

Symmetric polynomials and interpolation polynomials

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Slides available on <https://sites.math.rutgers.edu/~hc813/>

Binomial formula: the classical case

We have the classical Newton's binomial theorem.

Theorem

For non-negative integers m, n , and a variable x ,

$$(x+1)^n = \sum_m \binom{n}{m} x^m$$

In fact, n could be any real number, and x is a real number in a neighborhood of 0.

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Partitions and symmetric polynomials

Fix $n \geq 1$, the number of variables.

A partition is a sequence $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n$, such that

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 0.$$

Denote by \mathcal{P}_n the set of such partitions. The length $\ell(\lambda)$ is the number of non-zero parts, and the size is $|\lambda| = \sum \lambda_i$.

Many (any) interesting bases of the ring of symmetric polynomials are indexed by partitions, to name a few, the monomial $m_\lambda = \sum_{\alpha \sim \lambda} x^\alpha$, the power-sum $p_\lambda = p_{\lambda_1} \cdots p_{\lambda_\ell}$, and the elementary $e_\lambda = e_{\lambda_1} \cdots e_{\lambda_\ell}$, where $p_r = m_{(r)} = \sum_i x_i^r$, $e_r = m_{(1^r)} = \sum_{i_1 < \dots < i_r} x_{i_1} \cdots x_{i_r}$.

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Schur, Jack and Macdonald polynomials

The most important basis is perhaps Schur polynomials s_λ .

$$s_\lambda = \frac{\det(x_i^{\lambda_j + n - j})}{\det(x_i^{n - j})} = \det(e_{\lambda'_i - i + j}) = \sum_T \prod_{s \in \lambda} x_{T(s)}.$$

- For combinatorics, Schur polynomials are generating functions of Young tableaux.
- For rep theory, Schur polynomials correspond bijectively to all irreducible characters of the symmetric groups.

Jack $P_\lambda^{(\alpha)}(x)$ and Macdonald $P_\lambda(x; q, t)$ are generalizations of Schur and many other polynomials.

Schur: $\alpha = 1$ or $q = t$;

monomial: $\alpha = \infty$ or $t = 1$;

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Binomial formula: the Jack case

Let $x = (x_1, \dots, x_n)$ and $\mathbf{1} = (1^n) = (1, \dots, 1)$. Okounkov and Olshanski ('97) prove the following for Jack polynomials:

$$(x+1)^n = \sum_m \binom{n}{m} x^m$$
$$\frac{P_\lambda(x+1)}{P_\lambda(\mathbf{1})} = \sum_\mu \binom{\lambda}{\mu} \frac{P_\mu(x)}{P_\mu(\mathbf{1})}$$

$$\binom{n}{m} = \frac{x(x-1)\cdots(x-m+1)}{m!} \Big|_{x=n}$$
$$\binom{\lambda}{\mu} = P_\mu^*(x) \Big|_{x=\lambda}$$

These P_μ^* are called shifted Jack polynomials. A (un)shifted version is interpolation Jack polynomials [Sahi '94], [Knop–Sahi '96].

$x(x-1)\cdots(x-m+1)$ is inhomogeneous, with top deg part $= x^m$.

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Interpolation polynomials

Definition

The unital interpolation polynomial, denoted by h_μ , is the unique symmetric function that satisfies the following interpolation condition and degree condition:

$$h_\mu(\bar{\lambda}) = \delta_{\lambda\mu}, \quad \forall \lambda \in \mathcal{P}_n, \quad |\lambda| \leq |\mu|, \quad (1)$$

$$\deg h_\mu = |\mu| \quad (2)$$

where $\bar{\lambda}_i = \lambda_i + (n - i)/\alpha$.

Let $n = 2$, $\mu = (3, 2)$, $h_\mu^{\text{monic}} = x_1 x_2 (x_1 - 1)(x_2 - 1)(x_1 + x_2 - 1/\alpha - 4)$. The defining condition involves the following 12 partitions:

$(0, 0), (1, 0), (1, 1), (2, 0), (2, 1), (3, 0), (2, 2), (3, 1), (4, 0), (3, 2), (4, 1), (5, 0)$.

We have $\overline{(\lambda_1, \lambda_2)} = (\lambda_1 + 1/\alpha, \lambda_2)$. Because of the factorizations, one can easily see that h_μ vanishes at all but $\overline{(3, 2)}$.

Moreover, h_μ also vanishes at $\overline{(m, 0)}$ and $\overline{(m-1, 1)} \quad \forall m \geq 6$.

Warning: In most cases, no complete factorization!

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Vanishing, positivity, monotonicity

Define a partial order on partitions: $\lambda \supseteq \mu$ if $\lambda_i \geq \mu_i$ for each i .

- $\binom{n}{m} = 0$ unless $n \geq m$; $\binom{\lambda}{\mu} = 0$ unless $\lambda \supseteq \mu$ [Knop–Sahi, Okounkov '90s];
- $\binom{n}{m} > 0$ when $n \geq m$; $\binom{\lambda}{\mu} > 0$ when $\lambda \supseteq \mu$ [Sahi '11, C–Sahi '24];
- $\binom{n}{k} \geq \binom{m}{k}$ when $n \geq m$; $\binom{\lambda}{\nu} \geq \binom{\mu}{\nu}$ when $\lambda \supseteq \mu$ [C–Sahi '24].

Moreover, there are interpolation Macdonald polynomials; as well as interpolation Jack and Macdonald polynomials of type BC .

We prove the positivity and the monotonicity for binomial coefficients associated to these interpolation polynomials (Theorems B and C).

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Application

For Jack polynomials

$$P_\lambda(x+1) = \sum_{\nu} \binom{\lambda}{\nu} P_\nu(x)$$

Theorem (C–Sahi '24, Theorem F)

TFAE:

- λ contains μ ;
- $\frac{P_\lambda(x+1)}{P_\lambda(1)} - \frac{P_\mu(x+1)}{P_\mu(1)}$ is **Jack positive**;
- $\frac{m_\lambda(x+1)}{m_\lambda(1)} - \frac{m_\mu(x+1)}{m_\mu(1)}$ is **monomial positive**;
- $\frac{s_\lambda(x+1)}{s_\lambda(1)} - \frac{s_\mu(x+1)}{s_\mu(1)}$ is **Schur positive**;
- $\frac{e_{\lambda'}(x+1)}{e_{\lambda'}(1)} - \frac{e_{\mu'}(x+1)}{e_{\mu'}(1)}$ is **elementary positive**.

Related results

Theorem (Cuttler–Greene–Skandera '11, Sra '16)

Let $|\lambda| = |\mu|$. TFAE:

- λ majorizes μ , i.e., $\lambda_1 + \cdots + \lambda_i \geq \mu_1 + \cdots + \mu_i$, for each i ;
- (Muirhead's inequality) $\frac{m_\lambda(x)}{m_\lambda(\mathbf{1})} - \frac{m_\mu(x)}{m_\mu(\mathbf{1})} \geq 0, \quad \forall x \in [0, \infty)^n$;
- (Newton's inequality) $\frac{e_{\lambda'}(x)}{e_{\lambda'}(\mathbf{1})} - \frac{e_{\mu'}(x)}{e_{\mu'}(\mathbf{1})} \geq 0, \quad \forall x \in [0, \infty)^n$;
- (Gantmacher's inequality) $\frac{p_\lambda(x)}{p_\lambda(\mathbf{1})} - \frac{p_\mu(x)}{p_\mu(\mathbf{1})} \geq 0, \quad \forall x \in [0, \infty)^n$;
- (Sra's inequality) $\frac{s_\lambda(x)}{s_\lambda(\mathbf{1})} - \frac{s_\mu(x)}{s_\mu(\mathbf{1})} \geq 0, \quad \forall x \in [0, \infty)^n$.

Theorem (Khare–Tao '18)

λ weakly majorizes μ if and only if $\frac{s_\lambda(x)}{s_\lambda(\mathbf{1})} - \frac{s_\mu(x)}{s_\mu(\mathbf{1})} \geq 0, \quad \forall x \in [0, \infty)^n$.



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Conjecture

- (CGS Conjecture for Jack polynomials) Let $|\lambda| = |\mu|$. λ majorizes μ if and only if

$$\frac{P_\lambda(x)}{P_\lambda(\mathbf{1})} - \frac{P_\mu(x)}{P_\mu(\mathbf{1})} \geq 0, \quad \forall x \in [0, \infty)^n; \quad (3)$$

- (KT Conjecture for Jack polynomials) λ weakly majorizes μ if and only if

$$\frac{P_\lambda(x)}{P_\lambda(\mathbf{1})} - \frac{P_\mu(x)}{P_\mu(\mathbf{1})} \geq 0, \quad \forall x \in [1, \infty)^n. \quad (4)$$

Note: It suffices to prove that λ majorizes μ implies Eq. (3).