

Automated Proof and Discovery in Three Combinatorial Problems

Ph.D. Thesis Defense

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August 14, 2009
For Partial Fulfillment of Ph.D. Requirements

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Outline

- 1 **Avoiding Differences**
 - Introduction
 - Definition and Recurrence
 - Asymptotic Triangle Conjecture

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 - History
 - Contributions
 - Results

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 - Previous Results, New Results
 - More New Results

Avoiding Differences

Starting and ending with The Triangle Conjecture

- ▶ We will investigate the quantity $f_{\Delta}(n)$, defined by

$$f_{\Delta}(n) = \max\{|X| \mid X \subseteq [n] \text{ and } X \text{ avoids differences in } \Delta\}.$$

- ▶ Motivated by the Triangle Conjecture of Schützenberger and Perrin.

Result Enumeration schemes for computing $\{f_{\Delta}(n)\}_{n=1}^{\infty}$ and *proving* its behavior.

Result An asymptotic version of the Triangle Conjecture.

Spanning Trees in Grid Graphs

Graphs of the form $G \times P_n$ or $G \times C_n$

- ▶ We will extend the methods used by Desjarlais and Molina to compute the sequence $\{\tau_G(n)\}_{n=1}^{\infty}$, where

$$\tau_G(n) = \text{number of spanning trees of } G \times P_n.$$

- ▶ Enumeration schemes that calculate and *prove* full information:
 - Recurrence
 - Generating function
 - Closed-form formula.

Result Spanning tree sequences are *divisibility sequences*.

The Firefighter Problem

On $\mathbb{Z} \times \mathbb{Z}$

- ▶ We will introduce the problem.
- ▶ We will discuss results pertaining to an upper bound on the number of firefighters needed to contain the fire in the two-dimensional grid $\mathbb{Z} \times \mathbb{Z}$.
- ▶ We will discuss a partial result regarding the sharpness of this upper bound.

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Introduction

The Triangle Conjecture

- The Triangle Conjecture deals with *codes*, which are subsets C of the set

$$\mathcal{A}_m = \{x^i y x^j \mid i + j < m\}$$

where C^* exhibits unique factorization.

Example

$\{y, xy, yx\} \subseteq \mathcal{A}_2$ is *not* a code, for

$$yxy = y \cdot xy$$

$$yxy = yx \cdot y$$

Conjecture (Schützenberger-Perrin 1980)

If $C \subseteq \mathcal{A}_m$ is a code, then $|C| \leq m$.

► Shortly afterward, Shor exhibited a code $C \subseteq \mathcal{A}_{15}$ with 16 elements.

Important Point

Shor's counterexample relied on finding large sets avoiding prescribed differences.

Definition

Generally, $f_{\Delta}(I; S)$ is the size of the largest subset of I that avoids differences in Δ and elements in S . Additionally, $f_{\Delta}(I) = f_{\Delta}(I; \emptyset)$ and $f_{\Delta}(n; S) = f_{\Delta}([n]; S)$.

Lemma (R.)

If $1 \in S$ then

$$f_{\Delta}(n; S) = f_{\Delta}(n - 1; S - 1)$$

otherwise,

$$f_{\Delta}(n; S) = \max\{f_{\Delta}(n - 1; S - 1), 1 + f_{\Delta}(n - 1; \Delta \cup (S - 1))\}.$$

- ▶ Given Δ, S , the number of different parameters needed in the enumeration scheme to compute $f_{\Delta}(n; S)$ is finite.

Theorem (R.)

Given any finite Δ, S , the sequence $(f_{\Delta}(n; S))$ is eventually pseudoperiodic.

- ▶ A theorem-prover proving the structure of $(f_{\Delta}(n; S))$ has been implemented.

Definition

$$\mu(\Delta) = \lim_{n \rightarrow \infty} \frac{f_{\Delta}(n)}{n}.$$

$\mu(\Delta)$ is rational.

Theorem (R. - Asymptotic Version of Triangle Conjecture)

$$\mu(X - X) \leq \frac{1}{|X|}.$$

Proof.

Let $X = \{x_1, x_2, \dots, x_k\}$ and consider

$$\{x_1 + 0, x_2 + 0, \dots, x_k + 0\}$$

$$\{x_1 + 1, x_2 + 1, \dots, x_k + 1\}$$

$$\{x_1 + 2, x_2 + 2, \dots, x_k + 2\}$$

⋮

To avoid differences, we can only have one element from each set. Each $n \in \mathbb{N}$ is represented at most $k (= |X|)$ times in this family of sets. □

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History

- ▶ The Matrix Tree Theorem will compute the number of spanning trees of any graph G .
- ▶ The Matrix Tree Theorem does *not* provide any information about the number of spanning trees of an infinite family of graphs.

Definition

$\tau_G(n)$ is the number of spanning trees of $G \times P_n$.

History

(continued)

- ▶ Desjarlais and Molina created an enumeration scheme to compute $\tau_{P_2}(n)$.
- ▶ To compute $\tau_{P_2}(n)$, they also computed $\tau'_{P_2}(n)$, defined as the number of spanning *forests* of $P_2 \times P_n$ with the special property that the two vertices on the right end are in different components.

This yields the enumeration scheme

$$\tau_{P_2}(n) = 3\tau_{P_2}(n-1) + \tau'_{P_2}(n-1)$$

$$\tau'_{P_2}(n) = 2\tau_{P_2}(n-1) + \tau'_{P_2}(n-1)$$

History

(continued)

- ▶ From this, they deduced that $\tau_{P_2}(n)$ satisfies the recurrence

$$\tau_{P_2}(n) = 4\tau_{P_2}(n-1) - \tau_{P_2}(n-2)$$

with the initial conditions $\tau_{P_2}(1) = 1$, $\tau_{P_2}(2) = 4$. $\tau'_{P_2}(n)$ also satisfies the same recurrence but $\tau'_{P_2}(2) = 3$.

- ▶ We generalize and formalize this framework.

Contributions

- ▶ This can be extended to a formal framework if we consider the enumeration scheme that counts, for a graph G on n vertices, *all* values $\tau_G(n; P)$ for all partitions P of $[n]$.
- ▶ We compute, for all P and P' , the number of different ways we can append edges to *transition* from a spanning tree represented in $\tau_G(n; P)$ to one represented in $\tau_G(n; P')$. This naturally yields a matrix, A_G .

Example

If $G = K_3$ our transition matrix is

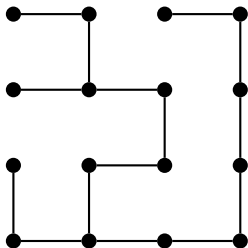
$$\begin{bmatrix} 16 & 8 & 8 & 8 & 3 \\ 4 & 3 & 2 & 2 & 1 \\ 4 & 2 & 3 & 2 & 1 \\ 4 & 2 & 2 & 3 & 1 \\ 3 & 2 & 2 & 2 & 1 \end{bmatrix}$$

Results

- ▶ Full sequence information for all graphs up to 5 vertices, with plans to find all information for all graphs on 6 vertices (about 25% complete).
- ▶ Interesting conjectures:
 - Coefficients of characteristic polynomial alternate in sign – suggests potential reformulation in terms of Inclusion-Exclusion.
 - Recurrence of minimum order for $P_k \times P_n$ has order 2^{k-1} .
 - Recurrence of minimum order for $K_k \times P_n$ has order k .

Spanning Tree Sequences are Divisibility Sequences

A spanning tree of $G \times P_{2n}$ can be split into three parts: a left tree, a right tree, and the middle edges.



$\text{COMP}(P, \text{MID})$ is the set of partitions that is compatible with the left-hand partition and the middle edges.

Lemma (Split-Merge Lemma)

$$\sum_{\text{MID} \in \binom{[v]}{k}} \sum_{P \in \mathcal{P}_v(p)} \tau_G(n; P) = \sum_{P' \in \text{COMP}(P, \text{MID})} \tau_G(n; P')$$

$$= \binom{k-1}{p-1} \tau_G(n) \sum_{P \in \mathcal{P}(e)} (\prod P) \tau_G(n; P).$$

$\tau_G(2n)$ is equal to the left-hand side of the above equation summed over all possible values of k and p .

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Given a graph G , a fire is placed at a specified vertex and at discrete time intervals $t \geq 0$, $f(t)$ firefighters are placed on unoccupied vertices, and then the fire spreads to adjacent vertices that are not protected nor already on fire.

Question

If G is finite, can the fire be contained with vertices that are neither on fire nor protected? If G is infinite, can the fire be contained?

Theorem (Wang-Moeller)

If $f(t) = 1$, then no fire can be contained in the two-dimensional grid. If $f(t) = 2$, then any finite fire can be contained in the two-dimensional grid.

Theorem (Ng-R.)

If f is periodic and the average number of firefighters per turn is $1.5 + \epsilon$, then any finite fire can be contained in the two-dimensional grid.

This theorem can be extended further to deal with non-periodic functions.

Theorem (R.)

The function f defined by

$$f(t) = \begin{cases} 3 & \text{if } t \text{ is odd} \\ 0 & \text{if } t \text{ is even} \end{cases}$$

can not give a convex solution to a point fire in the two-dimensional grid.

Open Questions

- ▶ What is the behavior of the offset and period for the sequences $(f_{\Delta}(n))$?
- ▶ What's behind the structure of the characteristic polynomials of the transition matrices?
- ▶ Are non-convex solutions to the firefighter problem in $\mathbb{Z} \times \mathbb{Z}$ anything more than a pathology?

Thank you!

Questions?