Packing patterns in restricted permutations



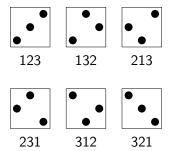
Rutgers Experimental Math Seminar March 5, 2020

Permutations

Definition

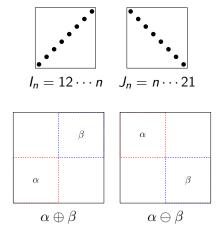
A permutation π of length n is an ordered list of the numbers $1, 2, \ldots, n$. S_n is the set of all permutations of length n.

 π is often visualized by plotting the points (i, π_i) in the Cartesian plane.





Permutation Constructions



Permutation Patterns

Definition

 $\pi \in \mathcal{S}_n$ contains $\rho \in \mathcal{S}_m$ as a pattern if there exist

 $1 \leq i_1 < i_2 < \cdots < i_m \leq n$ such that $\pi_{i_a} < \pi_{i_b}$ iff $\rho_a < \rho_b$.

If π doesn't contain ρ , we say π avoids ρ and we write $\pi \in \mathcal{S}_n(\rho)$.

Example:

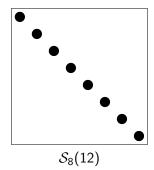


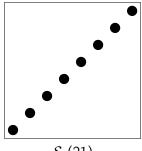
$$\pi = 2314 \in S_4(321)$$
.

Let $s_n(\rho) = |\mathcal{S}_n(\rho)|$.

Theorem

For
$$n \ge 0$$
, $s_n(12) = s_n(21) = 1$.





 $S_8(21)$

Pattern Avoidance Symmetries

$$s_n(123) = s_n(321)$$





$$s_n(132) = s_n(213) = s_n(231) = s_n(312)$$







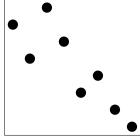


Theorem

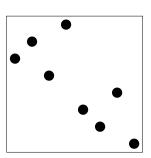
If
$$\rho \in \mathcal{S}_3$$
, then $s_n(\rho) = \frac{\binom{2n}{n}}{n+1}$.

Theorem

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A member of $S_8(123)$

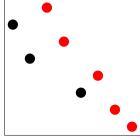


A member of $S_8(132)$

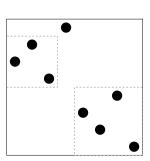


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If $\rho \in \mathcal{S}_3$, then $s_n(\rho) = \frac{\binom{2n}{n}}{n+1}$.



A member of $S_8(123)$



A member of $S_8(132)$



Patterns

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 $\pi \in \mathcal{S}_n$ contains $\rho \in \mathcal{S}_m$ as a pattern if there exist

$$1 \le i_1 < i_2 < \cdots < i_m \le n$$
 such that $\pi_{i_a} < \pi_{i_b}$ iff $\rho_a < \rho_b$.

Example:



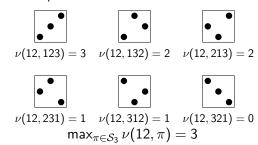
 $\pi = 2314$ contains...

1 copy of 123

2 copies of 213

1 copy of 231

- $\nu(\rho,\pi)$ is the number of occurrences of ρ in π .
- Given n and ρ , consider $\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)$ Example: n = 3 and $\rho = 12$



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$$\nu(12, 123) = 3 \quad \nu(12, 132) = 2 \quad \nu(12, 213) = 2$$

$$\nu(12, 231) = 1 \quad \nu(12, 312) = 1 \quad \nu(12, 321) = 0$$

$$\max_{\pi \in \mathcal{S}_3} \nu(12, \pi) = 3$$

• $d(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{\lfloor n \rfloor}}$ (packing density)



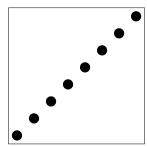
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Known:

• $d(12 \cdots m) = 1$ (Pack $12 \cdots m$ into $12 \cdots n$.)



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Known:

- $d(12 \cdots m) = 1$ (Pack $12 \cdots m$ into $12 \cdots n$.)
- For all $\rho \in \mathcal{S}_m$, $d(\rho)$ exists.
- If ρ is layered, then $\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)$ is achieved by a layered π .



Known: Since 132 is layered, then $\max_{\pi \in S_n} \nu(132, \pi)$ is achieved by a layered π .



$$\pi = \alpha \oplus J_i$$

$$\nu(132,\pi) = \nu(132,\alpha) + (n-i) \cdot \binom{i}{2}$$

Known: Since 132 is layered, then $\max_{\pi \in S_n} \nu(132, \pi)$ is achieved by a layered π .



$$\nu(132,\pi) = \nu(132,\alpha) + (n-i) \cdot {i \choose 2}$$

- $\frac{\nu(132,\pi)}{\binom{n}{3}}$ is maximized when $i = \left(\frac{3}{2} \frac{\sqrt{3}}{2}\right) n \approx 0.634n$
- Implies $d(132) = 2\sqrt{3} 3 \approx 0.464$



- $\nu(\rho,\pi)$ is the number of occurrences of ρ in π .
- $d(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$ (packing density)

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Notation

• $\nu(\rho,\pi)$ is the number of occurrences of ρ in π .

Previous work:

$$d(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

Notation

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Previous work:

$$d(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

In this talk:

$$d_{\sigma}(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_{n}(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}} \qquad d_{A}(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in A_{n}} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

 A_n is the set of alternating permutations, i.e. those that avoid consecutive 123 patterns and consecutive 321 patterns.



$$\text{Recall: } d_{\sigma}(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

ρ	$\setminus \sigma$	123	132	213	231	312	321	_
1	23							1
1	32							$2\sqrt{3} - 3$

$$\text{Recall: } d_{\sigma}(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
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$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0	1	1	1	1	1	1
132		0					$2\sqrt{3} - 3$

• $I_n = 12 \cdots n$ avoids $\sigma \in \mathcal{S}_3 \setminus \{123\}$.

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$$d_{\sigma}(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

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132		0		$2\sqrt{3} - 3$	$2\sqrt{3} - 3$		$2\sqrt{3} - 3$

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- Layered permutations avoid 231 and 312.

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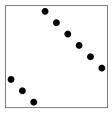
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132	?	0	?	$2\sqrt{3} - 3$	$2\sqrt{3} - 3$?	$2\sqrt{3} - 3$

- $I_n = 12 \cdots n$ avoids $\sigma \in \mathcal{S}_3 \setminus \{123\}$.
- Layered permutations avoid 231 and 312.
- New: $d_{123}(132)$, $d_{213}(132)$, and $d_{321}(132)$

...and avoiding 123

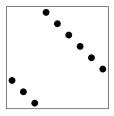
Packing with Classical Restrictions

...and avoiding 123

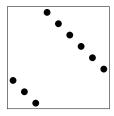


Packing with Classical Restrictions

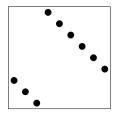
...and avoiding 123



• $J_i \oplus J_{n-i}$ has $i \binom{n-i}{2}$ copies of 132. $(J_n = n \cdots 21)$



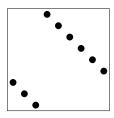
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- Implies $d_{123}(132) = \frac{4}{9}$.



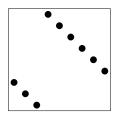
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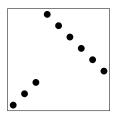
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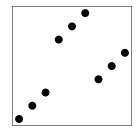
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- $I_i \oplus J_{n-i}$ has $i\binom{n-i}{2}$ copies of 132.
- Maximized when $i = \lfloor \frac{n}{3} \rfloor$.
- Implies $d_{213}(132) = \frac{4}{9}$.



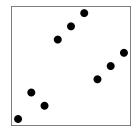
Packing 132 and Avoiding 321



• $I_a \oplus (I_b \ominus I_c)$ has $a \cdot b \cdot c$ copies of 132.

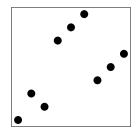
Packing 132 and Avoiding 321

Packing with Classical Restrictions



- $I_a \oplus (I_b \ominus I_c)$ has $a \cdot b \cdot c$ copies of 132.
- ullet Replace initial I_a with a 132-optimizer of length a to get more copies.

Packing 132 and Avoiding 321



- $I_a \oplus (I_b \ominus I_c)$ has $a \cdot b \cdot c$ copies of 132.
- Replace initial I_a with a 132-optimizer of length a to get more copies.
- Optimized when $a = \left(\frac{\sqrt{3}}{2} \frac{1}{2}\right) n$, $b = c = \left(\frac{3}{4} \frac{\sqrt{3}}{4}\right) n$.
- Implies $d_{321}(132) = \sqrt{3} \frac{3}{2}$.



Recap:

$$d_{\sigma}(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0	1	1	1	1	1	1
132	4 9	0	<u>4</u> 9	$2\sqrt{3} - 3$	$2\sqrt{3} - 3$	$\sqrt{3} - \frac{3}{2}$	$2\sqrt{3} - 3$

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123	0	1	1	1	1	1	1
132	$\frac{4}{9}$	0	4 9	$2\sqrt{3} - 3$	$2\sqrt{3} - 3$	$\sqrt{3} - \frac{3}{2}$	$2\sqrt{3} - 3$

Or approximately...

$\rho \backslash \sigma$	123	132	213	231	312	321	-
123	0	1	1	1	1	1	1
132	0.444	0	0.444	0.464	0.464	0.232	0.464



Recall:
$$d_{\sigma}(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

$\rho \backslash \sigma$	123	132	213	231	312	321	-
1234							1
1432							α
2143							38
1243							3 8
1324							≈ 0.244
1342							≈ 0.19658
2413							≈ 0.10474



$$\text{Recall: } d_{\sigma}(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in \mathcal{S}_n(\sigma)} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

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1243	0	0		<u>3</u> 8	<u>3</u> 8		3 8
1324	0	0	0	β	β		\approx 0.244 (β)
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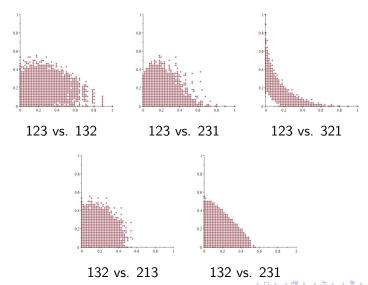
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1432	27 64 3 8	0	<u>27</u> 64	α	α	0	α
2143	$\frac{3}{8}$	0	0	3 8	3 8	$\geq \frac{3}{32}$	3 8
1243	0	0	$\frac{3}{8}$	$\frac{3}{8}$	$\frac{3}{8}$	$\geq \frac{3}{16}$	$\frac{3}{8}$
1324	0	0	0	β	β	$\geq \gamma$	\approx 0.244 (β)
1342	0	0	$\geq \frac{3}{16}$	0	$\geq \frac{3}{16}$	$\geq \delta$	≈ 0.19658
2413	$\geq \frac{3}{32}$	0	0	0	0	$\geq \frac{3}{32}$	≈ 0.10474

$$lpha$$
 is the real root of $x^3 - 12x^2 + 156x - 64$ (≈ 0.42357) $\frac{3}{16} = 0.1875$, $\frac{3}{32} = 0.09375$, $\frac{27}{64} = 0.421875$ $\gamma \approx 0.09450$, $\delta \approx 0.18825$



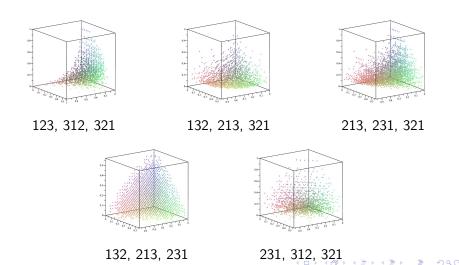
Joint Distributions

Packing with Classical Restrictions



More Joint Distributions

Packing with Classical Restrictions

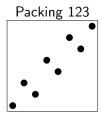


Alternating Permutations

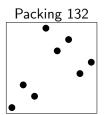
 A_n is the set of permutations of length n avoiding 123 and 321 consecutively.

Goal: Find
$$d_A(\rho) = \lim_{n \to \infty} \frac{\max_{\pi \in A_n} \nu(\rho, \pi)}{\binom{n}{|\rho|}}$$

Alternating packing densities



- $1 \oplus 21 \oplus \cdots \oplus 21 (\oplus 1)$
- Implies $d_A(123) = 1$.



- Use same ratios for "alternating layers" as 132-optimizer in S_n .
- Implies $d_A(132) = 2\sqrt{3} 3$.

Proposition

For all $\rho \in \mathcal{S}_k$, $d(\rho) = d_A(\rho)$.

Fix
$$au_n$$
 such that $\lim_{n o \infty} rac{
u(
ho, au_n)}{\binom{n}{k}} = d(
ho)$ and let $m \geq 1$.





Proposition

For all $\rho \in \mathcal{S}_k$, $d(\rho) = d_A(\rho)$.

Fix τ_n such that $\lim_{n\to\infty} \frac{\nu(\rho,\tau_n)}{\binom{n}{k}} = d(\rho)$ and let $m\geq 1$.





$$\nu(\rho, \tau_n) \cdot m^k \leq \nu(\rho, \sigma_n)$$



Proposition

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Fix τ_n such that $\lim_{n\to\infty} \frac{\nu(\rho,\tau_n)}{\binom{n}{k}} = d(\rho)$ and let $m\geq 1$.





$$\lim_{n \to \infty} \frac{\nu(\rho, \tau_n) \cdot m^k}{\binom{n}{k}} \le \lim_{n \to \infty} \frac{\nu(\rho, \sigma_n)}{\binom{n}{k}}$$

Proposition

For all $\rho \in \mathcal{S}_k$, $d(\rho) = d_A(\rho)$.

Fix τ_n such that $\lim_{n\to\infty} \frac{\nu(\rho,\tau_n)}{\binom{n}{k}} = d(\rho)$ and let $m\geq 1$.





$$\lim_{n\to\infty} \frac{\nu(\rho,\tau_n)\cdot m^k}{\binom{n}{k}} \leq \lim_{n\to\infty} \frac{\nu(\rho,\sigma_n)\binom{mn}{k}}{\binom{n}{k}\binom{mn}{k}}$$

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$$\lim_{n \to \infty} \frac{\nu(\rho, \tau_n) \cdot m^k \binom{n}{k}}{\binom{n}{k} \binom{mn}{k}} \le \lim_{n \to \infty} \frac{\nu(\rho, \sigma_n)}{\binom{mn}{k}}$$

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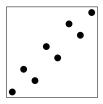




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Packing 123



- $1 \oplus 21 \oplus \cdots \oplus 21 (\oplus 1)$
- Implies $d_A(123) = 1$.

- $\binom{n}{3}$ subsequences of length 3.
- $\approx c \cdot \binom{n}{2}$ are not 123 patterns.

Let $a_{123}(n)$ be the number of copies of 123 in $1 \oplus 21 \oplus \cdots \oplus 21 (\oplus 1)$.

$$a_{123}(n) = \begin{cases} 2(\frac{n}{2} - 1) + 8(\frac{n}{2} - 1) + 8(\frac{n}{2} - 1) & n \text{ even} \\ 4(\frac{n-1}{2}) + 8(\frac{n-1}{3}) & n \text{ odd} \end{cases}$$

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```
A099956
               Atomic numbers of the alkaline earth metals.
   4, 12, 20, 38, 56, 88 (list; graph; refs; listen; history; text; internal format)
   OFFSET
                   1.1
   LINKS
                  Table of n, a(n) for n=1..6.
   EXAMPLE.
                   12 is the atomic number of magnesium.
   CROSSREES
                   Cf. A099955, alkali metals; A101648, metalloids; A101647, nonmetals (except
                     halogens and noble gases); A097478, halogens; A018227, noble gases; A101649, poor
                     metals.
                   Sequence in context: A057317 A008068 A008183 * A301066 A008092 A316299
                   Adjacent sequences: A099953 A099954 A099955 * A099957 A099958 A099959
   KEYWORD
                   nonn, fini, full
   AUTHOR
                   Parthasarathy Nambi, Nov 12 2004
   STATUS
                   approved
```

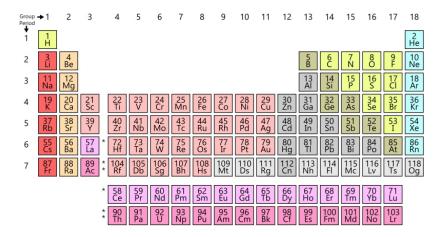
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```
A168380
              Row sums of A168281.
   2, 4, 12, 20, 38, 56, 88, 120, 170, 220, 292, 364, 462, 560, 688, 816, 978, 1140,
   1340, 1540, 1782, 2024, 2312, 2600, 2938, 3276, 3668, 4060, 4510, 4960, 5472, 5984, 6562, 7140,
   7788, 8436, 9158, 9880, 10680, 11480, 12362, 13244, 14212, 15180, 16238, 17296, 18448, 19600,
   20850, 22100 (list; graph; refs; listen; history; text; internal format)
   OFFSET
                  1.1
                  The atomic numbers of the augmented alkaline earth group in Charles Janet's spiral
                    periodic table are 0 and the first eight terms of this sequence (see Stewart
                    reference). - Alonso del Arte, May 13 2011
   LINKS
                  Vincenzo Librandi, Table of n, a(n) for n = 1..10000
                  Stewart, Philip, Charles Janet: unrecognized genius of the Periodic System.
                    Foundations of Chemistry (2010), p. 9.
                  Index entries for linear recurrences with constant coefficients, signature
                    (2,1,-4,1,2,-1).
   FORMULA
                  a(n) = 2*A005993(n-1).
                  a(n) = (n+1)*(3 + 2*n^2 + 4*n - 3*(-1)^n)/12.
                  a(n+1) - a(n) = A093907(n) = A137583(n+1).
                  a(2n+1) = A035597(n+1)
                                                 a(2n)=A002492(n).
```

Alkaline Earth Metals (Group 2)





A little chemistry...

• Quantum numbers describe trajectories of electrons.



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$$-\ell < m < \ell$$



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 $\ell = 0$ $\ell = 1$ $\ell = 2$

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$$-\ell \le m \le \ell$$

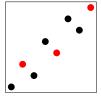
► Two possible spin numbers for each choice of (n, ℓ, m)



Notation for copies of 123 in $1 \oplus 21 \oplus \cdots \oplus 21 (\oplus 1)$

Observation: copies of 123 come in pairs.

$$1\oplus 21\oplus \cdots \oplus 21\oplus 1$$

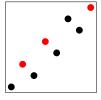


Given xyz embedding of 123 where y is even, x(y+1)z is also a 123. We will assign a tuple of integers to each such pair.

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Copies of 123 mapped to tuples

xyz corresponds to the tuple (n, ℓ, m) where...

- |m| is the layer where x is found (count layers starting with 0).
- m is negative if we use the smaller entry in the layer as x, positive if we use the larger entry.
- ℓ is the layer of size 2 where y is found (count layers starting with 0).
- $n + \ell + 3 = z$.

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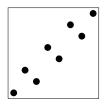
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- $n + \ell + 3 = z$.

Example: $1 \oplus 21 \oplus 21 \oplus 21 = 1$ 32 54 76

copies	tuple	copies	tuple	copies	tuple
124,134	(1,0,0)	146,156	(2,1,0)	147,157	(3,1, <mark>0</mark>)
125,135	(2,0,0)	2 46, 2 56	(2,1,-1)	2 47, 2 57	(3,1, -1)
126,136	(3,0,0)	3 46, 3 56	(2,1,1)	3 47, 3 57	(3,1,1)
127,137	(4,0,0)				



These tuples are valid quantum numbers



Layer 0 contains 1. Layer L contains 2L and 2L + 1. (For even length, the last layer has one point.)

Construction: xyz is a 123 occurrence with...

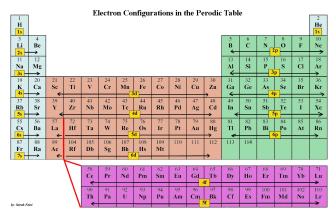
• x in layer |m|, y in layer $\ell+1$, and z in layer $\ell+2$ or higher.

Consequences:

- $z \ge 2(\ell+2)$ and $n = z \ell 3$ imply $n \ge \ell + 1 \ge 1$ and so $\ell \le n 1$.
- x in an earlier layer than y implies $|m|+1 \le \ell+1$ and so $|m| \le \ell$.
- A new ℓ value is introduced for each even permutation length.



Periodic Table, Take 2



Subshell is (n, ℓ) with ℓ given by s ($\ell = 0$), p ($\ell = 1$), d ($\ell = 2$), f ($\ell = 3$). e.g. Calcium has subshells with

$$(n,\ell) \in \{(1,0),(2,0),(2,1),(3,0),(3,1),(4,0)\}.$$

Subshell: Know n and ℓ . Need all tuples (n, ℓ, m) where $-\ell \leq m \leq \ell$

123s to electrons

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We saw the copies of 123 in $1 \oplus 21 \oplus 21 \oplus 21 = 1325476$ are:

copies	tuple	copies	tuple	copies	tuple
124,134	(1,0,0)	146,156	(2,1,0)	147,157	(3,1,0)
125,135	(2,0,0)	246,256	(2,1,-1)	247,257	(3,1,-1)
126,136	(3,0,0)	346,356	(2,1,1)	347,357	(3,1,1)
127,137	(4,0,0)				

Future Directions

- Determine $d_{\sigma}(\rho)$ for other patterns.
- Joint distributions of patterns.
- Bijections between pattern embeddings and other combinatorial objects.

References

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Thanks for listening!

slides at faculty.valpo.edu/lpudwell email: Lara.Pudwell@valpo.edu



Permutation Patterns 2020



Valparaiso University (Indiana, USA) June 29-July 3, 2020

See permutationpatterns.com for more info!

