0.5 Linear Independence and Basis

DEFINITION. The rank of an $m \times n$ matrix A is the number of nonzero rows in the matrix in reduced row echelon form that is row equivalent to A.

Consider the matrix equation

$$\begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_m \end{bmatrix}. \tag{4}$$

Multiplying out the left-hand side, we obtain

$$\begin{bmatrix} a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n \\ a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n \\ \vdots \\ a_{m1}x_1 + a_{m2}x_2 + \dots + a_{mn}x_n \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_m \end{bmatrix}.$$
 (5)

Using the properties of matrix addition and scalar multiplication, we may rewrite (5) as

$$x_{1}\begin{bmatrix} a_{11} \\ a_{21} \\ \vdots \\ a_{m1} \end{bmatrix} + x_{2}\begin{bmatrix} a_{12} \\ a_{22} \\ \vdots \\ a_{m2} \end{bmatrix} + \cdots + x_{n}\begin{bmatrix} a_{1n} \\ a_{2n} \\ \vdots \\ a_{mn} \end{bmatrix} = \begin{bmatrix} b_{1} \\ b_{2} \\ \vdots \\ b_{m} \end{bmatrix}.$$

Thus, if (4) is written in compact form as

$$Ax = b$$

and $A_1, A_2, ..., A_n$ are the columns of A, then we have shown that (4) can be written as

$$x_1 \mathbf{A}_1 + x_2 \mathbf{A}_2 + \dots + x_n \mathbf{A}_n = \mathbf{b}.$$
 (6)

That is, writing the matrix equation Ax = b is equivalent to writing b as a linear combination of the columns of A. Furthermore, if x is a solution to Ax = b, then the components of x are the coefficients of the columns of A when b is written as a linear combination of these columns.

An important application of this discussion occurs in the case when A is nonsingular. In this case, the columns of A form a linearly independent set of n vectors in \mathbb{R}^n . Thus, this set is a basis for \mathbb{R}^n , and b can be uniquely written as a linear combination of the columns of A. The solution to Ax = b gives the coordinate vector of b with respect to this basis. That is, from Equation (6), the coordinates of b with respect to the basis $\{A_1, A_2, \ldots, A_n\}$ are x_1, x_2, \ldots, x_n . Since A is nonsingular, A^{-1} exists, and we may write $x = A^{-1}b$. The columns of A^{-1} also form a basis for R^n . In the same manner as that for b, we can obtain the coordinates of x with respect to the basis of columns of A^{-1} . These coordinates are the components of b.

EXAMPLE 9. Find the rank of the matrix

$$\mathbf{A} = \begin{bmatrix} 1 & 2 & -1 & 1 & 2 \\ 2 & 3 & -2 & 5 & 2 \\ 4 & 7 & -4 & 7 & 6 \\ 3 & 4 & -3 & 9 & 2 \\ -1 & 0 & 1 & -7 & 2 \end{bmatrix}.$$

Solution. Transforming A to reduced row echelon form we find (verify) that A is row equivalent to

Hence, the rank of A is 2.

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0.5 EXERCISES

1. Let

$$S = \left\{ \begin{bmatrix} -2\\1\\2 \end{bmatrix}, \begin{bmatrix} 0\\-1\\-2 \end{bmatrix}, \begin{bmatrix} -3\\2\\4 \end{bmatrix} \right\}.$$

Which of the following vectors are linear combinations of the vectors in S?

(a)
$$\begin{bmatrix} 1 \\ -1 \\ -2 \end{bmatrix}$$
 (b) $\begin{bmatrix} -6 \\ 2 \\ 4 \end{bmatrix}$ (c) $\begin{bmatrix} 3 \\ 2 \\ -1 \end{bmatrix}$ (d) $\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$

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$$S = \left\{ \begin{bmatrix} 1\\ -2\\ 3\\ 4 \end{bmatrix}, \begin{bmatrix} 3\\ -1\\ -2\\ -2 \end{bmatrix}, \begin{bmatrix} 1\\ 3\\ -8\\ -10 \end{bmatrix}, \begin{bmatrix} 0\\ 1\\ 1\\ 0 \end{bmatrix} \right\}.$$

Which of the following vectors are linear combinations of the vectors in S?

(a)
$$\begin{bmatrix} -2 \\ 0 \\ 6 \\ 6 \end{bmatrix}$$
 (b)
$$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$
 (c)
$$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$$
 (d)
$$\begin{bmatrix} 3 \\ 1 \\ 0 \\ -2 \end{bmatrix}$$

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- 3. Which of the following sets of vectors span \mathbb{R}^2 ?
 - (a) $\left\{ \begin{bmatrix} 2 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right\}$
- (b) $\left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 3 \\ 4 \end{bmatrix} \right\}$
- (c) $\left\{ \begin{bmatrix} 2 \\ 3 \end{bmatrix} \right\}$
- (d) $\left\{ \begin{bmatrix} -1\\3 \end{bmatrix}, \begin{bmatrix} 2\\-6 \end{bmatrix} \right\}$
- 4. Which of the following sets of vectors span R3?
 - - $\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ -2 \\ -1 \end{bmatrix}$ (b) $\left\{ \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 3 \\ -1 \\ 2 \end{bmatrix} \right\}$
- 5. Which of the following sets of vectors in \mathbb{R}^3 are linearly dependent? For those that are, express one vector as a linear combination of the rest.
 - - $\begin{bmatrix} -1 \\ 0 \\ -1 \end{bmatrix}$, $\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ (b) $\left\{ \begin{bmatrix} 3 \\ 2 \\ 1 \end{bmatrix}$,
- 6. Follow the directions of Exercise 5 for the following sets of vectors in R⁴.

- 7. Which of the sets in Exercise 3 are bases for R^2 ?
- 8. Which of the sets in Exercise 4 are bases for R^3 ?
- 9. Which of the sets in Exercise 5 are bases for R³?
- 10. Which of the sets in Exercise 6 are bases for R4?
- 11. Which of the following sets form a basis for R^3 ? Express the vector

as a linear combination of the vectors in each set that is a basis.

- (b) $\left\{ \begin{bmatrix} -3 \\ -1 \\ 2 \end{bmatrix}, \begin{bmatrix} 3 \\ 4 \\ 1 \end{bmatrix}, \begin{bmatrix} -3 \\ -2 \\ 1 \end{bmatrix} \right\}$ $\begin{bmatrix} 4 \\ -2 \end{bmatrix}, \begin{bmatrix} 0 \\ -8 \\ -7 \end{bmatrix}$
- 12. Let

$$S = \left\{ \begin{bmatrix} 2 \\ 3 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\}$$

be a basis for R^2 . Find the coordinate vector $[x]_S$ of the indicated vector x with respect to S.

(a) $\mathbf{x} = \begin{bmatrix} 3 \\ 4 \end{bmatrix}$ (b) $\mathbf{x} = \begin{bmatrix} -2 \\ 1 \end{bmatrix}$ (c) $\mathbf{x} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ (d) $\mathbf{x} = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$

13. Let

$$S = \left\{ \begin{bmatrix} 1\\0\\1 \end{bmatrix}, \begin{bmatrix} 0\\1\\1 \end{bmatrix}, \begin{bmatrix} 1\\0\\0 \end{bmatrix} \right\}$$

be a basis for R^3 . Find the coordinate vector $[x]_S$ of the indicated vector x with respect to S.

(a) $\mathbf{x} = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$ (b) $\mathbf{x} = \begin{bmatrix} -1 \\ 2 \\ 3 \end{bmatrix}$ (c) $\mathbf{x} = \begin{bmatrix} 2 \\ 1 \\ 1 \end{bmatrix}$ (d) $\mathbf{x} = \begin{bmatrix} 3 \\ 2 \\ 1 \end{bmatrix}$

- 14. Suppose that S_1 and S_2 are finite sets of vectors in \mathbb{R}^n and that S_1 is a subset of S_2 . Prove the following.
 - (a) If S_1 is linearly dependent, so is S_2 .
 - (b) If S_2 is linearly independent, so is S_1 .
- 15. Show that any set of vectors in R^n that includes the zero vector must be linearly dependent.
- 16. Show that any set of n + 1 vectors in \mathbb{R}^n must be linearly dependent.
- 17. Show that R^n cannot be spanned by a set containing fewer than n vectors.
- 18. Find the rank of the matrix

$$\begin{bmatrix} 2 & 3 & 4 & -1 & 2 \\ 4 & -1 & 6 & -7 & -6 \\ 3 & 2 & -1 & 3 & 4 \end{bmatrix}.$$

19.) Find the rank of the matrix

$$\begin{bmatrix} 1 & 3 & -2 & 2 \\ 2 & 3 & 4 & 1 \\ 3 & 6 & 2 & 3 \\ 1 & 6 & -10 & 5 \end{bmatrix}$$