Relaxed Partitions

Mingjia Yang

Rutgers University

April 6, 2019

< 🗇 > < 🖃 >

문 🕨 문

Definition

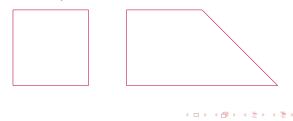
 A partition of a positive integer n is a finite non-increasing sequence of positive integers λ₁, λ₂, ..., λ_k whose sum is equal to n. For example, (4, 4, 2, 1) is a partition of 11.

Definition

- A partition of a positive integer n is a finite non-increasing sequence of positive integers λ₁, λ₂, ..., λ_k whose sum is equal to n. For example, (4, 4, 2, 1) is a partition of 11.
- A relaxed partition of a positive integer n is a finite sequence of positive integers λ₁, λ₂, ..., λ_k (λ_i − λ_{i+1} ≥ r) whose sum is equal to n. We also call this partition an r-partition of n. For example, (3, 4, 2, 1) a (−1)-partition of 10. Partitons into distinct parts are 1-partitions.

Definition

- A partition of a positive integer n is a finite non-increasing sequence of positive integers λ₁, λ₂, ..., λ_k whose sum is equal to n. For example, (4, 4, 2, 1) is a partition of 11.
- A relaxed partition of a positive integer n is a finite sequence of positive integers λ₁, λ₂, ..., λ_k (λ_i − λ_{i+1} ≥ r) whose sum is equal to n. We also call this partition an r-partition of n. For example, (3, 4, 2, 1) a (−1)-partition of 10. Partitons into distinct parts are 1-partitions.



Relaxed partitions

Q: For a fixed r, how many r-partitions of integer n do we have? Noticing some recourrence relations, we used Maple to program a procedure which we called NPr(n, r) and it returns the number of r-partitions of n for any n and r. Below are the first 20 terms of NPr(n, -1): number of (-1)-partitions of n:

Relaxed partitions

Q: For a fixed r, how many r-partitions of integer n do we have? Noticing some recourrence relations, we used Maple to program a procedure which we called NPr(n, r) and it returns the number of r-partitions of n for any n and r. Below are the first 20 terms of NPr(n, -1): number of (-1)-partitions of n:

1,2,4,7,13,23,41,72,127,222,388,677,1179,2052,3569,6203,10778, 18722,32513,56455

Relaxed partitions

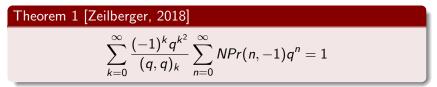
Q: For a fixed r, how many r-partitions of integer n do we have? Noticing some recourrence relations, we used Maple to program a procedure which we called NPr(n, r) and it returns the number of r-partitions of n for any n and r. Below are the first 20 terms of NPr(n, -1): number of (-1)-partitions of n:

1,2,4,7,13,23,41,72,127,222,388,677,1179,2052,3569,6203,10778, 18722,32513,56455

Now, can we find a generating function for a given r? The answer turned out to be yes! We typed the above sequence produced by NPr(n, -1) into the OEIS, we found that its generating function seemed to be the reciprocal of

$$\sum_{k=0}^{\infty} \frac{(-1)^k q^{k^2}}{(q,q)_k}$$

Since there is no proof for this formula provided in the OEIS and we could not find it elsewhere, we attempted to prove it by ourselves, and succeeded.



Since there is no proof for this formula provided in the OEIS and we could not find it elsewhere, we attempted to prove it by ourselves, and succeeded.

Theorem 1 [Zeilberger, 2018] $\sum_{k=0}^{\infty} \frac{(-1)^k q^{k^2}}{(q,q)_k} \sum_{n=0}^{\infty} NPr(n,-1)q^n = 1$

Observation: the first sum is ∑_{L1}(−1)^kq^{sum(L1)} where L1 is a partition with parts of difference at least 2 and k is the number of parts of L1.

Since there is no proof for this formula provided in the OEIS and we could not find it elsewhere, we attempted to prove it by ourselves, and succeeded.

Theorem 1 [Zeilberger, 2018]

$$\sum_{k=0}^{\infty} \frac{(-1)^k q^{k^2}}{(q,q)_k} \sum_{n=0}^{\infty} NPr(n,-1)q^n = 1$$

- Observation: the first sum is ∑_{L1}(−1)^kq^{sum(L1)} where L1 is a partition with parts of difference at least 2 and k is the number of parts of L1.
- The second sum is equal to $\sum_{L2} q^{sum(L2)}$ where L2 is a (-1)-partition.

• Consider the pair (L1, L2) where L1 is a partition with parts of difference at least 2 and L2 is a (-1)-partition.

- Consider the pair (L1, L2) where L1 is a partition with parts of difference at least 2 and L2 is a (-1)-partition.
- Define the weight of the pair (L1, L2) to be $(-1)^k q^{sum(L1)+sum(L2)}$

- Consider the pair (L1, L2) where L1 is a partition with parts of difference at least 2 and L2 is a (-1)-partition.
- Define the weight of the pair (L1, L2) to be $(-1)^k q^{sum(L1)+sum(L2)}$
- Now take the weight of the whole set $S := \{(L1, L2)\}$ for all possible L1 and L2 by adding up the weight:

$$\sum_{(L1,L2)\in S} (-1)^k q^{sum(L1)+sum(L2)}$$

- Consider the pair (L1, L2) where L1 is a partition with parts of difference at least 2 and L2 is a (-1)-partition.
- Define the weight of the pair (L1, L2) to be $(-1)^k q^{sum(L1)+sum(L2)}$
- Now take the weight of the whole set $S := \{(L1, L2)\}$ for all possible L1 and L2 by adding up the weight:

$$\sum_{(L1,L2)\in S} (-1)^k q^{sum(L1)+sum(L2)}$$

• On one hand, the total weight should equal the lefthand of Theorem 1, that is:

Mingua Yang

$$\sum_{(L1,L2)\in S} (-1)^k q^{sum(L1)+sum(L2)} = \sum_{L1} (-1)^k q^{sum(L1)} \sum_{L2} q^{sum(L2)}$$

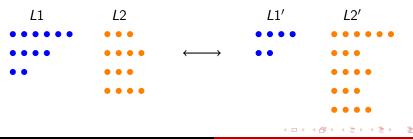
• On the other hand, the total weight should equal to 1, which is the weight of a pair of empty partitions (,) (Note $(-1)^0 q^0 q^0 = 1$). Why?

• On the other hand, the total weight should equal to 1, which is the weight of a pair of empty partitions (,) (Note $(-1)^0 q^0 q^0 = 1$). Why?

This is because we can build a bijection between $S = \{(L1,L2)\}$ with itself such that if (L1,L2) is mapped to (L1',L2') under this bijection, then weight(L1,L2) = -weight(L1',L2'). Also, (L1,L2) is mapped to itself if and only if (L1,L2)=(,). In this case weight(L1,L2)=1. Therefore, we can pair up the elements in S so that their weight cancel each other except for the stand alone element (,). Thus weight(S)=1.

The bijection and generalization

The bijection: let *a* be the first part of the partition L1 and *b* be the first part of the partition L2. If $a \ge b - 1$ then move *a* from L1 to the first row of L2, otherwise, move *b* from L2 to the first row of L1. (If both L1 and L2 are empty then we do nothing.) For example, if the original pair (L1,L2) is {[6,4,2],[3,4,3,4]}, then it becomes {[4,2], [6,3,4,3,4]}. Clearly, under this bijection the number of parts of L1 either increase by 1 or decrease by 1, so weight(L1,L2)=-weight(L1',L2'). This finishes our proof.



Relaxed partitions with first part=M and exactly N parts

Theorem 2 [Y, 2018] (Generalization of Theorem 1)

Given a negative integer r, the generating function for the number of r-partitions of n is the reciprocal of

$$\sum_{k=0}^{\infty} \frac{(-1)^k q^{k(2+(1-r)(k-1))/2}}{(q,q)_k}$$

Since we have found a single-sum formula for the generating function for the number of r-partitions of n, let us move on.

Relaxed partitions with first part=M and exactly N parts

Theorem 2 [Y, 2018] (Generalization of Theorem 1)

Given a negative integer r, the generating function for the number of r-partitions of n is the reciprocal of

$$\sum_{k=0}^{\infty} \frac{(-1)^k q^{k(2+(1-r)(k-1))/2}}{(q,q)_k}$$

Since we have found a single-sum formula for the generating function for the number of r-partitions of n, let us move on.

What if we restrict the first part to be M and the number of parts to be exactly N? Let us call this generating function F(M,N,r,q).

Reccurrence Relation

It is not hard to come up with a recurrence relation for F(M, N, r, q):

$$F(M, N, r, q) = q^M \sum_{M_1=1}^{M-r} F(M_1, N-1, r, q)$$



Knowing the reccurence relation, we programmed in Maple and found the following:

Typing [seq(F(M1, 1, -1, 1), M1 = 1..20)]; yields

To guess a polynomial for this sequence, type: GuessPol([seq(F(M1, 1, -1, 1), M1 = 1..20)], M, 1);Not surprisingly, it yields the constant polynomial 1.

Knowing the reccurence relation, we programmed in Maple and found the following:

Typing [seq(F(M1, 1, -1, 1), M1 = 1..20)]; yields

To guess a polynomial for this sequence, type: GuessPol([seq(F(M1, 1, -1, 1), M1 = 1..20)], M, 1);Not surprisingly, it yields the constant polynomial 1.

Now try N = 2. Typing [seq(F(M1, 2, -1, 1), M1 = 1..20)]; yields

[2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21]

GuessPol([seq(F(M1, 2, -1, 1), M1 = 1..20)], M, 1); yields

M + 1

Also not a surpise. Moving right along,

Also not a surpise. Moving right along, GuessPol([seq(F(M1, 3, -1, 1), M1 = 1..20)], M, 1); yields

$$\frac{(M+4)(M+1)}{2}$$

Also not a surpise. Moving right along, GuessPol([seq(F(M1, 3, -1, 1), M1 = 1..20)], M, 1); yields

$$\frac{(M+4)(M+1)}{2}$$

GuessPol([seq(F(M1, 4, -1, 1), M1 = 1..20)], M, 1); yields

$$\frac{(M+6)(M+5)(M+1)}{6}$$

Also not a surpise. Moving right along, GuessPol([seq(F(M1, 3, -1, 1), M1 = 1..20)], M, 1); yields

$$\frac{(M+4)(M+1)}{2}$$

GuessPol([seq(F(M1, 4, -1, 1), M1 = 1..20)], M, 1); yields

$$\frac{(M+6)(M+5)(M+1)}{6}$$

GuessPol([seq(F(M1, 5, -1, 1), M1 = 1..20)], M, 1); yields

$$\frac{(M+8)(M+7)(M+6)(M+1)}{24}$$

Also not a surpise. Moving right along, GuessPol([seq(F(M1,3,-1,1),M1=1..20)],M,1); yields

$$\frac{(M+4)(M+1)}{2}$$

GuessPol([seq(F(M1,4,-1,1),M1=1..20)],M,1); yields

$$\frac{(M+6)(M+5)(M+1)}{6}$$

GuessPol([seq(F(M1,5,-1,1),M1=1..20)],M,1); yields

$$\frac{(M+8)(M+7)(M+6)(M+1)}{24}$$

GuessPol([seq(F(M1, 6, -1, 1), M1 = 1..20)], M, 1); yields

$$\frac{(10+M)(9+M)(8+M)(7+M)(M+1)}{120}$$

Without much effort, one can conjecture the following:

$$F(M, N, -1, 1) = \frac{(M+1)(M+2N-2)!}{(N-1)!(M+N)!}$$

٠

Without much effort, one can conjecture the following:

$$F(M, N, -1, 1) = \frac{(M+1)(M+2N-2)!}{(N-1)!(M+N)!}$$

With similar experimentation with r = -2, one can conjecture that

$$F(M, N, -2, 1) = \frac{(M+2)(M+3N-2)!}{(N-1)!(M+2N)!}$$

Without much effort, one can conjecture the following:

$$F(M, N, -1, 1) = \frac{(M+1)(M+2N-2)!}{(N-1)!(M+N)!}$$

With similar experimentation with r = -2, one can conjecture that

$$F(M, N, -2, 1) = \frac{(M+2)(M+3N-2)!}{(N-1)!(M+2N)!}$$

Comparing these two guesses, one can easily conjecture the formula for a general r:

$$F(M, N, r, 1) = \frac{(M - r)(M + (1 - r)N - 2)!}{(N - 1)!(M - rN)!}$$

Now, how do we prove this conjecture?

Now, how do we prove this conjecture? Recall that we programmed $F(\boldsymbol{M},\boldsymbol{N},\boldsymbol{r},\boldsymbol{q})$ using the recurrence relation

$$F(M, N, r, q) = q^M \sum_{M_1=1}^{M-r} F(M_1, N-1, r, q)$$

and the initial condition $F(M, 1, r, q) = q^M$. Note that F(M, N, r, q) can be fully defind by this information. In other words, if we have found a formula that satisfies this recurrence relation and initial condition, then it *is* the formula for F(M, N, r, q). This also applies to our current case when q = 1.

Now, how do we prove this conjecture? Recall that we programmed $F(\boldsymbol{M},\boldsymbol{N},\boldsymbol{r},\boldsymbol{q})$ using the recurrence relation

$$F(M, N, r, q) = q^M \sum_{M_1=1}^{M-r} F(M_1, N-1, r, q)$$

and the initial condition $F(M, 1, r, q) = q^M$. Note that F(M, N, r, q) can be fully defind by this information. In other words, if we have found a formula that satisfies this recurrence relation and initial condition, then it *is* the formula for F(M, N, r, q). This also applies to our current case when q = 1.

Therefore we used Maple to verify both this equation and the intial condition are satisfied. So our conjectured formula F(M,N,r,1) was proved.

Theorem 3 [Y, 2018]

$$F(M, N, r, 1) = \frac{(M - r)(M + (1 - r)N - 2)!}{(N - 1)!(M - rN)!}$$
$$= \binom{M + (1 - r)N - 2}{N - 1} + r\binom{M + (1 - r)N - 2}{N - 2}$$

合 ▶ ◀

Theorem 3 [Y, 2018]

$$F(M, N, r, 1) = \frac{(M - r)(M + (1 - r)N - 2)!}{(N - 1)!(M - rN)!}$$
$$= \binom{M + (1 - r)N - 2}{N - 1} + r\binom{M + (1 - r)N - 2}{N - 2}$$

Now the next step is to try to conjecture a formula for F(M, N, r, q).

This turns out to be not so easy. Below are the guesses for r = -1and $N \le 5$: qGuessPol([seq(F(M1, 1, -1, q), M1 = 1..20)], M, q, 1); yields

 q^M

This turns out to be not so easy. Below are the guesses for r = -1and $N \le 5$: qGuessPol([seq(F(M1, 1, -1, q), M1 = 1..20)], M, q, 1); yields

 q^M

 $\mathsf{qGuessPol}([\mathsf{seq}(\mathsf{F}(\mathsf{M1}, 2, -1, \mathsf{q}), \mathsf{M1} = 1..20)], \mathsf{M}, \mathsf{q}, 1) \texttt{; yields}$

$$\frac{q^{M+1}(q^{M+1}-1)}{(q-1)}$$

This turns out to be not so easy. Below are the guesses for r = -1and $N \le 5$: qGuessPol([seq(F(M1, 1, -1, q), M1 = 1..20)], M, q, 1); yields

 q^M

qGuessPol([seq(F(M1,2,-1,q),M1=1..20)],M,q,1); yields

$$\frac{q^{M+1}(q^{M+1}-1)}{(q-1)}$$

 $\mathsf{qGuessPol}([\mathsf{seq}(\mathsf{F}(\mathsf{M1}, 3, -1, \mathsf{q}), \mathsf{M1} = 1..20)], \mathsf{M}, \mathsf{q}, 1) \text{; yields}$

$$\frac{q^{{}^{M+2}}(q^{{}^{M+3}}+q^2-q-1)(q^{{}^{M+1}}-1)}{(q-1)^2(q+1)}$$

$\begin{aligned} \mathsf{qGuessPol}([\mathsf{seq}(\mathsf{F}(\mathsf{M1},\mathsf{4},-\mathsf{1},\mathsf{q}),\mathsf{M1}=1..20)],\mathsf{M},\mathsf{q},\mathsf{1}); \text{ yields} \\ & \frac{q^{M+3}(q^{2M+8}+q^{M+7}-q^{M+5}-q^{M+4}-q^{M+3}+q^6-2q^4-q^3+2q+1)(q^{M+1}-1)}{(q-1)^3(q+1)(q^2+q+1)} \end{aligned}$

・ 同 ト ・ ヨ ト ・ ヨ ト …

$$\begin{aligned} & \mathsf{qGuessPol}([\mathsf{seq}(\mathsf{F}(\mathsf{M1},\mathsf{4},-\mathsf{1},\mathsf{q}),\mathsf{M1}=\mathsf{1}..2\mathsf{0})],\mathsf{M},\mathsf{q},\mathsf{1}); \text{ yields} \\ & \frac{q^{M+3}(q^{2M+8}+q^{M+7}-q^{M+5}-q^{M+4}-q^{M+3}+q^6-2q^4-q^3+2q+1)(q^{M+1}-1)}{(q-1)^3(q+1)(q^2+q+1)} \\ & \mathsf{qGuessPol}([\mathsf{seq}(\mathsf{F}(\mathsf{M1},\mathsf{5},-\mathsf{1},\mathsf{q}),\mathsf{M1}=\mathsf{1}..2\mathsf{0})],\mathsf{M},\mathsf{q},\mathsf{1}); \text{ yields} \\ & \frac{q^{M+4}(q^{M+5}+q^4-q-1)(q^{2M+10}-q^{M+4}-q^{M+3}+q^8-q^5-2q^4+2q+1)(q^{M+1}-1)}{(q-1)^4(q+1)^2(q^2+1)(q^2+q+1)} \end{aligned}$$

▲ 同 ▶ → ▲ 三

ъ

$$\begin{split} & q \text{GuessPol}([\text{seq}(\mathsf{F}(\mathsf{M}1, 4, -1, \mathbf{q}), \mathsf{M}1 = 1..20)], \mathsf{M}, \mathbf{q}, 1); \text{ yields} \\ & \frac{q^{M+3}(q^{2M+8} + q^{M+7} - q^{M+5} - q^{M+4} - q^{M+3} + q^6 - 2q^4 - q^3 + 2q + 1)(q^{M+1} - 1)}{(q - 1)^3(q + 1)(q^2 + q + 1)} \\ & \mathsf{qGuessPol}([\text{seq}(\mathsf{F}(\mathsf{M}1, \mathbf{5}, -1, \mathbf{q}), \mathsf{M}1 = 1..20)], \mathsf{M}, \mathbf{q}, 1); \text{ yields} \\ & \frac{q^{M+4}(q^{M+5} + q^4 - q - 1)(q^{2M+10} - q^{M+4} - q^{M+3} + q^8 - q^5 - 2q^4 + 2q + 1)(q^{M+1} - 1)}{(q - 1)^4(q + 1)^2(q^2 + 1)(q^2 + q + 1)} \end{split}$$

We can again **prove** that they are true by using the recurrence relation. Note that, although the formulas above look like rational functions, they are in fact polynomials. It would be very nice to find a general pattern for F(M, N, r, q).

Drew Sills's Observation: F(M, N, -1, q) has denominator $(q; q)_N$ and a numerator of degree N(M + N - 1). Thus it is plausible that the numerator is a (possibly alternating) sum of polynomials that are a power of q times a Gaussian polynomial of the form G(M, N) := GP(2N + M - 1, N).

$$GP(m,r) := \frac{(q^{m-r+1},q)_r}{(q,q)_r}$$

So far we have not made much progress in this, but we noticed an interesting pattern.

Some observations

$$\begin{cases} G(2,1) = q^2 + q + 1 \\ F(2,2,-1,q) = q^5 + q^4 + q^3 \end{cases}$$

$$\begin{cases} G(2,2) = \frac{q^6 + q^5 + 2q^4 + 2q^3 + 2q^2 + q + 1}{F(2,3,-1,q) = \frac{q^9 + q^8 + 2q^7 + 2q^6 + 2q^5 + q^4}{P^3 + 2q^2 + q^2 + 2q^6 + 2q^5 + q^4} \end{cases}$$

$$\begin{cases} G(2,3) = \frac{q^{12} + q^{11} + 2q^{10} + 3q^9 + 4q^8 + 4q^7 + 5q^6 + 4q^5}{P^3 + 2q^2 + q^2 + q^2 + q^2} \\ F(2,4,-1,q) = \frac{q^{14} + q^{13} + 2q^{12} + 3q^{11} + 4q^{10} + 4q^9 + 5q^8}{P^3 + 4q^7 + 3q^6 + q^5} \end{cases}$$

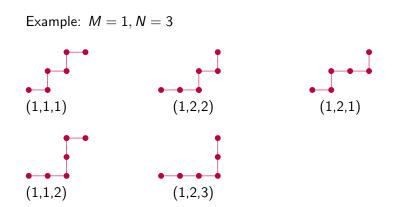
æ

・聞き ・ ヨキ・ ・ ヨキ

Connection to other combinatorial objects

Interestingly, there is a direct connection between F(M, N, -1, 1) and Catalan's triangle (thanks again to OEIS to help us find such a connection). Catalan's triangle is a number triangle whose entries C(n, k) is the number of strings consisting of n X's and k Y's such that no initial segment of the string has more Y's than X's. F(M, N, -1, 1) = C(M + N - 1, N - 1).

Connection to other combinatorial objects



э

А ▶ ◀

Connection to other combinatorial objects

- There also seems to be a bit of connection between the standard Young Tableau and F(M, N, -1, 1). For example, typing the sequence [seq(F(5, N, -1, 1), N = 1..20] into OEIS, we will found it can also represent the number of standard Young Tableau of shape (N + 3, N 2). (A003517)
- We conjecture that F(M, N, −1, 1) is equal to the number of standard Young Tableau of shape (N + [M/2], N - [M/2]).

Thank you!

æ

⇒ ≣⇒

▲ 郡 ▶ → 臣