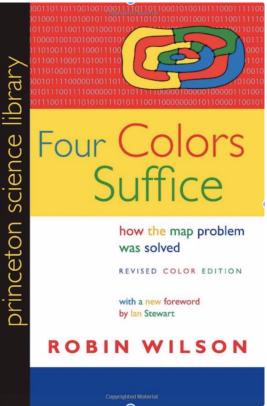
# The 4-color theorem and Gauge theory

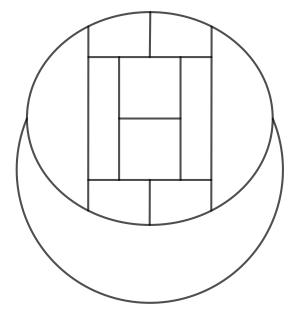
Mariano Echeverria

### Tait's Equivalence

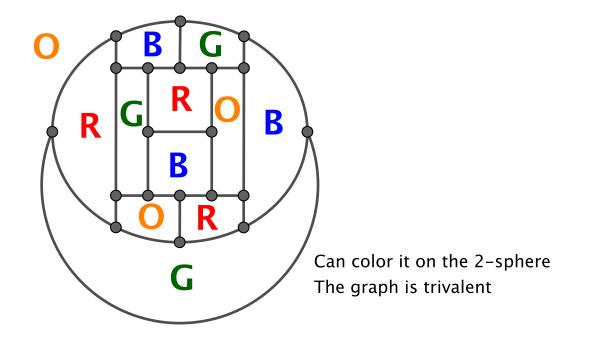
**Theorem.** The four-color theorem is equivalent to the statement: every bridgeless, trivalent, planar graph K admits a Tait coloring.



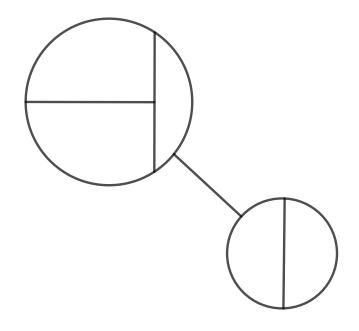
## Appel and Haken: Four colors suffice!

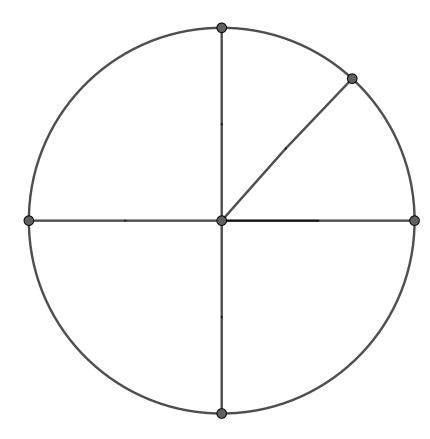


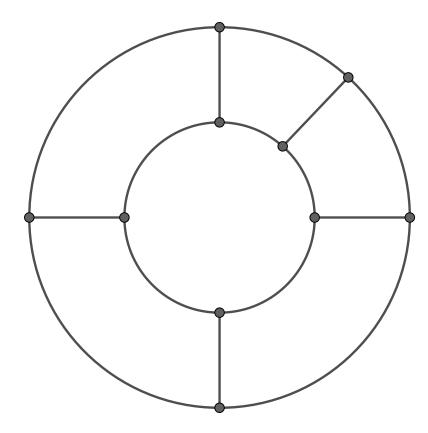
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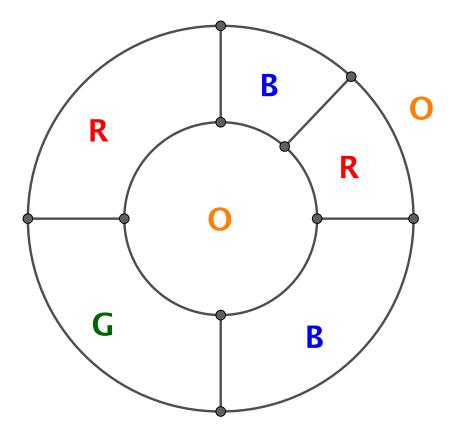


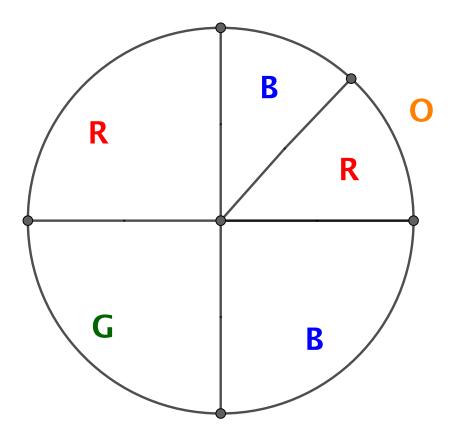
# Avoiding Bridges!!!



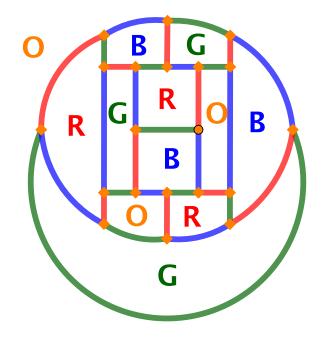








## Tait Colorings and the Klein 4-Group $V_4$

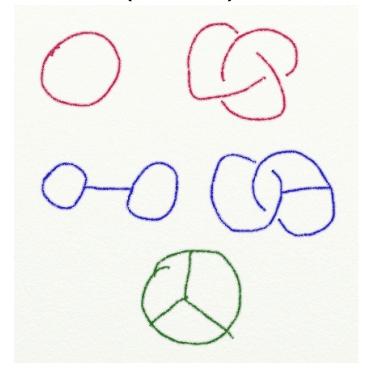


O=diag(1,1,1) R=diag(1,-1,-1) G=diag(-1,1,-1) B=diag(-1,-1,1)

{O,R,G,B} satisy the group law of the Klein 4-group

#### <u>Webs</u>

We want to consider the embedding of a graph K in  $\mathbb{R}^3$  (or  $S^3)$ 



 $p \in K$  has a neighborhood  ${\bf Y}$  or —

• spatial web  $K \implies J^{\#}(K)$  a finite dimensional vector space over  $\mathbb{F}_2$ 

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**Conjecture.** If K is **planar**, i.e,  $K \subset \mathbb{R}^2 \subset \mathbb{R}^3$ , then dim  $J^{\#}(K) = Tait(K)$ 

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*Remark.* A bridgeless, planar, trivalent web K has  $J^{\#}(K) \neq 0$  and if the conjecture is true, then  $Tait(K) \neq 0$ , **implying the 4-color theorem.** 

**Theorem.**  $J^{\#}(K)$  is **non-zero** if and only if K has no embedded bridge.

**Conjecture.** If K is **planar**, i.e,  $K \subset \mathbb{R}^2 \subset \mathbb{R}^3$ , then dim  $J^{\#}(K) = Tait(K)$ 

Remark. • A bridgeless, planar, trivalent web K has  $J^{\#}(K) \neq 0$  and if the conjecture is true, then  $\text{Tait}(K) \neq 0$ , **implying the 4-color theorem.** • The non-vanishing result uses Gabai's theory of **sutured manifolds** 

# Some Results and Questions

• One inequality of the conjecture is true, namely, if K is planar then  $\dim J^{\#}(K) \geq \operatorname{Tait}(K)$ 

# Some Results and Questions

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- How is  $J^{\#}(K)$  related to Tait(K)?

# Some Results and Questions

- One inequality of the conjecture is true, namely, if K is planar then  $\dim J^{\#}(K) \geq \operatorname{Tait}(K)$
- How is  $J^{\#}(K)$  related to Tait(K)?
- What properties does  $J^{\#}(K)$  satisfy?

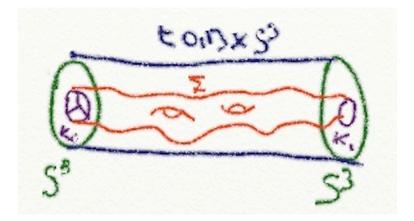
Maps induced by foam cobordisms

Foam cobordisms

$$([0,1] \times S^3, \Sigma) : (S^3, K_0) \to (S^3, K_1)$$

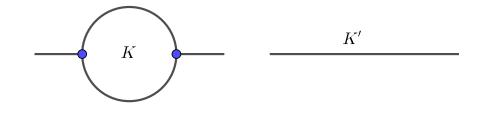
#### induce linear transformations

$$J^{\#}(\Sigma): J^{\#}(K_0) \to J^{\#}(K_1)$$



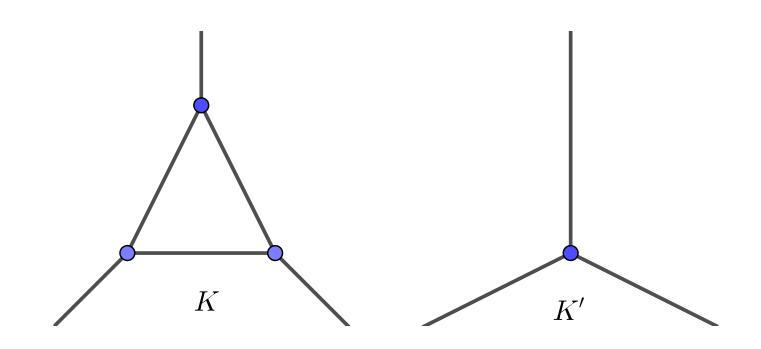
**Bigon Relations** 

$$\dim J^{\#}(K) = 2 \dim J^{\#}(K')$$



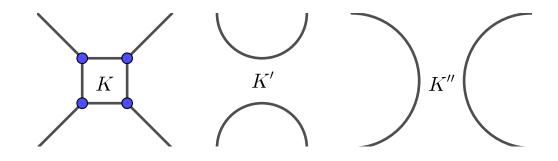
**Triangle Relations** 

 $J^{\#}(K) \simeq J^{\#}(K')$ 



Square Relations

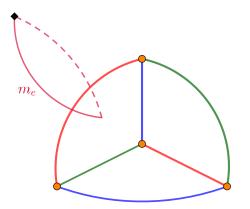
 $J^{\#}(K) \simeq J^{\#}(K') \oplus J^{\#}(K'')$ 



**Tait coloring** of  $K \iff (group)$  homomorphism

$$\rho: \pi_1(S^3 \backslash K) \to V_4$$

sending the  $m_e$  to elements of order 2 (strictly)



Since  $V_4$  is **abelian**  $\rho: \pi_1(S^3 \setminus K) \to V_4$  is the same as

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If  $e_1, e_2, e_3$  meet at a vertex then in  $H_1(S^3 \setminus K)$ 

$$[m_{e_1}] + [m_{e_2}] + [m_{e_3}] = 0$$

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SO

$$\rho(m_{e_1})\rho(m_{e_2})\rho(m_{e_3}) = 1_{3\times 3}$$

i.e,  $\rho(m_{e_1}), \rho(m_{e_2}), \rho(m_{e_3})$  must be given different colors!!!

# Tait(unknot)

• 
$$\pi_1(S^3 \setminus \mathsf{unknot}) = \mathbb{Z}$$
 so

$$\rho: \mathbb{Z} \to V_4 = \{1, R, G, B\}$$

is completely specified by

$$\rho(1) = \rho(m)$$

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• Since  $\rho(m)$  cannot be the identity there are 3 possible choices so

$$\mathsf{Tait}(unknot) = 3$$

Construction of  $J^{\#}(K)$ 

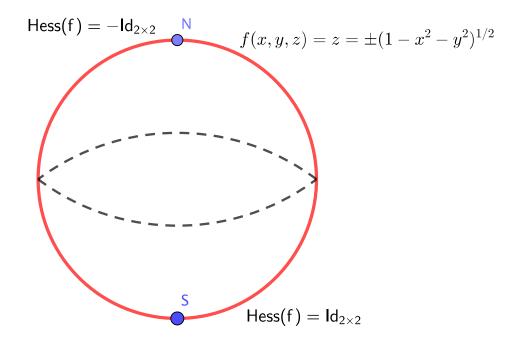
 $J^{\#}(K)$  is constructed as the **homology groups** of a chain complex

$$(C_{\bullet}, \partial_{\bullet}) \implies J^{\#}(K) = \frac{\ker \partial_{\bullet}}{\operatorname{im} \partial_{\bullet}}$$

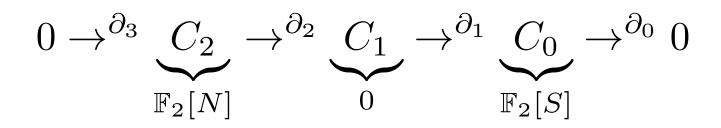
built from the **character variety** of  $\boldsymbol{K}$ 

$$\mathcal{R}^{\#}(K) = \{ \rho : \pi_1(S^3 \setminus K) \to SO(3) \mid \\ \rho(m_e) \text{ has order } 2 \text{ for all edges } e \}$$

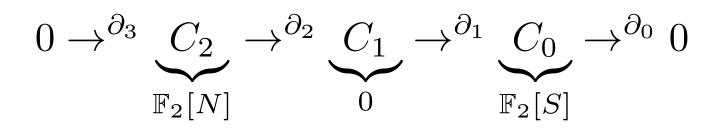
### Morse Homology



$$\begin{array}{c} 0 \to^{\partial_3} \underbrace{C_2}_{\mathbb{F}_2[N]} \to^{\partial_2} \underbrace{C_1}_{0} \to^{\partial_1} \underbrace{C_0}_{\mathbb{F}_2[S]} \to^{\partial_0} 0 \end{array}$$



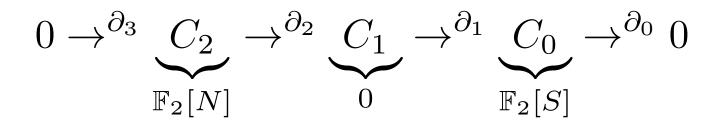
$$H_2(f, S^2; \mathbb{F}_2) = \frac{\ker \partial_2}{\operatorname{im} \partial_3} = \ker \partial_2 = \mathbb{F}_2$$



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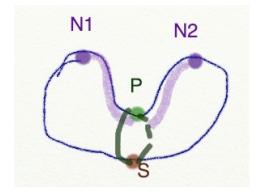
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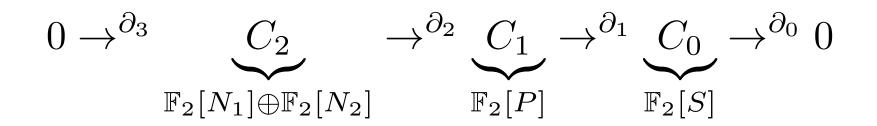


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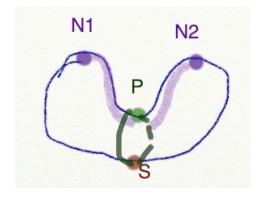
 $|H_{\bullet}(f, S^2; \mathbb{F}_2) \simeq H_{\bullet}(S^2; \mathbb{F}_2)|$ 

### Morse Homology of g





## Morse Homology of $g \pmod{2}$



## $\partial_2[N_1] = [P] \quad \partial_1[P] = 2[S] = 0 \quad \partial_0[S] = 0$ $\partial_2[N_2] = [P]$

### Morse Homology of $g \pmod{2}$

 $\partial_2 N_1 = P$   $\partial_1 P = 2S = 0$   $\partial_0 S = 0$  $\partial_2 N_2 = P$ 

$$H_2(g, S^2; \mathbb{F}_2) = \frac{\ker \partial_2}{\operatorname{im} \partial_3} = \mathbb{F}_2$$
$$H_1(g, S^2; \mathbb{F}_2) = \frac{\ker \partial_1}{\operatorname{im} \partial_2} = 0$$
$$H_0(g, S^2; \mathbb{F}_2) = \frac{\ker \partial_0}{\operatorname{im} \partial_1} = \mathbb{F}_2$$

Same answer as before!

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• A real valued function  $f:M\to \mathbb{R}$ 

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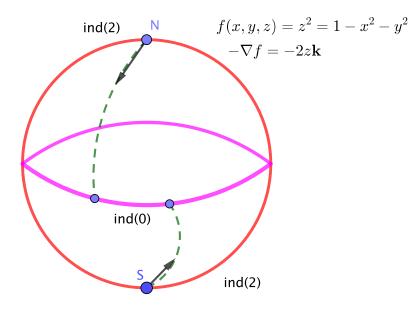
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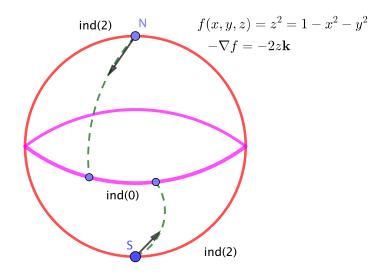
$$\frac{\partial f}{\partial x_1} = \frac{\partial f}{\partial x_2} = \dots = \frac{\partial f}{\partial x_n} = 0$$

• The differential  $\partial$  is build from flow lines  $\gamma(t)$  of the vector field  $-\operatorname{grad} f$ :  $\frac{d\gamma}{dt} = -\operatorname{grad} f(\gamma(t))$ 

#### Morse-Bott Situation



### Morse-Bott Situation



Crit(f) now consists of submanifolds, and the homology of the manifold can still be recovered modifying the previous construction!

• On a 3-manifold Y (like  $Y = S^3 \setminus K$ ), the representations

$$\rho: \pi_1(Y) \to SO(3)$$

can be interpreted as the critical points of a functional f = CS which plays the role of a Morse function!

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- Then one can try to mimic the construction of the Morse Homology groups using CS and the "gradient-flow lines" determined by -gradCS
- H<sub>●</sub>(CS, Y; F<sub>2</sub>) no longer gives H<sub>●</sub>(Y; F<sub>2</sub>), but rather new and interesting topological invariants of Y!

## U(1) Chern-Simons Theory

$$CS: \{ \text{vector fields on } Y \} \to \mathbb{R}$$
$$\mathbf{A} \to \int_Y \mathbf{A} \cdot (\nabla \times \mathbf{A}) dvol_Y$$

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Modulo integration by parts arguments

$$\begin{aligned} \mathcal{D}_{\mathbf{a}} CS(A) \\ = \lim_{t \to 0} \frac{CS(\mathbf{A} + t\mathbf{a}) - CS(A)}{t} \\ = 2\int_{Y} \mathbf{a} \cdot (\nabla \times \mathbf{A}) dvol_{Y} \end{aligned}$$

# U(1) Chern-Simons Theory $\mathcal{D}_{\mathbf{a}}CS(A) = 0 \quad \forall \mathbf{a} \iff \nabla \times \mathbf{A} = \mathbf{0}$

SO

### $\mathsf{Crit}(CS) = \{ \mathbf{A} \in \mathcal{X}(Y) \mid \nabla \times \mathbf{A} = \mathbf{0} \}$

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 $\mathsf{Hol}: \mathsf{Crit}(CS) \to \mathcal{R}(Y, U(1)) = \hom(\pi_1(Y), U(1))$ 

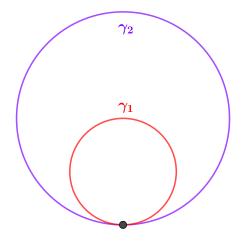
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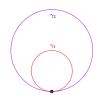
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$$\operatorname{Hol}_{\mathbf{A}}: \pi_1(Y, y_0) \to U(1)$$
$$\gamma \to e^{i \int_{\gamma} \mathbf{A} \cdot d\mathbf{r}}$$



$$\operatorname{Hol}_{\mathbf{A}} : \pi_1(Y, y_0) \to U(1)$$
$$\gamma \to e^{i \int_{\gamma} \mathbf{A} \cdot d\mathbf{r}}$$



That this is independent of the representative of  $[\gamma] \in \pi_1(Y, y)$  uses Stokes theorem and the fact that  $\nabla \times \mathbf{A} = \mathbf{0}$ 

• The holonomy correspondence says that Hol is reversible, in particular, every  $\rho \in hom(\pi_1(Y), U(1))$  can be written as

$$\rho = \mathsf{Hol}_{\mathbf{A}}$$

for some  $\mathbf{A}\in \mathcal{X}(Y),$  which means that  $\rho$  ''solves'' the P.D.E

 $abla imes \mathbf{A} = \mathbf{0}$ 

 $J^{\#}(\circ)$  is the homology with critical set

$$\mathcal{R}^{\#}(\circ) = \{\rho : \pi_1(S^3 \setminus \circ) \to SO(3) \mid \rho(m_e) \text{ has order } 2\}$$

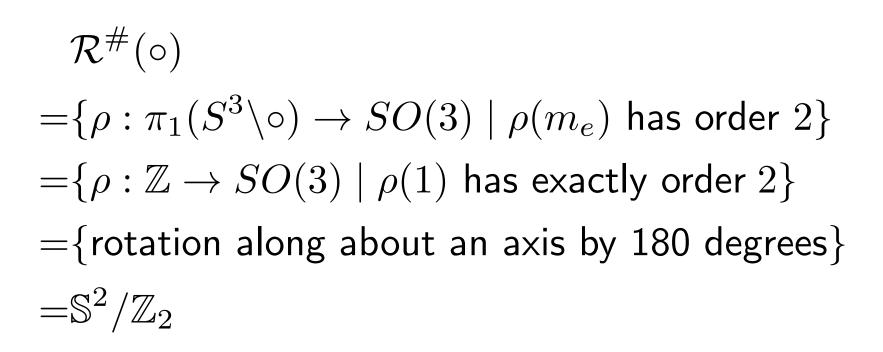
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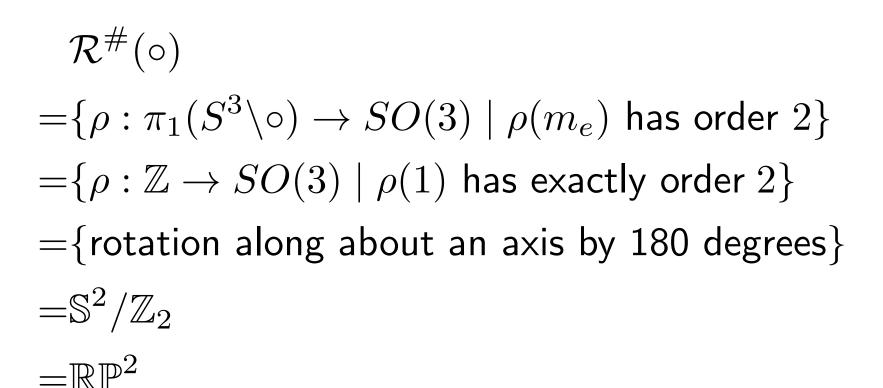
 $J^{\#}(\circ)$  is the homology with critical set

 $\mathcal{R}^{\#}(\circ)$ ={ $\rho: \pi_1(S^3 \setminus \circ) \to SO(3) \mid \rho(m_e)$  has order 2} ={ $\rho: \mathbb{Z} \to SO(3) \mid \rho(1)$  has exactly order 2} ={rotation along about an axis by 180 degrees}

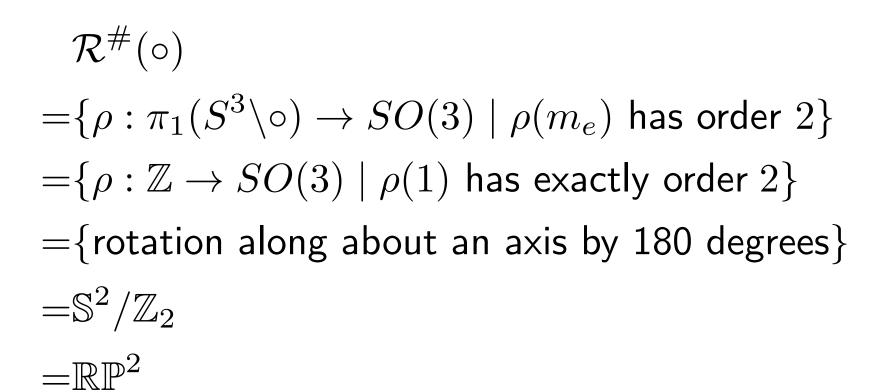
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this is Morse-Bott!!!

In a nutshell, one can arrange things so that

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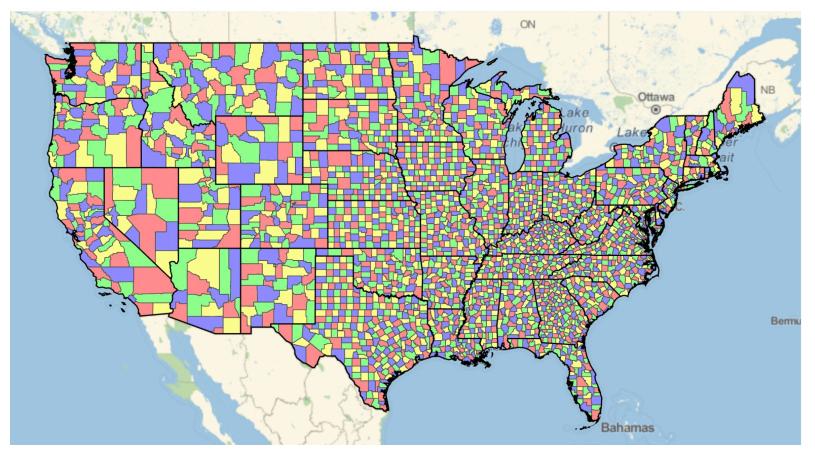
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SO

$$\dim J^{\#}(\circ) = 3 = \mathsf{Tait}(\circ)$$

## Thank you!



https://community.wolfram.com/groups/-/m/t/1078687