

## Chapter 3

# Algebras and Representations

### 3.2 Simple Associative Algebras

#### 3.2.1 Wedderburn's Theorem

An associative algebra  $\mathcal{A}$  is called *simple* if the only two-sided ideals in  $\mathcal{A}$  are 0 and  $\mathcal{A}$ . We now show that a finite-dimensional simple algebra is completely determined by its dimension.

**Theorem 3.2.1 (Wedderburn)** *The algebra  $\text{End}(V)$  is simple for every finite dimensional complex vector space  $V$ . Conversely, if  $\mathcal{A}$  is any finite dimensional simple algebra over  $\mathbb{C}$  with unit, then there is a finite dimensional complex vector space  $V$  such that  $\mathcal{A} \cong \text{End}(V)$ .*

*Proof.* If  $u, v$  are nonzero vectors in  $V$ , then there exists  $T \in \text{End}(V)$  so that  $Tv = u$  (take  $f \in V^*$  with  $f(v) = 1$  and define  $Tx = f(x)u$  for  $x \in V$ ). Thus  $\text{End}(V)v = V$ . Now suppose  $0 \neq \mathcal{B} \subset \text{End}(V)$  is a two-sided ideal and  $0 \neq v \in V$ . Then  $\mathcal{B}v = \mathcal{B}\text{End}(V)v = \mathcal{B}V$ , since  $\mathcal{B}$  is a right ideal. But  $\mathcal{B}V \neq 0$  since  $\mathcal{B} \neq 0$ , and  $\mathcal{B}V$  is invariant under  $\text{End}(V)$  since  $\mathcal{B}$  is a left ideal. Hence

$$\mathcal{B}v = V \quad \text{for all } 0 \neq v \in V.$$

This proves that  $V$  is an irreducible  $\mathcal{B}$ -module. Burnside's Theorem implies that  $\mathcal{B} = \text{End}(V)$ . Hence  $\text{End}(V)$  is a simple algebra.

Now suppose  $\mathcal{A}$  is a finite-dimensional simple algebra over  $\mathbb{C}$  with unit. Define the left regular representation

$$\lambda : \mathcal{A} \rightarrow \text{End}(\mathcal{A})$$

by  $\lambda(x)y = xy$ . Choose a left ideal  $V \subset \mathcal{A}$  of minimal positive dimension, and define  $\rho(x) = \lambda(x)|_V$  for  $x \in \mathcal{A}$ . Then  $(\rho, V)$  is an irreducible representation of  $\mathcal{A}$ . Hence  $\rho(\mathcal{A}) = \text{End}(V)$  by Burnside's theorem. Furthermore  $\text{Ker}(\rho)$  is zero, since it is a two-sided ideal. Thus  $\mathcal{A} \cong \rho(\mathcal{A})$  as an algebra.  $\diamond$

### 3.2.2 Representations of $\text{End}(V)$

Let  $V$  be a finite-dimensional complex vector space. The representation of  $\text{End}(V)$  on  $V$  is irreducible (see the proof of Theorem 3.2.1). We shall prove that, up to equivalence, this is the *unique* irreducible representation of  $\text{End}(V)$ . This will be a consequence of Wedderburn's Theorem once we prove that every automorphism of  $\text{End}(V)$  is inner.

**Scholium 3.2.2** *Let  $\phi \in \text{Aut}(\text{End}(V))$ . Then there exists  $g \in \text{GL}(V)$  such that  $\phi(x) = gxg^{-1}$  for all  $x \in \text{End}(V)$ .*

*Proof.* Choose a basis  $e_1, \dots, e_n$  for  $V$  and let  $E_{ij} \in \text{End}(V)$  be the transformation that maps  $e_i$  to  $e_j$  and annihilates  $e_k$  for  $k \neq i$ . Set  $P_i = \phi(E_{ii})$ . Since  $\phi$  is an automorphism of  $\text{End}(V)$ , we have

$$P_i^2 = P_i \neq 0, \quad P_i P_j = \delta_{ij} P_j, \quad \sum_{i=1}^n P_i = I_V.$$

For  $i = 1, \dots, n$  choose  $0 \neq f_i \in P_i V$ . Then the set  $\{f_1, \dots, f_n\}$  is linearly independent. To prove this, we first note that  $P_i f_j = \delta_{ij} f_j$ . If  $\sum_i c_i f_i = 0$  then

$$0 = P_j \left( \sum_i c_i f_i \right) = c_j f_j.$$

Thus  $c_j = 0$  for all  $j$ . Since  $\dim V = n$ , it follows that  $\{f_1, \dots, f_n\}$  is a basis for  $V$ . Hence there exists  $x \in \text{GL}(V)$  such that  $x e_i = f_i$  for  $i = 1, \dots, n$ . Define  $\tilde{\phi} \in \text{Aut}(\text{End}(V))$  by

$$\tilde{\phi}(y) = x^{-1} \phi(y) x.$$

Then  $\tilde{\phi}(E_{ii}) = E_{ii}$ , so replacing  $\phi$  by  $\tilde{\phi}$  we may assume that  $\phi(E_{ii}) = E_{ii}$  for  $i = 1, \dots, n$ .

We now calculate the action of  $\phi$  on the off-diagonal matrix units. With  $\phi$  normalized as above, we have

$$\phi(E_{ij}) = \phi(E_{ii} E_{ij} E_{jj}) = E_{ii} \phi(E_{ij}) E_{jj}.$$

Hence  $\phi(E_{ij}) e_k = 0$  for  $k \neq j$ , and  $\phi(E_{ij}) e_j \in \mathbb{C} e_i$ . This implies that

$$\phi(E_{ij}) = \lambda_{ij} E_{ij} \tag{3.1}$$

for some non-zero scalar  $\lambda_{ij}$ . Since  $\phi(E_{ij} E_{jk}) = \phi(E_{ik})$ , the scalars  $\lambda_{ij}$  satisfy the relations

$$\lambda_{ij} \lambda_{jk} = \lambda_{ik}.$$

Since we have normalized  $\phi$  so that  $\lambda_{ii} = 1$ , it follows that  $\lambda_{ij}^{-1} = \lambda_{ji}$ . Set  $\lambda_i = \lambda_{i1}$ . Then

$$\lambda_{ij} = \lambda_{i1} \lambda_{1j} = \lambda_i \lambda_j^{-1}.$$

Set  $h = \text{diag}(\lambda_1, \dots, \lambda_n)$ . Then

$$h E_{ij} h^{-1} = \lambda_i \lambda_j^{-1} E_{ij} = \lambda_{ij} E_{ij},$$

so by equation (3.1) we have  $h^{-1} \phi(E_{ij}) h = E_{ij}$  for all  $i, j$ . Hence  $h^{-1} \phi(x) h = x$  for all  $x \in \text{End}(V)$ . Thus  $\phi$  is the inner automorphism given by  $h$ .  $\diamond$

**Proposition 3.2.3** *Up to equivalence, the only irreducible representation of  $\text{End}(V)$  is the representation  $\tau$  on  $V$  given by  $\tau(x)v = xv$ .*

*Proof.* Let  $(\rho, W)$  be an irreducible representation of  $\text{End}(V)$ . Wedderburn's theorem implies that  $\text{End}(V) \cong \text{End}(W)$  as an algebra. Since  $\dim \text{End}(V) = \dim(V)^2$ , we have  $\dim(V) = \dim(W)$ . Fix a linear bijection  $T : V \rightarrow W$ , and define

$$\phi(x) = T^{-1}\rho(x)T, \quad \text{for } x \in \text{End}(V).$$

Then  $\phi$  is an automorphism of  $\text{End}(V)$ , so by Scholium 3.2.2 there exists  $g \in \text{End}(V)$  such that  $\phi(x) = gxg^{-1}$ . Set  $S = (Tg)^{-1}$ . Then  $S : W \rightarrow V$  and

$$S\rho(x) = ST\phi(x)T^{-1} = STgxg^{-1}T^{-1} = xS$$

for  $x \in \text{End}(V)$ . Since  $S$  is a linear bijection, we conclude that  $(\rho, W) \cong (V, \tau)$ .  $\diamond$

We now establish a canonical form for an arbitrary finite-dimensional representation of  $\text{End}(V)$ . For this we will need the following differentiated version of Scholium 3.2.2. Recall that a *derivation* of an algebra  $\mathcal{A}$  is a map  $D \in \text{End}(\mathcal{A})$  such that  $D(xy) = (Dx)y + x(Dy)$  for all  $x, y \in \mathcal{A}$ .

**Scholium 3.2.4** *Let  $D$  be a derivation of the associative algebra  $\text{End}(V)$ . Then there exists  $A \in \text{End}(V)$  such that  $D(x) = Ax - xA$  for all  $x \in \text{End}(V)$ .*

*Proof.* For  $x, y \in \text{End}(V)$ ,

$$\begin{aligned} D([x, y]) &= (Dx)y + x(Dy) - (Dy)x - y(Dx) \\ &= [Dx, y] + [x, Dy], \end{aligned}$$

where  $[x, y] = xy - yx$  is the commutator. Thus  $D$  is also a derivation of  $\text{End}(V)$  as a Lie algebra. Write  $I = I_V$ . Then  $D(x) = D(Ix) = D(I)x + D(x)$  for all  $x \in \text{End}(V)$ , and hence  $D(I) = 0$ . Let  $\mathfrak{g} = \mathfrak{sl}(V)$ . Since  $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$  we also have  $D\mathfrak{g} \subset \mathfrak{g}$ .

Let  $\text{Der}(\mathfrak{g}) \subset \text{End}(\mathfrak{g})$  be the vector space of all linear transformations  $T$  on  $\mathfrak{g}$  such that

$$T([X, Y]) = [TX, Y] + [X, TY] \quad \text{for all } X, Y \in \mathfrak{g}.$$

If  $Z \in \mathfrak{g}$  then  $\text{ad } Z \in \text{Der}(\mathfrak{g})$  by the Jacobi identity. Furthermore, if  $T \in \text{Der}(\mathfrak{g})$  then

$$[T, \text{ad}(Z)]X = T([Z, X]) - [Z, T(X)] = [T(Z), X] = \text{ad}(T(Z))X \quad \text{for all } X, Z \in \mathfrak{g}.$$

Hence  $[T, \text{ad}(Z)] = \text{ad}(T(Z))$ . This shows that

$$[\text{ad}(\mathfrak{g}), \text{Der}(\mathfrak{g})] \subset \text{ad}(\mathfrak{g}). \quad (3.2)$$

Thus we can obtain a representation  $\rho$  of  $\mathfrak{g}$  on  $\text{Der}(\mathfrak{g})$  by

$$\rho(Z)T = [\text{ad}(Z), T] \quad \text{for } T \in \text{Der}(\mathfrak{g}).$$

Since the subspace  $\text{ad}(\mathfrak{g})$  of  $\text{Der}(\mathfrak{g})$  is invariant under  $\rho(\mathfrak{g})$  and every representation of  $\mathfrak{g}$  is completely reducible (Theorem 2.4.6), there is a subspace  $U \subset \text{Der}(\mathfrak{g})$  so that

$$\text{Der}(\mathfrak{g}) = \text{ad}(\mathfrak{g}) \oplus U, \quad [\text{ad}(\mathfrak{g}), U] \subset U.$$

On the other hand,  $[\text{ad}(\mathfrak{g}), U] \subset \text{ad}(\mathfrak{g})$  by (3.2). Hence  $U = 0$ . This proves that

$$\text{Der}(\mathfrak{g}) = \text{ad}(\mathfrak{g}). \quad (3.3)$$

Returning to the derivation  $D$  of  $\text{End}(V)$ , we conclude that there exists  $Z \in \mathfrak{g}$  so that  $D(X) = [X, Z]$  for all  $X \in \mathfrak{g}$ . Since  $D(I) = 0$ , this equation holds for all  $X \in \text{End}(V)$ . Thus we may take  $A = -Z$ .  $\diamond$

We now obtain a canonical form for the representations of  $\text{End}(V)$ . We use the notation

$$V^m = \underbrace{V \oplus \cdots \oplus V}_{m \text{ copies}}$$

to denote the direct sum of  $m$  copies of the representation of  $\text{End}(V)$  on  $V$ .

**Theorem 3.2.5** *Let  $\mathcal{A} = \text{End}(V)$  and suppose  $(\rho, W)$  is a finite-dimensional representation of  $\mathcal{A}$ . Then  $\dim W = m \dim V$ , where  $m = \dim \text{Hom}_{\mathcal{A}}(V, W)$ , and there exists a linear bijection*

$$T : W \rightarrow V^m, \quad \text{with } Tw = (v_1, \dots, v_m),$$

*such that  $T\rho(x)w = (xv_1, \dots, xv_m)$  for  $x \in \mathcal{A}$  and  $w \in W$ . Hence  $W$  is equivalent to the  $\mathcal{A}$ -module  $\text{Hom}_{\mathcal{A}}(V, W) \otimes V$ , where  $x \in \mathcal{A}$  acts by  $x \cdot (u \otimes v) = u \otimes (xv)$  for  $u \in \text{Hom}_{\mathcal{A}}(V, W)$  and  $v \in V$ .*

*Proof.* Since  $\dim W$  is finite,  $W$  contains an irreducible submodule  $W_1$ . If  $W_1 \neq W$  then there is a submodule  $W_2 \supset W_1$  such that the representation of  $\text{End}(V)$  on  $W_2/W_1$  is irreducible. Continuing in this way, we obtain a *Jordan-Hölder series*

$$W_1 \subset W_2 \subset \cdots \subset W_m = W$$

of submodules with each quotient  $W_{i+1}/W_i$  irreducible and hence isomorphic to  $V$  by Proposition 3.2.3. In particular,

$$\dim W = m \dim V.$$

We prove the existence of the map  $T$  by induction on  $m$ . When  $W = W_1$  we may take  $T = I$ . Thus we may assume inductively that there are intertwining maps

$$T_1 : W_1 \cong V, \quad T_2 : W/W_1 \cong V^{\otimes(m-1)}.$$

Let  $\pi : W \rightarrow W/W_1$  be the canonical projection. Choose a subspace  $Z \subset W$  so that  $W = W_1 \oplus Z$ , and let

$$P : W \rightarrow Z, \quad Q : W \rightarrow W_1$$

be the corresponding projections. (Since  $Z$  is not necessarily a  $\rho$ -invariant subspace, these projections are generally not intertwining operators.) Define a linear bijection

$$T : W \rightarrow V^m, \quad T(w_1 + z) = (T_1 w_1, T_2 \pi(z))$$

for  $w_1 \in W_1$  and  $z \in Z$ . Since  $T_1, T_2$  and  $\pi$  are intertwining maps and  $\pi P = \pi$ , we have

$$\begin{aligned} T\rho(x)(w_1 + z) &= T(\rho(x)w_1 + Q\rho(x)z + P\rho(x)z) \\ &= (xT_1 w_1 + T_1 Q\rho(x)z, xT_2 \pi z) \end{aligned}$$

for  $x \in \text{End}(V)$ . Thus if  $w \in W$  and we write  $T(w) = (v_1, \dots, v_m)$  with  $v_i \in V$ , then

$$T\rho(x)w = (xv_1 + \sum_{i=2}^m \mu_i(x)v_i, xv_2, \dots, xv_m), \quad (3.4)$$

where  $\mu_i(x) \in \text{End}(V)$ .

Obviously the maps  $\mu_i(x)$  depend linearly on  $x$ . From the equation  $\rho(xy) = \rho(x)\rho(y)$  and equation (3.4) we find that

$$\sum_{i=2}^m \mu_i(xy)v_i = \sum_{i=2}^m x\mu_i(y)v_i + \sum_{i=2}^m \mu_i(x)yv_i$$

for all  $v_i \in V$  and  $x, y \in \text{End}(V)$ . Hence for  $i = 1, \dots, m$  we have

$$\mu_i(xy) = x\mu_i(y) + \mu_i(x)y.$$

Thus  $\mu_i$  is a derivation of  $\text{End}(V)$ . By Scholium 3.2.4 there exists  $A_i \in \text{End}(V)$  so that  $\mu_i(x) = [A_i, x]$ .

We have now shown that  $\rho$  is equivalent to the representation  $\tilde{\rho}$  on  $V^m$  given by

$$\tilde{\rho}(x)(v_1, \dots, v_m) = (xv_1 + \sum_{i=2}^m [A_i, x]v_i, xv_2, \dots, xv_m).$$

Define a linear transformation  $g$  on  $V^m$  by

$$g \cdot (v_1, \dots, v_m) = (v_1 + \sum_{i=2}^m A_i v_i, v_2, \dots, v_m).$$

Then  $g$  is a linear bijection, with inverse

$$g^{-1} \cdot (v_1, \dots, v_m) = (v_1 - \sum_{i=2}^m A_i v_i, v_2, \dots, v_m).$$

It follows that

$$g^{-1}\tilde{\rho}(x)g(v_1, \dots, v_m) = (xv_1, \dots, xv_m).$$

Thus  $\rho$  is equivalent to the direct sum of  $m$  copies of the representation of  $\text{End}(V)$  on  $V$ .

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