

# BGG category $\mathcal{O}$ and BGG resolution

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# Background setting and notations

- Let  $\mathfrak{g}$  be a finite dimensional semisimple Lie algebra over  $\mathbb{C}$ , with triangular decomposition  $\mathfrak{g} = \mathfrak{n}_- + \mathfrak{h} + \mathfrak{n}_+$ , universal enveloping algebra  $U(\mathfrak{g})$ , root system  $\Phi \subset \mathfrak{h}^*$ , simple roots (base)  $\Delta = \{\alpha_1, \dots, \alpha_l\}$ , and positive roots  $\Phi_+$ .
- Let  $\mathfrak{b} := \mathfrak{n}_+ + \mathfrak{h}$  be the (standard) Borel subalgebra of  $\mathfrak{g}$ .
- For any root  $\alpha \in \Phi$ , let  $s_\alpha$  be the reflection through  $\alpha$ , i.e.  $s_\alpha(\lambda) := \lambda - \frac{2(\lambda, \alpha)}{(\alpha, \alpha)}\alpha$ . The Weyl group is defined to be the group generated by reflections through simple roots, i.e.  $W = \langle s_{\alpha_i} | i = 1, \dots, l \rangle$ .
- $s_{\alpha_i}$  is called a simple reflection.

# Background setting and notations

- Partial order on  $\mathfrak{h}^*$ : for  $\lambda, \mu \in \mathfrak{h}^*$ ,  $\lambda \leq \mu$  iff

$$\lambda - \mu = \sum_{i=1}^{\ell} a_i \alpha_i, \quad a_i \in \mathbb{Z}_{\geq 0}.$$

- Dot action. Let  $\rho := \frac{1}{2} \sum_{\alpha \in \Phi_+} \alpha$ . For any  $\lambda \in \mathfrak{h}^*$ ,  $w \in W$ , define the dot action:

$$w \cdot \lambda = w(\lambda + \rho) - \rho.$$

# Background setting and notations

- Length. For  $w \in W$ , write  $\ell(w) = n$  if  $w = s_1 \dots s_n$  with  $s_i$  simple reflection and  $n$  as small as possible; such an expression is called reduced.
- Bruhat order on  $W$ . If  $w_1 = s_\alpha w_2$ , with  $\alpha \in \Phi_+$  and  $\ell(w_1) < \ell(w_2)$ , we write  $w_1 \xrightarrow{s_\alpha} w_2$ . Define  $w < w'$  if  $w = w_1 \rightarrow w_2 \rightarrow \dots \rightarrow w_n = w'$ .

Consider the category  $\mathcal{C}$  of finite dimensional  $\mathfrak{g}$ -modules. We know that

$$\mathcal{C} = \bigoplus_{\lambda \in \Lambda_+} \mathcal{C}_\lambda$$

where  $\mathcal{C}_\lambda$  is a full subcategory of  $\mathcal{C}$  with

$$\text{Obj}(\mathcal{C}_\lambda) = \{L(\lambda)^{\oplus n} \mid n \geq 0.\}$$

By Schur lemma, we know  $\text{Hom}(L(\lambda), L(\mu)) = 0$  if  $\lambda \neq \mu$ , and  $\text{End}(L(\lambda)) = \mathbb{C} \text{id}_{L(\lambda)}$

# Basics of BGG category $\mathcal{O}$

## Definition 1 (BGG category).

The BGG category  $\mathcal{O}$  is defined to be the full subcategory of  $U(\mathfrak{g})\text{-Mod}$  whose objects are the modules  $M$  satisfying the following three conditions:

- (O1)  $M$  is finitely generated.
- (O2)  $M$  is  $\mathfrak{h}$ -diagonalizable, i.e. there exists a basis of  $M$  consisting of common eigenvectors of  $\mathfrak{h}$ . That is,

$$M = \bigoplus_{\lambda \in \mathfrak{h}^*} M_\lambda,$$

where  $M_\lambda := \{v \in M \mid h.v = \lambda(h)v, \forall h \in \mathfrak{h}\}$ .

- (O3)  $M$  is locally  $\mathfrak{n}_+$ -finite, i.e.  $U(\mathfrak{n}_+).v$  is finite dimensional,  $\forall v \in M$ .

# Highest weight module

## Definition 2 (Maximal vector).

Let  $M \in U(\mathfrak{g})\text{-Mod}$ ,  $\lambda \in \mathfrak{h}^*$ . A nonzero vector  $v \in M_\lambda$  is called a maximal vector of weight  $\lambda$  if  $\mathfrak{n}_+ \cdot v = 0$ .

## Definition 3 (Highest weight module).

$M \in \mathcal{O}$  is called a highest weight module (h.w.m.) with highest weight  $\lambda \in \mathfrak{h}^*$  if it is generated by a maximal vector. That is, there exists a nonzero  $v^+ \in M_\lambda$ , such that  $M = U(\mathfrak{g}) \cdot v^+$ .

Any highest weight module is in category  $\mathcal{O}$ .



# Construction of the Verma module $M(\lambda)$

Fix  $\lambda \in \mathfrak{h}^*$ , let  $\mathbb{C}_\lambda = \mathbb{C}v_\lambda$  be a one dimensional  $\mathfrak{b}$ -module such that

$$\mathfrak{n}_+ \cdot v_\lambda = 0, \quad h \cdot v_\lambda = \lambda(h)v_\lambda, \quad \forall h \in \mathfrak{h}.$$

Define  $M(\lambda) = \text{Ind}_{U(\mathfrak{b})}^{U(\mathfrak{g})} \mathbb{C}_\lambda = U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} \mathbb{C}_\lambda$  to be the induced module from  $U(\mathfrak{b})\text{-Mod}$  to  $U(\mathfrak{g})\text{-Mod}$ .

# Construction of the Verma module $M(\lambda)$

Verma module  $M(\lambda)$  is an h.w.m. of highest weight  $\lambda$  with maximal vector  $1 \otimes v_\lambda$ . For any h.w.m.  $M = U(\mathfrak{g}).v^+$  of highest weight  $\lambda$ ,  $M$  is a quotient of  $M_\lambda$ :  $1 \otimes v_\lambda \mapsto v^+$ .

Notice:

$$\begin{aligned}\mathrm{Hom}_{U(\mathfrak{g})}(M(\lambda), M) &= \mathrm{Hom}_{U(\mathfrak{g})}(\mathrm{Ind}_b^{\mathfrak{g}} \mathbb{C}_\lambda, M) \\ &\cong \mathrm{Hom}_{U(b)}(\mathbb{C}_\lambda, \mathrm{Res}_b^{\mathfrak{g}} M) \\ &= \{v \in M_\lambda \mid v \text{ is a maximal vector or } 0\}.\end{aligned}$$

## Theorem 4.

Let  $M = U(\mathfrak{g}).v^+$  be an h.w.m., then  $M$  has a unique maximal submodule.

## Proof.

For any submodule  $N$  of  $M$ ,  $N = M$  iff  $v^+ \in N$  iff  $N_\lambda \neq 0$ .  
So, one can prove  $\sum_{N \subsetneq M} N \subsetneq M$  is the unique maximal submodule of  $M$ . Notice:

$$\left( \sum_{N \subsetneq M} N \right)_\lambda = \sum_{N \subsetneq M} N_\lambda = \sum_{N \subsetneq M} 0 = 0.$$



As a corollary, we know that there is a unique simple h.w.m. of weight  $\lambda$ . Namely,  $L(\lambda) := M(\lambda)/N(\lambda)$ , where  $N(\lambda)$  is the maximal submodule of  $M(\lambda)$ .

Also, every simple module  $S$  is an h.w.m. So we know  $S \cong L(\lambda)$  for some  $\lambda \in \mathfrak{h}^*$ .

Define  $Z(\mathfrak{g}) \subset U(\mathfrak{g})$  to be the center of  $U(\mathfrak{g})$ . We know that  $[Z(\mathfrak{g}), U(\mathfrak{h})] = 0$  implies  $Z(\mathfrak{g}).M_\lambda \subset M_\lambda$ ,  $\forall \lambda \in \mathfrak{h}^*$ .

For an h.w.m.  $M = U(\mathfrak{g}).v$  of weight  $\lambda$ , we know  $M_\lambda = \mathbb{C}v$ . So,  $\forall z \in Z(\mathfrak{g})$ ,  $\exists \chi_\lambda(z) \in \mathbb{C}$ , such that  $z.v = \chi_\lambda(z)v$ . This  $\chi_\lambda$  is an algebra homomorphism from  $Z(\mathfrak{g})$  to  $\mathbb{C}$ . Moreover,  $z$  acts on  $M$  as a scalar multiplication  $\chi_\lambda(z) \cdot \text{id}_M$ :

$$z.(u.v) = u.(z.v) = u.(\chi_\lambda(z)v) = \chi_\lambda(z)u.v, \quad \forall u \in U(\mathfrak{g}).$$

Since every h.w.m. of highest weight  $\lambda$  is a quotient of  $M(\lambda)$ ,  $\chi_\lambda$  is independent of the choice of  $M$ .

In fact, every algebra homomorphism  $\chi$  is equal to  $\chi_\lambda$  for some  $\lambda$ , i.e.  $\mathfrak{h}^* \rightarrow \text{Hom}_{\text{Alg}}(Z(\mathfrak{g}), \mathbb{C})$ .

Let  $\chi \in \text{Hom}_{\text{Alg}}(Z(\mathfrak{g}), \mathbb{C})$ . Define a full subcategory of  $\mathcal{O}$ :

$$\mathcal{O}_\chi := \{M \in \mathcal{O} : M = M^\chi\}$$

where

$$M^\chi := \{x \in M : \forall z \in Z(\mathfrak{g}), \exists n \in \mathbb{N}, \text{ s.t. } (z - \chi(z))^n x = 0\}.$$

### Theorem 5.

$\mathcal{O} = \bigoplus_\chi \mathcal{O}_\chi$ . In other words,  $\forall M \in \mathcal{O}$ ,  $M = \bigoplus_\chi M^\chi$ . Also,  $\text{Hom}_{\mathcal{O}}(M, N) = \bigoplus_\chi \text{Hom}_{\mathcal{O}_\chi}(M^\chi, N^\chi)$ .

**Lemma 6.**

Let  $\{x_i, y_i | 1 \leq i \leq n\} \cup \{h_i | 1 \leq i \leq \ell\}$  be a standard basis of  $\mathfrak{g}$ . For all  $k \geq 0$ , and  $1 \leq i, j \leq \ell$ , we have:

(a)  $[x_j, y_i^{k+1}] = 0$  whenever  $j \neq i$ .

(b)  $[h_j, y_i^{k+1}] = -(k+1)\alpha_i(h_j)y_i^{k+1}$ .

(c)  $[x_i, y_i^{k+1}] = -(k+1)y_i^k(k \cdot 1 - h_i)$ .

**Theorem 7.**

Given  $\lambda \in \mathfrak{h}^*$  and  $\alpha \in \Delta$ , suppose  $n := \langle \lambda, \alpha^\vee \rangle$  lies in  $\mathbb{Z}_{\geq 0}$ . If  $v^+$  is a maximal vector of weight  $\lambda$  in  $M(\lambda)$ , then  $y_\alpha^{n+1}.v^+$  is a maximal vector of weight  $\mu := \lambda - (n+1)\alpha < \lambda$ . Thus there exists a nonzero homomorphism  $M(\mu) \rightarrow M(\lambda)$  whose image lies in the maximal submodule  $N(\lambda)$ .

A nonzero homomorphism  $M(\mu) \rightarrow M(\lambda)$  implies  $\chi_\mu = \chi_\lambda$ . So, we get a conclusion: if  $\lambda \in \Lambda_+$ , then  $\chi_{s_\alpha \cdot \lambda} = \chi_\lambda$ .  
By considering the reduced form of  $w \in W$ , we can show that if  $\lambda \in \Lambda$ ,  $\mu \in W \cdot \lambda$ , then  $\chi_\lambda = \chi_\mu$ .

### Theorem 8 (Harish-Chandra).

*Suppose  $\lambda, \mu \in \mathfrak{h}^*$ , then  $\chi_\lambda = \chi_\mu$  if and only if  $\lambda \in W \cdot \mu$ .*



As an important corollary,  $\mathcal{O}$  is of finite length (or to say, both Noetherian and Artinian), i.e., every  $M \in \mathcal{O}$  has a finite length. Namely,  $M$  has a filtration:

$$0 = M_0 \subset M_1 \subset \cdots \subset M_n = M,$$

with each  $M_i/M_{i-1}$  simple.

### Proof.

- 1  $M$  has a finite filtration with every factor is an h.w.m.  
Since  $M$  is finitely generated, we can assume  $M$  is generated by one weight vector:  $M = U(\mathfrak{g}).v$ . Induction on  $\dim V$ , where  $V := U(\mathfrak{n}_+).v$  is a finite dimensional vector space by the definition of  $\mathcal{O}$ .
- 2 Every h.w.m. has a finite length.  
By the Harish-Chandra theorem. (cf. Humphreys, page 28)



For any  $M \in \mathcal{O}$ , we can define  $[M : L(\lambda)]$  to be the multiplicity of  $L(\lambda)$ .

A natural question: when is  $[M(\lambda) : L(\mu)] \neq 0$ ?

- 1 Necessary condition 1:  $\mu \leq \lambda$ .  
 $[M(\lambda) : L(\mu)] \neq 0$  implies  $M(\lambda)_\mu \neq 0$ , so  $\mu \leq \lambda$ .
- 2 Necessary condition 2:  $\chi_\mu = \chi_\lambda$ .  
 $[M(\lambda) : L(\mu)] \neq 0$  implies  $L(\mu)$  is isomorphic to a subquotient of  $M(\lambda)$ .

By the Harish-Chandra theorem, condition 2  $\Leftrightarrow \mu = W \cdot \lambda$ .

# Strongly linked

Let  $\lambda, \mu \in \mathfrak{h}^*$  and write  $\mu \uparrow \lambda$  if  $\mu = \lambda$  or there is a root  $\alpha > 0$  such that  $\mu = s_\alpha \cdot \lambda < \lambda$ ; in other words,  $\langle \lambda + \rho, \alpha^\vee \rangle \in \mathbb{Z}_{>0}$ .  
More generally, if  $\mu = \lambda$  or there exist  $\alpha_1, \dots, \alpha_r \in \Phi^+$  such that

$$\mu = (s_{\alpha_1} \dots s_{\alpha_r}) \cdot \lambda \uparrow (s_{\alpha_2} \dots s_{\alpha_r}) \cdot \lambda \uparrow \dots \uparrow s_{\alpha_r} \cdot \lambda \uparrow \lambda$$

we say that  $\mu$  is strongly linked to  $\lambda$  and write  $\mu \uparrow \lambda$ .  
This is a partial order on  $\mathfrak{h}^*$ .

## Theorem 9.

Let  $\lambda, \mu \in \mathfrak{h}^*$ .

- (a) (Verma) If  $\mu \uparrow \lambda$ , then  $M(\mu) \hookrightarrow M(\lambda)$ ; in particular, we know  $[M(\lambda) : L(\mu)] \neq 0$ .
- (b) (BGG) If  $[M(\lambda) : L(\mu)] \neq 0$ , then  $\mu \uparrow \lambda$ .

**Theorem 10.**

If  $\lambda \in \Lambda^+$ , the unique maximal submodule  $N(\lambda)$  of  $M(\lambda)$  is the sum of the submodules  $M(s_{\alpha_i} \cdot \lambda)$  for  $1 \leq i \leq \ell$ .

We can express this result by an exact sequence:

$$\bigoplus_{w \in W, \ell(w)=1} M(w \cdot \lambda) \rightarrow M(\lambda) \rightarrow L(\lambda) \rightarrow 0$$

**Theorem 11.**

If  $\lambda \in \Lambda^+$ , the unique maximal submodule  $N(\lambda)$  of  $M(\lambda)$  is the sum of the submodules  $M(s_{\alpha_i} \cdot \lambda)$  for  $1 \leq i \leq \ell$ .

We can express this result by an exact sequence:

$$??? \rightarrow \bigoplus_{w \in W, \ell(w)=1} M(w \cdot \lambda) \rightarrow M(\lambda) \rightarrow L(\lambda) \rightarrow 0$$

The Weyl group  $W$  has a unique maximal element  $w_0$ , and  $\ell(w_0) = |\Phi_+|$ . We define the BGG resolution of  $L(\lambda)$  is an exact sequence of the following form.

$$0 \rightarrow M(w_0 \cdot \lambda) \xrightarrow{\delta_m} \bigoplus_{\substack{w \in W \\ \ell(w) = |\Phi_+| - 1}} M(w \cdot \lambda) \rightarrow \dots$$

$$\xrightarrow{\delta_2} \bigoplus_{\substack{w \in W \\ \ell(w) = 1}} M(w \cdot \lambda) \xrightarrow{\delta_1} M(\lambda) \xrightarrow{\varepsilon} L(\lambda) \rightarrow 0.$$

### Theorem 12.

For  $\lambda \in \Lambda_+$ , there exists a BGG resolution for  $L(\lambda)$ .

# Application: Weyl character formula

We can prove the Weyl character formula from the existence of BGG resolution. Write  $C_k := \bigoplus_{\substack{w \in W \\ \ell(w)=k}} M(w \cdot \lambda)$ , then

$$\text{ch } C_k = \sum_{\substack{w \in W \\ \ell(w)=k}} \text{ch } M(w \cdot \lambda).$$

We know the alternating sum of dimension of each weight space of  $C_k$  is 0. The alternating sum of character should also be 0, i.e.

$$\text{ch } L(\lambda) + \sum_{i=0}^{|\Phi_+|} (-1)^{i+1} \text{ch } C_k = 0$$



$$\begin{aligned}\mathrm{ch} L(\lambda) &= \sum_{i=0}^{|\Phi_+|} (-1)^i \mathrm{ch} C_k \\ &= \sum_{i=0}^{|\Phi_+|} \sum_{\substack{w \in W \\ \ell(w)=i}} (-1)^i \mathrm{ch} M(w \cdot \lambda) \\ &= \sum_{w \in W} (-1)^{\ell(w)} \mathrm{ch} M(w \cdot \lambda).\end{aligned}$$

This is the Weyl character formula for finite dimensional irreducible module  $L(\lambda)$ .

# Bott's theorem

compute the dimension of Lie algebra cohomology group:  
 $H^k(\mathfrak{n}^-, L(\lambda)) := \text{Ext}_{\mathfrak{n}^-}^k(\mathbb{C}, L(\lambda))$  (It is actually an  $\mathfrak{h}$ -module.)

## Theorem 13 (Bott).

*If  $\lambda \in \Lambda^+$ , then  $\dim H^k(\mathfrak{n}^-, L(\lambda)) = |W^{(k)}|$ , where  $W^{(k)}$  denotes the set of elements in  $W$  having length  $k$ .*

## Proof.

By using property of dual functor  $(-)^*$ , we know  $\text{Ext}_{\mathfrak{n}_-}^k(\mathbb{C}, L(\lambda)) \cong \text{Ext}_{\mathfrak{n}_-}^k(L(\lambda)^*, \mathbb{C})$ ,  $L(\lambda)^* \cong L(-w_0 \cdot \lambda)$ . We know  $\lambda^* := -w_0 \cdot \lambda \in \Lambda_+$ . So,  $L(\lambda^*)$  has a BGG resolution, which is a free resolution as  $U(\mathfrak{n}_-)$ -module. By applying functor  $\text{Hom}_{\mathfrak{n}_-}(-, \mathbb{C})$  to the BGG resolution, then taking the homology, we get  $\text{Ext}_{\mathfrak{n}_-}^i(L(\lambda^*), \mathbb{C})$ .

we can naturally identify  $\text{Hom}_{\mathfrak{n}_-}(M, \mathbb{C})$  with  $(M/\mathfrak{n}_-M)^*$ . For  $M$  is a Verma module  $M(\mu)$ ,  $(M/\mathfrak{n}_-M)^* \cong \mathbb{C}_\mu^* = \mathbb{C}_{-\mu}$  is a one dimensional space. Thus, we know  $\dim \text{Hom}_{\mathfrak{n}_-}(C_k, \mathbb{C}) = |W^{(k)}|$ . Also, because all  $-w \cdot \lambda^*$  are distinct, all maps in this chain are zero. □

Other applications of BGG resolution:

- 1 projective dimension & global dimension
- 2 compute  $\text{Ext}_{\mathcal{O}}^n(M(w' \cdot \lambda), M(w \cdot \lambda))$ ,  $\text{Ext}_{\mathcal{O}}^n(M(\mu), M(\lambda)^\vee)$ .

Thank you!