

Parallels Between Involutions and General Permutations

Aaron D. Jaggard

DIMACS
Rutgers University

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 - Results and Extensions
 - Main Idea of the Proof
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 - Remaining Open Questions
 - Generating Trees and the Answer
- 3 **Subsequence Containment by Involutions**
 - Enumerative Results
 - The Number of Tableaux Containing a Subtableau
 - A Notion of Equivalence

Broad question

Question

In what ways do permutations in some class $\mathcal{P}_n \subseteq \mathcal{S}_n$ parallel permutations in some other class $\mathcal{Q}_n \subseteq \mathcal{S}_n$?

As a specific example:

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In what ways do involutions in \mathcal{S}_n resemble permutations in general? (I.e., what does the imposition of symmetry do?)

Certainly not in all ways (e.g., cycle-structure properties)
Here, we'll look at questions about 'permutation patterns' and involutions (permutations whose square is the identity).

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Permutation patterns and pattern avoidance

The *pattern* of 7351 is 4231.

Definition

In general, the pattern of a word w of j distinct letters is the order-preserving relabeling of w with $\{1, \dots, j\}$.

Definition

$\pi = \pi_1 \dots \pi_n \in \mathcal{S}_n$ *contains* the pattern $\tau \in \mathcal{S}_k$ if there is a subsequence $\pi_{i_1} \dots \pi_{i_k}$ of π whose pattern equals τ . Otherwise, π *avoids* τ .

4736521 contains the pattern 4231.

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\mathcal{P}_n -Wilf-equivalence

Definition

For $\mathcal{P}_n \subseteq \mathcal{S}_n$, let $\mathcal{P}_n(\alpha)$ be the number of permutations in \mathcal{P}_n that avoid the pattern α . Let $\alpha \sim_{\mathcal{P}} \beta$ if $\mathcal{P}_n(\alpha) = \mathcal{P}_n(\beta)$ for every n . In this case we say that α and β are \mathcal{P}_n -Wilf-equivalent (or just Wilf-equivalent if $\mathcal{P}_n = \mathcal{S}_n$).

This naturally leads to two types of questions.

Two types of questions

Enumerative:

Question

For a family of permutations $\{\mathcal{P}_n\}_n$ ($\mathcal{P}_n \subseteq \mathcal{S}_n$) and a pattern α , what is the sequence $\{\mathcal{P}_n(\alpha)\}_n$?

Algebraic:

Question

What are the $\sim_{\mathcal{P}}$ -classes of \mathcal{S}_k ? For two different families $\{\mathcal{P}_n\}_n$ and $\{\mathcal{Q}_n\}_n$, how do the $\sim_{\mathcal{P}}$ -classes of \mathcal{S}_k compare to the $\sim_{\mathcal{Q}}$ -classes of \mathcal{S}_k ?

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Comparison to Wilf-equivalence

As with Wilf-equivalence, some \mathcal{I}_n -Wilf-equivalences (or ‘involution-Wilf-equivalences’) follow trivially from symmetry. However, the allowed symmetry operations are reduced because they must respect the symmetry of involutions.

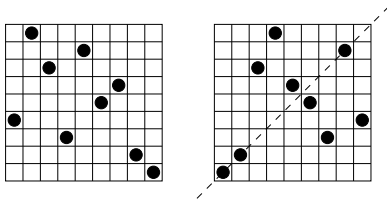


Figure: A permutation is an involution iff it is symmetric.

Initial results for involutions I

Theorem (Simion and Schmidt, 1985)

For $\tau \in \{123, 132, 213, 321\}$,

$$\mathcal{I}_n(\tau) = \binom{n}{\lfloor n/2 \rfloor}$$

and for $\tau \in \{231, 312\}$,

$$\mathcal{I}_n(\tau) = 2^{n-1}.$$

Note the contrast to single \sim_S -class in \mathcal{S}_3 .

Initial results for involutions II

Theorem (Regev, 1981)

$$\mathcal{I}_n(1234) = M_n = \sum_{i=0}^{\lfloor n/2 \rfloor} \binom{n}{2i} \binom{2i}{i} \frac{1}{i+1}$$

- Regev also gave asymptotics for $\mathcal{I}_n(12 \dots k)$ as $n \rightarrow \infty$.
- Gessel has given a determinantal formula for $\mathcal{I}_n(12 \dots k)$.
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Initial results for involutions III

Theorem (Gouyou-Beauchamps, 1989)

$$\mathcal{I}_n(12345) = \begin{cases} C_k C_k, & n = 2k - 1 \\ C_k C_{k+1}, & n = 2k \end{cases}$$

where C_k is the k^{th} Catalan number.

Theorem (Gouyou-Beauchamps, 1989)

$$\mathcal{I}_n(123456) = \sum_{i=0}^{\lfloor n/2 \rfloor} \frac{3!n!(2i+2)!}{(n-2i)!i!(i+1)!(i+2)!(i+3)!}$$

Early algebraic results

Theorem (Guibert, 1995)

$$3412 \sim_I 4321 \text{ and } 2143 \sim_I 1243$$

Later, one conjecture of Guibert was answered using generating trees.

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Prefix-exchanging results

Theorem (J.)

For every permutation τ_3, \dots, τ_n of $\{3, \dots, n\}$,

$$12\tau_3 \dots \tau_n \sim_{\mathcal{I}} 21\tau_3 \dots \tau_n$$

For every permutation τ_4, \dots, τ_n of $\{4, \dots, n\}$

$$123\tau_4 \dots \tau_n \sim_{\mathcal{I}} 321\tau_4 \dots \tau_n$$

The analogous results for $\sim_{\mathcal{S}}$ -equivalence were due to West (1990) and Babson and West (2000).

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Generalizing this result

Theorem (Bousquet-Mélou & Steingrímsson)

For every permutation $\tau_{j+1}, \dots, \tau_k$ of $\{j+1, \dots, k\}$,
 $12 \dots j \tau_{j+1} \dots \tau_k \sim_{\mathcal{I}} j \dots 21 \tau_{j+1} \dots \tau_k$.

Proved by showing that the iterated transformation used in [BW] commutes with inverting a permutation, even though the transformation itself doesn't.

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Implications for \sim_I -equivalence

Applying this to the symmetry class $\{1243, 2134\}$ we obtain the result of Guibert, Pergola, and Pinzani:

$$1234 \sim_I 2143$$

We may also affirmatively answer Guibert's conjecture:

$$1234 \sim_I 3214$$

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Placements on shapes and patterns

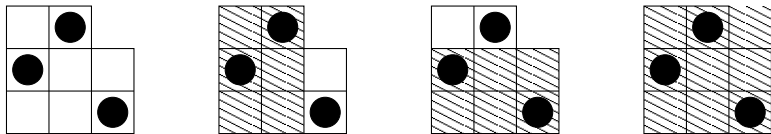


Figure: A placement on $(3, 3, 2)$ that contains 12 and 21 but not 231.

Self-conjugate shapes and symmetric placements

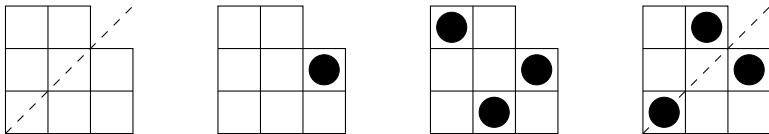


Figure: Four placements on the self-conjugate shape $(3, 3, 2)$.

From involutions to self-conjugate shapes with symmetric placements

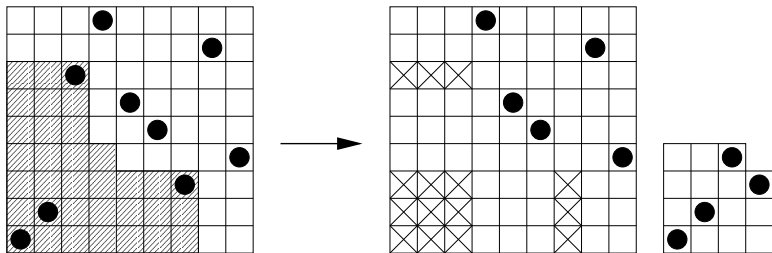


Figure: The involution shown contains 12354 iff the placement on $(4, 4, 4, 3)$ at the right contains 123.

We need the prefix to be an involution.

A useful theorem

Theorem (J.)

Let $\lambda_{\text{sym}}(T)$ be the number of symmetric full placements on the shape λ that avoid all of the patterns in the set T . Let α and β be involutions in S_j . Let T_α be a set of patterns, each of which begins with the prefix α , and T_β similarly. If, for every self-conjugate shape λ , $\lambda_{\text{sym}}(\{\alpha\}) = \lambda_{\text{sym}}(\{\beta\})$, then for every self-conjugate shape μ ,

$$\mu_{\text{sym}}(T_\alpha) = \mu_{\text{sym}}(T_\beta)$$

Exchanging 12 and 21

Backelin and West showed that there is a unique filling of any (fillable) shape that avoids 12, and a unique filling that avoids 21. These are necessarily symmetric if the shape is symmetric.

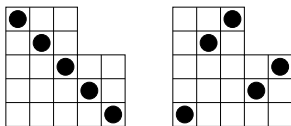


Figure: Starting from the top row, fill the box in either the leftmost (12-avoiding) or the rightmost (21-avoiding) column without a dot.

After these general results remaining question about $\sim_{\mathcal{I}}$ -equivalences in \mathcal{S}_5 is:

Question

Does $54321 \sim_{\mathcal{I}} 45312$ hold?

Question

Does $654321 \sim_{\mathcal{I}} 564312$ also hold (as suggested by numerical results)? If so, are these two cases of a more general result?

These results are known for $\sim_{\mathcal{S}}$ -equivalence, but do not follow from known $\sim_{\mathcal{I}}$ results.

The answer to all these questions: Yes!

Theorem

For every $k \geq 5$,

$$k(k-1)\dots 321 \sim_{\mathcal{I}} (k-1)k(k-2)\dots 312$$

In fact, this is a corollary of a stronger theorem about *generating trees*.

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Generating trees

Put a tree structure on the involutions avoiding a pattern τ

- If σ is an involution in \mathcal{S}_n that avoids τ , then its parent π is the involution obtained by:
 - 1 Deleting the cycle containing n (either (n) or (jn))
 - 2 Taking the pattern of the resulting word
- The root of the tree is the empty permutation
- Find a way to label each node in the tree along with a rule that determines the labels of the children of a node with a given label

Theorem (J.–Marincel)

For every $k \geq 5$, the generating tree for involutions avoiding $k(k-1)\dots 321$ is isomorphic to the generating tree for involutions avoiding $(k-1)k(k-2)\dots 312$.

The number of involutions in \mathcal{S}_n avoiding the pattern equals the number of nodes at depth n in the corresponding tree.

Corollary

$k(k-1)\dots 321 \sim_{\mathcal{I}} (k-1)k(k-2)\dots 312$.

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Defining labels

Given $\pi \in \mathcal{S}_n$, let p_i be the side of the largest square in the upper-right corner of the graph of π that does not contain a decreasing sequence of length $2i$ (k even, $1 \leq i \leq \frac{k}{2} - 1$) or $2i - 1$ (k odd, $1 \leq i \leq \frac{k-1}{2}$).

In the generating tree for involutions avoiding $k(k-1) \dots 321$, label π with $(n, p_1, p_2, \dots, p_{a-1}, p_m)$. [$m = \frac{k}{2} - 1$ or $m = \frac{k-1}{2}$]

In the generating tree for involutions avoiding $(k-1)k(k-2) \dots 312$, label $\pi \in \mathcal{S}_n$ with $(n, p_1, p_2, \dots, p_{m-1}, q_m)$, where $q_m + 1$ is the total number of depth-2 children of π .

The labels of the children of a node with label (n, y_1, \dots, y_m) are:

$$\{(n+1, w, y_2+1, \dots, y_m+1)\} \cup \bigcup_{j=0}^{y_m} \{(n+2, z_1, \dots, z_m)\},$$

where, in the label whose first component is $n+1$, w equals y_1+1 if k is even and 0 if k is odd, and in the label indexed by j :

$$z_i = \begin{cases} y_i + 2 & j \leq y_{i-1} \\ j + 1 & y_{i-1} < j \leq y_i \quad (j \leq y_i \text{ for } i = 1) \\ y_i + 1 & y_i < j \end{cases}$$

In the tree of involutions avoiding 654321, 53281764 has label $(n, p_1, p_2) = (8, 2, 4)$. Its depth-2 children and their labels are:

6329(10)18745	(10, 3, 5)
53291(10)8746	(10, 3, 4)
532918(10)647	(10, 3, 6)
5329176(10)48	(10, 2, 6)
53281764(10)9	(10, 1, 6)

In the tree of involutions avoiding 564312, 54821763 has label $(n, p_1, q_2) = (8, 2, 4)$. Its depth-2 children and their labels are:

(10)659328741	(10, 3, 4)
65(10)9218743	(10, 3, 5)
549218(10)637	(10, 3, 6)
5492176(10)38	(10, 2, 6)
54821763(10)9	(10, 1, 6)

Subsequence containment

Definition

$\pi = \pi_1 \dots \pi_n \in \mathcal{S}_n$ *contains* the subsequence $\tau \in \mathcal{S}_k$ if there is a subsequence $\pi_{i_1} \dots \pi_{i_k}$ of π such that $\pi_{i_j} = \tau_j$.

Unlike patterns, we care about the *exact* values!

Given $\tau \in \mathcal{S}_k$, it's trivial to see that the probability that $\pi \in \mathcal{S}_n$ (chosen u.a.r., $n \geq k$) contains τ as a subsequence is exactly $1/k!$

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Subsequence containment by involutions

Theorem (McKay, Morse, Wilf, 2002)

The probability that π (chosen u.a.r. from the involutions in S_n , $n \geq k$) contains a subsequence $\tau \in S_k$ equals $1/k! + o(1)$ as $n \rightarrow \infty$.

I.e., imposing symmetry doesn't really change the answer!

Counting the involutions containing a subsequence

Theorem (J., 2005)

For a fixed permutation $\tau = \tau_1\tau_2 \dots \tau_k \in \mathcal{S}_k$ and $n \geq k$, the number of involutions in \mathcal{S}_n that contain τ as a subsequence equals

$$\sum' \binom{n-k}{k-j} t_{n-2k+j}$$

where the sum is taken over $j = 0$ and those $j \in [k]$ such that the pattern of $\tau_1 \dots \tau_j$ is an involution in \mathcal{S}_j , and t_m equals the number of involutions in \mathcal{S}_m .

This allows us to sharpen the asymptotic results of [MMW]:

For $k > 2$, $\tau \in \mathcal{S}_k$, the probability as $n \rightarrow \infty$ that an involution $\pi \in \mathcal{S}_n$ contains τ as a subsequence is

$$\frac{1}{k!} - \frac{2}{3(k-3)!} n^{-3/2} + O(n^{-2})$$

if the pattern of $\tau_1\tau_2\tau_3$ is not an involution and

$$\frac{1}{k!} + \frac{1}{3(k-3)!} n^{-3/2} + O(n^{-2})$$

if it is.

Counting tableaux containing a subtableau

The RSK algorithm gives a bijection between standard Young tableaux of size n and the involutions in \mathcal{S}_n .

In a tableau corresponding to an involution $\pi \in \mathcal{S}_n$, the subtableau on $[k]$ depends only on the subsequence of π formed by the elements of $[k]$.

We may thus recover a formula of Sagan and Stanley counting the tableaux that contain a given subtableau.

Another notion of equivalence

Inspired by pattern avoidance, we make the following definition:

Definition

Two patterns α and β are *equivalent with respect to subsequence containment by involutions* iff, for every n , the number of involutions in \mathcal{S}_n containing α as a subsequence equals the number containing β as a subsequence.

Characterizing this equivalence

Definition (j -set of a permutation)

Let $\mathcal{J}(\alpha) = \{j \mid \text{The pattern of } \alpha_1 \dots \alpha_j \text{ is an involution in } \mathcal{S}_j\}$

Because each term in the sum counting the involutions containing a particular subsequence is asymptotically smaller than the previous one, α and β are equivalent in this sense iff $\mathcal{J}(\alpha) = \mathcal{J}(\beta)$.

Preliminary results

Theorem (J.)

The number of $\tau \in S_k$ for which $\mathcal{J}(\tau) = \{0, \dots, k\}$ equals 2^{k-1} .

Also, if we assume $\{0, 1, 2, k\} \subseteq E \subseteq \{0, 1, \dots, k\}$ and $|E| = k \geq 5$, then the number of $\tau \in S_k$ for which $\mathcal{J}(\tau) = E$ equals 2^{k-3} if $k-1 \notin E$ and 2^{k-4} if $k-1 \in E$.

Question

What is the sequence

$\{|\mathcal{J}(S_k)|\}_{k \geq 3} = 2, 4, 8, 16, 30, 56, 102, \dots?$

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Extensions

Theorem (Kim and Kim, 2007)

Assume that $\{j_1, j_2, \dots, j_{r-1}\}$ is a j -set and $j_1 < \dots < j_{r-1} < j_r$.
Then $\{j_1, j_2, \dots, j_{r-1}, j_r\}$ is a j -set iff one of the following holds:

- 1 $j_r - j_{r-1} = 1$
- 2 $j_{r-1} - j_{r-2} \neq 1$ and $j_r - j_{r-1} \geq j_{r-1} - j_{r-2}$
- 3 $j_{r-1} - j_{r-2} = 1$ and $j_r - j_{r-1} \geq j_{r-1} - j_{r-3}$

They also find a functional equation for the generating function of the number of j -sets in S_k .

Conclusions

Parallel properties of involutions and general permutations

- Prefix-exchange results
- Other families of involution-Wilf-equivalences that correspond to Wilf-equivalences
- Subsequence containment—asymptotically the same