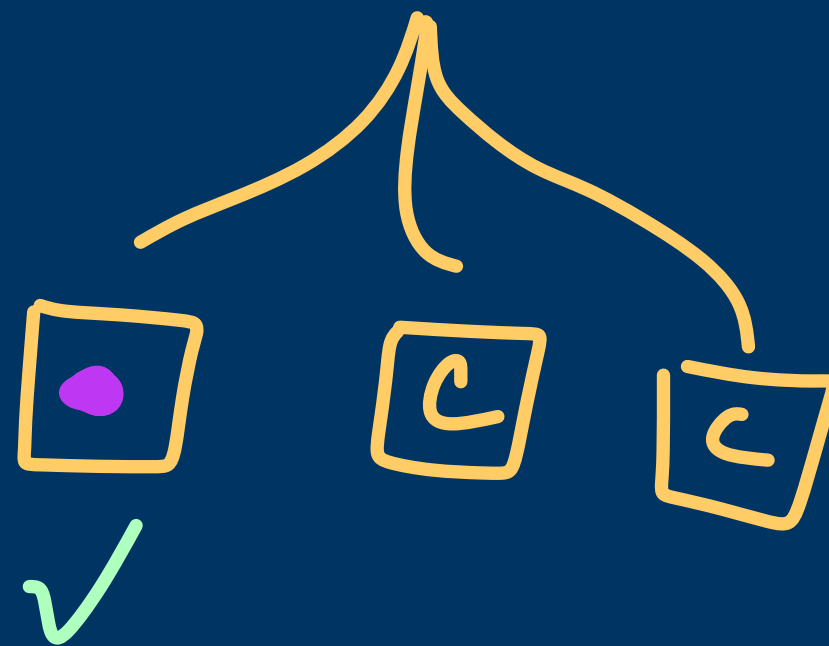
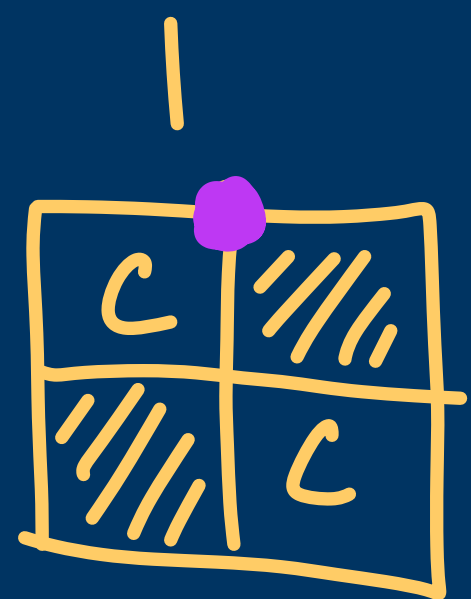
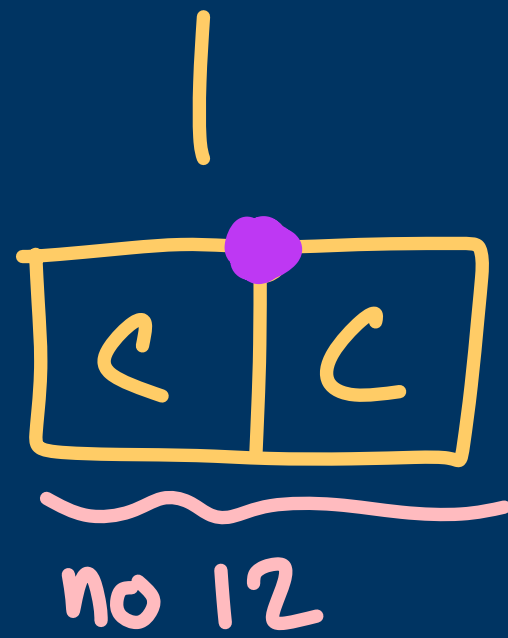
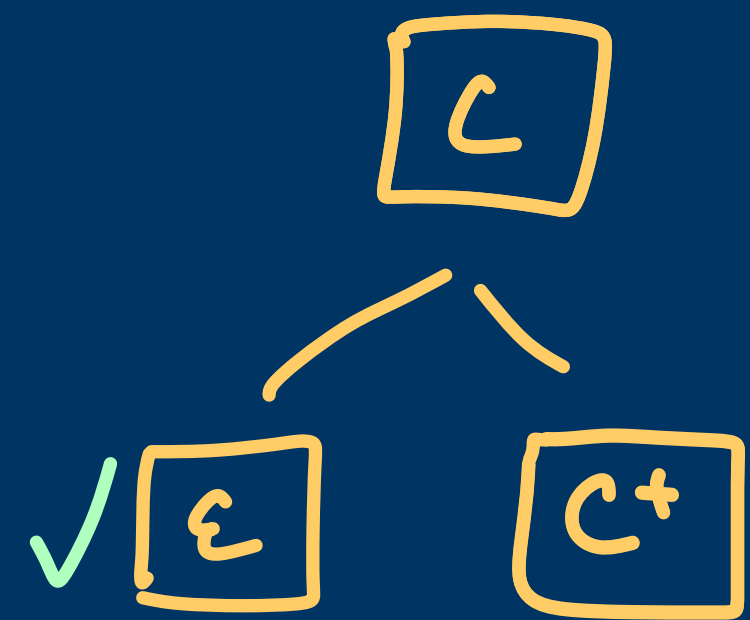
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$C = Av(132)$   
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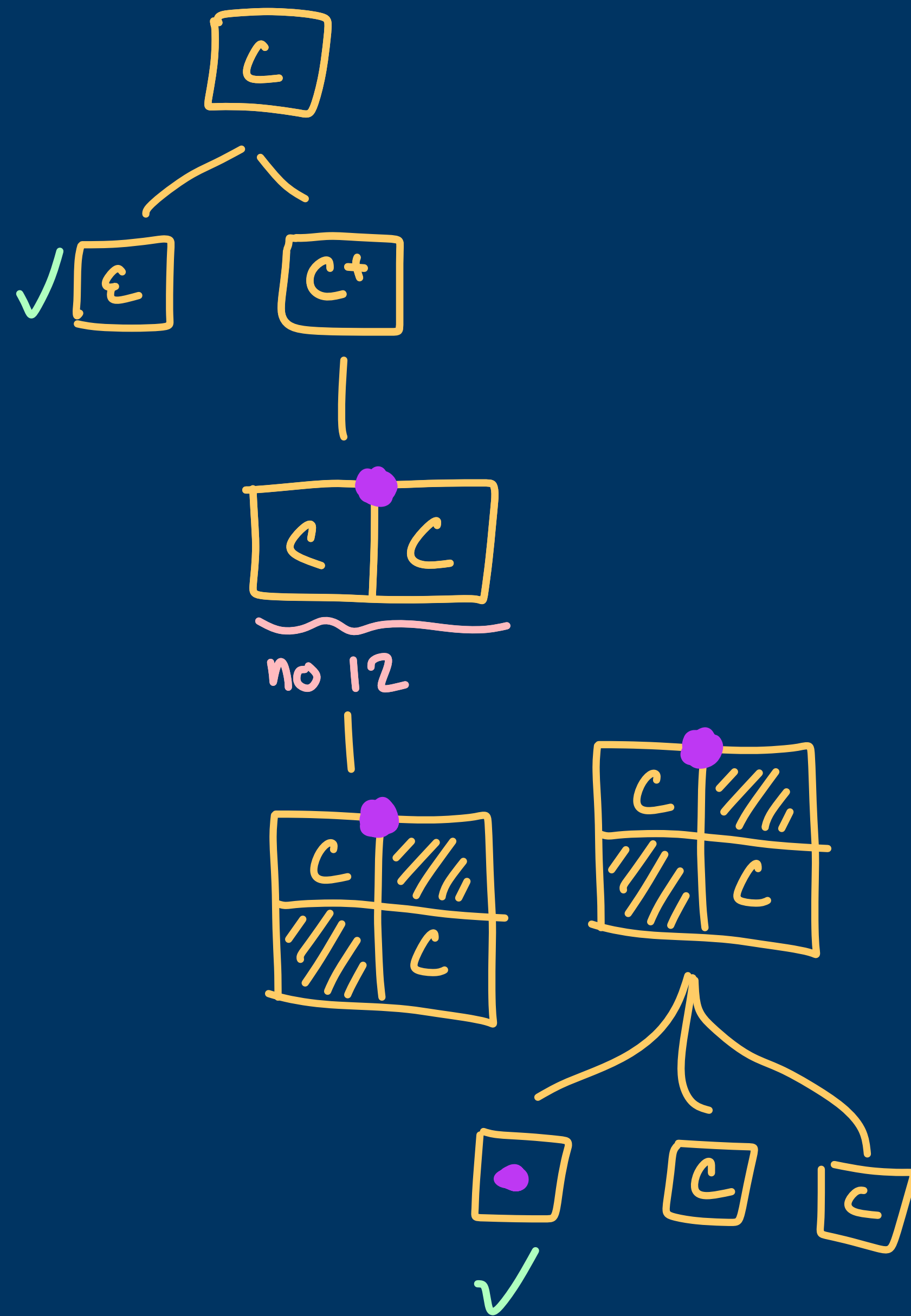


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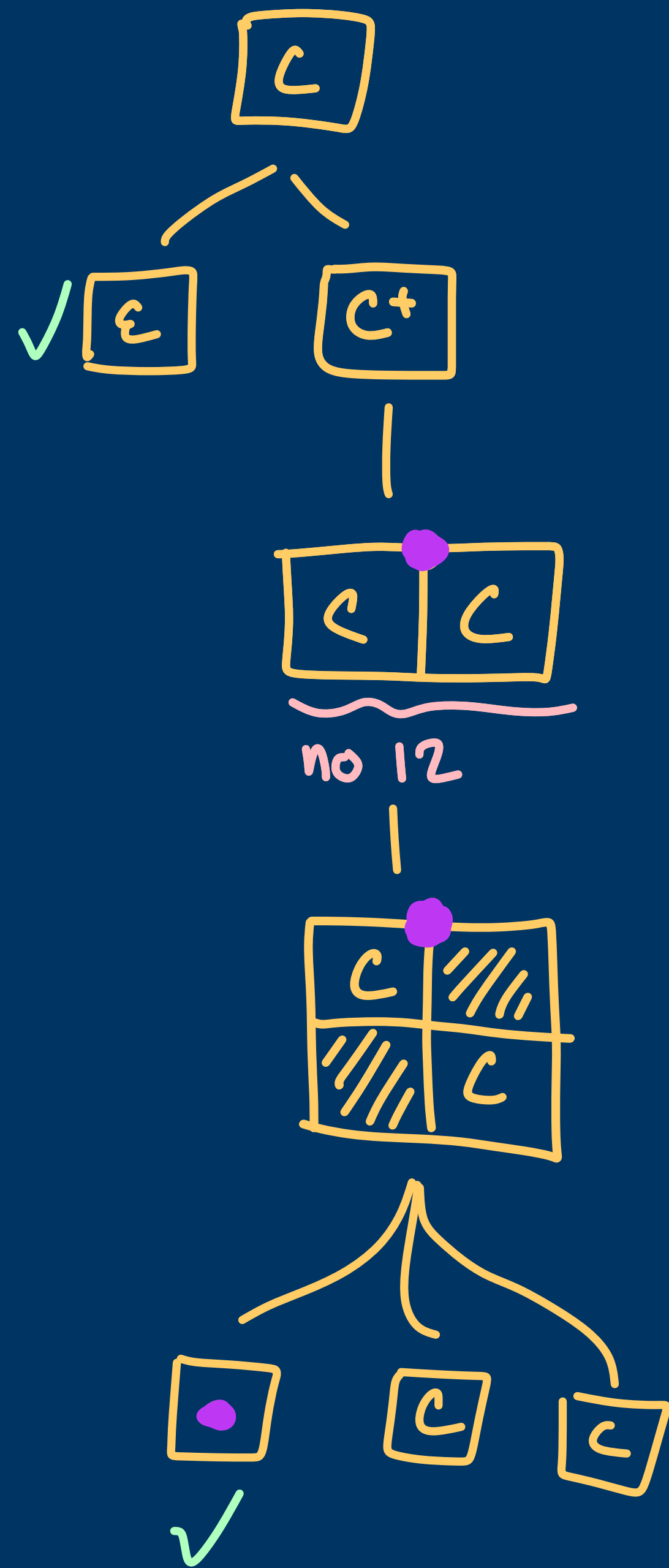
$C = Av(132)$   
 $C^+ = \text{nonempty perms}$

empty or not  
 point placement  
 row/col separation  
 factor



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# Combinatorial Exploration

General outline:

- Teach the computer a set of strategies.

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# Combinatorial Exploration

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  - and then to the children they produced
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  - and then to the children they produced
  - ...
- Each time you apply a strategy to a set, you make a puzzle piece. Search the pile of puzzle pieces for a subset that makes a combinatorial specification. If you find one, you win!
  - polynomial-time counting algorithm, system of equations for the GF,
  - uniform random sampling routine, exhaustive generation (but slow)

# Combinatorial Exploration

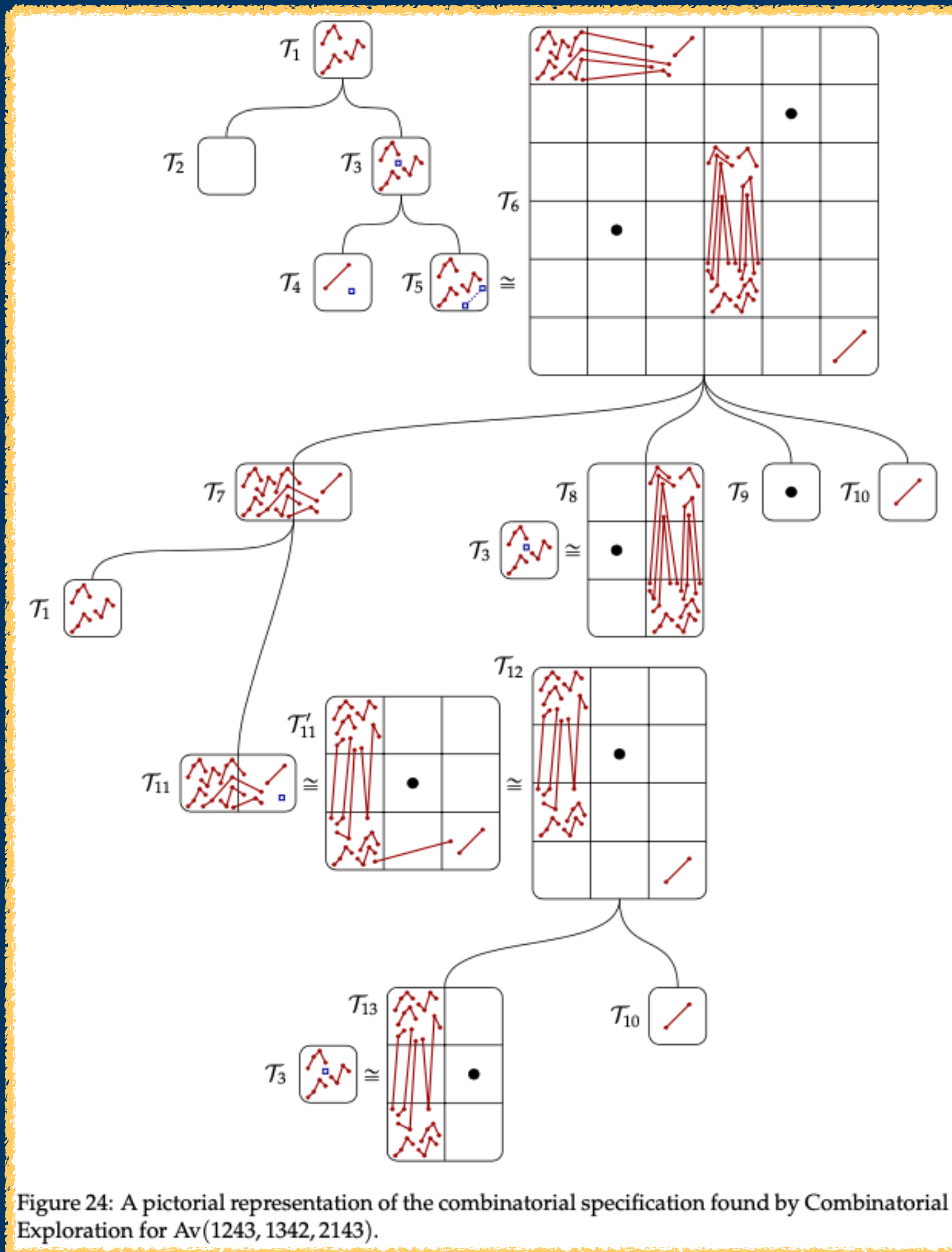


Figure 24: A pictorial representation of the combinatorial specification found by Combinatorial Exploration for  $Av(1243, 1342, 2143)$ .

$Av(1243, 1342, 2143)$

The algorithm generates about 5,400 rules before it finds this subset of 10 rules that makes a rigorous specification.

55 seconds

# Combinatorial Exploration

<https://permpal.com>



PermPAL

Home

Examples

Search

Random

## The Permutation Pattern Avoidance Library (PermPAL)

PermPAL is a database of algorithmically-derived theorems about [permutation classes](#).

The [Combinatorial Exploration framework](#) produces rigorously verified combinatorial specifications for families of combinatorial objects. These specifications then lead to generating functions, counting sequence, polynomial-time counting algorithms, random sampling procedures, and more.

This database contains 23,845 permutation classes for which specifications have been automatically found. This includes many classes that have been previously enumerated by other means and many classes that have not been previously enumerated.

### Some Notables Successes:

- [6 out of 7 of the principal classes](#) of length 4
- [all 56 symmetry classes](#) avoiding two patterns of length 4
- [all 317 symmetry classes](#) avoiding three patterns of length 4
- [the "domino set"](#) used by [Bevan, Brignall, Elvey Price, and Pantone](#) to investigate  $Av(1324)$
- [the class  \$Av\(3412, 52341, 635241\)\$](#)  of [Alland and Richmond](#) corresponding a type of Schubert variety
- [the class  \$Av\(2341, 3421, 4231, 52143\)\$](#)  equal to the  $(Av(12), Av(21))$ -staircase ([see Albert, Pantone, and Vatter](#)), which appears to be non-D-finite
- [all of the permutation classes counted by the Schröder numbers](#) conjectured by Eric Egge
- [the class  \$Av\(34251, 35241, 45231\)\$](#) , equal to the preimage of  $Av(321)$  under the West-stack-sorting operation ([see Defant](#))

Section 2.4 of the article [Combinatorial Exploration: An Algorithmic Framework for Enumeration](#) gives a more comprehensive list of notable results.

The [comb\\_spec\\_searcher](#) github repository contains the open-source python framework for Combinatorial Exploration, and the [tilings](#) github repository contains the code needed to apply it to the field of permutation patterns.

# Combinatorial Exploration

We can find combinatorial specifications for:

- ▶ 6 out of 7 of the classes avoiding 1 pattern of length 4  
First direct enumerations of  $Av(1342)$  and  $Av(2413)$
- ▶ All 56 classes avoiding 2 patterns of length 4  
3 are conjectured to be non-D-finite  
can derive the algebraic GF for the other 53
- ▶ All 317 classes avoiding 3 patterns of length 4
- ▶ All classes avoiding 4 or more patterns of length 4

# Combinatorial Exploration

We can find combinatorial specifications for:

- ▶ 1324-avoiding domino permutations
- ▶ Preimage of  $Av(321)$  under West-stack-sorting  
 $Av(34251, 35241, 45231)$
- ▶ LCI Schubert Varieties  
 $Av(52341, 53241, 52431, 35142, 42513, 351624)$
- ▶ “Box classes” like  $Av(1 \square 2 \square 3)$  and  $Av(1 \square \square 32)$
- ▶ “POP classes”
- ▶ Permutations corresponding to Schubert varieties with a complete parabolic bundle structure  
 $Av(3412, 52341, 635241)$



# Av(2143, 3412)

[View Raw Data](#)

## Generating Function

$$\frac{3x - 1}{\sqrt{-4x + 1} (2x - 1)}$$

Copy to clipboard:

[latex](#)[Maple](#)[sympy](#)[Search on PermPAL](#)

## Recurrence

$$a(0) = 1$$

$$a(1) = 1$$

$$a(2) = 2$$

$$a(n + 3) = \frac{12(1 + 2n)a(n)}{n + 3} - \frac{2(16 + 13n)a(n + 1)}{n + 3} + \frac{(19 + 9n)a(n + 2)}{n + 3},$$

Copy to clipboard:

[latex](#)[Maple](#)

## Counting Sequence

1, 1, 2, 6, 22, 86, 340, 1340, 5254, 20518, 79932, 311028, 1209916, 4707964, 18330728, ...

[Copy 101 terms to clipboard](#)[Search on OEIS](#)[Search on PermPAL](#)

## Implicit Equation for the Generating Function

$$(4x - 1)(2x - 1)^2 F(x)^2 + (3x - 1)^2 = 0$$

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## Heatmap

To create this heatmap, we sampled 1,000,000 permutations of length 300 uniformly at random. The color of the point  $(i, j)$  represents how many permutations have value  $j$  at index  $i$  (darker = more).



$$a(n+3) = \frac{12(1+2n)a(n)}{n+3} - \frac{2(10+15n)a(n+1)}{n+3} + \frac{(19+9n)a(n+2)}{n+3},$$

Copy to clipboard:

latex

Maple

### Heatmap

To create this heatmap, we sampled 1,000,000 permutations of length 300 uniformly at random. The color of the point  $(i, j)$  represents how many permutations have value  $j$  at index  $i$  (darker = more).



Specification 1

Specification 2

Specification 3

Specification 4

Specification 5

**This specification was found using the strategy pack "Row And Col Placements Tracked Fusion Isolated" and has 29 rules.**

Found on April 21, 2021.

Specification 1

Specification 2

Specification 3

Specification 4

Specification 5

## This specification was found using the strategy pack "Row And Col Placements Tracked Fusion Isolated" and has 29 rules.

Found on April 21, 2021.

Finding the specification took 653 seconds.

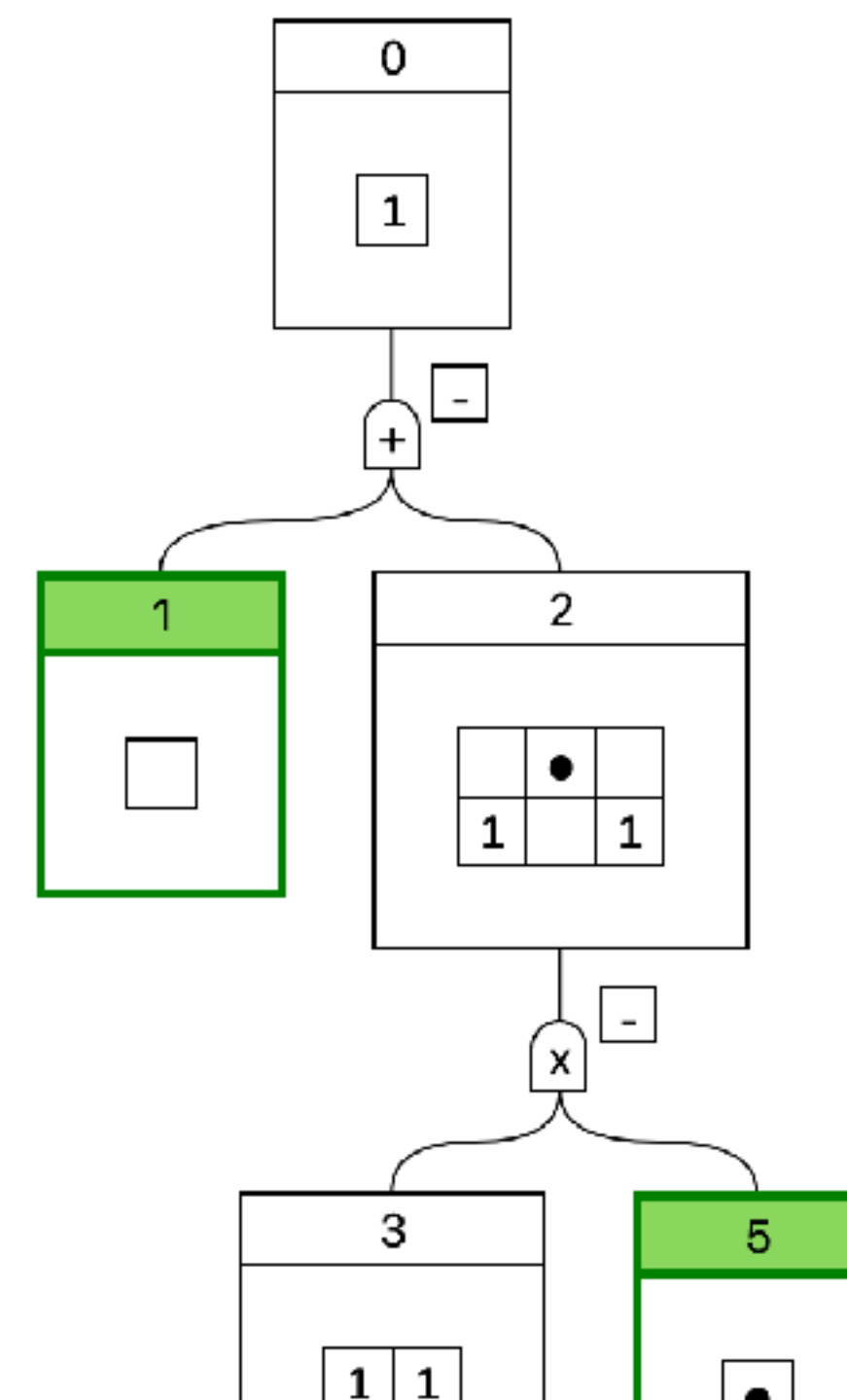
Proof Tree

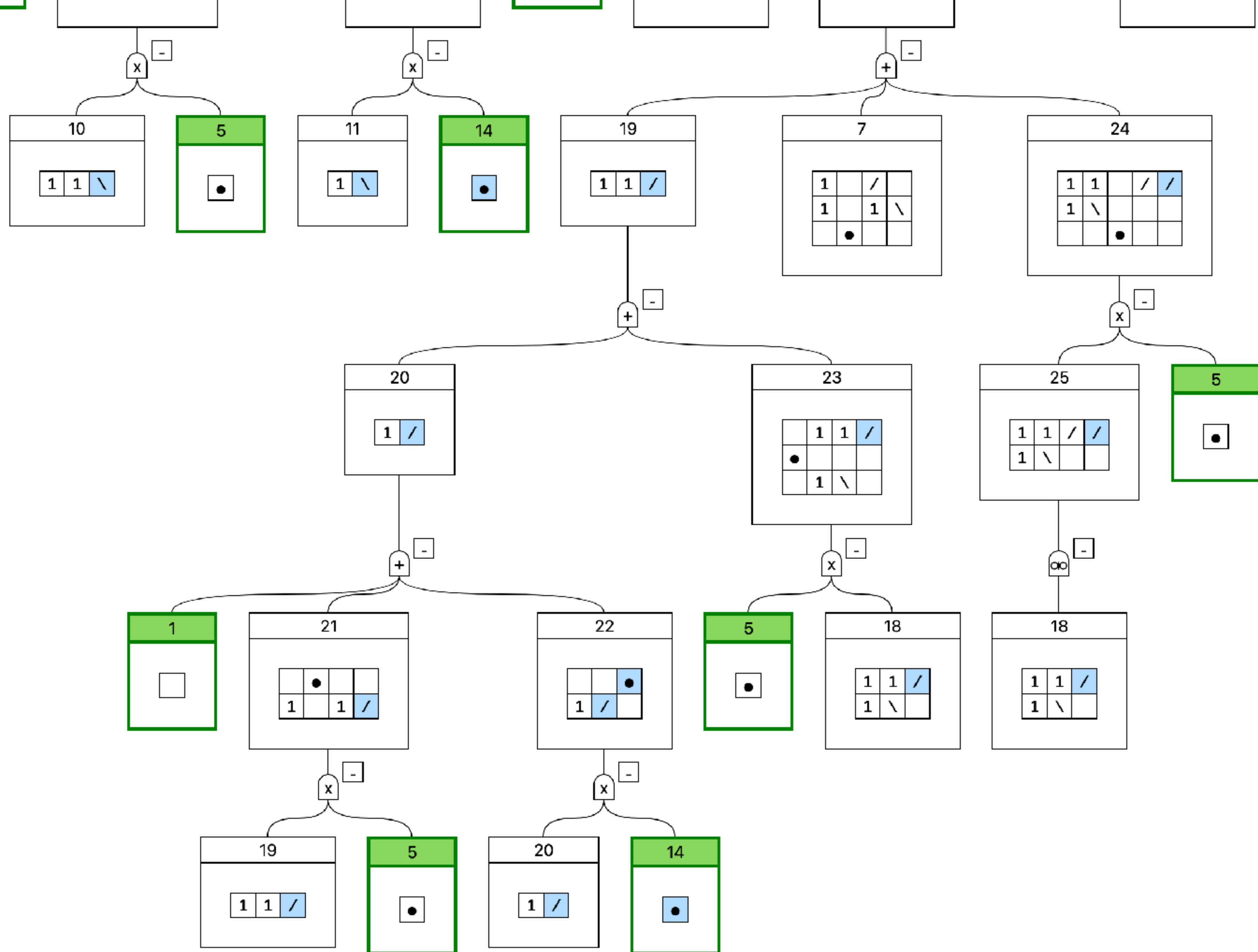
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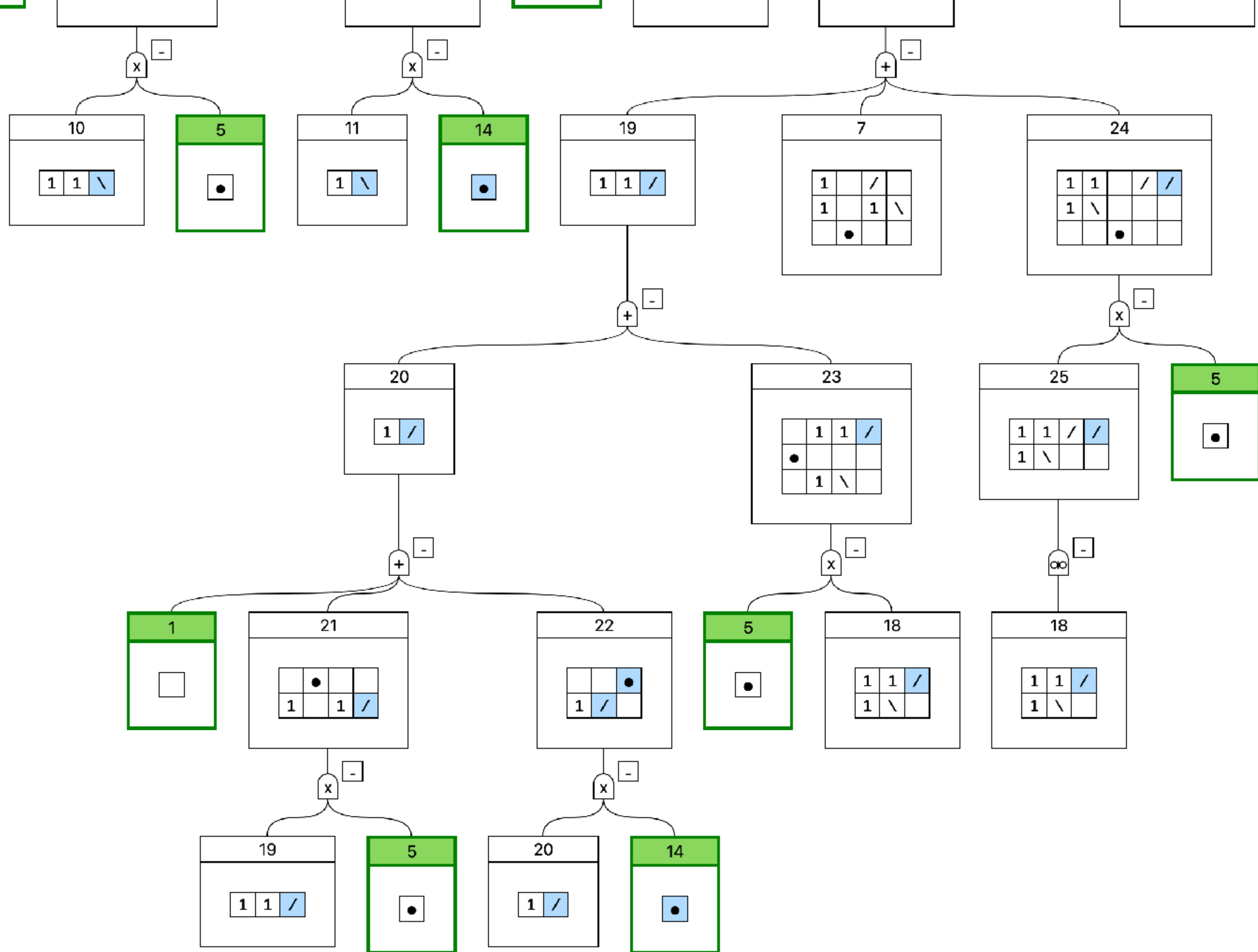
specification.json

pack.json

[View tree on standalone page.](#)







Copy 29 equations to clipboard:

latex

Maple

sympy

$$F_0(x) = F_1(x) + F_2(x)$$

$$F_1(x) = 1$$

$$F_2(x) = F_3(x)F_5(x)$$

$$F_3(x) = F_0(x) + F_4(x)$$

$$F_4(x) = F_5(x)F_6(x)$$

$$F_5(x) = x$$

$$F_6(x) = F_{28}(x) + F_3(x) + F_7(x)$$

$$F_7(x) = F_5(x)F_8(x)$$

$$F_8(x) = F_9(x, 1)$$

$$F_9(x, y) = F_{10}(x, y) + F_{16}(x) + F_{26}(x, y)$$

$$F_{10}(x, y) = F_{11}(x, y) + F_{15}(x, y)$$

$$F_{11}(x, y) = F_1(x) + F_{12}(x, y) + F_{13}(x, y)$$

$$F_{12}(x, y) = F_{10}(x, y)F_5(x)$$

$$F_{13}(x, y) = F_{11}(x, y)F_{14}(x, y)$$

$$F_{14}(x, y) = yx$$

$$F_{15}(x, y) = F_5(x)F_9(x, y)$$

$$F_{16}(x) = F_{17}(x)F_5(x)$$

$$F_{17}(x) = F_{18}(x, 1)$$

$$F_{18}(x, y) = F_{19}(x, y) + F_{24}(x, y) + F_7(x)$$

$$F_{19}(x, y) = F_{20}(x, y) + F_{23}(x, y)$$

$$F_{20}(x, y) = F_1(x) + F_{21}(x, y) + F_{22}(x, y)$$

$$F_{21}(x, y) = F_{19}(x, y)F_5(x)$$

$$F_{22}(x, y) = F_{14}(x, y)F_{20}(x, y)$$

$$F_{23}(x, y) = F_{18}(x, y)F_5(x)$$

$$F_{24}(x, y) = F_{25}(x, y)F_5(x)$$

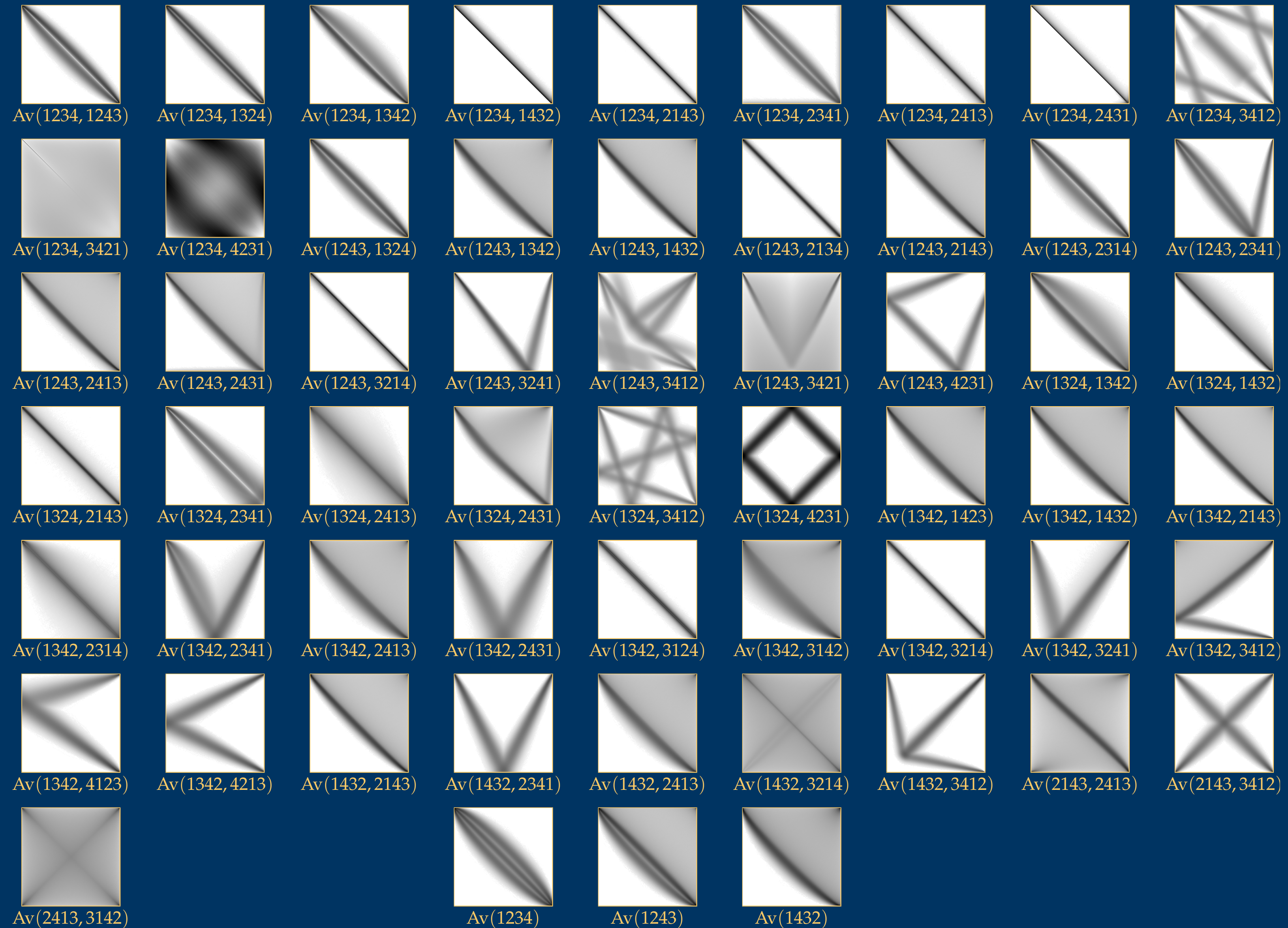
$$F_{25}(x, y) = -\frac{-yF_{18}(x, y) + F_{18}(x, 1)}{-1 + y}$$

$$F_{26}(x, y) = F_{27}(x, y)F_5(x)$$

$$F_{27}(x, y) = -\frac{-yF_9(x, y) + F_9(x, 1)}{-1 + y}$$

$$F_{28}(x) = F_{17}(x)F_5(x)$$

# Combinatorial Exploration



# Combinatorial Exploration

## Enumerative perspectives on chord diagrams

by

Lukas Nabergall

A thesis  
 presented to the University of Waterloo  
 in fulfillment of the  
 thesis requirement for the degree of  
 Doctor of Philosophy  
 in  
 Combinatorics and Optimization

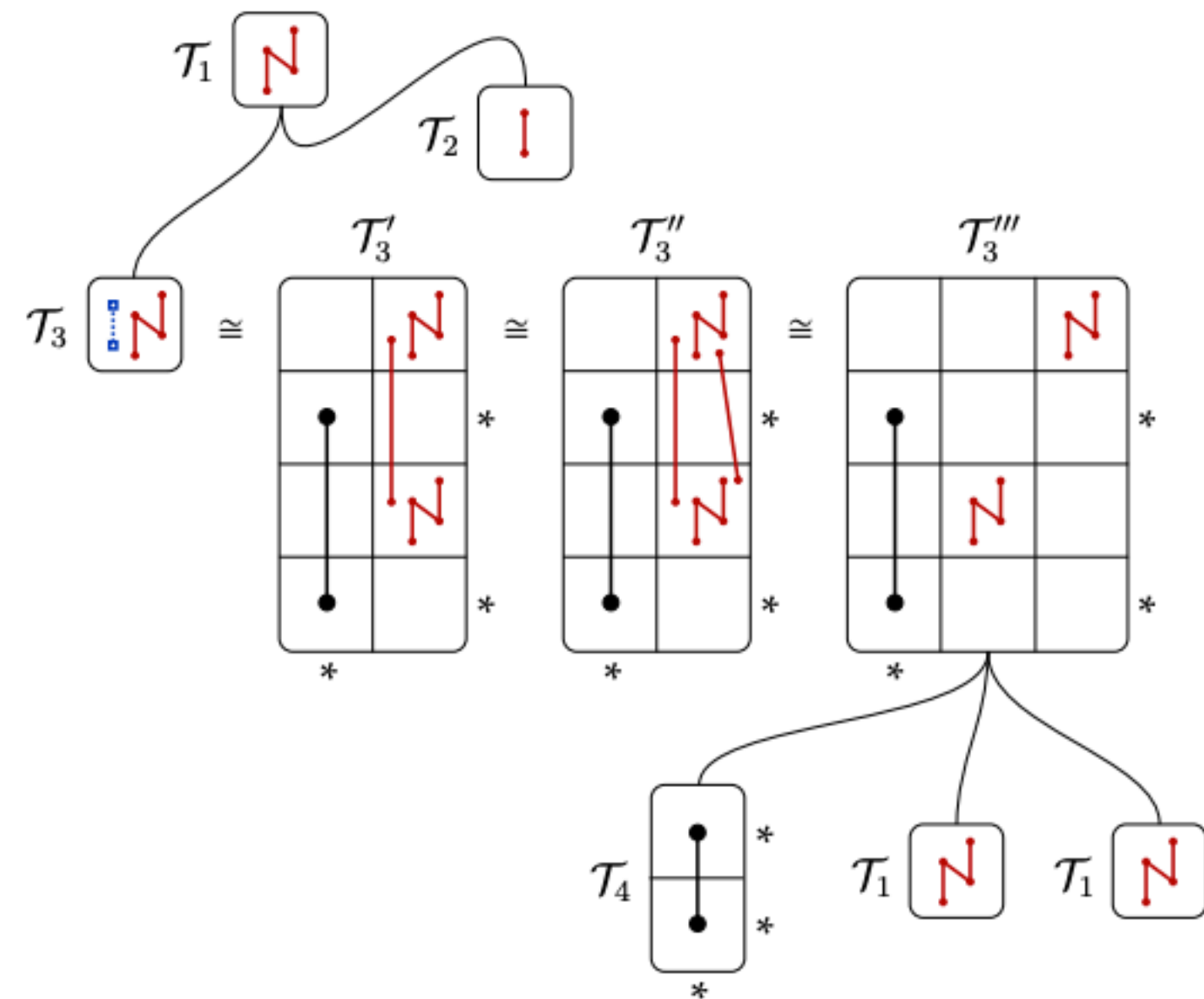


Figure 2.4: A visual representation of the proof tree for noncrossing diagrams  $\mathcal{D}(\curvearrowright)$ .

# Combinatorial Exploration

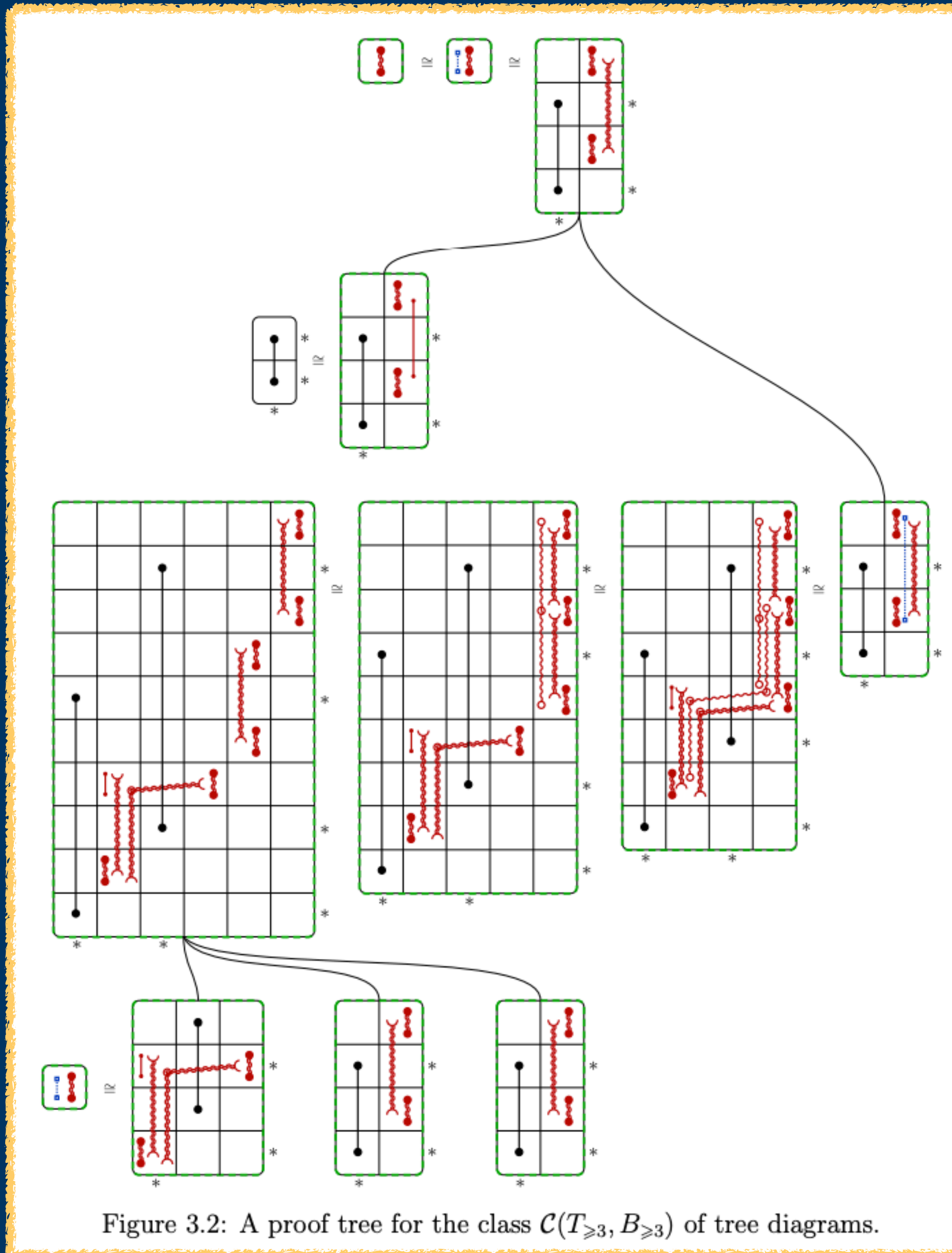


Figure 3.2: A proof tree for the class  $\mathcal{C}(T_{\geq 3}, B_{\geq 3})$  of tree diagrams.

## Enumerative perspectives on chord diagrams

by

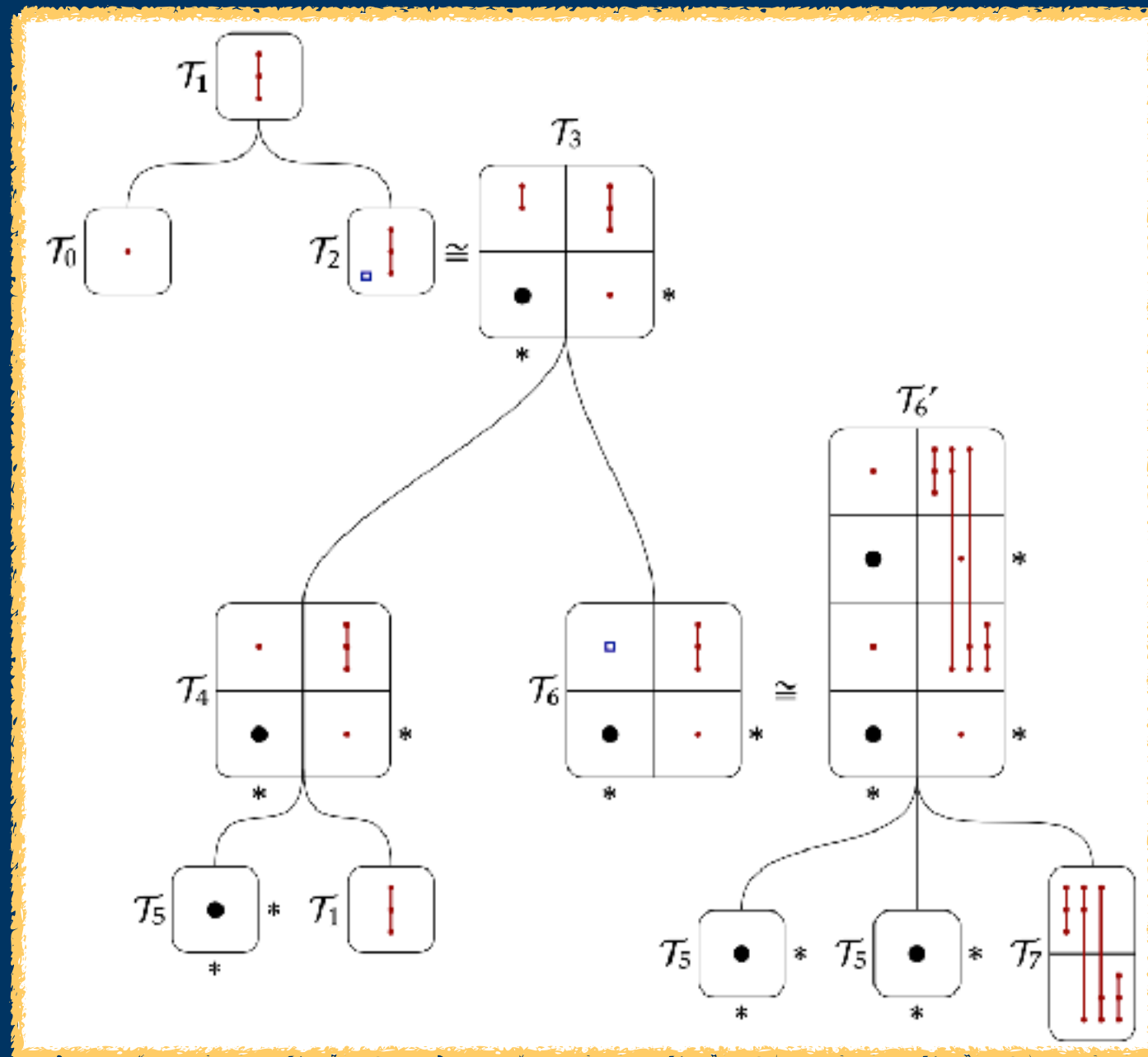
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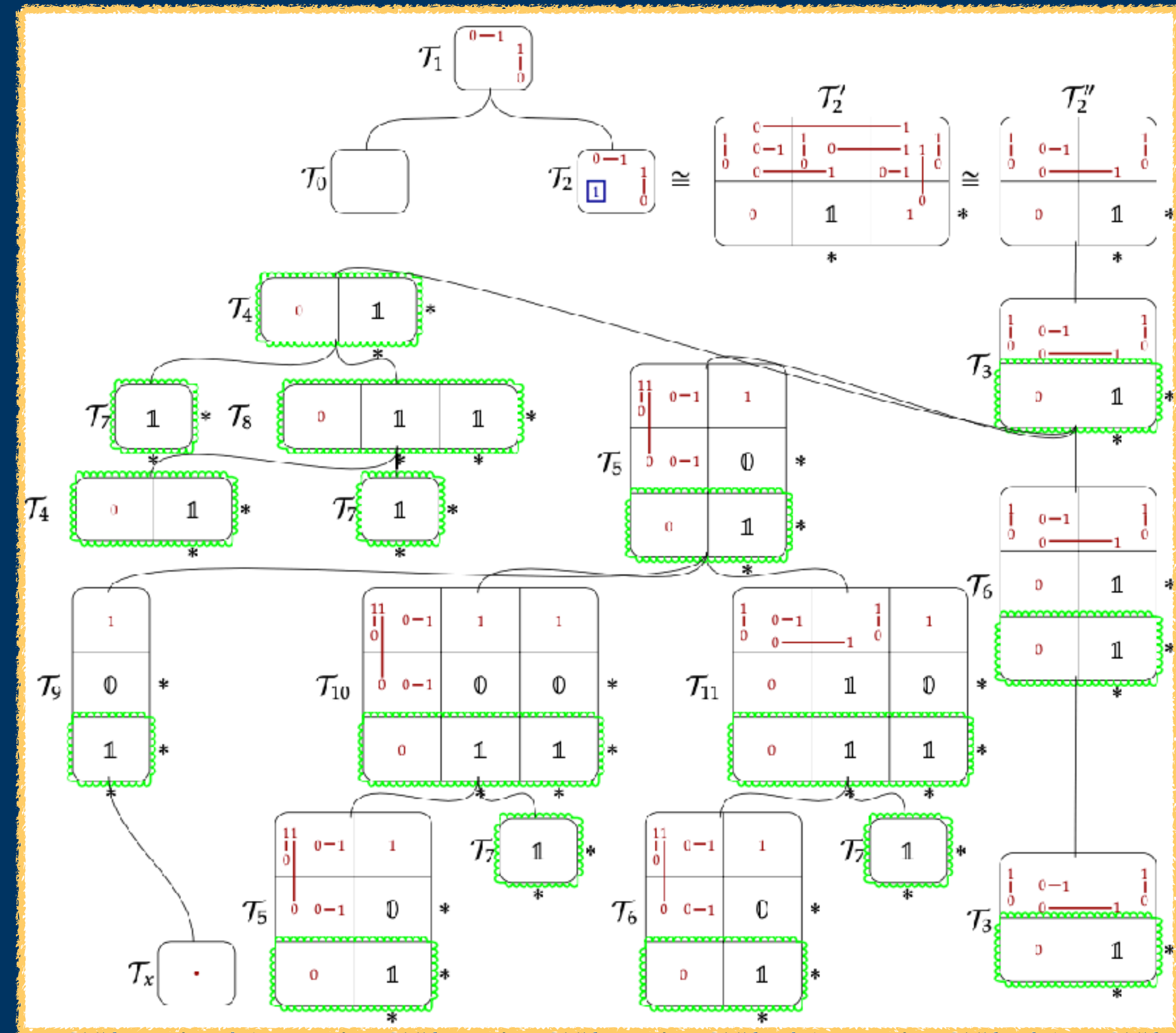
# Combinatorial Exploration

## Polyominoes

### Set Partitions



$$T_1(x) = 1 + (x + x^2)T_1(x) + x^3 \frac{d}{dx} T_1(x)$$



$$T_1(x) = \prod_{i=1}^{\infty} \frac{1}{1 - x^i}$$

rigorous

non-rigorous

experimental

- enumeration schemes  
WILF, WILFPLUS, (E)  
Flexible Schemes
- Combinatorial Exploration (E)

- Struct
- BiSC

non-experimental

- generating trees (E)
- FINLABEL
- ECO Method
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- Regular Insertion Enc.
- Finite Simples - Poly Classes

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# Permlab

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Kuszmaul

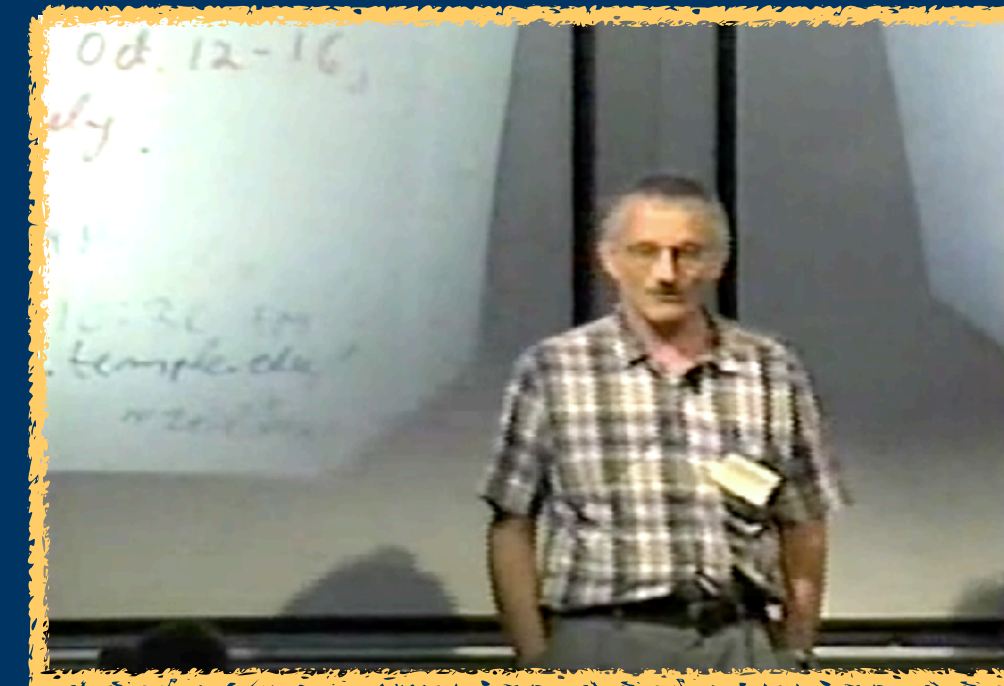
# 28 years ago...

## Enumeration Schemes and, More Importantly, Their Automatic Generation

Doron Zeilberger\*

Department of Mathematics, Temple University, Philadelphia, PA 19122, USA  
zeilberg@math.temple.edu, <http://www.math.temple.edu/~zeilberg>

Received May 27, 1998



**Apology.** The success rate of the present method, in its present state, is somewhat disappointing. Ekhad was able to reproduce the classical cases and a few new ones, but for most patterns and sets of patterns, it failed to find a scheme (defined below) of reasonable depth. But the present framework for setting up a scheme could be modified and extended in various ways. We do believe that an appropriate enhancement of the present method would yield, if not a 100% success rate, at least close to it.

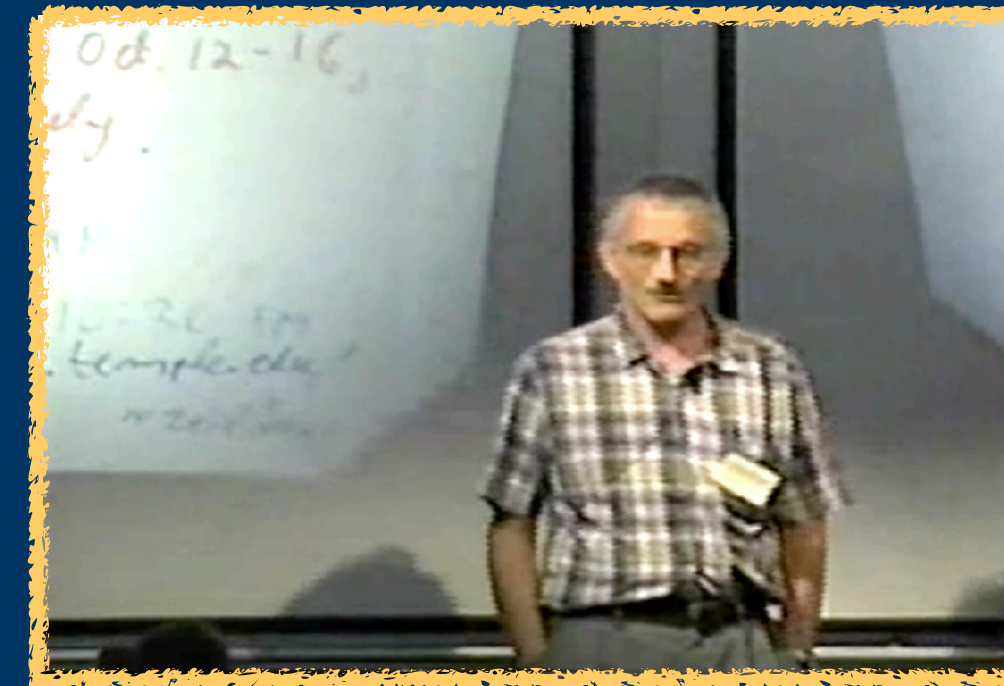
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A bit optimistic, but progress continues!

